



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
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Los Angeles, CA 90017
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www.scag.ca.gov

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County Transportation Authority**

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Community, Economic &
Human Development
**Peggy Huang, Transportation
Corridor Agencies**

Energy & Environment
Linda Parks, Ventura County

Transportation
Cheryl Viegas-Walker, El Centro

MEETING NO. 621

REGIONAL COUNCIL

REMOTE PARTICIPATION ONLY

Thursday, May 7, 2020

1:00 p.m. – 3:00 p.m.

To Watch or View Only:

<http://scag.ca.gov/RC-Live-Stream>

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To Participate by Phone:

Call-in Number: 1-669-900-6833

Meeting ID: 249 187 052

***Please see next page for detailed
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Given recent public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with the Governor's recent Executive Order N-29-20, the meeting will be held telephonically and electronically.

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Peter Waggoner at (213) 630-1402 or via email at waggoner@scag.ca.gov. Agendas & Minutes are also available at: www.scag.ca.gov/committees.

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1. Submit written comments via email to: ePublicComment@scag.ca.gov by 5pm on Wednesday, May 6, 2020.

All written comments received after 5pm on Wednesday, May 6, 2020 will be read by SCAG staff (up to 3 minutes, with the presiding officer retaining discretion to adjust time limits as necessary to ensure efficient and orderly conduct of the meeting) during the Public Comment Period and included as part of the official record of the meeting.

2. If participating via Zoom or phone, during the Public Comment Period, use the “raise hand” function on your computer or *9 by phone and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.

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3. Select “Join Audio via Computer.”
4. The virtual conference room will open. If you receive a message reading, “Please wait for the host to start this meeting,” simply remain in the room until the meeting begins.
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2. Enter the **Meeting ID: 249 187 052**, followed by #.
3. Indicate that you are a participant by pressing # to continue.
4. You will hear audio of the meeting in progress. Remain on the line if the meeting has not yet started.
6. During the Public Comment Period, press *9 to add yourself to the queue and wait for SCAG staff to announce your name/phone number. SCAG staff will unmute your line when it is your turn to speak. Limit oral comments to 3 minutes, or as otherwise directed by the presiding officer.

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RC - Regional Council Members – May 2020

- 1. Hon. Bill Jahn**
President, Big Bear Lake, RC District 11
- 2. Hon. Rex Richardson**
1st Vice President, Long Beach, RC District 29
- 3. Hon. Clint Lorimore**
2nd Vice President, Eastvale, RC District 4
- 4. Hon. Alan Wapner**
Imm. Past President, SBCTA Representative
- 5. Hon. Sean Ashton**
Downey, RC District 25
- 6. Hon. Phil Bacerra**
Santa Ana, RC District 16
- 7. Hon. Rusty Bailey**
Riverside, RC District 68
- 8. Hon. Kathryn Barger**
Los Angeles County
- 9. Hon. Megan Beaman-Jacinto**
Coachella, RC District 66
- 10. Hon. Ben Benoit**
Air District Representative
- 11. Hon. Stacy Berry**
Cypress, RC District 18
- 12. Hon. Bob Blumenfield**
Los Angeles, RC District 50
- 13. Hon. Mike Bonin**
Los Angeles, RC District 58
- 14. Hon. Art Brown**
Buena Park, RC District 21
- 15. Hon. Wendy Bucknum**
Mission Viejo, RC District 13

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- 16. Hon. Joe Buscaino**
Los Angeles, RC District 62
- 17. Hon. Michael Carroll**
Irvine, RC District 14
- 18. Hon. Gilbert Cedillo**
Los Angeles, RC District 48
- 19. Hon. Margaret Clark**
Rosemead, RC District 32
- 20. Hon. Jonathan Curtis**
La Canada Flintridge, RC District 36
- 21. Hon. Steve DeRuse**
La Mirada, RC District 31
- 22. Hon. Margaret Finlay**
Duarte, RC District 35
- 23. Hon. Eric Garcetti**
Member-at-Large
- 24. Hon. James Gazeley**
Lomita, RC District 39
- 25. Hon. Jack Hadjinian**
Montebello, District 34
- 26. Sup. Curt Hagman**
San Bernardino County
- 27. Hon. Jan Harnik**
RCTC Representative
- 28. Hon. Marqueece Harris-Dawson**
Los Angeles, RC District 55
- 29. Hon. Mark Henderson**
RC District 28, Gardena
- 30. Hon. Steven Hofbauer**
Palmdale, RC District 43
- 31. Hon. Tim Holmgren**
Fillmore, RC District 47

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- 32. Hon. Peggy Huang**
TCA Representative
- 33. Hon. Jose Huizar**
Los Angeles, RC District 61
- 34. Hon. Mike Judge**
VCTC Representative
- 35. Hon. Kathleen Kelly**
Palm Desert, RC District 2
- 36. Hon. Paul Koretz**
Los Angeles, RC District 52
- 37. Hon. Paul Krekorian**
Los Angeles, RC District 49
- 38. Hon. John Lee**
Los Angeles, RC District 59
- 39. Randall Lewis**
Business Representative, Non-Voting Member
- 40. Hon. Marisela Magana**
Perris, RC District 69
- 41. Hon. Steve Manos**
Lake Elsinore, RC District 63
- 42. Hon. Jorge Marquez**
Covina, RC District 33
- 43. Hon. Ray Marquez**
Chino Hills, RC District 10
- 44. Hon. Nury Martinez**
Los Angeles, RC District 53
- 45. Hon. Andrew Masiel**
Tribal Govt Regl Planning Board Representative
- 46. Hon. Larry McCallon**
Highland, RC District 7
- 47. Hon. L.Dennis Michael**
Rancho Cucamonga, RC District 9

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- 48. Hon. Fred Minagar**
Laguna Niguel, RC District 12
- 49. Hon. Judy Mitchell**
Rolling Hills Estates, RC District 40
- 50. Hon. Steve Nagel**
Fountain Valley, RC District 15
- 51. Hon. Frank Navarro**
Colton, RC District 6
- 52. Hon. Mitch O'Farrell**
Los Angeles, RC District 60
- 53. Hon. Trevor O'Neil**
Anaheim, RC District 19
- 54. Hon. Hector Pacheco**
San Fernando, RC District 67
- 55. Sup. Linda Parks**
Ventura County
- 56. Hon. Jeannine Pearce**
Long Beach, RC District 30
- 57. Sup. Luis Plancarte**
Imperial County
- 58. Hon. David Pollock**
Moorpark, RC District 46
- 59. Hon. Jim Predmore**
ICTC Representative
- 60. Hon. Curren Price**
Los Angeles, RC District 56
- 61. Hon. Chuck Puckett**
Tustin, RC District 17
- 62. Hon. Miguel Pulido**
OCTA Representative
- 63. Hon. Carmen Ramirez**
Oxnard, RC District 45

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- 64. Hon. Rita Ramirez**
Victorville, RC District 65

- 65. Hon. Deborah Robertson**
Rialto, RC District 8

- 66. Hon. Monica Rodriguez**
Los Angeles, RC District 54

- 67. Hon. David Ryu**
Los Angeles, RC District 51

- 68. Hon. Meghan Sahli-Wells**
Culver City, RC District 41

- 69. Hon. Ali Saleh**
Bell, RC District 27

- 70. Hon. Tim Sandoval**
Pomona, RC District 38

- 71. Hon. Sonny Santalnes**
Bellflower, RC District 24

- 72. Hon. Rey Santos**
Beaumont, RC District 3

- 73. Hon. Zak Schwank**
Temecula, RC District 5

- 74. Hon. Lyn Semeta**
Huntington Beach, RC District 64

- 75. Hon. David Shapiro**
Calabasas, RC District 44

- 76. Hon. Emma Sharif**
Compton, RC District 26

- 77. Hon. Marty Simonoff**
Brea, RC District 22

- 78. Sup. Hilda Solis**
Los Angeles County

- 79. Hon. Karen Spiegel**
Riverside County

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- 80. Hon. Tri Ta**
Westminster, RC District 20

- 81. Hon. Jess Talamantes**
Burbank, RC District 42

- 82. Hon. Steve Tye**
Diamond Bar, RC District 37

- 83. Hon. Cheryl Viegas-Walker**
El Centro, RC District 1

- 84. Hon. Donald Wagner**
Orange County

- 85. Hon. Herb Wesson**
Los Angeles, RC District 57

- 86. Hon. Frank Yokoyama**
Cerritos, RC District 23

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Southern California Association of Governments
Remote Participation ONLY
Thursday, May 7, 2020
1:00 PM

The Regional Council may consider and act upon any of the items on the agenda regardless of whether they are listed as Information or Action items.

CALL TO ORDER AND PLEDGE OF ALLEGIANCE
(The Honorable Bill Jahn, President)

PUBLIC COMMENT PERIOD

The public is encouraged to submit comments by sending an email to ePublicComment@scag.ca.gov. Written comments will be read by SCAG staff up to three (3) minutes and included as part of the official record of the meeting.

REVIEW AND PRIORITIZE AGENDA ITEMS

ACTION/DISCUSSION ITEM/S

- 1. Nominations for the 2020-21 SCAG Officer Positions
(The Honorable) # # V #)

RECOMMENDED ACTION:

Approve the nominations for the SCAG 2020-21 Officer positions as submitted by the Nominating Committee, subject to ratification by the General Assembly.

- 2. Proposed Final Connect SoCal (2020-2045 Regional Transportation Plan/Sustainable Communities Strategy and Program Environmental Impact Report (PEIR)
(Kome Ajise, Executive Director)

RECOMMENDED ACTION FOR CEHD, EEC AND TC:

Recommend to the Regional Council adoption of Resolution No. 20-621-1, which reflects the following:

- (1) Certify the Connect SoCal (2020-2045 Regional Transportation Plan/Sustainable Communities Strategy) Program Environmental Impact Report (PEIR); adopt the Findings of Fact, Statement of Overriding Considerations, and the Mitigation Monitoring and Reporting Program;
(2) Allow for more time to review Connect SoCal and consider its implications in light of the short and long-term impacts of the COVID-19 pandemic on the region as requested by many stakeholders;
(3) Approve the Connect SoCal as required for federal transportation conformity purposes only, and postpone for up to 120 days the date by which the Regional Council would be

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- asked to consider approval of Connect SoCal in its entirety and for all other purposes, including but not limited to submittal to the California Air Resources Board (ARB);
- (4) Direct staff to provide a progress report describing modifications to the SCS and associated modeling and analysis within 60 days;
 - (5) Direct staff to work with local authorities to identify and restore locally approved entitlements as conveyed by local jurisdictions. The Regional Council further directs staff to within 60 days identify and quantify all differences within the SCS and locally-approved General Plans and quantify the increase (or decrease) in housing, jobs or population between Connect SoCal and each local General Plan;
 - (6) Find that until such time as the Regional Council may consider and approve in a subsequent meeting approval of Connect SoCal (including any required CEQA documentation) in its entirety, the SCS in the 2016 RTP/SCS and the PEIR mitigation measures shall remain operative for the region. The Connect SoCal PEIR mitigation measures shall not be operative until the Regional Council adopts a Connect SoCal Plan in its entirety as described above; and
 - (7) Adopt the Consistency Amendment No. 19-12 to the 2019 Federal Transportation Improvement Program (FTIP).

RECOMMENDED ACTION FOR REGIONAL COUNCIL: Adopt Resolution No. 20-621-1, as recommended above.

INFORMATION ITEM

3. COVID-19: Leading and Learning in Uncertain Times
(March 11, 2020)

CONSENT CALENDAR

Approval Items

4. Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget
5. 6th Cycle RHNA Appeals Procedures
6. Minutes of the Meeting - March 5, 2020
7. Contracts \$200,000 or Greater: 20-035-C01, IT Managed Services
8. Contracts \$200,000 or Greater: 20-021-C01, Federal Advocacy Services
9. Contracts \$200,000 or Greater: 20-034-C01, Heat Island Reduction with Urban Greening and Cool Streets
10. Contracts \$200,000 or Greater: Contract No. 17-026-C1 Amendment 5, Professional Auditing Services
11. Contracts \$200,000 or Greater: 20-047-C01, Omnitrans Bus Stop Safety Improvement Plan
12. Approval for Additional Stipend Payments

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13. SB 1291 (Senate Committee on Transportation) - FTIP Submissions
14. AB 2011 (Holden) - West San Bernardino County Rail Construction Authority and SB 1390 (Portantino) - Montclair to Ontario Airport Construction Authority

Receive and File

15. May State and Federal Legislative Monthly Update
16. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999
17. CFO Monthly Report

BUSINESS REPORT

(Randall Lewis, Ex-Officio Member)

PRESIDENT'S REPORT

(The Honorable Bill Jahn, President)

EXECUTIVE DIRECTOR'S REPORT

(Kome Ajise Executive Director)

FUTURE AGENDA ITEM/S

ANNOUNCEMENT/S

ADJOURNMENT

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Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Regional Council (RC)
From: Alan D. Wapner, Chair, Nominating Committee
Subject: Nominations for the 2020-21 SCAG Officer Positions

RECOMMENDED ACTION:

Approve the nominations for the SCAG 2020-21 Officer positions as submitted by the Nominating Committee, subject to ratification by the General Assembly.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

On April 15, 2020, the Nominating Committee met to review the applicants for the 2020-21 Board Officer positions for President, First Vice President; and Second Vice President. The sole applicant for the position of President was the Honorable Rex Richardson. There were two (2) applicants for the position of First Vice President and there were three (3) applicants for the position of Second Vice President.

The Nominating Committee unanimously nominated the Honorable Rex Richardson for the position of President and the Honorable Clint Lorimore for the position of First Vice President. As for the position of Second Vice President, the Nominating Committee, while acknowledging that all three (3) applicants were outstanding, nominated the Honorable Jan Harnik for the position of Second Vice President.

All nominated candidates have met the eligibility requirements and are presented to the Regional Council for approval. The approved slate of officers will thereafter be presented to the General Assembly as part of its Annual Meeting.

BACKGROUND:

On April 15, 2020, the Nominating Committee met to review the applications for the 2020-21 Board Officer positions for: President; First Vice President; and Second Vice President. While acknowledging that all of the applicants were outstanding, the Nominating Committee unanimously nominated the following candidates for the following positions:

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From: SCAG <no-reply@wufoo.com>
Sent: Friday, March 20, 2020 4:42 PM
To: Tess Rey-Chaput
Subject: Application for 2020-2021 SCAG Officer Position [#9]

Follow Up Flag: Follow up
Flag Status: Flagged

Categories: YES

I acknowledge that * I have read the SCAG Bylaws, Article V, Section C, subsection 1-5 as described above; and meet the minimum eligibility requirements.

Name * Rex Richardson

Name of your SCAG Member County, City or County Transportation Commission * City of Long Beach

Phone Number (310) 766-3433

Email * rex.richardson@longbeach.gov

Application for Officer Position * President

Terms of Service completed on SCAG Regional Council, a minimum of one full-term (i.e. a term equates to two I have completed two terms on the Regional Council.

Attachment: Applications of Nominated Candidates (Nominations for the 2020-21 SCAG Officer Positions)

years on the
Regional
Council at
time of
application) *

Total length of SCAG service (indicate number of years of service) * Chair, Community, Economic, and Human Development (CEHD) Committee (May 2017–May 2018)
Member of the Executive Administration Committee (EAC) (May 2017–May 2018, Current) Moderator, 2017 Eighth Annual Southern California Economic Summit “Opportunities

When does the term of your local elected position expire? * My current second term as Councilmember expires in 2022, but I have one more 4–year term I can run for.

Would term limits prevent you from maintaining your local elected position? * No.

Positions held at SCAG *

Chair, Community, Economic, and Human Development (CEHD) Committee (May 2017–May 2018)

Member of the Executive Administration Committee (EAC) (May 2017–May 2018, Current)

Moderator, 2017 Eighth Annual Southern California Economic Summit “Opportunities Moving Forward” Panel Discussion

Member of the Bylaws and Resolutions Committee (Current)

Member of the Regional Housing Needs Assessment (RHNA) Committee (Current)

Member of the Community, Economic, and Human Development (CEHD) Committee (Current)

2nd Vice President of the SCAG Board (May 2019 – Aug. 2019)

1st Vice President of the SCAG Board (Current)

1. Why do you wish to serve as a SCAG Officer? *

I have been fortunate to serve on the SCAG Regional Council for the past five years, diligently working to familiarize myself with the stakeholders of the region, and the needs of the communities and industries they represent. With the planning and implementation of policy initiatives, Connect SoCal and the Regional Housing Needs Assessment (RHNA), underway, it's important we maintain a collaborative approach to the future of how we move, work, and live across the region.

Long Beach is the second-largest city in the six-county SCAG region, the home to the regional economic driver of the Port of Long Beach and has never held an executive position with SCAG. The Los Angeles County remains an important voice at the table, as we continue to engage in discussions and make recommendations on regional housing, economic development, and the goods movement.

As the First Vice President, I have provided perspective on these issues, and I look forward to continuing this effort, by ensuring that SCAG jurisdictions have the resources to support the forthcoming growth and modernization.

2. As an Officer, what would you contribute to SCAG? *

I believe I bring a unique background and point of view that will help to round out the experience and perspectives of the Executive Team.

As experienced government affairs and community development executive with 13 years of experience in the private, public, and labor sectors across Southern California, and a public official with the first-hand experience in community development, I remain passionate about community building and economic justice. As a professional, I pride myself on meeting and exceeding goals by cultivating partnerships, strategic planning, and comprehensive evaluation.

In Long Beach, I serve as the Chair of our Economic Development & Finance Committee and our local Housing Authority, where I maintain a regional voice on community development and economic inclusion.

In 2017, I launched the “Everyone In” initiative, focused on creating a local economy that includes and benefits every Long Beach resident. I have been a national voice on youth opportunity. In 2016, I was invited to speak at the White House My Brother’s Keeper (MBK) Summit, discussing the establishment of the LB Office of Equity and the PATH Program, a diversion program aimed at utilizing workforce and education partners as an alternative to prosecution for young adults.

As Chair of the CEHD Committee, I brought a refreshing approach to facilitating committee meetings by engaging committee members with a survey on topics and subjects that are most important to SCAG cities. I reformatted committee presentations into a new panel discussion format which has allowed more time for reflection, discussion and engagement.

Moreover, I have experienced the impact and benefits of regional planning and active transportation has on a community. From the Go Human Campaign in North Long Beach; this event was recognized as amongst the most successful Go Human activities in the entire SCAG region. This Initiative ultimately led to the creation of the Uptown Planning Land Use and Neighborhood Strategy (UPLAN), also supported by SCAG, to place a greater focus on mobility in the North Long Beach area.

I plan to help ensure other cities can maximize the benefits of SCAG membership in the way Long Beach has in recent years. Finally, as an owner of a transportation logistics company, I plan to bring on the ground, private sector insight into the ways that policy decisions impact small businesses.

(A) In addition to attending regular and special meetings of SCAG’s Regional Council, will you be able to attend other meetings and functions of SCAG, if requested? *

Yes. In my first two terms with SCAG, I have joined delegations to Sacramento, Washington, D.C., China, New Zealand, and Australia. In Washington, D.C., I advocated for transportation bills. In China, I presented on the contradiction between the on-demand economy and the needs of environmental justice. In New Zealand and Australia, I learned about the Road Usage Charge Program.

I also serve as the SCAG representative on the Mobile Source Air Pollution Reduction Review Committee (MSRC), and I represent the City of Long Beach on the Gateway Cities Council of Governments (COG) where we are actively engaged in improving the lives of the residents of our region in four major areas: transportation, air quality, housing, and economic development, all of which align with our work at SCAG.

(B) What professional or personal constraints on your time or service that you anticipate? *

I am a part-time Councilmember, a small business owner, and a parent of two small children. My full-time position is as the Government Affairs Manager for a communications infrastructure company, Crown Castle.

Despite the numerous positions I hold, I always make time for each commitment I take on.

I maintain a flexible schedule, being present for my SCAG duties, as well as my Council commitments, and job. I drop off my daughters at school on some mornings, but barring an emergency, I am available to serve in this role.

4. What are your values and skills that you could bring to SCAG as an Officer? *

As a small business owner, millennial, and policymaker, I bring a different perspective and skill set that allows me to connect with diverse groups of stakeholders, inspiring them to work together to achieve good public policy outcomes.

I represent the perspectives of the emerging adults impacted by many of the policies that SCAG engages in, from housing affordability and accessibility to emerging technologies and industries.

In Long Beach, I have successfully advocated for investing in key infrastructure, elevating the discussion on equity, and placing a focus on economic inclusion through my Everyone In Initiative.

5. What is your vision for the future of SCAG and what do you believe needs to be done to accomplish this vision? *

SCAG should be prepared to address the challenges of today and the future. This means engaging in the discussion and being a leader in addressing the challenges of the housing crisis. Secondly, we should continue our focus on poverty and ensuring economic opportunity is extended to all corners of the SCAG region, from the Imperial Valley to Ventura. And finally, we should continue our focus on data-driven solutions and open data.

We need to make certain that SCAG is equipped to address these challenges by:

1. Continuing to advocate for Southern California as it relates to receiving its fair share of cap and trade funds as well as other resources from Sacramento.
2. Ensuring SCAG elevates Southern California's voice in Washington, D.C., as it relates to local control, goods movement, trade, and infrastructure.

6. What would you consider the strengths of SCAG? * SCAG has the unique capacity to build consensus and balance diverse points of view, articulating the perspectives of Southern California to Washington, D.C. and Sacramento.

7. What could SCAG improve on? * SCAG could do more to promote geographic, ethnic, age, and gender diversity in its Regional Council and leadership, ensuring we reflect the points of view of the diverse Southern California population we all serve.

Attach a File  [scag_2020_letter__bio.pdf](#) 151.93 KB · PDF

Attach a File  [various_articles__rex_richardson.pdf](#) 1.25 MB · PDF

Print Your Name Rex Richardson

Date Friday, March 20, 2020

I acknowledge that * by checking this box, my printed name above is my signature for submitting this application.



March 20, 2020

Nominating Committee
Southern California Association of Governments (SCAG)
900 Wilshire Blvd., Suite 1700
Los Angeles, CA 90017

Dear Esteemed Nominating Committee,

Thank you for volunteering to take up the important task of nominating the next slate of qualified candidates to lead this great organization.

I am writing to express my interest in serving as the Board **President** for the Southern California Association of Governments. I have served on the Regional Council over the past 5 years, including Chair of the CEHD Committee, Moderator of the 2017 Southern California Economic Summit Housing Panel Discussion “Opportunities Moving Forward,” representative to the Mobile Source Reduction Committee (MSRC), briefly as 2nd Vice President, and presently, as the 1st Vice President.

Respectfully, I submit this letter to reaffirm my interest and to give you a better understanding of my perspective and intent for this position.

We are designing the future for the next generation. As a father of two small children, small business owner, and first-generation homeowner, I care deeply about the trajectory of our region. The challenges we face in Southern California, from housing affordability and accessibility, to air quality, workforce readiness and equity; each of these priorities are vital determinants of whether the next generation will have the opportunity to thrive.

The role of cities is especially significant, now more than ever before. Our responsibilities have expanded from simply a minister of policies set forth by the state and federal government, to being the leaders and drivers of a broad range of social and economic issues, like homelessness, workforce development, and overall quality of life.

As a Long Beach City Councilmember, the second largest city in the SCAG region, I have been engaged, first-hand, in the complex and shifting legislative and economic landscape impacting California cities. Personally, I have taken on the task of opening Long Beach’s first year-round municipal homeless shelter and have fought to restore basic amenities like paramedic services and access to financial institutions in my district. These were politically-challenging objectives,



but they reflect the issues that many of our cities face. I am also an advocate for **local control, regional cooperation and planning, and inclusive economic development practices.**

As a representative of the Port of Long Beach, our region's economic driver and entry point for 43% of all trade in the United States, I will ensure SCAG maintains a focus on boosting, cleaning, and modernizing the goods movement industry that affects all 6 SCAG counties. And as a small business owner, I understand the intersectionality of public policy and economic prosperity. We should embrace strategies that provide opportunities for families to live healthy and thrive.

In working with SCAG, I have witnessed our ability to help cities and the region overcome many of these challenges. I would greatly appreciate the opportunity to continue helping to lead our region as President. Enclosed, you will find a biography and some background materials highlighting the work I have engaged in over the past 5 years. Should you have any questions, please do not hesitate to contact me directly, at (310) 766-3433.

Sincerely,

A handwritten signature in black ink, appearing to read "Rex Richardson", with a long horizontal flourish extending to the right.

Rex Richardson
Councilmember
City of Long Beach, 9th District



Councilmember Richardson's Bio

Rex Richardson was elected to the Long Beach City Council in 2014 to represent the neighborhoods of North Long Beach in District 9 and serves as Chair of the Economic Development Committee and the Long Beach Housing Authority.

Councilmember Richardson's history in Long Beach is grounded in advocating for our most vulnerable residents and empowering communities to have a seat at the table and an opportunity to thrive.

City-wide, Councilmember Richardson has worked to shift the culture of City Hall by embracing a systemic and collaborative approach to solving the city's challenges. Richardson championed the creation of the Long Beach Office of Equity, to help address the economic and health disparities affecting Long Beach neighborhoods and spearheaded a number of innovative initiatives that provide more opportunities to close the gap for our vulnerable populations, including the Long Beach My Brother's Keeper Initiative, and the PATH Young Adult Diversion program.

Representing the 9th District, he has led North Long Beach on a "Roadmap to its Renaissance" by placing a focus on economic and community revitalization, with major infrastructure investment, like the new Michelle Obama Neighborhood Library, Houghton Park Community Center, new retail development, and the Creative Corridor Mural Arts Project. Most recently, Councilmember Richardson launched #EveryoneIn, an initiative designed to create a local economy that includes and benefits every Long Beach resident.

Rex, his wife Nina, and daughters Alina and Mila are proud residents of the Collins Neighborhood in North Long Beach.

LOCAL NEWS

Four ways a Long Beach City Councilman wants to tackle homelessness



From left, Josh Butler from advocacy group Housing Long Beach chats with residents Angel George and Jerry Alonzo outside of their Chestnut Avenue apartment in Long Beach on Wednesday, July 18, 2018. Residents at the 12 unit complex have been getting eviction notices by their new property management company. (Photo by Scott Varley, Press-Telegram/SCNG)

By [HAYLEY MUNGUIA](#) | hmunguia@scng.com | Long Beach

As [pressure builds on Long Beach](#) to address the city's housing crisis, which some say is pushing people out of the city and even forcing them into homelessness, City Councilman Rex Richardson is taking the mantle of finding new solutions.

Richardson, who represents District 9 in North Long Beach, charged city staff on Tuesday, July 24, with four tasks to begin the process of providing more services for the homeless in Long Beach and making the city more affordable. City Council unanimously voted along with Richardson on the issue. The four assignments Richardson gave city staff are:

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- Come to the next meeting with options for “a dedicated local revenue strategy” to fund more housing and services, which would likely mean some type of new bond or tax
- Start the process of buying a building to use as a year-round homeless shelter
- Create a policy addressing source-of-income discrimination, such as landlords illegally refusing to rent to people on Section 8
- Find funding strategies and partners to buy “[nuisance motels](#)” and turn them into affordable housing

Richardson said he realizes the complexity of the issues and assured his fellow council members that he’s not trying to rush and implement quick fixes. He said he merely wants to move forward a process that’s been underway for years.

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“In talking with city staff and preparing this, we knew that there was already a number of things prepared by staff, but this type of report hasn’t been requested to be heard in front of City Council to start a comprehensive discussion,” Richardson said. “So I get it, it seems like we’re asking for a lot. But no, we’re asking them to present what they’ve been working on for years and give them their day for us to talk about.”

That notion – that City Council should discuss staff’s work and move toward decision-making – was one his colleagues at the dais could get behind. But when it came to specifics, some were clear that they don’t completely agree with Richardson’s vision.

Councilwoman Suzie Price, who represents District 3 in the southeastern edge of the city, said she is hesitant to group together problems like affordable housing and homelessness, which she sees as two separate and complex issues.

“Both issues are very important issues, but merging them together, I think, confuses the issue,” Price said. “I think the conversation we should be having really should be about affordable housing and finding an ongoing sustainable local source for local affordable housing. That’s a very separate conversation, in my opinion, than the very complex issue of homelessness.”

Price also said that she hopes city staff will be able to find a funding source that does not require voters to pass another tax measure, a scenario she said she “cannot imagine” supporting.

“On the heels of all the different increases that we have passed and asked voters to support, I personally — I don’t want to be in a position where we’re asking them to pay more into something,” she said, “especially something that is really very preliminary at this juncture.”

For Mayor Robert Garcia’s part, he said he supports Long Beach finding more solutions. But he also bristled at the implication the city hasn’t already been working on the issue.

“There are 7,000 rent-stabilized units in Long Beach, or rent-controlled units, or whatever you want to call those units,” Garcia said. “There’s a wide consensus that we need to have more rent-stabilized apartments in Long Beach. Most people have said that, and we’re working on expanding those types of units across the city. ... There are about 1,000 right now that are under construction.”

Richardson said he brought the matter forward because he believes the city needs to do even more, and the conversation is the first step.

“I know that with sort of this history of nimbysim, council offices haven’t really been willing to step up and say, ‘Hey, I’ll take on this fight, I’ll take on this conversation,’” he said. “With that history, there needs to be a little bit of buy-in before Council — before city staff, really — can really move forward on some of these conversations.”

Shattering Stereotypes: Transforming North Long Beach

By Brandon Richardson, Senior Writer - May 21, 2018

The past several years have seen Long Beach's renaissance begin to touch the city's northernmost neighborhoods. From the openings of the \$10 million Fire Station 12 in 2013 and the 24,655-square-foot Michelle Obama Neighborhood Library in 2016, to the current construction on the Houghton Park Community Center, North Long Beach is being revitalized, and there are no signs of momentum slowing down.



As part of the renaissance occurring in North Long Beach, construction is underway on a new community center at Houghton Park. Pictured from left: Shawna Stevens, chief of staff; Jessica Estrada, legislative aid; Brent McCloud, field representative; Vice Mayor and 9th District Councilmember Rex Richardson; Samira Foy, communications specialist; Keyona Montgomery, legislative aid intern; and Alyssa Gutierrez, policy director. (Photograph by the Business Journal's Anne Artley)

"The Atlantic Avenue corridor has been transforming across the city for years and now it's just time for North Long Beach to have its share," Vice Mayor and 9th District Councilmember Rex Richardson said. "We're already seeing North

Attachment: Applications of Nominated Candidates (Nominations for the 2020-21 SCAG Officer Positions)

Long Beach become more and more economically viable and contribute more to the city's bottom line."

Homeownership in the northern part of the city is strong, with a median income of about \$63,000 per year and a poverty rate under 10%, Richardson said. Based upon these figures from the United States Census Bureau, he noted that the demographics and reality of North Long Beach today do not match its long-standing stigma as a poor, crime-ridden and desolate area.

"Part of the stigma with North Long Beach is there is a line of demarcation north of the railroad tracks at Del Amo Boulevard," 8th District Councilmember Al Austin said. "What I have worked to do and continue to work to do is bridge the communities and make them one. Make it so North Long Beach isn't seen as less than Bixby Knolls or any other area."

Planned developments by Frontier Real Estate Investments, LAB Holding LLC and Westland Real Estate Group along the Atlantic Avenue corridor will see millions of dollars invested into the area in the hopes of fulfilling restaurant, retail and service needs long scarce in North Long Beach. Austin said these projects will complement the great community that already exists in the area, while also attracting new residents and visitors.

Providing residents with retail and restaurants near their homes will naturally increase foot traffic and walkability in the area, Austin explained. He noted that some of the properties purchased by the LAB have been vacant for over five years. Filling in these holes will be a catalyst for additional investment and development, he added. The three developments promote community interaction and connection by featuring ample communal space for residents to gather, eat, drink and socialize.

To promote positivity and safety in the area, Richardson said activation and engagement are key, noting the new farmers market at Houghton Park, the Uptown Jazz Festival, the Veterans Day Parade and other large-scale North Long Beach community events.

Richardson said the services and amenities new developments bring will make the community whole and that further enhancements can be made from there to create a safe and thriving North Long Beach. To complement the developments and ensure a common theme along the corridors, the city applied for and was awarded grants for streetscape improvements, including around \$15 million for Artesia Boulevard.

“More than anything we are seeking to get a consistent theme with bulb-outs, street furniture and lighting. Things of that nature,” Austin said. “The transformation can’t come soon enough. We certainly are not resting on our laurels; we want to make sure we get these projects across the finish line because the North Long Beach community sorely deserves them.”

In addition to public and private investments in properties and streetscapes, Uptown Business Improvement District Executive Director Tasha Hunter said there has been a successful push for increased security and improved relations between the community and police. Bixby Knolls-based C.S.I. Patrol Services Inc. patrols North Long Beach regularly, she said. Many of C.S.I.’s employees live in the area, which means they have a better understanding of the issues, which makes them more effective, Hunter explained.

Through city and business improvement district (BID) efforts, North Long Beach is entering a time of transition for the better. Uptown BID Executive Director Tasha Hunter and 8th District Councilmember Al Austin are pictured in front of the 24,655-square-foot Michelle Obama Neighborhood Library on Atlantic Avenue, which is the city's most advanced library branch. (Photograph by the Business Journal's Pat Flynn)

"What will definitely work even better is activating a lot of these empty storefronts. Once these new developments come in, they automatically serve as extra eyes on the streets," Hunter said. "When there is engagement and activity and people eating out, statistics show there tends to be less crime."

The business improvement district (BID) hosts monthly security meetings during which businesses and residents receive updates from C.S.I. and the Long Beach Police Department. The meetings are also an opportunity for people to voice concerns and opinions related to safety in the area.

When looking at the success of the Downtown Long Beach and how far the area has come, Hunter said she is excited to see Uptown moving in the same direction. There has been a steady increase in people's interest to buy property, develop land and open businesses in North Long Beach, she explained, noting that the area is starting to be seen as more of a destination because of its potential.

"All of this together is really a case study of urban revitalization. It's all about economic inclusion, which is making sure every part of our town is economically viable and playing to the strengths of those communities," Richardson said. "There is a certain experience you can get in each of our neighborhoods that is distinct and different, and [these] are strengths we should continue to highlight. There's sort of a movement to activate and engage North Long Beach. If it can happen here, it can happen anywhere."

SCAG Funding Opens Door To 'UPLAN,' A Land-use Document For North Long Beach

By Samantha Mehlinger, Assistant Editor - February 13, 2017

City officials and business interests have hailed Long Beach's Downtown Plan as a major factor in the area's development and success. Now, the city may be able to develop a similar planning document for North Long Beach, thanks to \$250,000 in funding recently approved by the Southern California Association of Governments (SCAG).

The idea for UPLAN – an acronym for Uptown Planning Land Use And Neighborhood Strategy – was conceived in and championed by the 9th District, according to Vice Mayor/Councilmember Rex Richardson.

"The Downtown Plan was a collection of documents that updated the land use, created some incentives, streamlined environmental impacts and created the stage for development to take place in downtown. We're doing the same thing but differently, because downtown is very different than uptown," Richardson said. "We're going to look at areas where we can modernize and update the land use to set the stage for more investment, just like downtown," he explained.

While there are multiple developments planned in and around North Long Beach, there is no single guiding plan for the area, Richardson explained.

"UPLAN would be one strategy, one community plan for North Long Beach that ties it all together and spurs more economic development," he said.

The plan might address ways to capitalize on North Long Beach's industrial area, which accounts for one-third of the industrial space in the city, according to Richardson. It may also address the area's corridors and creating connectivity between areas of development. "We have to make sure the table is set for better community development," he said.

While the grant application was conceived by and pertains to the 9th District, Richardson said the idea has not been fully scoped. When asked if UPLAN could include the 8th District, he said, "We look at North Long Beach as one community, and just because it starts in District 9 doesn't necessarily mean it stops where the border boundaries stop."

The \$250,000 grant awarded by SCAG will go to Long Beach Development Services, which created the grant application and will spearhead development of the document.

According to a press release from Richardson's office, the funding is awaiting final approval by the California Transportation Commission and the Mobile Source Air Pollution Reduction Review Committee.

"Residents will actually be a part of crafting it," Richardson said of UPLAN. "The title is UPLAN, but you can think of it as U-Plan."

Richardson has begun creating a task force to oversee the creation of the document. The chair is Tom Carpenter, whose firm, Frontier Real Estate Investments, is developing a former redevelopment agency property at Atlantic Avenue and Artesia Boulevard. The vice chair is Tasha Hunter, director of the Uptown Business Improvement District. A second vice chair appointment is pending, Richardson said.



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MY BROTHER'S KEEPER

December 17, 2016

Vice Mayor Richardson at White House My Brother's Keeper Summit: 'It Has to Be Personal'

by Stephanie Rivera in News

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Photo courtesy of Vice Mayor Rex Richardson.

Long Beach Vice Mayor Rex Richardson participated in the White House's final My Brother's Keeper National Summit on Wednesday, highlighting the city's efforts in helping its boys and young men of color as part of its answer to President Barack Obama's call to action, which challenged communities across the U.S. to focus resources on that specific portion of the population.

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Richardson, who led the charge in implementing MBK in Long Beach in 2015, discussed the city's Promising Adults Tomorrow's Hope (PATH) young adult diversion program and the creation of the Long Beach Office of Equity.

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"It forced us to, rather than have this as some plan to put in our pocket or sit on a shelf, it forced us to add staff and build capacity and actually implement that plan," Richardson said during a panel discussion with other local leaders who have implemented MBK in their districts.

The vice mayor also pointed to Safe Long Beach, which was created as a direct response to the president's community challenge. It addresses a broad safety agenda aimed at reducing all forms of violence, including domestic abuse, child abuse, elder abuse, hate crimes, bullying, gang violence, and violent crime.

Finally, Richardson explained the city's Long Beach College Promise, which drew applause from the audience. Under the program, any Long Beach Unified School District (LBUSD) student is guaranteed a spot at Cal State Long Beach (CSULB). If they don't qualify at CSULB then they have the opportunity to receive two semesters of tuition free schooling at Long Beach City College (LBCC).

Richardson, who was raised by a single mother and identified himself as a "free lunch kid" who attended 14 public schools before attending college, also noted the importance of making connections.

"It has to be personal," he told the audience. "The moment for me, personally, when I knew I wanted to work on an issue like this and commit to public service, was the moment I received my college acceptance letter. When I was 17 years old and I received that letter that said to me: 'you overcame barriers, you have value and you matter, and since you matter you have a commitment to pay that forward'."

To see the full summit, click here [↗](#).

Stephanie Rivera covers immigration and the north, west and central parts of Long Beach. Reach her at stephanie@lbpost.com or on Twitter at [@StephRivera88](https://twitter.com/StephRivera88).

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LOCAL NEWS

Long Beach OKs \$9.6M property purchase for year-round homeless shelter



Council member Rex Richardson speaks during a press conference announcing agreement to acquire property for future year-round homeless shelter in north Long Beach on Monday, January 28, 2018. (Photo by Ana P. Garcia, Contributing Photographer)

By [HAYLEY MUNGUIA](#) | hmunguia@scng.com | Long Beach Press-Telegram

PUBLISHED: February 5, 2019 at 9:00 pm | UPDATED: February 5, 2019 at 9:57 pm

Attachment: Applications of Nominated Candidates (Nominations for the 2020-21 SCAG Officer Positions)

Long Beach will move forward with the \$9.6 million purchase of more than two acres of property for a year-round homeless shelter, thanks to a unanimous City Council vote on Tuesday, Feb. 5.

[The North Long Beach property](#), located at 6481-6845 Atlantic Ave., is currently home to Eddie's Liquor and the former site of Atlantic Farms. But by next summer, it will be the city's first municipal, year-round homeless shelter.

According to city staff, the shelter will serve homeless adults, with 125 beds and services like job training. It will also have temporary space for homeless families, along with the support to help them find long-term housing.

Councilman Rex Richardson, who represents the ninth district where the property is located, kicked off the discussion during Tuesday's meeting by emphasizing that the purchase is just the beginning of the process.

"This is a test. Everyone's watching," he said. "We're going to need 75, 100 more shelter beds in the city to make this work. This isn't the end-all, be-all solution for homelessness in the city."

He was referring to a [report released last year](#) by the Everyone Home Long Beach task force, which found the city needs to add 200 emergency homeless shelter beds by the end of 2020.

Regardless, Richardson said the purchase is an important step, and he thanked his council colleagues for their support in making it a reality.

The vote followed a lengthy public comment period, in which dozens of people gave varying thoughts on the deal. While nearly everyone who spoke agreed that Long Beach needs a shelter, some questioned whether the city is getting the best deal possible.

"I worry that the value of the property has been inflated by a marijuana gold rush that is over," said Long Beach resident Lauren Boland, referring to the fact that an appraisal of the property attached some of its value to the revenue it could potentially generate in the cannabis business.

"I ask the city to get another appraisal. ... Do the due diligence. The homeless people out there need every dollar spent appropriately," she added.

Boland was not the only community member to raise that concern. The Long Beach Reform Coalition was also [skeptical about the price tag](#), citing the fact that the property last sold in December 2013 for \$2 million.

But John Keisler, the city’s economic development director, said that sale figure was below market value due to an arrangement between the buyer and seller at that time. He said the appraisal that Long Beach commissioned, which pinned the property’s value at about \$7.5 million, reflected growing property values for industrial real estate in the area overall.

Regardless of those concerns, most of the residents who spoke simply expressed their full-throated support.

Maricela de Rivera, a ninth district resident who lives within walking distance of the site, was one of them.

“I’ve seen people in District Nine — my neighborhood — say, ‘Yes in my backyard,’ because we are going to help people,” she said. “I’m incredibly proud of Rex Richardson and the city of Long Beach for taking this measure.”

Tags: [homeless](#), [Top Stories LBPT](#)



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Bride Cries After Groom Tells Wedding Crowd He Loves Someone Else [↗](#)

By Upbeat News

upbeat

Hayley Munguia

Hayley Munguia covers Long Beach City Hall for the Southern California News Group. She previously worked as a data reporter for FiveThirtyEight and

From: SCAG <no-reply@wufoo.com>
Sent: Friday, March 20, 2020 2:37 PM
To: Tess Rey-Chaput
Subject: Application for 2020-2021 SCAG Officer Position [#6]

Follow Up Flag: Follow up
Flag Status: Flagged

Categories: YES

I acknowledge that *	I have read the SCAG Bylaws, Article V, Section C, subsection 1-5 as described above; and meet the minimum eligibility requirements.
Name *	Clint Lorimore
Name of your SCAG Member County, City or County Transportation Commission *	Eastvale
Phone Number	(951) 520-5832
Email *	clorimore@eastvaleca.gov
Application for Officer Position *	1st Vice President
Terms of Service completed on SCAG Regional Council, a minimum of one full-term (i.e. a term equates to two years on the Regional Council at time of application) *	2
Total length of SCAG service (indicate number of years of service) *	5
When does the term of your local elected position expire? *	December 2022
Would term limits prevent you from maintaining your local elected position? *	No
Positions held at SCAG *	2nd Vice President Chair - Audit Committee Co-Chair of the 2020 General Assembly Committee

Attachment: Applications of Nominated Candidates (Nominations for the 2020-21 SCAG Officer Positions)

Executive Administration Committee
Legislative Communications and Membership Committee
Transportation Committee
District 4 Representative to the Regional Council
Scholarship Committee

1. Why do you wish to serve as a SCAG Officer? *

I maintain a strong commitment to SCAG and wish to continuing serving in a larger role within the organization.

2. As an Officer, what would you contribute to SCAG? *

As an Officer of SCAG, I will continue to contribute my time and efforts in support of the organization's mandate and policy priorities.

(A) In addition to attending regular and special meetings of SCAG's Regional Council, will you be able to attend other meetings and functions of SCAG, if requested? *

Yes

(B) What professional or personal constraints on your time or service that you anticipate? *

I maintain a full time job. While I do have flexibility in my work schedule as a salaried employee, there are at times availability constraints. This being said, I take my elected responsibilities seriously and adjust my calendar accordingly.

4. What are your values and skills that you could bring to SCAG as an Officer? *

I bring a commitment of service, dedication to the task at hand and the ability to work collaboratively through contentious issues.

5. What is your vision for the future of SCAG and what do you believe needs to be done to accomplish this vision? *

My vision for the future of SCAG is that the organization take a larger role in influencing the discussions and outcomes surrounding its policy priorities and areas of expertise. SCAG should be the indispensable tool that policy makers come to when searching for solutions to problems facing the state and the region. We get to such a place by improving upon our advocacy efforts and leveraging and promoting the expertise of staff already employed at the organization.

6. What would you consider the strengths of SCAG? *

SCAG has many strengths. The two that I would like to highlight are SCAG's Staff and SCAG's Board Members. The professionalism and competence of SCAG's staff is second to none. Staff's expertise, combined with the policy and decision making acumen of the Board make for a powerful organization.

7. What could SCAG improve on? *

One area that the organization can improve is in the area of advocacy. A deeper pursuit of SCAG's policy priorities at the State and Federal level would pay huge dividends for the region we serve. Too often SCAG is forced to play defense as opposed to providing innovative policy solutions for the problems facing our region.

Print Your Name

Clint Lorimore

Date

Friday, March 20, 2020

I acknowledge that *

by checking this box, my printed name above is my signature for submitting this application.

From: SCAG <no-reply@wufoo.com>
Sent: Sunday, March 15, 2020 9:08 PM
To: Tess Rey-Chaput
Subject: Application for 2020-2021 SCAG Officer Position [#4]

Follow Up Flag: Follow up
Flag Status: Flagged

Categories: YES

I acknowledge that * I have read the SCAG Bylaws, Article V, Section C, subsection 1-5 as described above; and meet the minimum eligibility requirements.

Name * Jan Harnik

Name of your SCAG Member County, City or County Transportation Commission * Riverside County Transportation Commission

Phone Number (760) 285-7531

Email * jharnik@cityofpalmdesert.org

Application for Officer Position * 2nd Vice President

Terms of Service completed on SCAG Regional Council, a minimum of one full-term (i.e. a term equates to two 3 terms

Attachment: Applications of Nominated Candidates (Nominations for the 2020-21 SCAG Officer Positions)

years on the
Regional
Council at
time of
application) *

Total length of 7 years
SCAG service
(indicate
number of
years of
service) *

When does the December of 2022
term of your
local elected
position
expire? *

Would term No
limits prevent
you from
maintaining
your local
elected
position? *

Positions held Regional Council, Transportation Committee, General Assembly Host Committee, Executive
at SCAG * Administration Committee, Legislative/Communications & Membership Committee (Chair), Scholarship
Committee

1. Why do you wish to serve as a SCAG Officer? *

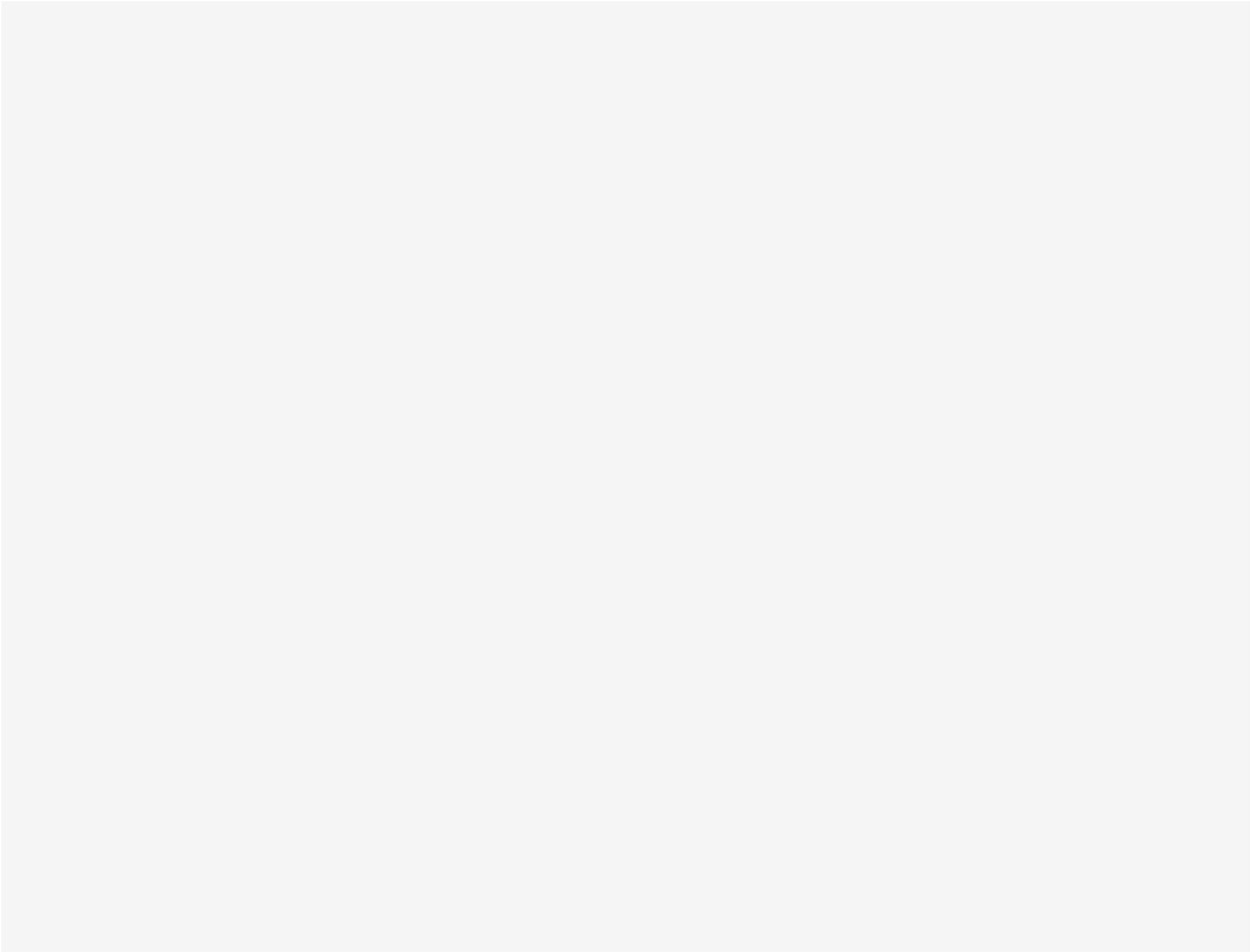
In my years with Southern California Association of Governments, I have learned that the communities represented by SCAG, though each is unique with many diverse challenges and strengths, also share many of the same issues. With direct, honest and respectful conversation, we can focus on developing goals and solutions. Our current public health issue is an unfortunate and clear example of the need to work together for the best outcome; understanding how we impact, complement and depend on each other to achieve the best result.

I believe my willingness to tackle difficult subjects and communicate and work with others, will be an asset in this position. The need to raise awareness of SCAG and the region represented by SCAG, is critical. Our State, nation, and our representatives, must understand the SCAG region's impact on the economy and quality of life for everyone so that necessary resources will be appropriately allocated. The opportunity to continue this effort is something I welcome and am highly motivated to do.

2. As an Officer, what would you contribute to SCAG? *

The perspective I bring as a Southern Californian native – from Pacoima to Palm Desert, with numerous stops along the way – is valuable. Today as a representative from Riverside county and the Coachella Valley, I am aware of the difficulties of transportation, housing and education in urban, suburban, rural and low-density areas. I recognize the issues presented by our agricultural communities and their importance to our economy and health. Additionally, I will continue to shed light and stress the impending health and economic concerns developing from the degradation of the Salton Sea that will impact the SCAG region, California and beyond.

Observing and learning from the members and staff of SCAG, most recently in the RHNA process, has been a great opportunity. The give and take, debate, and critical and deliberate thinking, has been an opportunity for me to grow as a representative and public servant. My creativity, tenacity and strong emphasis on respectful dialogue will hopefully lead to realistic solutions. I would like to bring those qualities to SCAG in an even greater volume than I am currently able.



(A) In addition Yes
to attending
regular and
special
meetings of
SCAG's
Regional
Council, will
you be able to
attend other
meetings and
functions of
SCAG, if
requested? *

(B) What professional or personal constraints on your time or service that you anticipate? *

I am a councilmember for the City of Palm Desert and am active at RCTC. Occasionally there may be a scheduling conflict.

4. What are your values and skills that you could bring to SCAG as an Officer? *

I am open-minded and serve with enthusiasm and respect for the process and my colleagues. Integrity and inclusiveness are invaluable when developing and implementing solutions. Public service has been my greatest teacher and listening with objectivity is a valuable skill that I continue to hone.

My belief that the greatest investment that America has made is education for everyone underscores my belief that the answers to our issues of today and tomorrow lie in high quality, accessible and relevant education. There is no question that we must invest in our youth by providing the education that will insure a brilliant future for us all. The skills that I have learned, and continue to work on, to be an effective and loving parent, apply in all areas of life – patience, listening, acceptance and so many more ... as my children do not hesitate to remind me.

5. What is your vision for the future of SCAG and what do you believe needs to be done to accomplish this vision? *

My vision for SCAG is that we understand that the desire to "win" does not mean anyone must "lose". Our cities, counties and agencies must work together to manage our resources, promote health and lift our neighbors. We must continue to listen, learn and include. To effectuate the vision, we need to recognize that the great potential of our young people, coupled with the wisdom and experience of others will help us achieve our goals. Our community's young people are our early adopters and quite often, our disrupters. With knowledge and understanding, they will promote and implement the improvement to our physical environment, inclusiveness and healthy lifestyles, We must embrace, include and inspire our youth.

6. What would you consider the strengths of SCAG? *

SCAG's strength lies in participation by diverse representatives and staff and the willingness to tackle difficult issues. When identifying issues, we also work to identify plausible solutions and present both. We recognize and respect change while working to bring the answers that will work for the greater good and for the future. Additionally, remaining agile to respond to fluctuations and the inevitable change that time brings, is difficult, nonetheless the effort to do so is encouraging. Quite often the issues that we tackle exemplify the differences of the region. The differences

are sometimes significant enough to be jarring, but assists in opening our minds to how we can and must work together.

7. What could SCAG improve on? * Over the years, we have been told that we must have our voices heard in Sacramento and Washington DC. Our recent trip to Sacramento made that abundantly clear. An annual visit will not get the job done. Developing a plan to be a powerful "squeaky wheel" when appropriate is vital. Our region must respond immediately and with a strong presence in Sacramento when needed. SCAG's message must be strong, consistent and clear. SCAG represents southern California and our needs and contributions to California and the nation are important. We make a difference for the good.

Print Your Name Jan C Harnik

Date Sunday, March 15, 2020

I acknowledge that * by checking this box, my printed name above is my signature for submitting this application.



AGENDA ITEM 2
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Community
Economic & Human Development Committee (CEHD)
Energy & Environment Committee (EEC)
Transportation Committee (TC)
Regional Council (RC)

From: Kome Ajise, Executive Director,
(213) 236-1835, Ajise@scag.ca.gov

Subject: Proposed Final Connect SoCal (2020-2045 Regional
Transportation Plan/Sustainable Communities Strategy and
Program Environmental Impact Report (PEIR))

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION FOR CEHD, EEC AND TC:

Recommend to the Regional Council adoption of Resolution No. 20-621-1, which reflects the following:

- (1) Certify the Connect SoCal (2020-2045 Regional Transportation Plan/Sustainable Communities Strategy) Program Environmental Impact Report (PEIR); adopt the Findings of Fact, Statement of Overriding Considerations, and the Mitigation Monitoring and Reporting Program;
- (2) Allow for more time to review Connect SoCal and consider its implications in light of the short and long-term impacts of the COVID-19 pandemic on the region as requested by many stakeholders;
- (3) Approve the Connect SoCal as required for federal transportation conformity purposes only, and postpone for up to 120 days the date by which the Regional Council would be asked to consider approval of Connect SoCal in its entirety and for all other purposes, including but not limited to submittal to the California Air Resources Board (ARB);
- (4) Direct staff to provide a progress report describing modifications to the SCS and associated modeling and analysis within 60 days;
- (5) Direct staff to work with local authorities to identify and restore locally approved entitlements as conveyed by local jurisdictions. The Regional Council further directs staff to within 60 days identify and quantify all differences within the SCS and locally-approved General Plans and quantify the increase (or decrease) in housing, jobs or population between Connect SoCal and each local General Plan;
- (6) Find that until such time as the Regional Council may consider and approve in a subsequent meeting approval of Connect SoCal (including any required CEQA documentation) in its entirety, the SCS in the 2016 RTP/SCS and the PEIR mitigation measures shall remain operative for the region. The Connect SoCal PEIR mitigation measures shall not be operative until the Regional Council adopts a Connect SoCal Plan in its entirety as described

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

above; and

- (7) Adopt the Consistency Amendment No. 19-12 to the 2019 Federal Transportation Improvement Program (FTIP).

RECOMMENDED ACTION FOR REGIONAL COUNCIL: Adopt Resolution No. 20-621-1, as recommended above.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

After more than three years of work, and extensive coordination with SCAG’s local jurisdictions, the County Transportation Commissions (CTCs) and other partner agencies, and significant public outreach, staff submits the Proposed Final Connect SoCal to the Policy Committees and the Regional Council for their consideration as set forth in the Recommended Action. The Proposed Final Connect SoCal and comments with staff responses were posted on March 27, 2020 for an extended review period and are available at www.ConnectSoCal.org. Pursuant to consultation requirements set forth under state law, Public Utilities Code Section 130000 et seq. (referred to as “AB 1246”), Connect SoCal was presented to the designated “AB 1246 Committee,” made up of executive directors of the six county transportation commissions and Caltrans, on April 17, 2020. The proposed Final Connect SoCal was unanimously approved by the committee to move forward for adoption by the Regional Council.

The timely adoption of Connect SoCal is essential to meeting federal transportation conformity requirements, in order for the region to move forward with critical transportation infrastructure projects, due to the following obligations and risks:

- The Federal Highway Administration and Federal Transit Administration need to complete their review of the plan, and approve its conformity determination under the federal Clean Air Act, no later than June 1 or the region will enter into a conformity lapse grace period for up to one year, which could delay some major transportation planning activities as well as project implementation.
- Not meeting the June 1 deadline would also mean that SCAG’s emission analysis might need to be adjusted to comply with the recently adopted Part 2 of the federal Safer Affordable Fuel Efficient (SAFE) Rule which is anticipated to become effective late in June. This would create some uncertainty about how and when the region would be able to meet conformity requirements and could hinder early execution of projects needed to stimulate the economy.

SCAG recognizes and appreciates the requests by many of our stakeholders for more time to review the plan and consider its implications in light of the short and long-term impacts of the COVID-19 pandemic on the region. For this reason, and to strike a balance between providing certainty for transportation projects while offering more time for review of Connect SoCal and to address concerns expressed by stakeholders, staff recommends that the Regional Council delay up to 120 days consideration to approve Connect SoCal for all other purposes than transportation conformity, including delaying submittal of Connect SoCal to ARB for its determination of whether the Sustainable Communities Strategy meets the regional GHG emission reduction targets.

Until a subsequent meeting at which the Regional Council considers approval of Connect SoCal in its entirety, the SCS in the 2016 RTP/SCS (and the mitigation measures included in the PEIR for the 2016 RTP/SCS) would remain operative for the region. It is the sole authority of ARB to approve or reject a metropolitan planning organization's finding that the SCS meets the region's mandated greenhouse gas reduction targets.

Additionally, delays in finalizing approval of Connect SoCal in its entirety may affect SCAG's ability to meet statutory deadlines to complete the Regional Housing Needs Assessment (RHNA) allocation plan by October 2020, which affects local jurisdiction's housing element update deadlines by October 2021, since final data from the adopted Connect SoCal is utilized in allocations, which apply the Regional Council-approved RHNA Methodology.

While a delayed approval of Connect SoCal other than for transportation conformity purposes, poses risks and is not ideal, we believe the historic nature and devastating impacts of the pandemic on the lives and livelihoods of Southern Californians justifies the need to pause, assess and revise Connect SoCal, as appropriate.

The Recommended Action and related resolution also address the certification of the Final PEIR. Since the closing of the public comment period for the Draft Connect SoCal PEIR on January 24, 2020, SCAG staff has completed the proposed Final Connect SoCal PEIR. A summary of Plan changes, a summary of the major components of the proposed Final PEIR, and summary of master responses are provided below for consideration. Staff's assessment is that the responses to comments and revisions to the Draft PEIR do not significantly alter the Project, produce new information regarding substantial adverse environmental effects not already analyzed in the Draft PEIR, or change the Draft PEIR's significance findings. Instead, the information presented in the responses to comments "merely clarifies or amplifies or makes insignificant modifications" with respect to the Draft PEIR, as is permitted by CEQA Guidelines subdivision 15088.5(b). A copy of the proposed Final PEIR is available at: <https://www.connectsocial.org/Pages/Final-2020-PEIR.aspx>.

BACKGROUND:

Connect SoCal (2020-2045 Regional Transportation Plan/Sustainable Communities Strategy) is a long-range visioning plan that builds upon and expands land use and transportation strategies

established over several planning cycles to increase mobility options and achieve a more sustainable growth pattern. Over 4,000 individual transportation capital projects and programs, advanced through local and countywide plans, form the foundation of Connect SoCal. The implementation of the plan is anticipated to generate and support 168,400 annual jobs stemming from direct transportation investments and 264,500 jobs annually from the enhanced economic competitiveness that infrastructural improvements will provide. SCAG completes a comprehensive update of the plan every four-years to update the growth forecast, integrate new projects and programs funded by the six county transportation commissions, confirm alignment with federal and state performance standards and environmental requirements, and to review and refine regional strategies to address gaps in achieving the region’s vision for greater mobility, sustainability and economic prosperity. The plan is a “living” document that can be amended and refined in between the four-year cycles, as necessary, to address regionally significant changes in transportation programs and funding.

The Proposed Final Connect SoCal outlines more than \$638 billion in transportation system investments through 2045. It was prepared through a collaborative, continuous and comprehensive process with input from local governments, county transportation commissions, tribal governments, non-profit organizations, businesses and local stakeholders within the counties of Imperial, Los Angeles, Orange, Riverside, San Bernardino and Ventura.

SCAG, as the Metropolitan Planning Organization (MPO) for Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura County, is required by state and federal statutes to prepare and update a long range (20 year minimum) Regional Transportation Plan that provides for the development and integrated management and operation of transportation systems and facilities that will function as an intermodal transportation network for the SCAG metropolitan planning area. Pursuant to the federal Clean Air Act, Connect SoCal is required to meet all federal transportation conformity requirements, including regional emissions analysis, financial constraint, timely implementation of transportation control measures, and interagency consultation and public involvement (42 U.S.C. §7401 et seq.).

Transportation conformity determination of the proposed Final Connect SoCal is based on the adjustment factors proposed by the California Air Resources Board (ARB) to the emission model EMFAC 2014 in order to conform with the SAFE Vehicle rules Part 1 issued by US EPA in 2019. The proposed adjustment factors were recently approved for use in California by the US EPA, which clears the way for certification of the Final Connect SoCal by the federal agencies once adopted by the Regional Council and certified by June 1, 2020. Should there be a delay in Regional Council’s approval or the federal review process, such that a conformity determination has not been made by June 1, 2020, SCAG’s emission analysis may need to be completed with additional, to-be-developed, adjustment factors in order to comply with Safe Rule Part 2 (adopted April 2020) leading to even greater uncertainty around the process and timing for bringing the region back into conformity. It

should be noted that SCAG has little to no control over how and when the appropriate adjustment factors for SAFE Rule part 2 will be developed by CARB and negotiated with the US EPA for acceptance. Therefore, delaying plan adoption at this point could result in significant delay in certification of the plan for conformity by the federal agencies, thus exposing the region to greater risks in implementation of regionally significant transportation projects.

The passage of California Senate Bill 375 (SB 375) in 2008 requires that the MPOs prepare and adopt a Sustainable Communities Strategy (SCS) that sets forth a forecasted regional development pattern which, when integrated with the transportation network, measures, and policies, will reduce greenhouse gas emissions (GHG) from automobiles and light duty trucks (Govt. Code §65080(b)(2)(B)). The SCS outlines certain growth strategies that provide for more integrated land use and transportation planning and maximize transportation investments. The SCS is intended to provide a regional land use policy framework that local governments may consider and build upon. However, the SCS does not regulate land use and nothing in an SCS shall be interpreted as superseding the exercise of the land use authority of cities and counties within the region (Govt. Code §65080(b)(2)(K)). Once SCAG submits the SCS and related technical elements to CARB, the review of determination shall be completed within 60 days (Govt. Code §65080(b)(2)(J)(ii)).

CEQA and its implementing regulations (*State CEQA Guidelines*) require SCAG as the Lead Agency to prepare an EIR for any discretionary government action, including programs and plans that may cause significant environmental effects. The RTP/SCS is a regional planning document updated every four years. Given the regional level of analysis provided in *Connect SoCal*, a Program EIR (PEIR) is the appropriate CEQA document. A PEIR is a “first-tier” CEQA document designed to consider “broad policy alternatives and program wide mitigation measures” (*State CEQA Guidelines* Sec. 15168). The programmatic environmental analysis for the *Connect SoCal* PEIR will evaluate potential environmental effects consisting of direct and indirect effects, growth-inducing impacts, and cumulative impacts resulting from the Plan, and will include mitigation measures to offset any identified potentially significant adverse environmental effects. As a first-tier document, the PEIR may serve as a foundation for subsequent, site-specific environmental review documents (including Addendums, Supplemental EIRs, Subsequent EIRs) for individual transportation and development projects in the region (*State CEQA Guidelines* Sec. 15385). In addition to fulfilling legal requirements, the PEIR provides an opportunity to inform decision makers and the public about potential environmental effects associated with the implementation of the Connect SoCal Plan and alternatives. This first-tier regional-scale environmental analysis will also help local agencies evaluate and reduce direct and indirect impacts, growth-inducing impacts, and cumulative environmental effects with respect to local projects.

RELEASE OF THE DRAFT CONNECT SOCAL FOR REVIEW AND PUBLIC COMMENTS:

SCAG released the draft Connect SoCal and the associated Consistency Amendment No. 19-12 to the 2019 Federal Transportation Improvement Program (FTIP) for a 70-day public comment period

that began on November 14, 2019 and ended on January 24, 2020. During the public review and comment period, SCAG conducted a large-scale outreach campaign throughout the six-county region to educate and solicit feedback on the Plan. Throughout the public comment period, SCAG held 21 elected official briefings (which were also open to the public), one tele-town hall, one webinar, and three public hearings which were video conferenced simultaneously to the five regional offices to make them more accessible to residents throughout the region.

SCAG received 107 separate communications (both oral and written) containing over 1,800 comments on the draft Connect SoCal. A total of 81 comments were received from agencies/organizations and 26 were received from individuals. Staff reviewed all comments and included revisions in the Proposed Final Connect SoCal, as appropriate.

RELEASE OF THE DRAFT PROGRAM ENVIRONMENTAL IMPACT REPORT:

SCAG released the Draft PEIR for a 46-day public review and comment period from December 9, 2019 through January 24, 2020. During the public review and comment period, staff conducted a public workshop on January 9, 2020 that provided an overview of the Draft PEIR, as well as information on the schedule and how to submit comments on the Draft PEIR. For a copy of the Draft PEIR and presentation materials, please visit the Connect SoCal PEIR website at: <https://connectsocial.org/Pages/Draft-2020-PEIR.aspx>.

A total of 41 participants, which includes representative from SCAG member jurisdictions, organizations and partner agencies participated in the workshop. SCAG received fiftytwo (52) comment letters on the Draft PEIR. Among the 52 comment letters, there were approximately 262 unique comments directly related to the Draft PEIR.¹ A detailed summary of key comments was presented to the EEC on March 5, 2020 and is available in the March staff report at: <http://scag.ca.gov/committees/Pages/COMMDL.aspx>

KEY CHANGES BETWEEN DRAFT AND PROPOSED FINAL PROPOSED CONNECT SOCIAL:

The proposed Final Connect SoCal is an update to the draft Connect SoCal, released for public review and comments on November 14, 2019, based on comments received during the comment period, input received through the outreach process, new data and information that became available after the draft was released and additional internal review process. All comments and staff responses are posted on the Connect SoCal website for your reference and review. While the comments and input received through this process have assisted staff in refining and improving the final document, the underlying goals, objectives, policies were not changed. The plan outcomes either improved or remained relatively unchanged between the draft and the final. Some of the key revisions between the draft and proposed final Connect SoCal are highlighted below.

Multi-modal Transportation System: Most comments were received on specific individual projects (Project List) and the Active Transportation, Transit, Passenger Rail, Goods Movement, Aviation element of the plan.

Project List: Connect SoCal includes over 4,000 individual capital projects and programs across the region across all modes of transportation over the next 25 years. During the comment period, SCAG received requests from the county transportation commissions to modify over 170 projects, many of them required modification to the transportation network utilized to demonstrate transportation conformity and GHG target achievement. Accordingly, SCAG re-ran the travel demand and emission model with the updated transportation network. The plan outcome from these revisions resulted in improvement in per capita VMT reduction, delay and economic metrics which are further discussed under the changes to Performance Measures section of this report.

Other comments expressed specific support or opposition for specific projects and requested removal or addition of projects. Staff provided a uniform response to clarify that SCAG worked closely with the six county transportation commissions to identify the projects included in Connect SoCal, and each commission likewise coordinated their countywide projects with local transportation agencies. SCAG worked collaboratively with stakeholders to identify additional key projects that address challenges that are regional in nature. Decisions to delete, replace or modify a project should similarly undergo a coordinated process involving the affected county transportation commission and lead agency.

Substantive changes to projects may be addressed in the next update or amendment to the plan. More urgent changes to regionally significant projects can be incorporated through mid-cycle amendments to the Connect SoCal. In any given plan cycle, SCAG processes anywhere from 2 to 4 amendments. Amendments can be initiated by any one of the County Transportation Commissions in the SCAG region. Amendments could include deletion of existing projects, changes to existing projects in terms of scope, cost/funding, and project schedule, and addition of new projects. Amendments must meet all the requirements of an update, including transportation conformity, fiscal constraint as well as stakeholder and public engagement. Typically, amendments take anywhere from 6 to 8 months to complete.

Active Transportation: This element of Connect SoCal describes the active transportation system, current and future needs, emphasizes importance of safety improvements, and continues to focus on supporting 'first-last mile' challenges associated with transit usage. The total investment proposed to improve Active Transportation is almost double the amount proposed in the last plan cycle to fund planned bike infrastructure as provided by local jurisdictions plus an assumption of a similar level of investment in cities and unincorporated areas where no plans exist; convert 20 percent of the Class 2 network to Class 4 facilities to increase separation from cars and safety; increase in Safe Routes to School funding to continue and expand efforts underway across the

region, and support targeted initiatives in Environmental Justice communities to ensure that active transportation improvements benefit the entire community and address community-identified needs and concerns.

Most comments were generally supportive of importance placed on Active Transportation, e-scooter and bike sharing. Many encouraged the need for a stronger approach to the implementation of Complete Streets in the region. While many comments were supportive of the increase in active transportation funds, there were many on the need to further increase the amount of funding allocated to regions for active transportation projects. Additionally, comments called for the prioritization of bikeway classes by safety levels. In response, the proposed Final Connect SoCal includes more robust language on implementing complete streets and prioritization of bikeway classification preference. As part of the implementation efforts, staff also intends to pursue additional funding opportunities.

Transit: Key components of transit element of the Connect SoCal are frequent and reliable bus services, new Bus Rapid Transit Corridors, Metro Rail expansion, and new rail services in Orange and San Bernardino Counties. Additionally, the Connect SoCal also seeks to leverage technology innovation to improve first mile/last mile connections, provide shared on-demand services where cost effective, and support integration of trip planning and fare payment systems. Other transit supportive strategies in the Connect SoCal include parking and curb space management, congestion pricing, dedicated transit lanes, transit-oriented growth, and clean fuel transportation infrastructure.

Many comments were specific to individual projects/proposals, freeway-aligned High Quality Transit Areas (HQTAs) and its implication on RHNA allocations. Project specific comments were reviewed and responded to on a case by case basis. As a result of the comments related to HQTA, the proposed final Connect SoCal clarifies that freeway transit corridors with no bus stops on the freeway alignment do not have a directly associated HQTA.

Passenger Rail: Major components of the Passenger Rail element include Metrolink's SCORE program to expand commuter rail service across the region, California High Speed Rail Phase 1 to Union Station and Anaheim, Link Union Station improvements, and XpressWest high speed train system connecting Southern California to Las Vegas.

Many comments supported the passenger rail investments included in Connect SoCal, including the Metrolink SCORE program. A number of comments were on project updates or clarifications, including the XpressWest Project, and updates from the California High Speed Rail Authority. In response, the proposed Final Connect SoCal includes all available updates to specific projects. Additionally, while there are preliminary planning discussions of extending XpressWest from the Victor Valley to Palmdale, this high-speed rail segment is currently unfunded and additional analysis would be needed before consideration for inclusion in a future update to Connect SoCal.

Goods Movement: The region's Goods Movement System includes, but is not limited to the freight rail network including intermodal facilities, roadway network, marine ports, airports, as well land ports of entry. The goods movement improvement strategies in the Connect SoCal focus on Last Mile Delivery, Truck Bottleneck Relief and a Goods Movement Environmental Strategy among others.

Many comments focused on electrification of the regional freight rail system, strategies to reach a zero-emission freight system, and increased funding allocation to deploy zero-emission cargo movement system(s). SCAG recognizes there are numerous issues to resolve in order to achieve our regional objective of a zero-emissions goods movement system. SCAG concurs that the region needs to move to cleaner modes of freight transportation and will continue to advance strategies that reduce emissions in all modes. Further evaluation regarding costs, funding, and implementation of zero-emission cargo movement system(s) should be conducted. SCAG remains open to all technologies that will help the region to reduce emissions and associated health impacts and achieve regional air quality goals.

Aviation: The Aviation and Airport Ground Access Element of the proposed Final Connect SoCal describes the commercial and reliever airport assets in the region for passenger travel as well as air cargo. It also includes an evaluation of current and future regional demand for passenger and cargo, and airport by airport estimates based on direct input from each of the airport authorities. Most importantly, Connect SoCal identifies major airport ground access improvement projects for each of the commercial airports to accommodate current and future demand through a coordinated process between the airport authorities and the county transportation commissions.

Many comments sought minor clarifications on the role of aviation within the plan as well as SCAG's role in aviation system planning. A few comments questioned aviation demand forecast process, assumptions and results. Some requested exploring additional opportunities to connect airports, particularly Ontario International Airport, with high quality transit options. Most of the responses to comments involved clarifying the relationship as well as roles and responsibilities between SCAG, Airport Authorities, County Transportation Commissions, Federal Aviation Administration (FAA) and others. Some comments resulted in minor revisions, primarily to address unintended errors and provide minor clarifications. No changes to the underlying data, analysis and policies were recommended in finalizing the Aviation and Airport Ground Access element of the proposed Final Connect SoCal.

Demographics/Growth Forecast: As presented in the draft Connect SoCal, the SCAG region's population is expected to grow from 18.9 million in 2016 to 22.5 million by 2045, an increase of approximately 3.6 million people. In the same period, the number of households and the number of jobs are each expected to grow by approximately 1.6 million. The region is also expected to

experience a dramatic increase in the proportion of senior citizens during this period. Moreover, the growth of multi-family housing types in wide range of areas with improved access to High Quality Transit is expected to continue through the plan period.

Many comments in this area were regarding consistency in forecast between the draft Connect SoCal and the 6th Cycle Regional Housing Needs Assessment (RHNA). In response to these comments the proposed Final Connect SoCal clarifies that recent state RHNA legislation has changed the relationships between RHNA and RTP/SCS. In addition, staff has also prepared a Master Response to address the relationships between RHNA and RTP/SCS in a more comprehensive manner.

Legislative changes in 2018 modified the nature of the regional housing need determination for the 6th cycle RHNA. The 6th Cycle RHNA regional housing need total per HCD of 1,341,827 units consists of “projected need” (504,970 units) intended to accommodate the growth of population and households during the 6th Cycle RHNA (2021-2029) as well as “existing need” (836,857 units) intended to address the latent needs of the existing population. The “projected need” portion of the 6th Cycle RHNA is derived from the Connect SoCal Growth Forecast. Specifically, the Connect SoCal Growth Forecast projects 466,958 additional households over 2021-2029 (the RHNA planning period). These 466,958 households represent occupied housing units, to which are added two adjustment factors: vacancy need (14,467 units) and replacement needs (23,545 units) to yield the 504,970 housing units reflecting “projected need” for the 6th Cycle RHNA. Accordingly, Connect SoCal has included the eight-year “projected need” portion of the 6th Cycle (2021-2029) RHNA. Existing need is allocated consistent with Connect SoCal goals and policies. Pending availability of local housing element updates resulting from the 6th Cycle of RHNA’s existing need and analysis of the market response, existing need will be evaluated for inclusion into future RTP/SCS growth forecasts. Since the intent of existing need is to provide additional housing to the current population, it does not impact population growth and as such is consistent with the Connect SoCal population growth forecast.

In terms of changes between the draft and the final, SCAG sought feedback from local jurisdictions on the neighborhood-level Growth Forecast for distributing population, household and employment growth through 2045 prior to the Draft Connect SoCal release. This supplemental review helped to refine the Connect SoCal Forecasted Development Pattern through adjustments to technical inputs for the Regional Growth Forecast, and better reflect Connect SoCal’s strategies and policies from the Growth Vision. Additionally, minor edits were incorporated to correct typographic errors, improve clarity, and improve the flow of content. A notable revision was to reduce the 2045 population from 22,507,000 to 22,504,000 (reduction of 3,000) due to minor changes in county totals.

Sustainable Communities Strategy: The Sustainable Communities Strategy (SCS), an integral component of Connect SoCal, details how, through coordination of transportation investments and a regional development pattern, the region can achieve greenhouse gas (GHG) reduction targets set forth by ARB. SCAG’s current target is to reduce GHG emissions from light duty passenger vehicles by 8 percent per capita by 2020 and 19 percent by 2035 from 2005 emissions levels. Connect SoCal articulates a path to achieving this reduction through a framework that includes focused growth near destinations and mobility options, promoting diverse housing choices, leveraging technology options, supporting implementation of sustainable policies, and promoting a “Green Region.”

SCAG received many comments related to the SCS. Several comments raised concerns regarding housing affordability, climate change and certain greenhouse gas (GHG) reduction strategies. Other comments sought clarity on the Connect SoCal Growth Vision and relationship between Connect SoCal and RHNA. In response to comments, the Sustainable Communities Strategy has been revised to add two new sections. The first, “Regional Housing Need”, discusses the relationship between Connect SoCal and RHNA. The second, “Preserving and Building Affordable Housing” discusses the plan’s policies and strategies in the context of housing affordability. Other existing sections of the Technical Report have been expanded to further clarify the Growth Vision and SCAG’s plan development process. More detail has also been added in relation to SCAG’s GHG reduction strategies and related implementation. No changes were made to the underlying policies or strategies presented in the Draft Connect SoCal.

Natural and Farm Lands Conservation: Connect SoCal’s goal of promoting conservation of natural and agricultural lands and restoration of habitats is supported by an integrated land use and conservation planning approach that seeks to protect the environment and reduce GHG emissions, while also meeting the housing and employment needs of current and future populations. Development on farm and natural lands distant from job centers and transit infrastructure can often result in increased GHG emissions and an attendant increase in per-capita VMT. Conservation of natural and farm lands on the edges of urban and suburban development is an integral aspect of the SCS, because it incentivizes infill development and the concentration of different land uses, thus supporting shorter travel distances. Implementation of conservation strategies would also promote climate resilience to mitigate risk of wildfire, protect watersheds, restore habitat connectivity, improve public health, and enhance access to recreation opportunities.

Numerous comments were received relating to natural and farm land conservation. Overall support for Connect SoCal’s conservation policies and strategies was expressed, although some concerns were voiced about the plan’s tone for balancing growth and resource conservation. The majority of critical feedback and requests for substantive changes sought strengthening of conservation policies and curating pragmatic regional strategies such as a Regional Advanced Mitigation Program (RAMP). Policies focusing on habitat restoration and connectivity opportunities were highlighted for designing transportation infrastructure to accommodate safe wildlife species movement. Connect

SoCal's Natural and Farm Lands Technical Report will incorporate several technical corrections and will include more details as requested in the Policies and Next Steps sections.

Public Health: Connect SoCal recognizes that transportation and land use decisions are shaping our neighborhoods and influencing health outcomes. To improve health outcomes and reduce inequities, it is critical for public health to be integrated into land use and transportation planning. Connect SoCal includes an analysis of current health outcomes and trends, and identifies the health outcomes anticipated with implementation of the plan's strategies such as supporting the implementation of bike and pedestrian projects; working with local transit agencies to improve bike and pedestrian connections to stations/stops; supporting the implementation of green streets and urban cooling strategies, and encouraging the development of more housing near essential services and destinations.

Among the more significant comments received regarding Connect SoCal's public health component were multiple comments requesting that the plan incorporate more climate mitigation, adaptation, and resilience data, actions, and strategies. Currently SCAG is finalizing its Regional Climate Adaptation Framework, which will include a Regional Gap Analysis report that identifies regional and local examples of climate adaptation and resilience efforts, as well as where the gaps are. For instance, not all critical infrastructure (e.g. hospitals, power facilities, schools, sewer plants, etc.) have completed or implemented adaptation plans to protect the facilities from the effects of climate change. SCAG anticipates sharing climate adaptation-related tools and toolkits with stakeholders in summer 2020. This current work will inform SCAG's future planning efforts, including the next long-range plan. SCAG also received several comments requesting that the plan incorporate more California Healthy Places Index (HPI) data and maps. SCAG values the utility of the HPI tool for local, regional, and state government agencies, as well as community groups. Throughout Connect SoCal, whenever possible, SCAG utilized data tied to the base year of the plan, 2016. This limited the usage of HPI data. However, HPI is highlighted as an important statewide initiative and resource. In the next long-range plan SCAG hopes to utilize more HPI data. SCAG also received a request to provide more information on public health impacts to low income and minority communities. This information is currently detailed in the Environmental Justice (EJ) Technical Report. Within the next long-range plan, SCAG will endeavor to reflect more connections and integration between the EJ and public health components.

Transportation Finance: The Connect SoCal proposes investment of almost \$639 billion to maintain, operate and improve the region's multimodal transportation system over the next 25 years through 2045. Nearly \$493 billion is expected to be available through existing funding sources projected out to 2045. The balance of almost \$146 billion is expected to be available through implementation of innovative funding sources proposed in the Connect SoCal, which will require significant actions at the local, regional, state and federal level in the coming decade through collaborative efforts.

Many comments were focused on clarifying details on the financial model, implementation guidelines for new revenue sources and need for more evaluation, including assurances on distribution of funds and consideration of impacts of fees on different segments of the population. In response to comments, text clarifications were made regarding assumptions for the financial model and guidelines for implementation of new revenue sources. SCAG acknowledges that additional work is needed including, but not limited to, evaluating options for implementation, accountability and approaches for addressing income and geographic (e.g., urban vs. rural) equity impacts before the mileage-based user fee (or road charge) could become effective—which is why the Connect SoCal does not assume revenues from this source before 2030. SCAG, in collaboration with local, regional, state and federal stakeholders, will continue to actively participate in efforts to make transportation funding more sustainable in the long-run.

Performance Outcomes: Significant comments were received from ARB on performance outcomes and GHG target analysis. In response, SCAG added data for the years 2020 and 2035 for the VMT per capita performance measure to better align with state GHG reduction target years in the main book as well as Performance Measures Technical Report. In addition, final modeling data results from both the SCAG Regional Travel Demand Model and the Scenario Planning Model offered improved performance in some significant areas of Connect SoCal, including VMT per capita (5.0% reduction from 4.2%) and daily delay per capita (25.7% reduction from 22.4%). Several of the economic opportunity indicators also were improved by the final model runs, with the benefit/cost ratio for Connect SoCal investments increasing from 1.54 to 2.06, and the annual number of new jobs generated by improved regional economic competitiveness improving from 195,500 to 264,500.

Transportation Conformity: On the Transportation Conformity Analysis Technical Report, in response to comments, a new challenge titled “Meeting Federal Air Quality Standards” has been added to Chapter 2 of the main book to highlight the challenge for the South Coast region to meet federal air quality standards by the near-term statutory deadline, its potential impacts, and the need for a comprehensive and coordinated regional solution. In the interim, US EPA approved emission adjustment factors proposed by ARB to EMFAC 2014 (which is emission model used by SCAG to demonstrate transportation conformity of the Draft Connect SoCal prior to issuance of SAFE Rules by US EPA) to comply with SAFE Rule Part 1 for transportation conformity determination in California. The proposed Final Connect SoCal meets the required conformity tests pursuant to the proposed adjustment factors to the EMFAC 2014.

Environmental Justice: Key changes in the Environmental Justice (EJ) Technical Report include various clarifications and corrections where applicable in the narrative, tables, maps and charts. Comments seeking further discussions on EJ metrics and quantifiable targets, developing funding lists, examining park inequities and more collaboration will be addressed after Connect SoCal adoption and with engagement from EJ stakeholders and the EJ Working Group. Responses also

reiterate that all comments not currently addressed in the Final EJ Report will be considered and addressed by developing an ongoing EJ Program.

MINOR REVISIONS TO THE FINAL CONNECT SOCIAL PLAN:

Following the posting of the Proposed Final Connect SoCal, stakeholders expressed concern regarding the representation of entitled projects in the neighborhood level growth forecast dataset. SCAG has no role in approving entitled projects and does not adopt entitled projects as part for the SCS. Data on entitlements is developed in consultation with local jurisdictions to inform growth projections in Connect SoCal that are needed for modeling purposes. Although SCAG reflects all entitled projects as identified by jurisdictions in the Connect SoCal Forecasted Regional Development Pattern, the regional plan is not an authoritative source of data on local entitlements and development agreements. It also does not supersede local authority or seek to hinder approved development projects. Throughout the Proposed Final Connect SoCal and the Final PEIR, it is emphasized that SCAG does not have a direct role in implementing the Regional Transportation Plan and Sustainable Communities Strategy -- neither through decisions about what type of development goes where, nor what transportation projects are ultimately built.

To emphasize this point, especially as it pertains to projects approved at the local level, necessary clarifications will be made in the Plan to note that Connect SoCal does not supersede local jurisdiction authority or decisions on future development, including entitlements and development agreements. Further, entitlements in the plan reflects input provided from local jurisdictions on anticipated project phasing, as conveyed in 2018 as part of SCAG's Bottom-Up Local Input and Envisioning Process.

These impacted sections include:

- Chapter 3, Page 46; Section – “Our Vision for a Connected Region”
- Chapter 3, Page 47; Section – “Our Approach”
- Growth Forecast Technical Report, Page 27; Section – “Guiding Principles”
- SCS Technical Report, Page 17; Section – “Scenario Development Methodology”
- SCS Technical Report, Page 22; Section – “Sustainable Communities Strategies”
- SCS Technical Report, Page 28; Sections – “Forecasted Development Pattern” and “Implementing Connect SoCal Growth Vision”

SCAG also received concerns from a coalition of 12 community based organizations, many of whom played an active role in helping SCAG reach under-represented communities during the public outreach period, regarding the short and long term impacts of COVID-19 most acutely felt by environmental justice, under-resourced, communities of color, houseless and incarcerated communities. The coalition expressed a desire for SCAG to more fully consider in Connect SoCal

needs and investment in community engagement, transit safety, emergency transportation planning, planning in Environmental Justice communities and increased investment in active transportation and transit, among other priorities. While staff recognizes the need to more fully engage with all communities to understand local needs and increase regional resiliency in the short and long-term to catastrophic events, like COVID 19, we believe the needs of the region are best served by adopting Connect SoCal and working with partners to accelerate its implementation, while also pursuing the development of a comprehensive resiliency planning effort, as described in Chapter 6, that is aligned with the development of a Regional Economic Development Strategy and future updates of Connect SoCal. To clarify and make explicit SCAG's intent to actively engage CBOs and seek community input in future resiliency planning efforts and that the scope of the effort will consider emergency transportation planning, transit safety, health equity and other factors raised by CBOs in the letter and through future listening sessions, SCAG plans to modify text in Chapter 6, Page 152; Section -- "Regional Resilience Framework."

Also, at the request of a number of our stakeholders and based on the progress on the High Desert Corridor-High Speed Rail line connecting Palmdale to Victor Valley, a project to complete only the Rail (Intercity) Corridor Plan/PAED/PS&E for this project may be added to the constrained list of projects. Construction portion of this project could be added in the future to the constrained list through an amendment or next update of the Connect SoCal upon successful completion of the requisite milestones.

In addition, after the proposed final Connect SoCal documents were published for review on March 27, 2020, staff noticed several unintended errors in the project descriptions that are minor in nature. Those errors will be corrected as part of the final cleanup of the Final Connect SoCal to be forwarded to the reviewing agencies.

EFFECTS OF PLAN REFINEMENTS ON THE FINAL PEIR:

Plan refinements described above consist of minor revisions and SCAG models described above are used to provide gross estimates of regional environmental parameters (VMT, criteria pollutant emissions and GHG emissions). Inputs to these models are subject to variability (location and density of land uses, travel patterns, fuel make up, pricing assumptions and many more). Because of this, minor changes to assumptions result in minor changes to modeling results and are not statistically significant. As noted above, SCAG has made several refinements to the Connect SoCal Plan including to land use patterns, transportation projects and policies (alternatives would be similarly affected). None of these refinements result in substantial changes to the information presented in the Draft PEIR, including modeling results.

COMPONENTS FOR THE PROPOSED FINAL PEIR:

Component No. 1 – the Draft Connect SoCal PEIR: The Draft Connect SoCal PEIR includes the following:

- Executive Summary
- Chapter 1.0 – Introduction
- Chapter 2.0 – Project Description
- Chapter 3.0 – Environmental Impact Analysis
- Chapter 4.0 – Alternatives
- Chapter 5.0 – Long Term CEQA Considerations
- Chapter 6.0 – List of Preparers
- Chapter 7.0 – Glossary
- Technical Appendices supporting the Draft PEIR

Component No. 2 – Chapter 8.0 - Introduction to the Final PEIR: This chapter will provide a brief summary of overview of what has occurred since the Draft PEIR and a brief overview of the Final PEIR process.

Component No. 3 – Chapter 9.0 - Responses to Comments: This chapter provides background information on the Final PEIR for the Connect SoCal PEIR and includes public written comments on the Draft PEIR and its responses. It includes Master Responses to comments that recurred in several comment letters, and responses to written comments made by public agencies, organizations, and interested parties.

Among the 52 comment letters, a number of letters provided on both the Draft Plan and PEIR, and many of the comments focused on eight (8) recurring themes: (1) General Comments and Non-CEQA Issues; (2) Program EIR vs. Project EIR; (3) Baseline Conditions; (4) Technical Process/Modeling; (5) Approach to Mitigation Measures; (6) Vehicle Miles Traveled (VMT) Analysis; (7) Regional Housing Needs Assessment; (8) Alternatives.

1. Master Response No. 1 – General Comments and Non-CEQA Issues explains that several comment letters contained comments expressing the readers opinion or request for corrections/clarifications on the Draft Plan, while others contained comments on both the Draft PEIR and Draft Plan. Master Response No. 1 explains that with respect to the Final PEIR, SCAG is only required to evaluate comments related to the environmental analysis in the Draft PEIR received by the general public. On all Plan related comments, the reader is referred to the Final Connect SoCal Plan, and is given a submission ID number to guide them to the appropriate response.
2. Master Response No. 2 – Program EIR vs. Project EIR explains that the Draft PEIR is a programmatic document that provides a region-wide assessment of the potential significant environmental effects of the goals, policies, strategies, programs and projects included in the Plan. The focus of the environmental analysis in the PEIR is at the regional scale and is allowed by CEQA for projects that constitute a series of actions that can be characterized as

one large project, such as the Plan. Because the Plan and the PEIR is from a regional perspective and programmatic by nature, it does not include site specific analysis of any project contained in the Plan. Individual projects include in the Connect SoCal Plan are at various stages of development, and detailed project/site specific analysis would be completed on a project-by-project basis by the responsible lead agency.

3. Master Response No. 3 - Baseline Conditions explains that environmental impacts for the PEIR were determined by applying the thresholds of significance which compare future Plan conditions to the existing environmental setting (see CEQA Guidelines §15126.2(a)). The PEIR must identify significant impacts that would be expected to result from implementation of the Plan. Significant impacts are defined as a “substantial or potentially substantial, adverse change in the environment” (Public Resources Code § 21068).¹ Significant impacts must be determined by applying explicit significance criteria to compare the future Plan conditions to the existing environmental setting (*CEQA Guidelines § 15126.2(a)*).² The existing setting is described in detail in each resource section of the Draft PEIR, and represents the most recent, reliable, and representative data to describe current regional conditions at the time of publication of the NOP for the PEIR, January 23, 2019. In most instances, the most recent available data was for 2018 or 2019. For population, land use and related modeling analyses (air quality, transportation and noise), base year information is collected every four years as part of the Plan. The base year for the Plan is 2016. For purposes of the PEIR, 2019 data has been estimated based on an interpolation of 2016 to 2045 projections. Available data that differs from this generalized explanation and used to determine existing conditions is specified in each resource section in the PEIR.
4. Master Response No. 4- Technical Process/Modeling describes the technical modeling underlying the PEIR analysis with respect to environmental conditions and impact analysis on air quality, greenhouse gas emissions, and transportation. To calculate greenhouse gas emissions, results from the Regional Travel Demand Model are input to ARB’s Emission Factors (EMFAC 2014) model, which was approved by U.S. EPA on Dec. 14, 2016. Master Response No. 4 explains that although U.S. EPA recently approved a newer version of the model, EMFAC2017, on August 15, 2019, a two-year grace period had been established by U.S. EPA to allow EMFAC2014 for regional conformity analysis through August 15, 2021 [see 40 CFR sec. 93.111(c)]. The regional emissions analysis for Draft Connect SoCal started in early 2019, long before the approval of EMFAC2017. Additionally, Master Response No. 4 explains that in order to conservatively account for the emission impact of the federal "Safer Affordable Fuel-Efficient (SAFE) Vehicles Rule Part One: One National Program," all the plan and no-build emissions reflect the EMFAC2014 off-model adjustment factors

¹ California Legislative Information. *Public Resources Code – PRC, Division 13. Environmental Quality, Chapter 2.5. Definitions [21060-21074]*.

² CEQA. *Article 9. Contents of Environmental Impact Reports.*

released by ARB on November 20, 2019. Master Response No.4 also provides clarification on CARB’s Vision Scenario Planning Tool which is another computer model that was used to determine multiple pollutants (CO₂, PM_{2.5}, NO_x and ROG) for the transportation system-wide categories such as locomotives, and ships.

5. Master Response No. 5 – Approach to Mitigation Measures explains that CEQA requires that SCAG identify all feasible mitigation measures in the PEIR that will avoid or substantially lessen the significant environmental effects of the project.^{3,4,5} CEQA, however, does not require a lead agency to undertake identified mitigation measures, even if those measures are necessary to address a project’s significant environmental effects, if the agency finds that the measures “are within the responsibility and jurisdiction of another public agency and have been, or can and should be, adopted by that other agency”⁶ As SCAG has no authority to impose project-level mitigation, Master Response No. 5 explains project-level mitigation measures identified by SCAG (or comparable measures) “*can and should*” be considered by lead agencies in project-specific environmental review documents as appropriate and feasible. This language mirrors *CEQA Guidelines* section 15091(a)(2), and it is assumed that each lead agency for specific projects would have the ability to implement these measures, or other comparable measures, as applicable and feasible. Lead agencies for specific projects are responsible for developing project specific mitigation measures and ensuring adherence to such mitigation measures.

6. Master Response No. 6 – Vehicle Miles Traveled (VMT) Analysis provides background information on SB 743 and acknowledges its impact on project level analysis. The master response reaffirms SCAG’s position on using VMT analysis for the Plan and PEIR. SCAG has traditionally undertaken VMT analysis as it is considered to be most appropriate tool for evaluating overall performance of the regional transportation network and for evaluating and meeting regional GHG reduction goals. SCAG further clarifies that it is not subject to regional VMT targets nor does it impose any VMT targets at the project-level.

7. Master Response No. 7 – Regional Housing Needs Assessment provides additional information and background on the RHNA process and its relationship to the Plan and PEIR. The master response provides clarification on how the SCS accommodates the “projected need” portion of the 6th Cycle RHNA in compliance with SB 375. The master response also explains that the RHNA is a separate planning process from the RTP/SCS process and is specifically exempted from CEQA review. As such, it is not evaluated as a cumulative project under CEQA. Moreover, because SCAG has not yet issued its final RHNA allocation plan,

³ California Legislative Information, *Chapter 1. Policy [21000-21006]*.

⁴ California Legislative Information. *Chapter 2.6. General [21080-21098]*.

⁵ CEQA. *Article 9. Contents of Environmental Impact Reports.*

⁶ California Legislative Information. *Chapter 2.6. General [21080-21098]*.

local jurisdictions have not yet had the opportunity to review their housing allocations and assimilate that information into their planning process. Therefore, it is premature to determine whether, how, and where the “existing need” portion of the 6th Cycle RHNA will be accommodated. Once local housing elements are updated to accommodate the identified housing need, a new growth forecast can be prepared. Without the revised housing elements followed by an updated Growth Forecast, the identified housing need, specifically the “existing need” portion, remains only speculative in terms of what may reasonably be expected to be constructed and therefore, is not appropriate for analysis within the PEIR (including as an alternative).

8. Master Response No. 8 – Alternatives provides additional details on alternatives. Most importantly, the master response explains that each of the alternatives and the Plan is based on local input. The growth patterns for the Plan, No Project and Existing Plans-Local Input alternatives are all consistent with adopted general plans and zoning. The Intensified Land Use alternative increases density beyond existing general plans. Furthermore, the formulation of Connect SoCal has been guided by several engagements over the last several years with regional stakeholders, including the involvement of thousands of Southern Californians through one-on-one local data review sessions with jurisdictions, regional planning working groups, outreach to traditionally underrepresented groups through community-based organizations, and numerous public workshops. The Plan refinements are based on the Connect SoCal’s Final Growth vision which reflect jurisdictional-level input on future development received from towns, cities, and counties. To help the region achieve sustainable outcomes, Connect SoCal’s Final Growth Vision will focus growth within jurisdictions near destinations and mobility options, and promote an improved jobs-housing balance to reduce commute times. This is reflective of Connect SoCal’s Core Vision: to build upon and expand land use and transportation strategies established over several planning cycles to increase mobility options and achieve a more sustainable growth pattern.

Component No. 4 – Chapter 10.0 – Corrections and Additions: This chapter provides corrections and revisions, including staff-initiated revisions, to the Draft PEIR. Based on the staff’s assessment of public comments and changes to the Plan as referenced above, none of the corrections or additions constitutes significant new information that results in finding of a new impact or any increase in existing impacts that have been identified in the Draft PEIR; no finding of a new mitigation measure that is not analyzed in the Draft PEIR; and thus, none of the corrections or additions significantly change the conclusions presented in the Draft PEIR.

Please note that on May 1, staff received a comment letter from an environmental community stakeholder on the Proposed Final PEIR. Staff plans to utilize the additional time of 120-days delay as described in the Recommended Action, to address issues raised in this comment letter.

ERRATA FOR THE FINAL CONNECT SOCIAL PEIR:

Minor revisions to the text describing adjustments to the Sustainable Communities Strategy and Guiding Principles have occurred since the March 27, 2020 publication of the proposed Final PEIR. The revised text does not provide new information that identifies new significant impacts, but rather clarifies and provides additional relevant information (See **Attachment 2, Errata to the Proposed Final Connect SoCal PEIR**).

CEQA DOCUMENTS REQUIRED TO BE ADOPTED:

Exhibit A- Mitigation Monitoring and Reporting Program: The Mitigation Monitoring and Reporting Program (MMRP) is a standalone document that is prepared in compliance with the requirements of §21081.6 of the California Public Resources Code and CEQA Guidelines § 15091 (d) and § 15097. The MMRP, the monitoring plan, applies to the goals, policies, and strategies articulated in the Connect SoCal Plan and related mitigation measures to be implemented by SCAG, and project-level performance standards-based mitigation measures which are within responsibility, authority, and/or jurisdiction of project-implementing agency or other public agency serving as lead agency under CEQA in subsequent project- and site- specific design, CEQA review, and decision-making processes, to meet the performance standards for each of the CEQA resource categories. A Copy of the Mitigation Monitoring and Reporting Program are separately attached as “**Exhibit A**” to the Resolution (See **Attachment 3, Resolution 20-621-1**).

Exhibit B - Findings of Fact: The Findings of Fact is prepared in compliance with the requirements of § 21081.6 of the California Public Resources Code and CEQA Guidelines § 15091. It describes facts, discussions, and conclusions reached in the environmental review relative to impacts, mitigation measures, and selection of an alternative. A Copy of the Findings of Fact is separately attached as “**Exhibit B**” to the Resolution (See **Attachment 3, Resolution 20-621-1**).

Exhibit C- Statement of Overriding Considerations: The Statement of Overriding Considerations is prepared in compliance with § 21081 of Public Resources Code and CEQA Guidelines § 15093. The existence of significant unavoidable impacts as identified in the Draft PEIR requires the preparation of a Statement of Overriding Considerations. The Statement of Overriding Consideration explains why SCAG is willing to accept the residual significant impacts. It describes the economic, social, environmental and other benefits of the Connect SoCal Plan that override the significant unavoidable environmental impacts. It “reflect[s] the ultimate balancing of competing public objectives when the agency decides to approve a project that will cause one or more significant effects on the environment” (CEQA Guidelines § 15021 (d)). A Copy of the Statement of Overriding Considerations is separately attached as “**Exhibit C**” to the Resolution (See **Attachment 3, Resolution 20-621-1**).

NEXT STEPS:

Upon adoption of Connect SoCal for transportation conformity purposes only, SCAG will incorporate all minor changes described in this staff report as part of the finalization of the plan prior to submitting it to the Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) for their consideration to certify the Final Connect SoCal for transportation conformity. SCAG has been in close conversation with the federal agencies and has requested an expedited review of the plan to prevent the region from falling into a conformity lapse period.

During the next 60-days, staff will work with local authorities to identify and restore locally approved entitlements as conveyed by local jurisdictions. SCAG staff will reach out to local jurisdictions to review and provide input on neighborhood level datasets including its entitlements database, which are utilized for non-binding, advisory regional planning purposes, specifically for modeling sustainable communities strategies in Connect SoCal, and are not a regional mandate or local policy directive. During this time staff will also identify and quantify all differences within the SCS and locally-approved General Plans and quantify the increase (or decrease) in housing, jobs or population between Connect SoCal and each local General Plan. As part of this review, SCAG staff plans to prepare an analysis of the Transportation Analysis Zone (TAZ) level data included in Connect SoCal compared to local input in consultation with the Technical Working Group to ensure an open and transparent process and that the advisory and non-binding nature of the data is appropriately maintained.

During this time, staff additionally plans to outreach to stakeholders to better understand implications of the COVID-19 pandemic and consider its impact on Connect SoCal and its implementation. Within 60-days, staff will develop a progress report describing modifications to the SCS and associated modeling and analysis as a result of the process described above. Staff also plans to utilize this opportunity to address comments received between the release of the Proposed Final Connect SoCal (and Connect SoCal PEIR) on March 27th and the May 7th Regional Council meeting, from environmental and social equity stakeholders.

The adoption of Connect SoCal in its entirety, once finalized at a subsequent meeting, would allow for SCAG to submit Connect SoCal to ARB to accept SCAG's determination that the sustainable communities strategies would if implemented achieve the greenhouse gas emissions reduction targets established by ARB, and if accepted, would allow SCAG to move forward with various partners to deliver projects and funding programs, regional studies, and pilot projects critical to realizing the vision and outcomes of the Plan.

FISCAL IMPACT:

Work associated with this item is included in the current Fiscal Year 2019/20 Overall Work Program (010.0170.01 RTP Support, Development and Policy Implementation and 020.0161.04: Regulatory Compliance)

ATTACHMENT(S):

1. Resolution No 20-621-1 including Exhibit A (Mitigation Monitoring and Reporting Program), Exhibit B (Findings of Fact), Exhibit C (Statement of Overriding Considerations)
2. Errata to the Proposed Final Connect SoCal PEIR
3. PowerPoint Presentation on the Proposed Final Connect SoCal and Program Environmental Impact Report [will be sent under separate cover]



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RESOLUTION NO. 20-621-1

**A RESOLUTION OF THE SOUTHERN CALIFORNIA ASSOCIATION
OF GOVERNMENTS (SCAG) TO (1) CERTIFY THE FINAL PROGRAM
ENVIRONMENTAL IMPACT REPORT (PEIR) PREPARED FOR THE 2020-2045
REGIONAL TRANSPORTATION PLAN/SUSTAINABLE COMMUNITIES STRATEGY
(CONNECT SOCIAL) (SCH# 2019011061); AND (2) APPROVE CONNECT SOCIAL
FOR TRANSPORTATION CONFORMITY PURPOSES ONLY**

REGIONAL COUNCIL OFFICERS

President
Bill Jahn, Big Bear Lake

First Vice President
Rex Richardson, Long Beach

Second Vice President
Clint Lorimore, Eastvale

Immediate Past President
**Alan D. Wapner, San Bernardino
County Transportation Authority**

COMMITTEE CHAIRS

Executive/Administration
Bill Jahn, Big Bear Lake

Community, Economic &
Human Development
**Peggy Huang, Transportation
Corridor Agencies**

Energy & Environment
Linda Parks, Ventura County

Transportation
Cheryl Viegas-Walker, El Centro

WHEREAS, the Southern California Association of Governments (SCAG) is a Joint Powers Agency established pursuant to California Government Code Section 6502 et seq.;

WHEREAS, SCAG is the designated Metropolitan Planning Organization (MPO) for the counties of Los Angeles, Riverside, San Bernardino, Ventura, Orange, and Imperial, pursuant to Title 23, United States Code Section 134(d);

WHEREAS, SCAG is responsible for maintaining a continuing, cooperative, and comprehensive transportation planning process which involves the preparation and update every four years of a Regional Transportation Plan (RTP) pursuant to Title 23, United States Code Section 134 et seq., Title 49, United States Code Section 5303 et seq., and Title 23, Code of Federal Regulations Section 450 et seq.;

WHEREAS, SCAG is the multi-county designated transportation planning agency under state law, and as such is responsible for preparing, adopting and updating every four years the RTP and Sustainable Communities Strategy (SCS) pursuant to Government Code Section 65080 et seq.;

WHEREAS, pursuant to Senate Bill 375 (Steinberg, 2008) as codified in Government Code Section 65080(b) et seq., SCAG prepared an SCS as a component of the RTP document that demonstrates how the region will meet its greenhouse gas (GHG) reduction targets as determined by the California Air Resources Board;

WHEREAS, ARB set the per capita GHG emission reduction targets from automobiles and light trucks for the SCAG region at 8% below 2005 per capita emissions levels by 2020 and 19% below 2005 per capita emissions levels by 2035;

Attachment: Resolution No 20-621-1 including Exhibit A (Mitigation Monitoring and Reporting Program), Exhibit B (Findings of Fact), Exhibit C

WHEREAS, pursuant to Government Code Section 65080(b)(2)(B), the SCS must:(1) identify the general location of uses, residential densities, and building intensities within the region; (2) identify areas within the region sufficient to house all the population of the region, including all economic segments of the population, over the course of the planning period of the regional transportation plan taking into account net migration into the region, population growth, household formation and employment growth; (3) identify areas within the region sufficient to house an eight-year projection of the regional housing need for the region pursuant to Government Code Section 65584; (4) identify a transportation network to service the transportation needs of the region; (5) gather and consider the best practically available scientific information regarding resource areas and farmland in the region as defined in subdivisions (1) and (b) of the Government Code Sections 65080 and 65581; and (6) consider the statutory housing goals specified in Sections 65580 and 65581, (7) set forth a forecasted development pattern for the region which when integrated with the transportation network, and other transportation measures and policies, will reduce the GHG emissions from automobiles and light trucks to achieve the GHG reduction targets, and (8) allow the RTP to comply with air quality conformity requirements under the federal Clean Air Act;

WHEREAS, through the conduct of a continuing, comprehensive and coordinated transportation planning process in conformance with all applicable federal and state requirement, SCAG developed and prepared the 2020-2045 RTP/SCS – Connect SoCal;

WHEREAS, the Connect SoCal sets forth the long-range regional plan, policies and strategies for transportation improvements and regional growth throughout the SCAG region through the horizon year of 2045;

WHEREAS, the Connect SoCal includes a regional growth forecast that was developed by working with local jurisdictions using the most recent land use plans and policies and planning assumptions;

WHEREAS, the Connect SoCal includes a financial plan identifying the revenues committed, available or reasonably available to support the SCAG region's surface transportation investments. The financial plan was developed following basic principles including incorporation of county and local financial planning documents in the region where available, and utilization of published data sources to evaluate historical trends and augment local forecasts as needed;

WHEREAS, the Connect SoCal includes a financially constrained plan and a strategic plan. The constrained plan includes transportation projects that have committed, available or reasonably available revenue sources, and thus are probable for implementation. The strategic plan is an illustrative list of additional transportation investments that the region would pursue if additional funding and regional commitment were secured; and such investments are potential candidates for inclusion in the constrained RTP/SCS through future

amendments or updates. The strategic plan is provided for information purposes only and is not part of the financially constrained and conforming Final Connect SoCal;

WHEREAS, the Connect SoCal includes a sustainable communities strategy which sets forth a forecasted development pattern for the region, which, when integrated with the transportation network, and other transportations measures and policies, if implemented, will reduce the GHG emissions from automobiles and light trucks to achieve the regional GHG targets set by ARB for the SCAG region;

WHEREAS, the Connect SoCal must be consistent with all applicable provisions of federal and state law including:

(1) The Moving Ahead for Progress in the 21st Century Act (MAP-21, PL 112-141) and the metropolitan planning regulations at Title 23, United States Code Section 134 *et seq.*, as was amended by the Fixing America's Surface Transportation Act (P.L. 114-94, December 4, 2015);

(2) The metropolitan planning regulations at 23 C.F.R. Part 450, Subpart C;

(3) California Government Code Section 65080 *et seq.*; Public Utilities Code Section 130058 and 130059; and Public Utilities Code Section 44243.5;

(4) Sections 174 and 176(c) and (d) of the federal Clean Air Act [(42 U.S.C. §§7504 and 7506(c) and (d))] and Environmental Protection Agency (EPA) Transportation Conformity Rule, 40 C.F.R. Parts 51 and 93;

(5) Title VI of the 1964 Civil Rights Act and the Title VI assurance executed by the State pursuant to Title 23, United States Code Section 324;

(6) The Department of Transportation's Final Environmental Justice Strategy (60 Fed. Reg. 33896; June 29, 1995) enacted pursuant to Executive Order 12898, which seeks to avoid disproportionately high and adverse impacts on minority and low-income populations with respect to human health and the environment;

(7) Title II of the 1990 Americans with Disabilities Act (42 U.S.C. §§ 12101 *et seq.*) and its accompanying regulations (49 C.F.R. §§ 27, 37, and 38); and

(8) SB 375 (Steinberg, 2008) as codified in California Government Code §65080(b) *et seq.*;

WHEREAS, pursuant to the California Environmental Quality Act (CEQA) (Cal. Pub. Res. § 21000 *et seq.*) and CEQA Guidelines (Cal. Code Regs., Tit. 14, §15000 *et seq.*), SCAG is the Lead Agency responsible for preparing the Final Program Environmental Impact Report for the Connect SoCal Plan;

WHEREAS, an Environmental Impact Report (EIR) is a public document used by governmental agencies to analyze the significant environmental impacts of a project. CEQA

Guidelines Section 15168 specifies that a Program EIR (PEIR) can be prepared on a series of actions that can be characterized as one large project related either geographically, as logical parts in the chain of contemplated actions, in connection with issuance of rules, regulations, plans, or other general criteria to govern the conduct of a continuing program, or as individual activities carried out under the same authorizing statutory or regulatory authority and having generally similar environmental effects which can be mitigated in similar ways;

WHEREAS, the PEIR for the Connect SoCal Plan is a programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs and policies included in the Connect SoCal Plan;

WHEREAS, SCAG has determined that the PEIR is appropriate to assess the environmental impacts of the Connect SoCal Plan;

WHEREAS, the PEIR undertakes quantitative modeling of projects in the Connect SoCal Plan, and does not model strategic plan projects because funding for these projects is speculative and implementation of these projects is not yet reasonably foreseeable;

WHEREAS, the PEIR describes feasible, mitigation measures necessary to avoid or substantially lessen significant impacts of the Plan and a reasonable range of alternatives capable of avoiding or reducing these effects in accordance with CEQA Guidelines Sections 15126.4 and 15126.6;

WHEREAS, the PEIR is a program level document which analyzes environmental impacts of the Connect SoCal Plan on a programmatic level and does not analyze project-specific impacts. These impacts would be analyzed in detail by lead agencies at the local jurisdictional level;

WHEREAS, SCAG issued a Notice of Preparation (NOP) of the Draft PEIR for the Connect SoCal Plan on January 23, 2019, and circulated the NOP for a period of 30 days pursuant to CEQA Guidelines Sections 15082(a), 15103, and 15375;

WHEREAS, pursuant to CEQA Guidelines Section 15082 and Government Code Section 65080(b) et seq., SCAG publicly noticed and held a scoping meeting on March 13, 2019 at SCAG's Main Office in Los Angeles County for the purpose of inviting comments local, state, federal agencies, and other interested agencies, organizations and individuals ("Interested Parties") on the scope and content of the environmental information to be addressed in the PEIR. At the scoping meeting, videoconferencing was made available from SCAG's regional offices in Imperial, Orange, Riverside, San Bernardino, and Ventura Counties;

WHEREAS, SCAG consulted with representatives of Native American tribes in the SCAG Region pursuant to Assembly Bill 52 (Gatto 2014) as codified in Public Resources Code §21080.3

WHEREAS, on November 7, 2019, SCAG's Energy and Environment Committee recommended that the Regional Council at its November 7, 2019 meeting authorize release

of the Draft PEIR for a public review and comment period concurrent with the public review and comment period for the Connect SoCal Plan;

WHEREAS, on November 7, 2019, the Regional Council approved release of the Draft Connect SoCal PEIR for a 46-day public review and comment period, beginning December 9, 2020 and ending January 24, 2020;

WHEREAS, once the Draft PEIR was completed on December 9, 2020, SCAG filed a Notice of Completion with the State Office of Planning and Research (OPR) in the manner prescribed by CEQA Guidelines Section 15085;

WHEREAS, on December 9, 2020, SCAG initiated the 46-day public review and comment period by issuing a Notice of Availability of the Draft PEIR to Interested Parties who requested such notice, and others; and on the same date, published the Notice of Availability in twelve newspapers including the Los Angeles Times with the greatest circulation in the SCAG region, to address the large geographic reach and diverse population within the SCAG region pursuant to CEQA Guidelines Section 15087(a)(1). In addition, SCAG placed paper copies of the Notice of Availability and Draft PEIR at SCAG's Main Office in Los Angeles County and SCAG's regional offices in Imperial, Orange, Riverside, San Bernardino, and Ventura Counties, and at the major public library in the region, and posted an electronic copy of the Draft PEIR on the SCAG website pursuant to CEQA Guidelines Section 15087(a)(2);

WHEREAS, during the 46-day public review and comment period for the Draft PEIR, SCAG publicly noticed and hosted a public workshop on January 9, 2020 at SCAG's Main Office in Los Angeles County for purposes of providing an overview on the Draft Connect SoCal Plan and Draft PEIR and information on how to submit comments on the Draft PEIR. Videoconferencing for the scoping meeting was made available from SCAG's regional offices in Imperial, Orange, Riverside, San Bernardino, and Ventura Counties. In addition, during the noticed comment period for the Draft PEIR, SCAG consulted with responsible and trustee agencies, regulatory agencies, and others, pursuant to CEQA Guidelines Section 15086;

WHEREAS, the 46-day public review and comment period on the Draft PEIR ended on January 24, 2020, in compliance with CEQA Guidelines Section 15105;

WHEREAS, 53 written comment letters on the Draft PEIR were received by SCAG;

WHEREAS, pursuant to CEQA Guidelines Section 15088(a), SCAG evaluated written comments received on the Draft PEIR and provided a written response to each comment, which are included in the Final PEIR, Section 9.0, Response to Comments;

WHEREAS, the Final PEIR for the Connect SoCal Plan consists of (1) the Draft PEIR (including appendices), (2) Section 9.0 Response to Comments on the Draft PEIR; and (3) Section 10.0 Corrections and Additions;

WHEREAS, Section 9.0 of the Final PEIR includes a list of public agencies, organizations, and individuals commenting on the Draft PEIR; SCAG's written master response to comments;

SCAG's written responses to comments specific to the Draft PEIR raised during the review and consultation processes:

WHEREAS, Section 10.0 of the Final PEIR includes clarifications and revisions to the Draft PEIR in response to comments received during the public review and comment period, and staff-initiated clarifications and revisions;

WHEREAS, on March 5, 2020, the EEC was provided an overview of comments received on the Draft PEIR and received input on the approach to address such comments;

WHEREAS, on March 27, 2020, SCAG posted the proposed Final PEIR on its website. Pursuant to Public Resources Code Section 21092.5 and CEQA Guidelines Section 15088, SCAG provided written responses to all public agencies that commented on the Draft PEIR at least 10 days prior to certifying the PEIR;

WHEREAS, the corrections and revisions to the Draft PEIR in response to comments received and staff-initiated text revisions included in the Final Connect SoCal Plan and Final PEIR, have not produced significant new information requiring recirculation or additional environmental review under CEQA Guidelines section 15088.5(b);

WHEREAS, when making the findings pursuant to CEQA Guidelines section 15091, if changes or alterations which avoid or substantially lessen the significant environmental effect as identified in the final EIR (mitigation measures) are within the responsibility and jurisdiction of another public agency and not the lead agency, the lead agency can find that such mitigation measures "can and should" be adopted by such other agency pursuant to CEQA Guidelines section 15091(a)(2);

WHEREAS, SCAG has no authority to impose mitigation measures on individual projects for which it is not the lead agency. As such, while SCAG has identified project-level mitigation measures that "can and should" be adopted by the individual project lead agency, all project-level mitigation measures in the Final PEIR are subject to the lead agency's independent discretion as to which mitigation measures are applicable to the projects. Lead agencies may use, amend, or not use the project-level mitigation measures identified in the Final PEIR or use other comparable measures, as appropriate and feasible, to address project-specific conditions. The determination of significance and identification of appropriate mitigation is solely the responsibility of the lead agency;

WHEREAS, when making findings pursuant to CEQA Guidelines Section 15091(a)(1), SCAG must also adopt a mitigation monitoring program to ensure compliance with the mitigation measures identified in the PEIR which avoid or substantially lessen significant effects, and which are fully enforceable through permit conditions, agreements, or other measures as required by CEQA Guidelines Section 15091(d);

WHEREAS, SCAG has prepared a Mitigation Monitoring and Reporting Program (attached hereto and incorporated herein as Exhibit A) in compliance with Public Resources Code §21081.6 and CEQA Guidelines §15097;

WHEREAS, in compliance with Public Resources Code Sections 21081 and 21081.5 and CEQA Guidelines Section 15091, CEQA Findings of Fact are required to be prepared for every significant impact of the Connect SoCal Plan identified in the PEIR and for each alternative evaluated in the PEIR, including an explanation of the rationale for each finding. Implementation of the Connect SoCal Plan will result in significant and unavoidable environmental impacts that cannot be fully mitigated to less than significant;

WHEREAS, the existence of significant and unavoidable impacts requires the preparation of a Statement of Overriding Considerations. A Statement of Overriding Considerations sets forth specific economic, legal, social, technological, and other benefits of the Connect SoCal Plan that outweigh the significant and unavoidable environmental impacts identified in the PEIR pursuant to CEQA Guidelines Section 15093(b);

WHEREAS, in accordance with CEQA requirements set forth herein, SCAG has prepared CEQA Findings of Fact (attached hereto and incorporated herein as Exhibit B) and a Statement of Overriding Considerations (attached hereto and incorporated herein as Exhibit C);

WHEREAS, pursuant to CEQA Guidelines Section 15089(a), SCAG, as the Lead Agency, must prepare and certify a Final PEIR before approving the Connect SoCal Plan;

WHEREAS, the Regional Council has had the opportunity to review the Final PEIR as well as the staff report related to the Final PEIR, and consideration of the certification of the Final PEIR was made by the Regional Council as part of a public meeting held on April 2, 2020;

WHEREAS, in non-attainment and maintenance areas for transportation-related criteria pollutants, the MPO, as well as the Federal Highways Administration (FHWA) and Federal Transit Administration (FTA), must make a transportation conformity determination on any updated or amended RTP in accordance with the federal Clean Air Act to ensure that federally supported highway and transit project activities conform to the purpose of the State Implementation Plan (SIP);

WHEREAS, transportation conformity is based upon a positive conformity finding with respect to the following tests: (1) regional emissions analysis, (2) timely implementation of Transportation Control Measures, (3) financial constraint, and (4) interagency consultation and public involvement;

WHEREAS, on April 7, 2016, the SCAG Regional Council found the 2016 RTP to be in conformity with the State Implementation Plans for air quality, pursuant to the federal Clean Air Act and the EPA Transportation Conformity Rule. Thereafter, FHWA and FTA made a conformity determination on the 2016 RTP with said determination to expire on June 1, 2020;

WHEREAS, on September 6, 2018, in accordance with federal and state requirements, the SCAG Regional Council approved the 2018/19 - 2025/26 Federal Transportation Improvement Program (2019 FTIP), which was federally approved on December 17, 2018. The

2019 FTIP represents a staged, multi-year, intermodal program of transportation projects which covers six fiscal years and includes a priority list of projects to be carried out in the first four fiscal years;

WHEREAS, pursuant to Government Code §65080(b)(2)(F) and federal public participation requirements, including 23 C.F.R. §450.316(b)(1)(iv), SCAG must prepare the RTP/SCS by providing adequate public notice of public involvement activities and time for public review. On September 6, 2018, SCAG approved and adopted a Public Participation Plan, to serve as a guide for SCAG's public involvement process, including the public involvement process to be used for the Connect SoCal, and included an enhanced outreach program that incorporates the public participation requirements of SB 375 and adds strategies to better serve the underrepresented segments of the region;

WHEREAS, pursuant to Government Code §65080(b)(2)(F)(iii), during the summer 2019, SCAG held a series of RTP/SCS public workshops throughout the region, including residents, elected officials, representatives of public agencies, community organizations, and environmental, housing and business stakeholders;

WHEREAS, in accordance with the interagency consultation requirements, 40 C.F.R. 93.105, SCAG consulted with the respective transportation and air quality planning agencies, including but not limited to, extensive discussion of the Draft Conformity Report before the Transportation Conformity Working Group (a forum for implementing the interagency consultation requirements) throughout the 2020 update process;

WHEREAS, the Transportation Conformity Report contained in the Final Connect SoCal makes a positive transportation conformity determination. Using the final motor vehicle emission budgets released by ARB and found to be adequate by EPA, this conformity determination is based upon staff's analysis of the applicable transportation conformity tests;

WHEREAS, each project or project phase included in the FTIP must be consistent with the approved RTP, pursuant to 23 C.F.R. §450.324(g). Amendment #19-12 to the 2019 FTIP has been prepared to ensure consistency with the Final Connect SoCal;

WHEREAS, conformity of Amendment #19-12 to the 2019 FTIP has been determined simultaneously with the Final Connect SoCal in order to address the consistency requirement of federal law;

WHEREAS, on November 7, 2019, SCAG Policy Committees (comprising the Community, Economic and Human Development Committee; the Energy and Environment Committee; and the Transportation Committee) recommended that the Regional Council meeting authorize release of the Draft PEIR for a public review and comment period concurrent with the public review and comment period for the Draft Connect SoCal;

WHEREAS, on November 7, 2019, the Regional Council approved release of the Draft Connect SoCal PEIR concurrent with release of the Draft Connect SoCal for a 60-day public review and comment period;

WHEREAS, SCAG released the Draft Connect SoCal and the associated Draft Amendment # 19-12 to the 2019 FTIP for a 60-day public review and comment period that began on November 14, 2019 and ended on January 24, 2020;

WHEREAS, SCAG followed the provisions of its adopted Public Participation Plan regarding public involvement activities for the Draft Connect SoCal and Draft PEIR. Public outreach efforts included publication of the Draft Connect SoCal and Draft PEIR on SCAG's website, distribution of public information materials, held three (3) duly-noticed public hearings (public hearings were video-conferenced to 5 regional offices in different counties), and 21 elected official briefings within the SCAG region to allow stakeholders, elected officials and the public to comment on the Draft Connect SoCal and the Draft PEIR;

WHEREAS, during the public review and comment period, SCAG received 107 verbal and written comment submissions on the Draft Connect SoCal;

WHEREAS, SCAG staff presented an overview of the comments received on the Draft Connect SoCal and Draft PEIR, and a proposed approach to the responses, to the Policy Committees and Regional Council on March 5, 2020;

WHEREAS, comment letters on the Draft Connect SoCal as well as staff responses were posted on the SCAG website on March 27, 2020, and included as part of the Final Connect SoCal, Public Participation and Consultation Technical Report, Appendix 2-4. SCAG also notified all commenters of the availability of the comments and responses;

WHEREAS, on May 7, 2020, SCAG's three Policy Committees held a public, special joint meeting to consider a recommendation to the Regional Council to certify the proposed Final PEIR and approve and the proposed Final Connect SoCal;

WHEREAS, the Regional Council has had the opportunity to review the proposed Final Connect SoCal and its related technical reports as well as the staff report related to the proposed Final Connect SoCal, and consideration of the Final Connect SoCal was made by the Regional Council as part of a public meeting held on May 7, 2020;

WHEREAS, the Regional Council's consideration of the Final Connect SoCal comes at a time of great uncertainty when the region is experiencing severe and devastating impacts of the COVID-19 pandemic;

WHEREAS, the effect of the COVID-19 pandemic on the region's economy with millions out of work and thousands of businesses shut down was not anticipated by Connect SoCal, and at the present time it would be speculative to determine how or even if the Connect SoCal growth forecast for the year 2045 will be impacted;

WHEREAS, SCAG will continue to monitor the socioeconomic effects of the pandemic and will coordinate with local agencies to assess whether revisions to Connect SoCal are

necessary (and provide any appropriate environmental review), as updated information becomes available;

WHEREAS, the timely adoption of Connect SoCal for transportation conformity purposes is essential to meeting federal transportation conformity requirements, in order for the region to move forward with critical transportation infrastructure projects;

WHEREAS, in order to (1) allow further time for stakeholders, local jurisdictions and other interested parties to review the plan and consider its implications in light of the short and long-term impacts of COVID-19 on the region, (2) address concerns expressed by stakeholders, and (3) strike a balance between providing certainty for transportation projects (by securing the transportation conformity determination) while offering more time for review of Connect SoCal, the Regional Council has determined that a delay to approve Connect SoCal for purposes other than transportation conformity (including submittal of Connect SoCal to ARB for its determination of whether the SCS meets the regional GHG emission reduction targets) is necessary;

WHEREAS, it is the intent of the Regional Council to approve Connect SoCal as required for federal transportation conformity purposes only, and to postpone for up to 120 days the date by which the Regional Council would be asked to consider approval of Connect SoCal in its entirety and for all other purposes, including but not limited to submittal to ARB;

WHEREAS, it is also the intent of the Regional Council to hear a progress report describing modifications to the SCS and associated modeling and analysis within 60 days;

WHEREAS, it is further the intent of this Regional Council that, until such time as the Regional Council may consider and approve in a subsequent meeting approval of Connect SoCal (including any required CEQA documentation) in its entirety, the SCS in the 2016 RTP/SCS and the PEIR mitigation measures shall remain operative for the region. The Connect SoCal PEIR mitigation measures shall not be operative until the Regional Council adopts a Connect SoCal Plan in its entirety as described above; and

WHEREAS, all legal prerequisites to the adoption of this Resolution has occurred.

NOW THEREFORE, BE IT RESOLVED that the Regional Council of the Southern California Association of Governments finds as follows:

1. The Final PEIR for the Connect SoCal Plan has been completed in compliance with CEQA;
2. The Final PEIR was presented to SCAG’s decision-making body, the Regional Council, and the SCAG Regional Council has reviewed and considered the information contained in the Final PEIR prior to approving the Connect SoCal Plan;
3. The Final PEIR reflects SCAG Regional Council’s independent judgment and analysis;

4. Based on and incorporating all of the foregoing recitals and findings which are true and correct and supported by substantial evidence, the SCAG Regional Council hereby certifies the Final PEIR for the Connect SoCal Plan;
5. Pursuant to Public Resources Code section 21081.6, the Regional Council hereby adopts the Mitigation and Monitoring Reporting Program (MMRP) attached to this Resolution as Exhibit A;
6. The Final Connect SoCal complies with all applicable federal and state requirements, including the metropolitan planning provisions as identified in the Code of Federal Regulations Title 23 Part 450 and Title 49, Part 613, and the SCS and other State RTP requirements as identified in California Government Code Section 65080. Specifically, the Final Connect SoCal fully addresses the requirements relating to the development and content of metropolitan transportation plans as set forth in Title 23, Code of Federal Regulations Section 450.322 et seq., including issues relating to: identification of transportation facilities that function as an integrated metropolitan transportation system; operational and management strategies; safety and security; performance measures; environmental mitigation; the need for a financially constrained plan; consultation and public participation; and transportation conformity;
7. The Final Connect SoCal complies with the emission reduction targets established by ARB and meets the requirements of SB 375 (Steinberg, 2008) as codified in Government Code Section 65080(b) et seq. by achieving per capita GHG emission reductions relative to 2005 of 8% by 2020 and 19% by 2035;
8. The Final Connect SoCal Growth Vision and corresponding forecast of population, household and employment growth are hereby adopted at the jurisdictional level, and any corresponding sub-jurisdictional level data and/or maps are non-binding and advisory only;
9. The Final Connect SoCal and Amendment #19-12 to the 2019 FTIP are in conformity with the State Implementation Plans for air quality, pursuant to the federal Clean Air Act and the EPA Transportation Conformity Rule. In making this determination, the Regional Council finds that the Final Connect SoCal and Amendment #19-12 to the 2019 FTIP passes the four tests and analyses required for conformity, namely: regional emissions analysis; timely implementation of Transportation Control Measures; financial constraint analysis; and interagency consultation and public involvement;
10. The Regional Council hereby approves and adopts Amendment #19-12 to the 2019 FTIP, and finds that it is consistent with Connect SoCal;
11. Because implementation of Connect SoCal will result in significant environmental impacts, and because certain changes or mitigation measures will substantially lessen or avoid potentially significant environmental impacts identified in the Final PEIR, pursuant to Public Resources Code Section 21081, the Regional Council hereby makes the

necessary CEQA Findings of Fact (attached to this Resolution in Exhibit B), which are supported by substantial evidence in the record of proceedings;

12. Because implementation of Connect SoCal will result in significant environmental impacts that cannot be fully mitigated, the Regional Council also hereby adopts the Statement of Overriding Considerations (attached to this Resolution in Exhibit C), which sets forth specific economic, legal, social, technological, and other benefits of Connect SoCal that outweigh the significant and unavoidable environmental impacts identified in the PEIR;
13. Based on and incorporating all the foregoing recitals and findings which are true and correct and supported by substantial evidence, the SCAG Regional Council hereby approves Connect SoCal, for federal transportation conformity purposes only as set forth above;
14. The Regional Council hereby postpones for up to 120 days its consideration of approval of Connect SoCal in its entirety and for all other purposes, including but not limited to submittal to the California Air Resources Board;
15. The Regional Council hereby directs staff to provide a progress report describing modifications to the SCS and associated modeling and analysis within 60 days;
16. The Regional Council hereby further directs staff to work with local authorities to identify and restore locally approved entitlements as conveyed by local jurisdictions. The Regional Council further directs staff to within 60 days to identify and quantify all differences within the SCS and locally-approved General Plans and quantify the increase (or decrease) in housing, jobs or population between Connect SoCal and each local General Plan;
17. Until such time as the Regional Council may consider and approve in a subsequent meeting approval of Connect SoCal (including any required CEQA documentation) in its entirety, the SCS in the 2016 RTP/SCS and the PEIR mitigation measures shall remain operative for the region. The Connect SoCal PEIR mitigation measures shall not be operative until the Regional Council adopts a Connect SoCal Plan in its entirety as described above;
18. To assure consistent documentation of the Regional Council's direction, staff is further directed to prepare conforming changes to the enacting resolution, findings and other decisional documents that fully effectuate the direction of the Regional Council as described above, and shall be presented to the Regional Council at a subsequent meeting for review and approval. If there is any inconsistency between the enacting resolutions, findings and other decisional documents and the aforementioned intent language, the direction above shall govern; and,
19. SCAG's Executive Director or his designee is authorized to make minor modifications, finalize and transmit Connect SoCal and its conformity findings to the FTA and the FHWA to make the final conformity determination in accordance with the Federal Clean Air Act and EPA Transportation Conformity Rule, 40 C.F.R. Parts 51 and 93.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting this 7th day of May, 2020.

William "Bill" Jahn
President, SCAG
Councilmember, City of Big Bear Lake

Attested by:

Kome Ajise
Executive Director

Approved as to Form:

Justine Block
Acting Chief Counsel



EXHIBIT A

Mitigation Monitoring and Reporting Program for the Final Connect SoCal PEIR



ADOPTED MAY 2020

STATE CLEARINGHOUSE
#20199011061

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EXHIBIT A – MITIGATION MONITORING AND REPORTING PROGRAM

1.0 PURPOSE

The Mitigation Monitoring and Reporting Program (MMRP) has been prepared in conformance with Section 21081.6 of the California Environmental Quality Act (CEQA) and Section 15097 of the CEQA Guidelines. It is the intent of this program to: (1) verify satisfaction of the required mitigation measures of the EIR; (2) provide a methodology to document implementation of the required mitigation measures; (3) provide a record of the Monitoring Program; (4) identify monitoring responsibility; (5) establish administrative procedures for the clearance of mitigation measures; (6) establish the frequency and duration of monitoring; and (7) utilize existing review processes wherever feasible.

2.0 INTRODUCTION

This Mitigation Monitoring and Reporting Program describes the procedures that will be used to implement the mitigation measures adopted in connection with the approval of the project and the methods of monitoring such actions. This MMRP takes the form of a table that identifies the responsible entity for monitoring each mitigation measure and the timing of each measure.

This EIR identifies programmatic mitigation measures to be implemented by SCAG and identifies project-level mitigation measures that SCAG will encourage local agencies to implement, as appropriate and feasible, as part of project-specific environmental review.

SCAG has no authority to impose mitigation measures on individual projects for which it is not the lead agency. However, for projects seeking to use CEQA streamlining and/or tier from the Connect SoCal Program EIR, project-level mitigation measures included in this Program EIR (or comparable measures) should be required by the local lead agency as appropriate and feasible. Many lead agencies have existing regulations, policies, and/or standard conditions of approval that address potential impacts. Nothing in the Program EIR is intended to supersede existing regulations and policies of individual jurisdictions. Since SCAG has no authority to impose mitigation measures, mitigation measures to be implemented by local jurisdictions are subject to a lead agency's independent discretion as to whether measures are applicable to projects in their respective jurisdictions. Lead agencies may use, amend, or not use measures identified in this Program EIR as appropriate to address project-specific conditions. The determination of significance and identification of appropriate mitigation is *solely* the responsibility of the lead agency.

To assure consistent documentation of its direction at the May 7, 2020 Regional Council meeting regarding Connect SoCal, the Regional Council finds that conforming changes to the enacting resolution, findings and other decisional documents that fully effectuate the direction of the Regional Council, shall be presented to the Regional Council at a subsequent meeting for review and approval. If there is any inconsistency between the enacting resolutions, findings and other decisional documents and the Regional Council direction, the Regional Council direction shall govern.

**Table A-1
Mitigation Monitoring and Reporting Program Matrix**

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Aesthetics		
<p>SMM AES-1: SCAG shall facilitate minimizing impacts to scenic vistas through cooperation, information sharing regarding the locations of designated scenic vistas, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including REVISION, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts such as sharing of associated online training materials. Caltrans and lead agencies, such as county and city planning departments, shall be consulted during this update process.</p>	Ongoing over the life of the plan	SCAG
<p>PMM AES-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts to scenic vistas, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Use a palette of colors, textures, building materials that are graffiti-resistant, and/or plant materials that complement the surrounding landscape and development. b) Use contour grading to better match surrounding terrain. Contour edges of major cut-and-fill to provide a more natural looking finished profile. c) Design new corridor landscaping to respect existing natural and man-made features and to complement the dominant landscaping of the surrounding areas. d) Replace and renew landscaping along corridors with road widenings, interchange projects, and related improvements. e) Retain or replace trees bordering highways, so that clear-cutting is not evident. f) Provide new corridor landscaping that respects and provides appropriate transition to existing natural and man-made features and is complementary to the dominant landscaping or native habitats of surrounding areas. g) Reduce the visibility of construction staging areas by fencing and screening these areas with low contrast materials consistent with the surrounding environment, and by revegetating graded slopes and exposed earth surfaces at the earliest opportunity; h) Use see-through safety barrier designs (e.g. railings rather than walls) 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM AES-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts that substantially degrade visual character, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Minimize contrasts in scale and massing between the projects and surrounding natural forms and development, minimize their intrusion into important viewsheds, and use contour grading to better match surrounding terrain in accordance with county and city hillside ordinances, where applicable. b) Design landscaping along highway corridors to add significant natural elements and visual interest to soften the hard-edged, linear transportation corridors. c) Require development of design guidelines for projects that make elements of proposed buildings/facilities visually compatible or minimize visibility of changes in visual quality or character through use of hardscape and softscape solutions. Specific measures to be addressed include setback buffers, landscaping, color, texture, signage, and lighting criteria. d) Design projects consistent with design guidelines of applicable general plans. e) Require that sites are kept in a blight/nuisance-free condition. Remove blight or nuisances that compromise visual character or visual quality of project areas including graffiti abatement, trash removal, landscape management, maintenance of signage and billboards in good condition, and replace compromised native vegetation and landscape. f) Where sound walls are proposed, require sound wall construction and design methods that account for visual impacts as follows: <ul style="list-style-type: none"> — use transparent panels to preserve views where sound walls would block views from residences; — use landscaped earth berm or a combination wall and berm to minimize the apparent sound wall height; — construct sound walls of materials whose color and texture complements the surrounding landscape and development; g) Design sound walls to increase visual interest, reduce apparent height, and be visually compatible with the surrounding area; and landscape the sound walls with plants that screen the sound wall, preferably with either native vegetation or landscaping that complements the dominant landscaping of surrounding areas. 	<p>Ongoing over the life of the plan</p>	<p>Lead Agency</p>
<p>SMM AES-2: SCAG shall facilitate minimizing impacts on aesthetics related to new sources of light or glare through cooperation, information sharing regarding guidelines and policies, design approaches, building materials, siting, and technology, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts and sharing of associated online training materials. Lead agencies, such as county and city planning departments, shall be consulted during this update process.</p>	<p>Ongoing over the life of the plan</p>	<p>SCAG</p>

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM AES-3: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts that substantially degrade visual character, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Use lighting fixtures that are adequately shielded to a point below the light bulb and reflector and that prevent unnecessary glare onto adjacent properties. b) Restrict the operation of outdoor lighting for construction and operation activities to the hours of 7:00 a.m. to 10:00 p.m. or as otherwise required by applicable local rules or ordinances. c) Use high pressure sodium and/or cut-off fixtures instead of typical mercury-vapor fixtures for outdoor lighting. d) Use unidirectional lighting to avoid light trespass onto adjacent properties. e) Design exterior lighting to confine illumination to the project site, and/or to areas which do not include light-sensitive uses. f) Provide structural and/or vegetative screening from light-sensitive uses. g) Shield and direct all new street and pedestrian lighting away from light-sensitive off-site uses. h) Use non-reflective glass or glass treated with a non-reflective coating for all exterior windows and glass used on building surfaces. i) Architectural lighting shall be directed onto the building surfaces and have low reflectivity to minimize glare and limit light onto adjacent properties. 	Ongoing over the life of the plan	Lead Agency
Agriculture and Forestry		
<p>SMM AG-1: SCAG shall host a Natural & Farm Lands Conservation Working Group which will provide a forum for stakeholders to share best practices and develop recommendations for natural and agricultural land conservation throughout the region, including the development of a Natural Lands Conservation Strategy for the Connect SoCal Plan.</p>	Ongoing over the life of the plan	SCAG
<p>SMM AG-2: SCAG shall expand on the Natural Resource Inventory Database and Conservation Framework & Assessment by incorporating strategic mapping layers to build the database and further refine the priority conservation areas by (1) further investing in mapping and farmland data tracking and (2) working with County Transportation Commissions (CTCs) and SCAG's subregions to support their county-level efforts at data building. SCAG shall encourage CTCs to develop advanced mitigation programs or include them in future transportation measures by (1) funding pilot programs that encourage advance mitigation including data and replicable processes, (2) participating in state-level efforts that would support regional advanced mitigation planning in the SCAG region, and (3) supporting the inclusion of advance mitigation programs at county level transportation measures.</p>	Ongoing over the life of the plan	SCAG

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
SMM AG-3: SCAG shall align with funding opportunities and pilot programs to begin implementation of conservation strategies through (1) seeking planning and implementation funds, such as cap and trade auction proceeds that could advance local action on acquisition and restoration projects locally and regionally, (2) supporting CTCs and other partners, and (3) continuing policy alignment with the State Wildlife Action Plan 2015 Update and its implementation.	Ongoing over the life of the plan	SCAG
SMM AG-4: SCAG shall provide incentives to jurisdictions that cooperate across county lines to protect and restore natural habitat corridors, especially where corridors cross county boundaries, as detailed in the Natural & Farm Lands Appendix strategies of Connect SoCal. SCAG will work with stakeholders to identify incentives and leverage resources that help protect habitat corridors.	Ongoing over the life of the plan	SCAG
<p>PMM AG-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to address potential adverse effects on agricultural resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Require project sponsors to mitigate for loss of farmland by providing permanent protection of in-kind farmland in the form of easements, fees, or elimination of development rights/potential. b) Project relocation or corridor realignment to avoid Prime Farmland, Unique Farmland, or Farmland of Local or Statewide Importance. c) Maintain and expand agricultural land protections such as urban growth boundaries. d) Provide for mitigation fees to support a mitigation bank¹ that invests in farmer education, agricultural infrastructure, water supply, marketing, etc. that enhance the commercial viability of retained agricultural lands. e) Minimize severance and fragmentation of agricultural land by constructing underpasses and overpasses at reasonable intervals to provide property access. f) Use berms, buffer zones, setbacks, and fencing to reduce conflicts between new development and farming uses and protect the functions of farmland. 	Ongoing over the life of the plan	Lead Agency

¹ The California Department of Fish and Wildlife provides a definition for conservation or mitigation banks on their website (please see <https://www.wildlife.ca.gov/Conservation/Planning/Banking>).

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM AG-2: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects on Williamson Act contracts to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:</p> <ul style="list-style-type: none"> a) Project relocation or corridor realignment to avoid lands in Williamson Act contracts. b) Establish conservation easements consistent with the recommendations of the Department of Conservation, or 20-year Farmland Security Zone contracts (Government Code Section 51296 et seq.), 10-year Williamson Act contracts (Government Code Section 51200 et seq.), or use of other conservation tools available from the California Department of Conservation Division of Land Resource Protection. 	Ongoing over the life of the plan	Lead Agency
<p>PMM AG-3: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland to maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:</p> <ul style="list-style-type: none"> a) Minimize construction related impacts to agricultural and forestry resources by locating materials and stationary equipment in such a way as to prevent conflict with agriculture and forestry resources. 	Ongoing over the life of the plan	Lead Agency
<p>PMM AG-4: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland, to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:</p> <ul style="list-style-type: none"> a) Design proposed projects to minimize, to the greatest extent feasible, the loss of the highest valued agricultural land. b) Redesign project features to minimize fragmenting or isolating Farmland. Where a project involves acquiring land or easements, ensure that the remaining non-project area is of a size sufficient to allow economically viable farming operations. The project proponents shall be responsible for acquiring easements, making lot line adjustments, and merging affected land parcels into units suitable for continued commercial agricultural management. c) Reconnect utilities or infrastructure that serve agricultural uses if these are disturbed by project construction. If a project temporarily or permanently cuts off roadway access or removes utility lines, irrigation features, or other infrastructure, the project proponents shall be responsible for restoring access as necessary to ensure that economically viable farming operations are not interrupted. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM AG-5: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland, to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:</p> <p>a) Manage project operations to minimize the introduction of invasive species or weeds that may affect agricultural production on adjacent agricultural land. Where a project has the potential to introduce sensitive species or habitats or have other spill-over effects on nearby agricultural lands, the project proponents shall be responsible for acquiring easements on nearby agricultural land and/or financially compensating for indirect effects on nearby agricultural land. Easements (e.g., flowage easements) shall be required for temporary or intermittent interruption in farming activities (e.g., because of seasonal flooding or groundwater seepage). Acquisition or compensation would be required for permanent or significant loss of economically viable operations.</p>	Ongoing over the life of the plan	Lead Agency
Air Quality		
<p>SMM AQ-1: SCAG shall develop the Southern California Disadvantaged Communities Planning Initiative which would provide funds to selected applicants to develop a low-cost, high-impact model which leverages SCAG’s staff, data, and outreach resources to deliver context-sensitive plans in high-need, low-resourced active transportation infrastructure and frameworks. As part of the initiative, the model will be operationalized through the development of plans in six communities and refined to provide a sustainable resource for SCAG staff partner with local agencies to develop local active transportation plans.</p>	Ongoing over the life of the plan	SCAG
<p>SMM AQ-2: SCAG shall continue its commitment to analyze public health outcomes as part of Connect SoCal. As part of the public health analysis for the Plan, SCAG shall continue to analyze the Plan’s impacts on air quality through its Public Health Working group and continue to support policy change at the city and country level through education programs.</p>	Ongoing over the life of the plan	SCAG
<p>SMM AQ-3: SCAG shall continue to conduct air quality-related technical analyses on the region, specifically in vulnerable areas that are typically environmental justice areas. For example, SCAG staff conducted technical analysis of emissions impacts on populations within 500 feet of freeways and highly travelled corridors in the Connect SoCal Environmental Justice Appendix. SCAG staff shall also continue to work with districts and relevant stakeholders to be informed of any updates new and/or changes to air quality issue areas through various forums like the Environmental Justice Working Group.</p>	Ongoing over the life of the plan	SCAG
<p>PMM AQ-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to violating air quality standards. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Minimize land disturbance.</p> <p>b) Suspend grading and earth moving when wind gusts exceed 25 miles per hour unless the soil is wet enough to prevent dust plumes.</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<ul style="list-style-type: none"> c) Cover trucks when hauling dirt. d) Stabilize the surface of dirt piles if not removed immediately. e) Limit vehicular paths on unpaved surfaces and stabilize any temporary roads. f) Minimize unnecessary vehicular and machinery activities. g) Sweep paved streets at least once per day where there is evidence of dirt that has been carried on to the roadway. h) Revegetate disturbed land, including vehicular paths created during construction to avoid future off-road vehicular activities. i) On Caltrans projects, Caltrans Standard Specifications 10-Dust Control, 17-Watering, and 18-Dust Palliative shall be incorporated into project specifications. j) Require contractors to assemble a comprehensive inventory list (i.e., make, model, engine year, horsepower, emission rates) of all heavy-duty off-road (portable and mobile) equipment (50 horsepower and greater) that could be used an aggregate of 40 or more hours for the construction project. Prepare a plan for approval by the applicable air district demonstrating achievement of the applicable percent reduction for a CARB-approved fleet. k) Ensure that all construction equipment is properly tuned and maintained. l) Minimize idling time to 5 minutes—saves fuel and reduces emissions. m) Provide an operational water truck on-site at all times. Use watering trucks to minimize dust; watering should be sufficient to confine dust plumes to the project work areas. Sweep paved streets at least once per day where there is evidence of dirt that has been carried on to the roadway. n) Utilize existing power sources (e.g., power poles) or clean fuel generators rather than temporary power generators. o) Develop a traffic plan to minimize community impacts as a result of traffic flow interference from construction activities. The plan may include advance public notice of routing, use of public transportation, and satellite parking areas with a shuttle service. Schedule operations affecting traffic for off-peak hours. Minimize obstruction of through-traffic lanes. Provide a flag person to guide traffic properly and ensure safety at construction sites. Project sponsors should consider developing a goal for the minimization of community impacts. p) As appropriate require that portable engines and portable engine-driven equipment units used at the project work site, with the exception of on-road and off-road motor vehicles, obtain CARB Portable Equipment Registration with the state or a local district permit. Arrange appropriate consultations with the CARB or the District to determine registration and permitting requirements prior to equipment operation at the site. q) Require projects to use Tier 4 Final equipment or better for all engines above 50 horsepower (hp). In the event that construction equipment cannot meet to Tier 4 Final engine certification, the Project representative or contractor must demonstrate through future study with written findings supported by substantial evidence that is approved by SCAG before using other 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>technologies/strategies. Alternative applicable strategies may include, but would not be limited to, construction equipment with Tier 4 Interim or reduction in the number and/or horsepower rating of construction equipment and/or limiting the number of construction equipment operating at the same time. All equipment must be tuned and maintained in compliance with the manufacturer’s recommended maintenance schedule and specifications. All maintenance records for each equipment and their contractor(s) should make available for inspection and remain on-site for a period of at least two years from completion of construction, unless the individual project can demonstrate that Tier 4 engines would not be required to mitigate emissions below significance thresholds. Project sponsors should also consider including ZE/ZNE technologies where appropriate and feasible.</p> <p>r) Projects located within the South Coast Air Basin should consider applying for South Coast AQMD “SOON” funds which provides funds to applicable fleets for the purchase of commercially available low-emission heavy-duty engines to achieve near-term reduction of NOx emissions from in-use off-road diesel vehicles.</p> <p>s) Projects located within AB 617 communities should review the applicable Community Emissions Reduction Plan (CERP) for additional mitigation that can be applied to individual projects.</p> <p>t) Where applicable, projects should provide information about air quality related programs to schools, including the Environmental Justice Community Partnerships (EJCP), Clean Air Ranger Education (CARE), and Why Air Quality Matters programs.</p> <p>u) Projects should work with local cities and counties to install adequate signage that prohibits truck idling in certain locations (e.g., near schools and sensitive receptors).</p> <p>v) As applicable for airport projects, the following measures should be considered:</p> <ol style="list-style-type: none"> a. Considering operational improvements to reduce taxi time and auxiliary power unit usage, where feasible. Additionally, consider single engine taxing, if feasible as allowed per Federal Aviation Administration guidelines. b. Set goals to achieve a reduction in emissions from aircraft operations over the lifetime of the proposed project. c. Require the use of ground service equipment (GSE) that can operate on battery-power. If electric equipment cannot be obtained, require the use of alternative fuel, the cleanest gasoline equipment, or Tier 4, at a minimum. <p>w) As applicable for port projects, the following measures should be considered:</p> <ol style="list-style-type: none"> a. Develop specific timelines for transitioning to zero emission cargo handling equipment (CHE). b. Develop interim performance standards with a minimum amount of CHE replacement each year to ensure adequate progress. c. Use short side electric power for ships, which may include tugboats and other ocean-going vessels or develop incentives to gradually ramp up the usage of shore power. d. Install the appropriate infrastructure to provide shore power to operate the ships. Electrical 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>hookups should be appropriately sized.</p> <ul style="list-style-type: none"> e. Maximize participation in the Port of Los Angeles’ Vessel Speed Reduction Program or the Port of Long Beach’s Green Flag Initiation Program in order to reduce the speed of vessel transiting within 40 nautical miles of Point Fermin. f. Encourage the participation in the Green Ship Incentives. g. Offer incentives to encourage the use of on-dock rail. <p>x) As applicable for rail projects, the following measures should be considered:</p> <ul style="list-style-type: none"> a. Provide the highest incentives for electric locomotives and then locomotives that meet Tier 5 emission standards with a floor on the incentives for locomotives that meet Tier 4 emission standards. <p>y) Projects that will introduce sensitive receptors within 500 feet of freeways and other sources should consider installing high efficiency of enhanced filtration units, such as Minimum Efficiency Reporting Value (MERV) 13 or better. Installation of enhanced filtration units can be verified during occupancy inspection prior to the issuance of an occupancy permit.</p> <p>z) Develop an ongoing monitoring, inspection, and maintenance program for the MERV filters.</p> <ul style="list-style-type: none"> a. Disclose potential health impacts to prospective sensitive receptors from living in close proximity to freeways or other sources of air pollution and the reduced effectiveness of air filtration systems when windows are open or residents are outside. b. Identify the responsible implementing and enforcement agency to ensure that enhanced filtration units are installed on-site before a permit of occupancy is issued. c. Disclose the potential increase in energy costs for running the HVAC system to prospective residents. d. Provide information to residents on where MERV filters can be purchased. e. Provide recommended schedule (e.g., every year or every six months) for replacing the enhanced filtration units. f. Identify the responsible entity such as future residents themselves, Homeowner’s Association, or property managers for ensuring enhanced filtration units are replaced on time. g. Identify, provide, and disclose ongoing cost-sharing strategies, if any, for replacing the enhanced filtration units. h. Set criteria for assessing progress in installing and replacing the enhanced filtration units; and i. Develop a process for evaluating the effectiveness of the enhanced filtration units. <p>aa) Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities</p>		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Biological Resources		
<p>SMM BIO-1: SCAG shall facilitate reducing future impacts to species identified as a candidate, sensitive, or special status species and its habitats through cooperation, information sharing, and program development. SCAG shall consult with the resource agencies, such as the USFWS, NMFS, USACE, USFS, BLM, and CDFW, as well as local jurisdictions including cities and counties, to incorporate designated critical habitat, federally protected wetlands, the protection of sensitive natural communities and riparian habitats, designated open space or protected wildlife habitat, local policies and tree preservation ordinances, applicable HCPs and NCCPs, or other related planning documents into SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts and sharing of associated online Training materials. Planning efforts shall be consistent with the approach outlined in the California Wildlife Action Plan.</p>	Ongoing over the life of the plan	SCAG
<p>SMM BIO-2: SCAG shall continue to develop a regional conservation strategy in coordination with local jurisdictions and other stakeholders, including the county transportation commissions. The conservation strategy will build upon existing efforts including those at the sub-regional and local levels to identify potential priority conservation areas. SCAG shall develop new regional tools, like the Regional Data Platform and Regional Greenprint to help local jurisdictions identify areas well suited for infill and redevelopment as well as critical habitat and natural lands to be preserved, including natural habitat corridors. SCAG will also collaborate with stakeholders to establish a new Regional Advanced Mitigation Program (RAMP) initiative to preserve habitat. The RAMP will be supplemental initiative to regional conservation and mitigation banks and other approaches by evaluating, advocating and highlighting projects that support per capita VMT reduction.</p>	Ongoing over the life of the plan	SCAG
<p>PMM BIO-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to threatened and endangered species, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Require project design to avoid occupied habitat, potentially suitable habitat, and designated critical habitat, wherever practicable and feasible. b) Where avoidance is determined to be infeasible, provide conservation measures to fulfill the requirements of the applicable authorization for incidental take pursuant to Section 7 or 10(a) of the federal ESA, Section 2081 of the California ESA to support issuance of an incidental take permit, and/or as identified in local or regional plans. Conservation strategies to protect the survival and recovery of federally and state-listed endangered and local special status species may include: <ul style="list-style-type: none"> i. Impact minimization strategies ii. Contribution of in-lieu fees for in-kind conservation and mitigation efforts iii. Use of in-kind mitigation bank credits 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<ul style="list-style-type: none"> iv. Funding of research and recovery efforts v. Habitat restoration vi. Establishment of conservation easements vii. Permanent dedication of in-kind habitat c) Design projects to avoid desert native plants protected under the California Desert Native Plants Act, salvage and relocate desert native plants, and/or pay in lieu fees to support off-site long-term conservation strategies. d) Temporary access roads and staging areas will not be located within areas containing sensitive plants, wildlife species or native habitat wherever feasible, so as to avoid or minimize impacts to these species. e) Develop and implement a Worker Environmental Awareness Program (environmental education) to inform project workers of their responsibilities to avoid and minimize impacts on sensitive biological resources. f) Retain a qualified botanist to document the presence or absence of special status plants before project implementation. g) Appoint a qualified biologist to monitor construction activities that may occur in or adjacent to occupied sensitive species' habitat to facilitate avoidance of resources not permitted for impact. h) Appoint a qualified biologist to monitor implementation of mitigation measures. i) Schedule construction activities to avoid sensitive times for biological resources (e.g. steelhead spawning periods during the winter and spring, nesting bird season) and to avoid the rainy season when erosion and sediment transport is increased. j) Develop an invasive species control plan associated with project construction. k) If construction occurs during breeding seasons in or adjacent to suitable habitat, include appropriate sound attenuation measures required for sensitive avian species and other best management practices appropriate for potential local sensitive wildlife. l) Conduct pre-construction surveys to delineate occupied sensitive species' habitat to facilitate avoidance. m) Where projects are determined to be within suitable habitat and may impact listed or sensitive species that have specific field survey protocols or guidelines outlined by the USFWS, CDFW, or other local agency, conduct preconstruction surveys that follow applicable protocols and guidelines and are conducted by qualified and/or certified personnel. 		
<p>PMM BIO-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to riparian habitats and other sensitive natural communities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Consult with the USFWS and NMFS where such state-designated sensitive or riparian habitats provide potential or occupied habitat for federally listed rare, threatened, and endangered 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>species afforded protection pursuant to the federal ESA.</p> <ul style="list-style-type: none"> b) Consult with the USFS where such state-designated sensitive or riparian habitats provide potential or occupied habitat for federally listed rare, threatened, and endangered species afforded protection pursuant to the federal ESA and any additional species afforded protection by an adopted Forest Land Management Plan or Resource Management Plan for the four national forests in the six-county area: Angeles, Cleveland, Los Padres, and San Bernardino. c) Consult with the CDFW where such state-designated sensitive or riparian habitats provide potential or occupied habitat for state-listed rare, threatened, and endangered species afforded protection pursuant to the California ESA, or Fully Protected Species afforded protection pursuant to the State Fish and Game Code. d) Consult with the CDFW pursuant to the provisions of Section 1600 of the State Fish and Game Code as they relate to Lakes and Streambeds. e) Consult with the USFWS, USFS, CDFW, and counties and cities in the SCAG region, where state-designated sensitive or riparian habitats are occupied by birds afforded protection pursuant to the MBTA during the breeding season. f) Consult with the CDFW for state-designated sensitive or riparian habitats where furbearing mammals, afforded protection pursuant to the provisions of the State Fish and Game Code for fur-bearing mammals, are actively using the areas in conjunction with breeding activities. g) Require project design to avoid sensitive natural communities and riparian habitats, wherever practicable and feasible. h) Where avoidance is determined to be infeasible, develop sufficient conservation measures through coordination with local agencies and the regulatory agency (i.e., USFWS or CDFW) to protect sensitive natural communities and riparian habitats and develop appropriate compensatory mitigation, where required. i) Appoint a qualified wetland biologist to monitor construction activities that may occur in or adjacent to sensitive communities. j) Appoint a qualified wetland biologist to monitor implementation of mitigation measures. k) Schedule construction activities to avoid sensitive times for biological resources and to avoid the rainy season when erosion and sediment transport is increased. l) When construction activities require stream crossings, schedule work during dry conditions and use rubber-wheeled vehicles, when feasible. Have a qualified wetland scientist determine if potential project impacts require a Notification of Lake or Streambed Alteration to CDFW during the planning phase of projects. m) Consult with local agencies, jurisdictions, and landowners where such state-designated sensitive or riparian habitats are afforded protection pursuant an adopted regional conservation plan. n) Install fencing and/or mark sensitive habitat to be avoided during construction activities. o) Salvage and stockpile topsoil (the surface material from 6 to 12 inches deep) and perennial native plants, when recommended by the qualified wetland biologist, for use in restoring native 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>vegetation to areas of temporary disturbance within the project area. Salvage of soils containing invasive species, seeds and/or rhizomes will be avoided as identified by the qualified wetland biologist.</p> <p>p) Revegetate with appropriate native vegetation following the completion of construction activities, as identified by the qualified wetland biologist.</p> <p>q) Complete habitat enhancement (e.g., through removal of non-native invasive wetland species and replacement with more ecologically valuable native species).</p> <p>r) Use Best Management Practices (BMPs) at construction sites to minimize erosion and sediment transport from the area. BMPs include encouraging growth of native vegetation in disturbed areas, using straw bales or other silt-catching devices, and using settling basins to minimize soil transport.</p>		
<p>PMM BIO-3: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to wetlands, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency.</p> <p>a) Require project design to avoid federally protected aquatic resources consistent with the provisions of Sections 404 and 401 of the CWA, wherever practicable and feasible.</p> <p>b) Where the lead agency has identified that a project, or other regionally significant project, has the potential to impact other wetlands or waters, such as those considered Waters Of the State of California under the State Wetland Definition and Procedures for Dischargers of Dredged or Fill Material to Waters of the State, not protected under Section 404 or 401 of the CWA, seek comparable coverage for these wetlands and waters in consultation with the SWRCB, applicable RWQCB, and CDFW.</p> <p>c) Where avoidance is determined to be infeasible, develop sufficient conservation measures to fulfill the requirements of the applicable authorization for impacts to federal and state protected aquatic resource to support issuance of a permit under Section 404 of the CWA as administered by the USACE. The use of an authorized Nationwide Permit or issuance of an individual permit requires the project applicant to demonstrate compliance with the USACE’s Final Compensatory Mitigation Rule. The USACE reviews projects to ensure environmental impacts to aquatic resources are avoided or minimized as much as possible. Consistent with the administration’s performance standard of “no net loss of wetlands” a USACE permit may require a project proponent to restore, establish, enhance or preserve other aquatic resources in order to replace those affected by the proposed project. This compensatory mitigation process seeks to replace the loss of existing aquatic resource functions and area. Project proponents required to complete mitigation are encouraged to use a watershed approach and watershed planning information. The new rule establishes performance standards, sets timeframes for decision making, and to the extent possible, establishes equivalent requirements and standards for the three sources of compensatory mitigation:</p> <p>— Permittee-responsible mitigation</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<ul style="list-style-type: none"> — Contribution of in-kind in-lieu fees — Use of in-kind mitigation bank credits — Where avoidance is determined to be infeasible and d) Where avoidance is determined to be infeasible and proposed projects' impacts exceed an existing Nationwide Permit (NWP) and/or California SWRCB-certified NWP, or applicable County Special Area Management Plan (SAMP), the lead agency should provide USACE and SWRCB (where applicable) an alternative analysis consistent with the Least Environmentally Damaging Practicable Alternatives in this order of priorities: <ul style="list-style-type: none"> — Avoidance — Impact Minimization — On-site alternatives — Off-site alternatives e) Require review of construction drawings by a certified wetland delineator as part of each project-specific environmental analysis to determine whether aquatic resources will be affected and, if necessary, perform formal wetland delineation. 		
<p>SMM BIO-3: SCAG shall encourage and facilitate research, programs and policies to identify, protect and restore natural habitat corridors, especially where corridors cross county boundaries. Additionally, continue support for preserving wildlife corridors and wildlife crossings to minimize the impact of transportation projects on wildlife species and habitat fragmentation.</p>	Ongoing over the life of the plan	SCAG
<p>PMM BIO-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to wildlife movement, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Consult with the USFS where impacts to migratory wildlife corridors may occur in an area afforded protection by an adopted Forest Land Management Plan or Resource Management Plan for the four national forests in the six-County area: Angeles, Cleveland, Los Padres, and San Bernardino. b) Consult with counties, cities, and other local organizations when impacts may occur to open space areas that have been designated as important for wildlife movement related to local ordinances or conservation plans. c) Prohibit construction activities within 500 feet of occupied breeding areas for wildlife afforded protection pursuant to Title 14 § 460 of the California Code of Regulations protecting fur-bearing mammals, during the breeding season. d) Conduct a survey to identify active raptor and other migratory nongame bird nests by a qualified biologist at least two weeks before the start of construction at project sites from February 1 through August 31. e) Prohibit construction activities with 300 feet of occupied nest of birds afforded protection 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>pursuant to the Migratory Bird Treaty Act, during the breeding season.</p> <p>f) Ensure that suitable nesting sites for migratory nongame native bird species protected under the Migratory Bird Treaty Act and/or trees with unoccupied raptor nests should only be removed prior to February 1, or following the nesting season.</p> <p>g) When feasible and practicable, proposed projects will be designed to minimize impacts to wildlife movement and habitat connectivity and preserve existing and functional wildlife corridors.</p> <p>h) Conduct site-specific analyses of opportunities to preserve or improve habitat linkages with areas on- and off-site.</p> <p>i) Long linear projects with the possibility of impacting wildlife movement should analyze habitat linkages/wildlife movement corridors on a broad scale to avoid critical narrow choke points that could reduce function of recognized movement corridor.</p> <p>j) Require review of construction drawings and habitat connectivity mapping by a qualified biologist to determine the risk of habitat fragmentation.</p> <p>k) Pursue mitigation banking to preserve habitat linkages and corridors (opportunities to purchase, maintain, and/or restore offsite habitat).</p> <p>l) When practicable and feasible design projects to promote wildlife corridor redundancy by including multiple connections between habitat patches.</p> <p>m) Evaluate the potential for installation of overpasses, underpasses, and culverts to create wildlife crossings in cases where a roadway or other transportation project may interrupt the flow of species through their habitat. Retrofitting of existing infrastructure in project areas should also be considered for wildlife crossings for purposes of mitigation.</p> <p>n) Install wildlife fencing where appropriate to minimize the probability of wildlife injury due to direct interaction between wildlife and roads or construction.</p> <p>o) Where avoidance is determined to be infeasible, design sufficient conservation measures through coordination with local agencies and the regulatory agency (i.e., USFWS or CDFW) and in accordance with the respective counties and cities general plans to establish plans to mitigate for the loss of fish and wildlife movement corridors and/or wildlife nursery sites. The consideration of conservation measures may include the following measures, in addition to the measures outlined in MM-BIO-1(b), where applicable:</p> <ul style="list-style-type: none"> — Wildlife movement buffer zones — Corridor realignment — Appropriately spaced breaks in center barriers — Stream rerouting — Culverts — Creation of artificial movement corridors such as freeway under- or overpasses — Other comparable measures 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>p) Where the lead agency has identified that a RTP/SCS project, or other regionally significant project, has the potential to impact other open space or nursery site areas, seek comparable coverage for these areas in consultation with the USFWS, CDFW, NMFS, or other local jurisdictions.</p> <p>q) Incorporate applicable and appropriate guidance (e.g. FHWA-HEP-16-059), as well as best management practices, to benefit pollinators with a focus on native plants.</p>		
<p>PMM BIO-5: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce conflicts with local policies and ordinances protecting biological resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Consult with the appropriate local agency responsible for the administration of the policy or ordinance protecting biological resources.</p> <p>b) Prioritize retention of trees on-site consistent with local regulations. Provide adequate protection during the construction period for any trees that are to remain standing, as recommended by an International Society of Arboriculture (ISA) certified arborist.</p> <p>c) If specific project area trees are designated as “Protected Trees,” “Landmark Trees,” or “Heritage Trees,” obtain approval for encroachment or removals through the appropriate entity, and develop appropriate mitigation measures at that time, to ensure that the trees are replaced. Mitigation trees shall be locally collected native species, as directed by a qualified biologist.</p> <p>d) Appoint an ISA certified arborist to monitor construction activities that may occur in areas with trees are designated as “Protected Trees,” “Landmark Trees,” or “Heritage Trees,” to facilitate avoidance of resources not permitted for impact. Before the start of any clearing, excavation, construction or other work on the site, securely fence off every protected tree deemed to be potentially endangered by said site work. Keep such fences in place for duration of all such work. Clearly mark all trees to be removed.</p> <p>e) Establish a scheme for the removal and disposal of logs, brush, earth and other debris that will avoid injury to any protected tree. Where proposed development or other site work could encroach upon the protected perimeter of any protected tree, incorporate special measures to allow the roots to breathe and obtain water and nutrients. Minimize any excavation, cutting, filing, or compaction of the existing ground surface within the protected perimeter. Require that no change in existing ground level occur from the base of any protected tree at any time. Require that no burning or use of equipment with an open flame occur near or within the protected perimeter of any protected tree.</p> <p>f) Require that no storage or dumping of oil, gas, chemicals, or other substances that may be harmful to trees occur from the base of any protected trees, or any other location on the site from which such substances might enter the protected perimeter. Require that no heavy construction equipment or construction materials be operated or stored within a distance from the base of any protected trees. Require that wires, ropes, or other devices not be attached to any protected tree, except as needed for support of the tree. Require that no sign, other than a tag showing the</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>botanical classification, be attached to any protected tree.</p> <p>g) Thoroughly spray the leaves of protected trees with water periodically during construction to prevent buildup of dust and other pollution that would inhibit leaf transpiration, as directed by the certified arborist.</p> <p>h) If any damage to a protected tree should occur during or as a result of work on the site, the appropriate local agency will be immediately notified of such damage. If, such tree cannot be preserved in a healthy state, as determined by the certified arborist, require replacement of any tree removed with another tree or trees on the same site deemed adequate by the local agency to compensate for the loss of the tree that is removed. Remove all debris created as a result of any tree removal work from the property within two weeks of debris creation, and such debris shall be properly disposed of in accordance with all applicable laws, ordinances, and regulations. Design projects to avoid conflicts with local policies and ordinances protecting biological resources</p> <p>i) Where avoidance is determined to be infeasible, sufficient conservation measures to fulfill the requirements of the applicable policy or ordinance shall be developed, such as to support issuance of a tree removal permit. The consideration of conservation measures may include:</p> <ul style="list-style-type: none"> — Avoidance strategies — Contribution of in-lieu fees — Planting of replacement trees — Re-landscaping areas with native vegetation post-construction — Other comparable measures developed in consultation with local agency and certified arborist. 		
<p>PMM BIO-6: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on HCPs and NCCPs, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Consult with the appropriate federal, state, and/or local agency responsible for the administration of HCPs or NCCPs.</p> <p>b) Wherever practicable and feasible, the project shall be designed to avoid lands preserved under the conditions of an HCP or NCCP.</p> <p>c) Where avoidance is determined to be infeasible, sufficient conservation measures to fulfill the requirements of the HCP and/or NCCP, which would include but not be limited to applicable authorization for incidental take pursuant to Section 7 or 10(a) of the federal Endangered Species Act or Section 2081 of the California ESA, shall be developed to support issuance of an incidental take permit or any other permissions required for development within the HCP/NCCP boundaries. The consideration of additional conservation measures would include the measures outlined in SMM-BIO-2, where applicable.</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Cultural Resources		
<p>SMM CULT-1: Impacts to cultural resources shall be minimized through cooperation, information sharing, and SCAG’s ongoing regional planning efforts such as web-based planning tools for local governments including CA LOTS, and other GIS tools and data services, including, but not limiting to, Map Gallery, GIS library, and GIS applications (<u>note that no confidential cultural or tribal cultural resource location information will be housed in this database. All regulations pertaining to cultural resources site location confidentiality will be respected</u>); and direct technical assistance efforts such as Toolbox Tuesday series and sharing of associated online Training materials. SCAG shall consult with resource agencies such as the National Park Service, Office of Historic Preservation, and Native American Heritage Commission, and with Native American tribes, to identify opportunities for early and effective consultation to identify archaeological sites, historical resources, and cemeteries to avoid such resources wherever practicable and feasible and reduce or mitigate for conflicts in compatible land use to the maximum extent practicable.</p>	Ongoing over the life of the plan	SCAG
<p>PMM CULT-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to historical resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Pursuant to <i>CEQA Guidelines</i> Section 15064.5, conduct a record search during the project planning phase at the appropriate Information Center to determine whether the project area has been previously surveyed and whether historical resources were identified. b) During the project planning phase, retain a qualified architectural historian, defined as an individual who meets the Secretary of the Interior’s (SOI) Professional Qualification Standards (PQS) in Architectural History, to conduct historic architectural surveys if a built environment resource greater than 45 years in age may be affected by the project or if recommended by the Information Center. c) Comply with Section 106 of the National Historic Preservation Act (NHPA) including, but not limited to, projects for which federal funding or approval is required for the individual project. This law requires federal agencies to evaluate the impact of their actions on resources included in or eligible for listing in the National Register. Federal agencies must coordinate with the State Historic Preservation Officer in evaluating impacts and developing mitigation. These mitigation measures may include, but are not limited to the following: <ul style="list-style-type: none"> — Employ design measures to avoid historical resources and undertake adaptive reuse where appropriate and feasible. If resources are to be preserved, as feasible, carry out the maintenance, repair, stabilization, rehabilitation, restoration, preservation, conservation or reconstruction in a manner consistent with the Secretary of the Interior’s Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Buildings. If resources would be impacted, impacts should be minimized to the extent feasible. — Where feasible, noise buffers/walls and/or visual buffers/landscaping should be constructed to preserve the contextual setting of significant built resources. d) If a project requires the relocation, rehabilitation, or alteration of an eligible historical resource, 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>the Secretary of the Interior’s Standards for the Treatment of Historic Properties should be used to the maximum extent possible to ensure the historical significance of the resource is not impaired. The application of the standards should be overseen by an architectural historian or historic architect meeting the SOI PQS. Prior to any construction activities that may affect the historical resource, a report, meeting industry standards, should identify and specify the treatment of character-defining features and construction activities and be provided to the Lead Agency for review and approval.</p> <p>e) If a project would result in the demolition or significant alteration of a historical resource eligible for or listed in the National Register of Historic Places (NRHP), California Register of Historical Resources (CRHR), or local register, recordation should take the form of Historic American Buildings Survey (HABS), Historic American Engineering Record (HAER), or Historic American Landscape Survey (HALS) documentation, and should be performed by an architectural historian or historian who meets the SOI PQS. Recordation should meet the SOI Standards and Guidelines for Architectural and Engineering, which defines the products acceptable for inclusion in the HABS/HAER/HALS collection at the Library of Congress. The specific scope and details of documentation should be developed at the project level in coordination with the Lead Agency.</p> <p>f) During the project planning phase, obtain a qualified archaeologist, defined as one who meets the SOI PQS for archaeology, to conduct a record search at the appropriate Information Center of the California Historical Resources Information System (CHRIS) to determine whether the project area has been previously surveyed and whether resources were identified.</p> <p>g) Contact the NAHC to request a Sacred Lands File search and a list of relevant Native American contacts who may have additional information.</p> <p>h) During the project planning phase, obtain a qualified archaeologist or architectural historian (depending on applicability) to conduct archaeological and/or historic architectural surveys as recommended by the qualified professional, the Lead Agency, or the Information Center. In the event the qualified professional or Information Center will make a recommendation on whether a survey is warranted based on the sensitivity of the project area for archaeological resources. Survey shall be conducted where the records indicate that no previous survey has been conducted, or if survey has not been conducted within the past 10 years. If tribal resources are identified during tribal outreach, consultation, or the record search, a Native American representative traditionally affiliated with the project area, as identified by the NAHC, shall be given the opportunity to provide a representative or monitor to assist with archaeological surveys.</p> <p>i) If potentially significant archaeological resources are identified through survey, and impacts to these resources cannot be avoided, a Phase II Testing and Evaluation investigation should be performed by a qualified archaeologist prior to any construction-related ground-disturbing activities to determine significance. If resources determined significant or unique through Phase II testing, and avoidance is not possible, appropriate resource-specific mitigation measures should be established by the lead agency, in consultation with consulting tribes, where appropriate, and undertaken by qualified personnel. These might include a Phase III data</p>		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>recovery program implemented by a qualified archaeologist and performed in accordance with the OHP’s Archaeological Resource Management Reports (ARMR): Recommended Contents and Format and Guidelines for Archaeological Research Designs. Additional options can include 1) interpretative signage, or 2) educational outreach that helps inform the public of the past activities that occurred in this area. Should the project require extended Phase I testing, Phase II evaluation, or Phase III data recovery, a Native American representative traditionally affiliated with the project area, as indicated by the NAHC, shall be given the opportunity to provide a representative or monitor to assist with the archaeological assessments. The long-term disposition of archaeological materials collected from a significant resource should be determined in consultation with the affiliated tribe(s), where relevant; this could include curation with a recognized scientific or educational repository, transfer to the tribe, or respectful reinternment in an area designated by the tribe.</p> <p>j) In cases where the project area is developed and no natural ground surface is exposed, sensitivity for subsurface resources should be assessed based on review of literature, geology, site development history, and consultation with tribal parties. If this archaeological desktop assessment indicates that the project is located in an area sensitive for archaeological resources, as determined by the Lead Agency in consultation with a qualified archaeologist, the project should retain an archaeological monitor and, in the case of sensitivity for tribal resources, a tribal monitor, to observe ground disturbing operations, including but not limited to grading, excavation, trenching, or removal of existing features of the subject property. The archaeological monitor should be supervised by an archaeologist meeting the SOI PQS</p> <p>k) Conduct construction activities and excavation to avoid cultural resources (if identified). If avoidance is not feasible, further work may be needed to determine the importance of a resource. Retain a qualified archaeologist, and/or as appropriate, a qualified architectural historian who should make recommendations regarding the work necessary to assess significance. If the cultural resource is determined to be significant under state or federal guidelines, impacts to the cultural resource will need to be mitigated.</p> <p>l) Stop construction activities and excavation in the area where cultural resources are found until a qualified archaeologist can determine whether these resources are significant, and tribal consultation can be conducted, in the case of tribal resources. If the archaeologist determines that the discovery is significant, its long-term disposition should be determined in consultation with the affiliated tribe(s); this could include curation with a recognized scientific or educational repository, transfer to the tribe, or respectful reinternment in an area designated by the tribe.</p>		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM CULT-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to human remains, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) In the event of discovery or recognition of any human remains during construction or excavation activities associated with the project, in any location other than a dedicated cemetery, cease further excavation or disturbance of the site or any nearby area reasonably suspected to overlie adjacent human remains until the coroner of the county in which the remains are discovered has been informed and has determined that no investigation of the cause of death is required. b) If any discovered remains are of Native American origin, as determined by the county Coroner, an experienced osteologist, or another qualified professional: <ul style="list-style-type: none"> — Contact the County Coroner to contact the NAHC to designate a Native American Most Likely Descendant (MLD). The MLD should make a recommendation to the landowner or the person responsible for the excavation work, for means of treating or disposing of, with appropriate dignity, the human remains and any associated grave goods. This may include obtaining a qualified archaeologist or team of archaeologists to properly excavate the human remains. In some cases, it is necessary for the Lead Agency, qualified archaeologist, or developer to also reach out to the NAHC to coordinate and ensure notification in the event the Coroner is not available. — If the NAHC is unable to identify a MLD, or the MLD fails to make a recommendation within 48 hours after being notified by the commission, or the landowner or his representative rejects the recommendation of the MLD and the mediation by the NAHC fails to provide measures acceptable to the landowner, obtain a culturally affiliated Native American monitor, and an archaeologist, if recommended by the Native American monitor, and rebury the Native American human remains and any associated grave goods, with appropriate dignity, on the property and in a location that is not subject to further subsurface disturbance. 	Ongoing over the life of the plan	Lead Agency
Geology and Soils		
<p>SMM-GEO-1: SCAG shall facilitate the minimization of substantial soil erosion or loss of topsoil through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts. Such efforts shall include web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts such as training series and sharing of associated online training materials. Resource agencies, such as the U.S. Geology Survey, shall be consulted during this update process.</p>	Ongoing over the life of the plan	SCAG
<p>PMM-GEO-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to historical resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Consistent with the CBC and local regulatory agencies with oversight of development associated 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>with the Plan, ensure that site-specific geotechnical investigations conducted by a qualified geotechnical expert are conducted to ascertain soil types prior to preparation of project designs. These investigations can and should identify areas of potential failure and recommend remedial geotechnical measures to eliminate any problems.</p> <p>b) Consistent with the requirements of the State Water Resources Control Board (SWRCB) for projects over one acre in size, obtain coverage under the General Construction Activity Storm Water Permit (General Construction Permit) issued by the SWRCB and prepare a stormwater pollution prevention plan (SWPPP) and submit the plan for review and approval by the Regional Water Quality Control Board (RWQCB). At a minimum, the SWPPP should include a description of construction materials, practices, and equipment storage and maintenance; a list of pollutants likely to contact stormwater; site-specific erosion and sedimentation control practices; a list of provisions to eliminate or reduce discharge of materials to stormwater; best management practices (BMPs); and an inspection and monitoring program.</p> <p>c) Consistent with the requirements of the SWRCB and local regulatory agencies with oversight of development associated with the Plan, ensure that project designs provide adequate slope drainage and appropriate landscaping to minimize the occurrence of slope instability and erosion. Design features should include measures to reduce erosion caused by storm water. Road cuts should be designed to maximize the potential for revegetation.</p> <p>d) Consistent with the CBC and local regulatory agencies with oversight of development associated with the Plan, ensure that, prior to preparing project designs, new and abandoned wells are identified within construction areas to ensure the stability of nearby soils.</p>		
<p>SMM GEO-2: Impacts to paleontological resources shall be minimized through cooperation, information sharing, and SCAG’s ongoing regional planning efforts such as web-based planning tools for local governments including CA LOTS, and other GIS tools and data services, including, but not limiting to, Map Gallery, GIS library, and GIS applications; and direct technical assistance efforts such as training series and sharing of associated online training materials. SCAG shall consult with resource agencies such as the National Park Service, United States Forest Service, and Bureau of Land Management to identify opportunities for early and effective consultation to identify unique paleontological resources and unique geological features to avoid such resources wherever practicable and feasible and reduce or mitigation for conflicts in compatible land use to the maximum extent practicable.</p>	Ongoing over the life of the plan	SCAG
<p>PMM GEO-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the State CEQA Guidelines, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to paleontological resources. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Ensure compliance with the Paleontological Resources Preservation Act, the Federal Land Policy and Management Act, the Antiquities Act, Section 5097.5 of the Public Resources Code (PRC), adopted county and city general plans, and other federal, state and local regulations, as applicable and feasible, by adhering to and incorporating the performance standards and practices from the 2010 Society for Vertebrate Paleontology (SVP) standard procedures for the</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>assessment and mitigation of adverse impacts to paleontological resources.</p> <p>b) Obtain review by a qualified paleontologist (e.g. who meets the SVP standards for a Principal Investigator or Project Paleontologist or the Bureau of Land Management (BLM) standards for a Principal Investigator), to determine if the project has the potential to require ground disturbance of parent material with potential to contain unique paleontological or resources, or to require the substantial alteration of a unique geologic feature. The assessment should include museum records searches, a review of geologic mapping and the scientific literature, geotechnical studies (if available), and potentially a pedestrian survey, if units with paleontological potential are present at the surface.</p> <p>c) Avoid exposure or displacement of parent material with potential to yield unique paleontological resources.</p> <p>d) Where avoidance of parent material with the potential to yield unique paleontological resources is not feasible:</p> <ol style="list-style-type: none"> 1. All on-site construction personnel receive Worker Education and Awareness Program (WEAP) training prior to the commencement of excavation work to understand the regulatory framework that provides for protection of paleontological resources and become familiar with diagnostic characteristics of the materials with the potential to be encountered. 2. A qualified paleontologist prepares a Paleontological Resource Management Plan (PRMP) to guide the salvage, documentation and repository of unique paleontological resources encountered during construction. The PRMP should adhere to and incorporate the performance standards and practices from the 2010 SVP Standard procedures for the assessment and mitigation of adverse impacts to paleontological resources. If unique paleontological resources are encountered during construction, use a qualified paleontologist to oversee the implementation of the PRMP. 3. Monitor ground disturbing activities in parent material, with a moderate to high potential to yield unique paleontological resources using a qualified paleontological monitor meeting the standards of the SVP or the BLM to determine if unique paleontological resources are encountered during such activities, consistent with the specified or comparable protocols. 4. Identify where ground disturbance is proposed in a geologic unit having the potential for containing fossils and specify the need for a paleontological monitor to be present during ground disturbance in these areas. <p>e) Avoid routes and project designs that would permanently alter unique geological features.</p> <p>f) Salvage and document adversely affected resources sufficient to support ongoing scientific research and education.</p> <p>g) Significant recovered fossils should be prepared to the point of curation, identified by qualified experts, listed in a database to facilitate analysis, and deposited in a designated paleontological curation facility.</p> <p>h) Following the conclusion of the paleontological monitoring, the qualified paleontologist should</p>		

*Mitigation Monitoring and Reporting Program for the Connect SoCal Plan, Exhibit A
Resolution No. 20-620-1*

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
prepare a report stating that the paleontological monitoring requirement has been fulfilled and summarize the results of any paleontological finds. The report should be submitted to the lead CEQA and the repository curating the collected artifacts, and should document the methods and results of all work completed under the PRMP, including treatment of paleontological materials, results of specimen processing, analysis, and research, and final curation arrangements.		
Greenhouse Gases		
SMM GHG-1: SCAG, in partnership with local air districts, shall continue to work with the counties and cities to adopt qualified GHG reduction plans (e.g., climate action plans [CAPs], develop GHG-reducing planning policies, and implement local climate initiatives. These reductions can be achieved through a combination of programs that implement plans developed collaboratively, including ZNE in new construction, retrofits of existing buildings, incentivizing the development of renewable energy sources that serve both new and existing land uses, as well as measures to reduce GHG emissions from transportation sources.	Ongoing over the life of the plan	SCAG
SMM GHG-2: SCAG shall encourage energy efficient design for buildings, through SCAG’s Sustainable Communities Program potentially including strengthening local building codes for new construction and renovation to achieve a higher level of energy efficiency.	Ongoing over the life of the plan	SCAG
SMM GHG-3: SCAG shall continue working with partners including universities, utilities, regulating agencies, the private sector and NGO’s, and member agencies to support deployment of electric vehicle (EV) charging in the region. SCAG shall provide resources to member agencies and supply them with available information and data so that they can better take advantage of legislation and funding for EV charging.	Ongoing over the life of the plan	SCAG
SMM GHG-4: SCAG shall continue to pursue partnerships with SCE, municipal utilities, locally operated electricity providers and CPUC to promote energy efficient development in the SCAG region, through coordinated planning and data and information sharing activities.	Ongoing over the life of the plan	SCAG
PMM-GHG-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i> , a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to greenhouse gas emissions, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency: a) Integrate green building measures consistent with CALGreen (California Building Code Title 24), local building codes and other applicable laws, into project design including: i) Use energy efficient materials in building design, construction, rehabilitation, and retrofit. ii) Install energy-efficient lighting, heating, and cooling systems (cogeneration); water heaters; appliances; equipment; and control systems. iii) Reduce lighting, heating, and cooling needs by taking advantage of light-colored roofs, trees for shade, and sunlight. iv) Incorporate passive environmental control systems that account for the characteristics of the natural environment. v) Use high-efficiency lighting and cooking devices.	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<ul style="list-style-type: none"> vi) Incorporate passive solar design. vii) Use high-reflectivity building materials and multiple glazing. viii) Prohibit gas-powered landscape maintenance equipment. ix) Install electric vehicle charging stations. x) Reduce wood burning stoves or fireplaces. xi) Provide bike lanes accessibility and parking at residential developments. b) Reduce emissions resulting from projects through implementation of project features, project design, or other measures, such as those described in Appendix F of the State CEQA Guidelines. c) Include off-site measures to mitigate a project’s emissions. d) Measures that consider incorporation of Best Available Control Technology (BACT) during design, construction and operation of projects to minimize GHG emissions, including but not limited to: <ul style="list-style-type: none"> i) Use energy and fuel-efficient vehicles and equipment; ii) Deployment of zero- and/or near zero emission technologies; iii) Use lighting systems that are energy efficient, such as LED technology; iv) Use the minimum feasible amount of GHG-emitting construction materials; v) Use cement blended with the maximum feasible amount of flash or other materials that reduce GHG emissions from cement production; vi) Incorporate design measures to reduce GHG emissions from solid waste management through encouraging solid waste recycling and reuse; vii) Incorporate design measures to reduce energy consumption and increase use of renewable energy; viii) Incorporate design measures to reduce water consumption; ix) Use lighter-colored pavement where feasible; x) Recycle construction debris to maximum extent feasible; xi) Plant shade trees in or near construction projects where feasible; and xii) Solicit bids that include concepts listed above. e) Measures that encourage transit use, carpooling, bike-share and car-share programs, active transportation, and parking strategies, including, but not limited to the following: <ul style="list-style-type: none"> i) Promote transit-active transportation coordinated strategies; ii) Increase bicycle carrying capacity on transit and rail vehicles; iii) Improve or increase access to transit; iv) Increase access to common goods and services, such as groceries, schools, and day care; v) Incorporate affordable housing into the project; 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<ul style="list-style-type: none"> vi) Incorporate the neighborhood electric vehicle network; vii) Orient the project toward transit, bicycle and pedestrian facilities; viii) Improve pedestrian or bicycle networks, or transit service; ix) Provide traffic calming measures; x) Provide bicycle parking; xi) Limit or eliminate park supply; xii) Unbundle parking costs; xiii) Provide parking cash-out programs; xiv) Implement or provide access to commute reduction program; f) Incorporate bicycle and pedestrian facilities into project designs, maintaining these facilities, and providing amenities incentivizing their use; and planning for and building local bicycle projects that connect with the regional network; g) Improving transit access to rail and bus routes by incentives for construction of transit facilities within developments, and/or providing dedicated shuttle service to transit stations; and h) Adopting employer trip reduction measures to reduce employee trips such as vanpool and carpool programs, providing end-of-trip facilities, and telecommuting programs including but not limited to measures that: <ul style="list-style-type: none"> i) Provide car-sharing, bike sharing, and ride-sharing programs; ii) Provide transit passes; iii) Shift single occupancy vehicle trips to carpooling or vanpooling, for example providing ride-matching services; iv) Provide incentives or subsidies that increase that use of modes other than single-occupancy vehicle; v) Provide on-site amenities at places of work, such as priority parking for carpools and vanpools, secure bike parking, and showers and locker rooms; vi) Provide employee transportation coordinators at employment sites; vii) Provide a guaranteed ride home service to users of non-auto modes. i) Designate a percentage of parking spaces for ride-sharing vehicles or high-occupancy vehicles, and provide adequate passenger loading and unloading for those vehicles; j) Land use siting and design measures that reduce GHG emissions, including: <ul style="list-style-type: none"> i) Developing on infill and brownfields sites; ii) Building compact and mixed-use developments near transit; iii) Retaining on-site mature trees and vegetation, and planting new canopy trees; iv) Measures that increase vehicle efficiency, encourage use of zero and low emissions vehicles, or reduce the carbon content of fuels, including constructing or encouraging construction of 		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>electric vehicle charging stations or neighborhood electric vehicle networks, or charging for electric bicycles; and</p> <p>v) Measures to reduce GHG emissions from solid waste management through encouraging solid waste recycling and reuse.</p> <p>k) Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities. The measures provided above are also intended to be applied in low income and minority communities as applicable and feasible.</p>		
Hazards and Hazardous Materials		
<p>SMM HAZ-1: SCAG shall work with the U.S. DOT, the Office of Environmental Service Caltrans, and the private sector to continue to conduct driver safety training programs and enforce speed limits on roadways. In an effort to reduce risks associated with the transport of hazardous materials in the SCAG region, SCAG shall encourage the U.S. Department of Transportation and the California Highway Patrol to continue to enforce speed limits and existing regulations governing goods movement and hazardous materials transportation.</p>	Ongoing over the life of the plan	SCAG
<p>SMM HAZ-2: SCAG shall notify member agencies of the importance of ensuring that construction and operation of transportation projects provide for the safe transport and disposal of hazardous waste, consistent with the provisions of HMR, 49 CFR Parts 171–180.</p>	Ongoing over the life of the plan	SCAG
<p>SMM HAZ-3: SCAG shall coordinate with the Office of Environmental Services to identify any transportation infrastructure elements within the SCAG region where risks to people and property occur at an above-average incident level, potentially warranting consideration for remedial design in future regional transportation plans (RTPs).</p>	Ongoing over the life of the plan	SCAG
<p>PMM HAZ-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to the routine transport, use, or disposal of hazardous materials, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Where the construction or operation of projects involves the transport of hazardous material, provide a written plan of proposed routes of travel demonstrating use of roadways designated for the transport of such materials.</p> <p>b) Specify Project requirements for interim storage and disposal of hazardous materials during construction and operation. Storage and disposal strategies must be consistent with applicable federal, state, and local statutes and regulations. Specify the appropriate procedures for interim storage and disposal of hazardous materials, anticipated to be required in support of operations and maintenance activities, in conformance with applicable federal, state, and local statutes and regulations, in the business plan for projects as applicable and appropriate.</p> <p>c) Submit a Hazardous Materials Business/Operations Plan for review and approval by the appropriate local agency. Once approved, keep the plan on file with the Lead Agency (or other appropriate government agency) and update, as applicable. The purpose of the Hazardous Materials Business/Operations Plan is to ensure that employees are adequately trained to handle</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>the materials and provides information to the local fire protection agency should emergency response be required. The Hazardous Materials Business/Operations Plan should include the following:</p> <ul style="list-style-type: none"> — The types of hazardous materials or chemicals stored and/or used on-site, such as petroleum fuel products, lubricants, solvents, and cleaning fluids. — The location of such hazardous materials. — An emergency response plan including employee training information. — A plan that describes the way these materials are handled, transported and disposed. <p>d) Follow manufacturer’s recommendations on use, storage, and disposal of chemical products used in construction.</p> <p>e) Avoid overtopping construction equipment fuel gas tanks.</p> <p>f) Properly contain and remove grease and oils during routine maintenance of construction equipment.</p> <p>g) Properly dispose of discarded containers of fuels and other chemicals.</p> <p>h) Prior to shipment remove the most volatile elements, including flammable natural gas liquids, as feasible.</p> <p>i) Identify and implement more stringent tank car safety standards.</p> <p>j) Improve rail transportation route analysis, and modification of routes based on that analysis.</p> <p>k) Use the best available inspection equipment and protocols and implement positive train control.</p> <p>l) Reduce train car speeds to 40 miles per hour when passing through urbanized areas of any size.</p> <p>m) Limit storage of crude oil tank cars in urbanized areas of any size and provide appropriate security in storage yards for all shipments.</p> <p>n) Notify in advance county and city emergency operations offices of all crude oil shipments, including a contact number that can provide real-time information in the event of an oil train derailment or accident.</p> <p>o) Report quarterly hazardous commodity flow information, including classification and characterization of materials being transported, to all first response agencies (49 Code Fed. Regs. 15.5) along the mainline rail routes used by trains carrying crude oil identified.</p> <p>p) Fund training and outfitting emergency response crews that includes the cost of backfilling personnel while in training.</p> <p>q) Undertake annual emergency responses scenario/field based training including Emergency Operations Center Training activations with local emergency response agencies.</p>		
<p>PMM HAZ-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce hazards related to the reasonably foreseeable upsets and accidents involving the release of hazardous materials, as applicable and feasible. Such measures may include the following or other comparable</p>	<p>Ongoing over the life of the plan</p>	<p>Lead Agency</p>

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>measures identified by the Lead Agency: Require implementation of safety standards regarding transport of hazardous materials, including but not limited to the following:</p> <ul style="list-style-type: none"> a) Removal of the most volatile elements, including flammable natural gas liquids, prior to shipment; b) More stringent tank car safety standards; c) Improved rail transportation route analysis, and modification of routes based on that analysis; d) Utilization of the best available inspection equipment and protocols, and implementation of positive train control; e) Reduced train car speeds to 40 miles per hour when passing through urbanized areas of any size; f) Limitations on storage of hazardous materials tank cars in urbanized areas of any size and provide appropriate security in storage yards for all shipments; g) Advance notification to county and city emergency operations offices of all crude oil and hazardous materials shipments, including a contact number that can provide real-time information in the event of an oil train derailment or accident; h) Quarterly hazardous commodity flow information, including classification and characterization of materials being transported, to all first response agencies (49 Code Fed. Regs. 15.5) along the mainline rail routes used by trains carrying hazardous materials. 		
<p>PMM HAZ-3: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to the release of hazardous materials within one-quarter mile of schools, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Where the construction and operation of projects involves the transport of hazardous materials, avoid transport of such materials within one-quarter mile of schools, when school is in session, wherever feasible. b) Where it is not feasible to avoid transport of hazardous materials, within one-quarter mile of schools on local streets, provide notifications of the anticipated schedule of transport of such materials. 	Ongoing over the life of the plan	Lead Agency
<p>PMM HAZ-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to projects that are located on a site which is included on the Cortese List, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) For any listed sites or sites that have the potential for residual hazardous materials as a result of historic land uses, complete a Phase I Environmental Site Assessment, including a review and consideration of data from all known databases of contaminated sites, during the process of 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>planning, environmental clearance, and construction for projects.</p> <p>b) Where warranted due to the known presence of contaminated materials, submit to the appropriate agency responsible for hazardous materials/wastes oversight a Phase II Environmental Site Assessment report if warranted by a Phase I report for the project site. The reports should make recommendations for remedial action, if appropriate, and be signed by a Registered Environmental Assessor, Professional Geologist, or Professional Engineer.</p> <p>c) Implement the recommendations provided in the Phase II Environmental Site Assessment report, where such a report was determined to be necessary for the construction or operation of the project, for remedial action.</p> <p>d) Submit a copy of all applicable documentation required by local, state, and federal environmental regulatory agencies, including but not limited to: permit applications, Phase I and II Environmental Site Assessments, human health and ecological risk assessments, remedial action plans, risk management plans, soil management plans, and groundwater management plans.</p> <p>e) Conduct soil sampling and chemical analyses of samples, consistent with the protocols established by the U.S. EPA to determine the extent of potential contamination beneath all underground storage tanks (USTs), elevator shafts, clarifiers, and subsurface hydraulic lifts when on-site demolition or construction activities would potentially affect a particular development or building.</p> <p>f) Consult with the appropriate local, state, and federal environmental regulatory agencies to ensure sufficient minimization of risk to human health and environmental resources, both during and after construction, posed by soil contamination, groundwater contamination, or other surface hazards including, but not limited to, underground storage tanks, fuel distribution lines, waste pits and sumps.</p> <p>g) Obtain and submit written evidence of approval for any remedial action if required by a local, state, or federal environmental regulatory agency.</p> <p>h) Cease work if soil, groundwater, or other environmental medium with suspected contamination is encountered unexpectedly during construction activities (e.g., identified by odor or visual staining, or if any underground storage tanks, abandoned drums, or other hazardous materials or wastes are encountered), in the vicinity of the suspect material. Secure the area as necessary and take all appropriate measures to protect human health and the environment, including but not limited to, notification of regulatory agencies and identification of the nature and extent of contamination. Stop work in the areas affected until the measures have been implemented consistent with the guidance of the appropriate regulatory oversight authority.</p> <p>i) Soil generated by construction activities should be stockpiled on-site in a secure and safe manner. All contaminated soils determined to be hazardous or non-hazardous waste must be adequately profiled (sampled) prior to acceptable reuse or disposal at an appropriate off-site facility. Complete sampling and handling and transport procedures for reuse or disposal, in accordance with applicable local, state and federal laws and policies.</p> <p>j) Groundwater pumped from the subsurface should be contained on-site in a secure and safe</p>		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>manner, prior to treatment and disposal, to ensure environmental and health issues are resolved pursuant to applicable laws and policies. Utilize engineering controls, which include impermeable barriers to prohibit groundwater and vapor intrusion into the building.</p> <p>k) As needed and appropriate, prior to issuance of any demolition, grading, or building permit, submit for review and approval by the Lead Agency (or other appropriate government agency) written verification that the appropriate federal, state and/or local oversight authorities, including but not limited to the Regional Water Quality Control Board (RWQCB), have granted all required clearances and confirmed that the all applicable standards, regulations, and conditions have been met for previous contamination at the site.</p> <p>l) Develop, train, and implement appropriate worker awareness and protective measures to assure that worker and public exposure is minimized to an acceptable level and to prevent any further environmental contamination as a result of construction.</p> <p>m) If asbestos-containing materials (ACM) are found to be present in building materials to be removed, submit specifications signed by a certified asbestos consultant for the removal, encapsulation, or enclosure of the identified ACM in accordance with all applicable laws and regulations, including but not necessarily limited to: California Code of Regulations, Title 8; Business and Professions Code; Division 3; California Health and Safety Code Section 25915-25919.7; and other local regulations.</p> <p>n) Where projects include the demolitions or modification of buildings constructed prior to 1978, complete an assessment for the potential presence or lack thereof of ACM, lead based paint, and any other building materials or stored materials classified as hazardous waste by state or federal law.</p> <p>o) Where the remediation of lead-based paint has been determined to be required, provide specifications to the appropriate agency, signed by a certified Lead Supervisor, Project Monitor, or Project Designer for the stabilization and/or removal of the identified lead paint in accordance with all applicable laws and regulations, including but not necessarily limited to: California Occupational Safety and Health Administration’s (Cal OSHA’s) Construction Lead Standard, Title 8 California Code of Regulations (CCR) Section 1532.1 and Department of Health Services (DHS) Regulation 17 CCR Sections 35001–36100, as may be amended. If other materials classified as hazardous waste by state or federal law are present, the project sponsor should submit written confirmation to the appropriate local agency that all state and federal laws and regulations should be followed when profiling, handling, treating, transporting, and/or disposing of such materials.</p>		
<p>SMM HAZ-5: SCAG shall continue to collaborate with key stakeholders on regional aviation planning issues through the Aviation Technical Advisory Committee (ATAC). The ATAC is a partnership between the airports, transportation agencies and commissions, experts, and other community members.</p>	Ongoing over the life of the plan	SCAG
<p>PMM HAZ-5: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects which may impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan, as applicable and feasible. Such</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Continue to coordinate locally and regionally based on ongoing review and integration of projected transportation and circulation conditions. b) Develop new methods of conveying projected and real time information to citizens using emerging electronic communication tools including social media and cellular networks; c) Continue to evaluate lifeline routes for movement of emergency supplies and evacuation. 		
Hydrology and Water Quality		
<p>SMM HYD-1: SCAG shall continue to work with local jurisdictions and water quality agencies to encourage regional-scale planning for improved water quality management and pollution prevention. Future impacts to water quality shall be avoided to the extent practical and feasible through cooperative planning, information sharing, and comprehensive pollution control measure development within the SCAG region. This cooperative planning shall occur as part of current and existing coordination, an integral part of SCAG’s ongoing regional planning efforts.</p>	Ongoing over the life of the plan	SCAG
<p>PMM HYD-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects from violation of any water quality standards or waste discharge requirements or otherwise substantially degrade surface or groundwater quality, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Complete, and have approved, a Stormwater Pollution Prevention Plan (SWPPP) prior to initiation of construction. b) Implement Best Management Practices to reduce the peak stormwater runoff from the project site to the maximum extent practicable. c) Comply with the Caltrans storm water discharge permit as applicable; and identify and implement Best Management Practices to manage site erosion, wash water runoff, and spill control. d) Complete, and have approved, a Standard Urban Stormwater Management Plan, prior to occupancy of residential or commercial structures. e) Ensure adequate capacity of the surrounding stormwater system to support stormwater runoff from new or rehabilitated structures or buildings. f) Prior to construction within an area subject to Section 404 of the Clean Water Act, obtain all required permit approvals and certifications for construction within the vicinity of a watercourse: g) Where feasible, restore or expand riparian areas such that there is no net loss of impervious surface as a result of the project. h) Install structural water quality control features, such as drainage channels, detention basins, oil and grease traps, filter systems, and vegetated buffers to prevent pollution of adjacent water resources by polluted runoff where required by applicable urban storm water runoff discharge 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>permits, on new facilities.</p> <ul style="list-style-type: none"> i) Provide operational best management practices for street cleaning, litter control, and catch basin cleaning are implemented to prevent water quality degradation in compliance with applicable storm water runoff discharge permits; and ensure treatment controls are in place as early as possible, such as during the acquisition process for rights-of-way, not just later during the facilities design and construction phase. j) Comply with applicable municipal separate storm sewer system discharge permits as well as Caltrans' storm water discharge permit including long-term sediment control and drainage of roadway runoff. k) Incorporate as appropriate treatment and control features such as detention basins, infiltration strips, and porous paving, other features to control surface runoff and facilitate groundwater recharge into the design of new transportation projects early on in the process to ensure that adequate acreage and elevation contours are provided during the right-of-way acquisition process. l) Upgrade stormwater drainage facilities to accommodate any increased runoff volumes. These upgrades may include the construction of detention basins or structures that will delay peak flows and reduce flow velocities, including expansion and restoration of wetlands and riparian buffer areas. System designs shall be completed to eliminate increases in peak flow rates from current levels. m) Encourage Low Impact Development (LID) and incorporation of natural spaces that reduce, treat, infiltrate and manage stormwater runoff flows in all new developments, where practical and feasible. 		
<p>SMM HYD-2: SCAG shall build from existing efforts including those at the sub-regional and local level and shall continue to work with local jurisdictions and water agencies, to encourage regional-scale planning for improved stormwater management and groundwater recharge, including consideration of alternative recharge technologies and practices. Future adverse impacts may be avoided through cooperative planning, information sharing, and comprehensive implementation efforts within the SCAG region.</p>	Ongoing over the life of the plan	SCAG
<p>PMM HYD-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects from violation of any water quality standards or waste discharge requirements or otherwise substantially degrade surface or groundwater quality, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Avoid designs that require continual dewatering where feasible. <p>For projects requiring continual dewatering facilities, implement monitoring systems and long-term administrative procedures to ensure proper water management that prevents degrading of surface water and minimizes adverse impacts on groundwater for the life of the project, Construction designs shall comply with appropriate building codes and standard practices including the Uniform Building</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>Code.</p> <p>a) Maximize, where practical and feasible, permeable surface area in existing urbanized areas to protect water quality, reduce flooding, allow for groundwater recharge, and preserve wildlife habitat. Minimize new impervious surfaces, including the use of in-lieu fees and off-site mitigation.</p> <p>b) Avoid construction and siting on groundwater recharge areas, to prevent conversion of those areas to impervious surface.</p> <p>c) Reduce hardscape to the extent feasible to facilitate groundwater recharge as appropriate.</p>		
<p>SMM HYD-3: SCAG shall build from existing efforts including those at the sub-regional and local level and shall continue to work with local jurisdictions to encourage regional-scale planning for maintaining and/or improving existing drainage patterns. Future adverse impacts may be avoided through cooperative planning, information sharing, and comprehensive implementation efforts within the SCAG region.</p>	Ongoing over the life of the plan	SCAG
<p>SMM HYD-4: SCAG shall continue to work with local jurisdictions and water quality agencies to encourage flood protection and prevent development in flood hazard areas that do not have appropriate protections. This shall be accomplished through cooperation and information sharing regarding specific alignments and rights-of-way planning for RTP projects, and regional program development as part of SCAG's ongoing regional planning efforts. These include but are not limited to web-based data distribution planning tools and sustainability programs in conjunction with local governments. Such services would potentially consist of an inventory of areas located in or near a 100-year flood hazard zone or hazard areas that would potentially be affected by a failure of a levee or dam; or inundation by seiche, tsunami, or mudflow.</p>	Ongoing over the life of the plan	SCAG
<p>PMM HYD-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures capable of avoiding or reducing the potential impacts of locating structures that would impede or redirect flood flows, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Ensure that all roadbeds for new highway and rail facilities be elevated at least one foot above the 100-year base flood elevation. Since alluvial fan flooding is not often identified on FEMA flood maps, the risk of alluvial fan flooding should be evaluated and projects should be sited to avoid alluvial fan flooding. Delineation of floodplains and alluvial fan boundaries should attempt to account for future hydrologic changes caused by global climate change.</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Land Use and Planning		
SMM LU-1: SCAG shall coordinate with local County Transportation Commissions, Caltrans and other implementing agencies when siting new facilities in residential areas to facilitate minimizing future impacts of transportation projects on established communities, through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts to promote best planning practices.	Ongoing over the life of the plan	SCAG
<p>PMM LU-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Facilitate good design for land use projects that build upon and improve existing circulation patterns b) Encourage implementing agencies to orient transportation projects to minimize impacts on existing communities by: <ul style="list-style-type: none"> — Selecting alignments within or adjacent to existing public rights of way. — Design sections above or below-grade to maintain viable vehicular, cycling, and pedestrian connections between portions of communities where existing connections are disrupted by the transportation project. — Wherever feasible incorporate direct crossings, overcrossings, or under crossings at regular intervals for multiple modes of travel (e.g., pedestrians, bicyclists, vehicles). c) Where it has been determined that it is infeasible to avoid creating a barrier in an established community, consider other measures to reduce impacts, including but not limited to: <ul style="list-style-type: none"> — Alignment shifts to minimize the area affected. — Reduction of the proposed right-of-way take to minimize the overall area of impact. — Provisions for bicycle, pedestrian, and vehicle access across improved roadways. 	Ongoing over the life of the plan	Lead Agency
SMM LU-2: SCAG shall continue to promote the Intergovernmental Review (IGR) Program as an internal and external informational tool by reviewing and monitoring all projects submitted to SCAG for review and working with local jurisdictions to ensure that submitted projects support the most currently adopted Connect SoCal Plan. SCAG shall provide comment letters on regionally significant projects to recommend additional resources to help the lead agency support or develop a projects that are consistent with the Plan, as appropriate. The IGR Mapping Tool can also be utilized by local jurisdictions to assess regional impacts. To visit the IGR Mapping tool, please go to: https://maps.scag.ca.gov/IGR/ . For more information on SCAG’s IGR Program, please visit: http://www.scag.ca.gov/programs/Pages/IGR.aspx .	Ongoing over the life of the plan	SCAG
SMM LU-3: SCAG shall encourage cities and counties in the region to provide SCAG with electronic versions of their most recent general plan (and associated environmental document) and any updates as they are produced.	Ongoing over the life of the plan	SCAG

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
SMM LU-4: SCAG shall continue to provide targeted technical services such as GIS and data support for cities and counties to update their general plans at least every ten years, as recommended by the Governor’s Office of Planning and Research.	Ongoing over the life of the plan	SCAG
SMM LU-5: SCAG shall provide technical assistance and regional leadership to encourage implementation of the Plan goals and strategies that integrate growth and land use planning with the existing and planned transportation network.	Ongoing over the life of the plan	SCAG
<p>PMM LU-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) When an inconsistency with the adopted general plan policy or land use regulation (adopted for the purpose of avoiding or mitigating an impact) is identified modify the transportation or land use project to eliminate the conflict; or, determine if the environmental, social, economic, and engineering benefits of the project warrant an amendment to the general plan or land use regulation.</p>	Ongoing over the life of the plan	Lead Agency
Mineral Resources		
SMM MIN-1: SCAG shall coordinate with the Department of Conservation, California Geological Survey to maintain a database of (1) available mineral resources in the SCAG region including permitted and unpermitted aggregate resources and (2) the anticipated 50-year demand for aggregate and other mineral resources. Based on the results of this survey, SCAG shall work with local agencies on strategies to address anticipated demand, including identifying future sites that may seek permitting and working with industry experts to identify ways to encourage and increase recycling to reduce the demand for aggregate.	Ongoing over the life of the plan	SCAG
<p>PMM MIN-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce the use of mineral resources that could be of value to the region, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Provide for the efficient use of known aggregate and mineral resources or locally important mineral resource recovery sites, by ensuring that the consumptive use of aggregate resources is minimized and that access to recoverable sources of aggregate is not precluded, as a result of construction, operation and maintenance of projects.</p> <p>b) Where avoidance is infeasible, minimize impacts to the efficient and effective use of recoverable sources of aggregate through measures that have been identified in county and city general plans, or other comparable measures such as:</p> <ol style="list-style-type: none"> 1) Recycle and reuse building materials resulting from demolition, particularly aggregate resources, to the maximum extent practicable. 2) Identify and use building materials, particularly aggregate materials, resulting from demolition at other construction sites in the SCAG region, or within a reasonable hauling 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>distance of the project site.</p> <p>3) Design transportation network improvements in a manner (such as buffer zones or the use of screening) that does not preclude adjacent or nearby extraction of known mineral and aggregate resources following completion of the improvement and during long-term operations.</p> <p>4) Avoid or reduce impacts on known aggregate and mineral resources and mineral resource recovery sites through the evaluation and selection of project sites and design features (e.g., buffers) that minimize impacts on land suitable for aggregate and mineral resource extraction by maintaining portions of MRZ-2 areas in open space or other general plan land use categories and zoning that allow for mining of mineral resources.</p>		
Noise		
<p>SMM-NOISE-1: SCAG shall coordinate with CTCs and member agencies as part of SCAG’s outreach and technical assistance to local governments to encourage transportation projects and projects involving residential and commercial land uses to mitigate noise and vibration or be developed in areas that are normally acceptable or conditionally acceptable, consistent with applicable guidelines (i.e., OPR, Caltrans, etc.).</p>	Ongoing over the life of the plan	SCAG
<p>PMM NOISE-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Install temporary noise barriers during construction.</p> <p>b) Include permanent noise barriers and sound-attenuating features as part of the project design. Barriers could be in the form of outdoor barriers, sound walls, buildings, or earth berms to attenuate noise at adjacent sensitive uses.</p> <p>c) Schedule construction activities consistent with the allowable hours pursuant to applicable general plan noise element or noise ordinance</p> <p>d) Post procedures and phone numbers at the construction site for notifying the Lead Agency staff, local Police Department, and construction contractor (during regular construction hours and off-hours), along with permitted construction days and hours, complaint procedures, and who to notify in the event of a problem.</p> <p>e) Notify neighbors and occupants within 300 feet of the project construction area at least 30 days in advance of anticipated times when noise levels are expected to exceed limits established in the noise element of the general plan or noise ordinance.</p> <p>f) Designate an on-site construction complaint and enforcement manager for the project.</p> <p>g) Ensure that construction equipment are properly maintained per manufacturers’ specifications and fitted with the best available noise suppression devices (e.g., improved mufflers, equipment redesign, use of intake silencers, ducts, engine enclosures, and acoustically attenuating shields or shrouds silencers, wraps). All intake and exhaust ports on power equipment shall be muffled or</p>	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>shielded.</p> <p>h) Use hydraulically or electrically powered tools (e.g., jack hammers, pavement breakers, and rock drills) for project construction to avoid noise associated with compressed air exhaust from pneumatically powered tools. However, where use of pneumatic tools is unavoidable, an exhaust muffler on the compressed air exhaust should be used; this muffler can lower noise levels from the exhaust by up to about 10 dBA. External jackets on the tools themselves should be used, if such jackets are commercially available, and this could achieve a further reduction of 5 dBA. Quieter procedures should be used, such as drills rather than impact equipment, whenever such procedures are available and consistent with construction procedures.</p> <p>i) Where feasible, design projects so that they are depressed below the grade of the existing noise-sensitive receptor, creating an effective barrier between the roadway and sensitive receptors.</p> <p>j) Where feasible, improve the acoustical insulation of dwelling units where setbacks and sound barriers do not provide sufficient noise reduction.</p> <p>k) Using rubberized asphalt or “quiet pavement” to reduce road noise for new roadway segments, roadways in which widening or other modifications require re-pavement, or normal reconstruction of roadways where re-pavement is planned</p> <p>l) Projects that require pile driving or other construction noise above 90 dBA in proximity to sensitive receptors, should reduce potential pier drilling, pile driving and/or other extreme noise generating construction impacts greater than 90 dBA; a set of site-specific noise attenuation measures should be completed under the supervision of a qualified acoustical consultant.</p> <p>m) Use land use planning measures, such as zoning, restrictions on development, site design, and buffers to ensure that future development is compatible with adjacent transportation facilities and land uses;</p> <p>n) Monitor the effectiveness of noise reduction measures by taking noise measurements and installing adaptive mitigation measures to achieve the standards for ambient noise levels established by the noise element of the general plan or noise ordinance.</p> <p>o) Use equipment and trucks with the best available noise control techniques (e.g., improved mufflers, equipment redesign, use of intake silencers, ducts, engine enclosures, and acoustically attenuating shields or shrouds, wherever feasible) for project construction.</p> <p>p) Stationary noise sources can and should be located as far from adjacent sensitive receptors as possible and they should be muffled and enclosed within temporary sheds, incorporate insulation barriers, or use other measures as determined by the Lead Agency (or other appropriate government agency) to provide equivalent noise reduction.</p> <p>q) Use of portable barriers in the vicinity of sensitive receptors during construction.</p> <p>r) Implement noise control at the receivers by temporarily improving the noise reduction capability of adjacent buildings (for instance by the use of sound blankets), and implement if such measures are feasible and would noticeably reduce noise impacts.</p> <p>s) Monitor the effectiveness of noise attenuation measures by taking noise measurements.</p> <p>t) Maximize the distance between noise-sensitive land uses and new roadway lanes, roadways, rail</p>		

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>lines, transit centers, park-and-ride lots, and other new noise-generating facilities.</p> <ul style="list-style-type: none"> u) Construct sound reducing barriers between noise sources and noise-sensitive land uses. v) Stationary noise sources can and should be located as far from adjacent sensitive receptors as possible and they should be muffled and enclosed within temporary sheds, incorporate insulation barriers, or use other measures as determined by the Lead Agency (or other appropriate government agency) to provide equivalent noise reduction. w) Use techniques such as grade separation, buffer zones, landscaped berms, dense plantings, sound walls, reduced-noise paving materials, and traffic calming measures. x) Locate transit-related passenger stations, central maintenance facilities, decentralized maintenance facilities, and electric substations away from sensitive receptors to the maximum extent feasible. y) Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities. 		
<p>PMM NOISE-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to violating air quality standards, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) For projects that require pile driving or other construction techniques that result in excessive vibration, such as blasting, determine the potential vibration impacts to the structural integrity of the adjacent buildings within 50 feet of pile driving locations. b) For projects that require pile driving or other construction techniques that result in excessive vibration, such as blasting, determine the threshold levels of vibration and cracking that could damage adjacent historic or other structure, and design means and construction methods to not exceed the thresholds. c) For projects where pile driving would be necessary for construction due to geological conditions, utilize quiet pile driving techniques such as predrilling the piles to the maximum feasible depth, where feasible. Predrilling pile holes will reduce the number of blows required to completely seat the pile and will concentrate the pile driving activity closer to the ground where pile driving noise can be shielded more effectively by a noise barrier/curtain. d) Restrict construction activities to permitted hours in accordance with local jurisdiction regulation. e) Properly maintain construction equipment and outfit construction equipment with the best available noise suppression devices (e.g., mufflers, silences, wraps). f) Prohibit idling of construction equipment for extended periods of time in the vicinity of sensitive receptors. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Population and Housing		
SMM-POP-1: SCAG shall promote the Sustainability Program which will provide technical assistance to local jurisdictions that support local planning and implementation of the Connect SoCal Plan. The program recognizes sustainable solutions to local growth challenges and will result in local plans that promote sustainability through the integration of transportation and land use. For more information please visit: http://sustain.scag.ca.gov/Documents/Sustainable%20Communities%20Program%20Guidelines.pdf .	Ongoing over the life of the plan	SCAG
SMM-POP-2: SCAG shall provide technical assistance to local governments, transit agencies and developers within the region to build housing capacity to compete in the statewide Affordable Housing Sustainable Communities (AHSC) grants program. The AHSC program is one of the few state funding opportunities to address housing shortages within the state. For more information please visit: http://ahsc.scag.ca.gov/Pages/Home.aspx .	Ongoing over the life of the plan	SCAG
SMM-POP-3: SCAG shall host summits that addresses the housing crisis and provides solutions to build more housing. Examples include the 2016 Housing Summit (http://www.scag.ca.gov/SiteAssets/HousingSummit/index.html) and the Eighth Annual Economic Summit (https://www.scag.ca.gov/calendar/Pages/8thEconomicSummit.aspx).	Ongoing over the life of the plan	SCAG
SMM-POP-4: SCAG shall continue to produce the biennial Local Profile reports for all member jurisdictions in the SCAG region for the purpose of data and information sharing. The Local Profiles reports provide a variety of demographic, economic, education, housing, and transportation information that local jurisdictions can utilize like project and program planning. For more information about the most recently release 2019 Local Profiles, please visit: http://www.scag.ca.gov/DataAndTools/Pages/LocalProfiles.aspx .	Ongoing over the life of the plan	SCAG
SMM-POP-5: SCAG shall assist cities to identify funding and financing opportunities and potential partnerships for public infrastructure improvements for transit-oriented development and other smart growth projects.	Ongoing over the life of the plan	SCAG
PMM-POP-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i> , a Lead Agency for a project can and should consider mitigation measures to reduce the displacement of existing housing, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency: <ul style="list-style-type: none"> a) Evaluate alternate route alignments and transportation facilities that minimize the displacement of homes and businesses. Use an iterative design and impact analysis where impacts to homes or businesses are involved to minimize the potential of impacts on housing and displacement of people. b) Prioritize the use existing ROWs, wherever feasible. c) Develop a construction schedule that minimizes potential neighborhood deterioration from protracted waiting periods between right-of-way acquisition and construction. d) Review capacities of available urban infrastructure and augment capacities as needed to accommodate demand in locations where growth is desirable to the local lead Agency and encouraged by the SCS (primarily TPAs, where applicable). e) When General Plans and other local land use regulations are amended or updated, use the most recent growth projections and RHNA allocation plan. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Public Services		
<p>SMM PSF-1: SCAG shall assist planners, first responders, and recovery teams in a supporting role, in three key areas, before a major emergency and during the recovery period:</p> <ul style="list-style-type: none"> • Provide a policy forum to help develop regional consensus and education on security policies and emergency responses. • Assist in expediting the planning and programming of transportation infrastructure repairs from major disasters. • Encourage integration of transportation security measures into transportation projects early in the project development process by leveraging SCAG’s relevant plans, programs, and processes, including regional ITS architecture. An example includes SCAG’s participation in the development of the Southern California Catastrophic Earthquake Preparedness Plan.² 	Ongoing over the life of the plan	SCAG
<p>SMM PSF-2: SCAG shall facilitate minimizing future impacts to fire protection services through information sharing regarding Fire-wise Land Management (data regarding fire-resistant vegetation, fire-resistant materials, locations where development is potentially hazardous in regard to wildfire, and management of brush and other fire risks in the immediate vicinity of development in areas with high fire threat) with county and city planning departments.</p>	Ongoing over the life of the plan	SCAG
<p>SMM PSP-1: SCAG shall facilitate minimizing future impacts to library services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to Map Gallery, GIS library, and GIS applications, and promote acceptable service ratios regarding library services.</p>	Ongoing over the life of the plan	SCAG
<p>SMM PSP-2: SCAG shall help to enhance the region’s ability to deter and respond to acts of terrorism, human-caused or natural disasters through regionally cooperative and collaborative strategies. SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety security policies.</p>	Ongoing over the life of the plan	SCAG
<p>SMM PSP-3: SCAG shall help to enhance the region’s ability to deter and respond to terrorist incidents, human-caused or natural disasters by strengthening relationship and coordination with transportation. This will be accomplished by the following:</p> <ul style="list-style-type: none"> • SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety security policies. • SCAG shall encourage all SCAG elected officials are educated in NIMS. • SCAG shall work with partner agencies, federal, state and local jurisdictions to improve communications and interoperability and to find opportunities to leverage and effectively utilize transportation and public safety/security resources in support of this effort. 	Ongoing over the life of the plan	SCAG

² California Emergency Management Agency, *Southern California Catastrophic Earthquake Response Plan*, December 2010
[https://www.caloes.ca.gov/PlanningPreparednessSite/Documents/SoCalCatastrophicConops\(Public\)2010.pdf](https://www.caloes.ca.gov/PlanningPreparednessSite/Documents/SoCalCatastrophicConops(Public)2010.pdf), accessed October 31, 2019.

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
SMM PSP-4: SCAG shall encourage and provide a forum for local jurisdictions to develop mutual aid agreements for essential government services during any incident recovery.	Ongoing over the life of the plan	SCAG
<p>PMM PSP-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of constructing new emergency response facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> • Coordinate with emergency response agencies to ensure that there are adequate governmental facilities to maintain acceptable service ratios, response times or other performance objectives for emergency response services and that any required additional construction of buildings is incorporated in to the project description. • Where current levels of services at the project site are found to be inadequate, provide fair share contributions towards infrastructure improvements, as appropriate and applicable, to mitigate identified CEQA impacts. • Project sponsors can and should develop traffic control plans for individual projects. Traffic control plans should include information on lane closures and the anticipated flow of traffic during the construction period. The basic objective of each traffic control plan (TCP) is to permit the contractor to work within the public right of way efficiently and effectively while maintaining a safe, uniform flow of traffic. The construction work and the public traveling through the work zone in vehicles, bicycles or as pedestrians must be given equal consideration when developing a traffic control plan. 	Ongoing over the life of the plan	Lead Agency
SMM PSS-1: SCAG shall facilitate minimizing future impacts to school services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts to promote school planning efforts.	Ongoing over the life of the plan	SCAG
<p>PMM PSS-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of constructing new or physically altered school facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Where construction or expansion of school facilities is required to meet public school service ratios, require school district fees, as applicable.</p>	Ongoing over the life of the plan	Lead Agency
SMM PSL-1: SCAG shall facilitate minimizing future impacts to library services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to Map Gallery, GIS library, and GIS applications, and promote acceptable service ratios regarding library services.	Ongoing over the life of the plan	SCAG

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM PSL-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of construction of new or altered library facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Where construction or expansion of library facilities is required to meet public library service ratios, require library fees, as appropriate and applicable, to mitigate identified CEQA impacts. 	Ongoing over the life of the plan	Lead Agency
Parks and Recreation		
<p>SMM REC-1: SCAG shall continue the commitment to analyze public health outcomes as part of the Regional Transportation Plan/Sustainable Communities Strategy (Plan). As part of the public health analysis for the Plan, SCAG shall continue to analyze resident access to parks and recreational facilities from a county level to help local jurisdictions to improve resident access to parks. SCAG shall communicate the impacts of the Plan through its Public Health Working group, and continue to support policy changes at the city and county level through educational programs.</p>	Ongoing over the life of the plan	SCAG
<p>PMM REC-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on the use of existing neighborhood and regional parks or other recreational facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Prior to the issuance of permits, where projects require the construction or expansion of recreational facilities or the payment of equivalent Quimby fees, consider increasing the accessibility to natural areas and lands for outdoor recreation from the proposed project area, in coordination with local and regional open space planning and/or responsible management agencies. b) Prior to the issuance of permits, where projects require the construction or expansion of recreational facilities or the payment of equivalent Quimby fees, encourage patterns of urban development and land use which reduce costs on infrastructure and make better use of existing facilities, using strategies such as: <ul style="list-style-type: none"> i. Increasing the accessibility to natural areas for outdoor recreation ii. Utilizing “green” development techniques iii. Promoting water-efficient land use and development iv. Encouraging multiple uses, such as the joint use of schools v. Including trail systems and trail segments in General Plan recreation standards. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Transportation, Traffic, and Safety		
SMM TRA-1: SCAG shall facilitate minimizing VMT and related vehicular delay by minimizing impacts to circulation and access, improve mobility, and encourage transit and Active Transportation via workshops (i.e., Mobility 21 workshop and Regional Transportation Workgroups) and web-based planning tools for local governments, forums with policy makers, and County Transportation Planning Agencies, member cities, and state partners.	Ongoing over the life of the plan	SCAG
SMM TRA-2: SCAG shall identify further reduction in VMT, and fuel consumption that could be obtained through land-use strategies, additional car-sharing programs with linkage to public transportation, additional vanpools, additional bicycle sharing and parking programs, and implementation of a universal employee transit access pass (TAP) program.	Ongoing over the life of the plan	SCAG
SMM TRA-3: SCAG shall initiate and facilitate an SB 743 implementation program. The grant-funded project, co-sponsored by SCAG and LADOT, seeks to provide technical and mitigation strategy development guidance to local jurisdictions in the six-county SCAG region to facilitate implementation of the VMT-based CEQA transportation impact analysis provisions of SB 743. This coordinated program of technical guidance, evaluation of options, and cooperative engagement with local communities will serve to smooth the transition to the new VMT-reducing development paradigm, helping to ensure a successful region-wide implementation of SB 743 and attainment of the associated GHG reduction goals. Some of the primary features of the scope of work include: <ul style="list-style-type: none"> • Evaluate the feasibility of various alternative VMT mitigation options, including local and regional VMT exchange and banking programs. • Establish CEQA nexus to reduce VMT through a VMT mitigation exchange or banking program alternative. • Substantiate the legal basis of a VMT exchange program for satisfying CEQA mitigation requirements. • Collaborate with other communities and jurisdictions to reduce VMT through implementation of a VMT mitigation exchange or bank program. • Improve the dissemination of transportation project VMT mitigation options. • Support a variety of TDM strategies for Transportation Management Organization (TMO) membership agencies. • Provide guidance to facilitate establishment of VMT mitigation exchange or bank programs throughout the region and state 	Ongoing over the life of the plan	SCAG
SMM TRA-4: SCAG shall continue to analyze and develop potential implementation strategies for a regional, market-based system to price or charge for auto trips during peak hours.	Ongoing over the life of the plan	SCAG
SMM TRA-5: SCAG shall develop a vanpool program for SCAG employees' commute trips.	Ongoing over the life of the plan	SCAG
SMM TRA-6: SCAG shall encourage new developments to incorporate both local and regional transit measures into the project design that promote the use of alternative modes of transportation.	Ongoing over the life of the plan	SCAG

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>PMM-TRA-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to transportation-related impacts, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> • Transportation demand management (TDM) strategies should be incorporated into individual land use and transportation projects and plans, as part of the planning process. Local agencies should incorporate strategies identified in the Federal Highway Administration’s publication: Integrating Demand Management into the Transportation Planning Process: A Desk Reference (August 2012) into the planning process (FHWA 2012). For example, the following strategies may be included to encourage use of transit and non-motorized modes of transportation and reduce vehicle miles traveled on the region’s roadways: <ul style="list-style-type: none"> — include TDM mitigation requirements for new developments; — incorporate supporting infrastructure for non-motorized modes, such as, bike lanes, secure bike parking, sidewalks, and crosswalks; — provide incentives to use alternative modes and reduce driving, such as, universal transit passes, road and parking pricing; — implement parking management programs, such as parking cash-out, priority parking for carpools and vanpools; — develop TDM-specific performance measures to evaluate project-specific and system-wide performance; — incorporate TDM performance measures in the decision-making process for identifying transportation investments; — implement data collection programs for TDM to determine the effectiveness of certain strategies and to measure success over time; and — set aside funding for TDM initiatives. — The increase in per capita VMT on facilities experiencing LOS F represents a significant impact compared to existing conditions. To assess whether implementation of these specific mitigation strategies would result in measurable traffic congestion reductions, implementing actions may need to be further refined within the overall parameters of the proposed Plan and matched to local conditions in any subsequent project-level environmental analysis. 	Ongoing over the life of the plan	Lead Agency
<p>SMM TRA-7: SCAG shall, in cooperation with local and state agencies, identify critical infrastructure needs necessary for: a) emergency responders to enter the region, b) evacuation of affected facilities, and c) restoration of utilities. In addition, SCAG shall establish transportation infrastructure practices that promote and enhance security.</p>	Ongoing over the life of the plan	SCAG

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>SMM TRA-8: SCAG shall provide the means for collaboration in planning, communication, and information sharing before, during, or after a regional emergency. This will be accomplished by the following:</p> <ul style="list-style-type: none"> • SCAG shall develop and incorporate strategies and actions pertaining to response and prevention of security incidents and events as part of the on-going regional planning activities. • SCAG shall offer a regional repository of GIS data for use by local agencies in emergency planning, and response, in a standardized format. • SCAG shall enter into mutual aid agreements with other MPOs (as feasible) to provide this data, in coordination with the California OES in the event that an event disrupts SCAG's ability to function. 	Ongoing over the life of the plan	SCAG
<p>PMM TRA-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects which may substantially impair implementation of an adopted emergency response plan or emergency evacuation plan, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>a) Prior to construction, project implementation agencies can and should ensure that all necessary local and state road and railroad encroachment permits are obtained. The project implementation agency can and should also comply with all applicable conditions of approval. As deemed necessary by the governing jurisdiction, the road encroachment permits may require the contractor to prepare a traffic control plan in accordance with professional engineering standards prior to construction. Traffic control plans can and should include the following requirements:</p> <ul style="list-style-type: none"> — Identification of all roadway locations where special construction techniques (e.g., directional drilling or night construction) would be used to minimize impacts to traffic flow. — Development of circulation and detour plans to minimize impacts to local street circulation. This may include the use of signing and flagging to guide vehicles through and/or around the construction zone. — Scheduling of truck trips outside of peak morning and evening commute hours. — Limiting of lane closures during peak hours to the extent possible. — Usage of haul routes minimizing truck traffic on local roadways to the extent possible. — Inclusion of detours for bicycles and pedestrians in all areas potentially affected by project construction. — Installation of traffic control devices as specified in the California Department of Transportation Manual of Traffic Controls for Construction and Maintenance Work Zones. — Development and implementation of access plans for highly sensitive land uses such as police and fire stations, transit stations, hospitals, and schools. The access plans would be developed with the facility owner or administrator. To minimize disruption of emergency vehicle access, affected jurisdictions can and should be asked to identify detours for 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>emergency vehicles, which will then be posted by the contractor. Notify in advance the facility owner or operator of the timing, location, and duration of construction activities and the locations of detours and lane closures.</p> <ul style="list-style-type: none"> — Storage of construction materials only in designated areas. — Coordination with local transit agencies for temporary relocation of routes or bus stops in work zones, as necessary. — Ensure the rapid repair of transportation infrastructure in the event of an emergency through cooperation among public agencies and by identifying critical infrastructure needs necessary for: a) emergency responders to enter the region, b) evacuation of affected facilities, and c) restoration of utilities. — Enhance emergency preparedness awareness among public agencies and with the public at large. 		
Tribal Cultural Resources		
<p>SMM TCR-1: SCAG shall consult with the Native American Heritage Commission, as well as Native American tribes, to identify opportunities for early and effective consultation to identify tribal cultural resources to avoid such resources wherever practicable and feasible and reduce or mitigate for conflicts in compatible land use to the maximum extent practicable.</p>	Ongoing over the life of the plan	SCAG
<p>PMM TCR-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on tribal cultural resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> a) Avoidance and preservation of the resources in place, including, but not limited to, planning and construction to avoid the resources and protect the cultural and natural context, or planning greenspace, parks, or other open space, to incorporate the resources with culturally appropriate protection and management criteria; b) Treating the resource with culturally appropriate dignity taking into account the tribal cultural values and meaning of the resource, including, but not limited to, the following: protecting the cultural character and integrity of the resource; protecting the traditional use of the resource; and protecting the confidentiality of the resource; c) Permanent conservation easements or other interests in real property, with culturally appropriate management criteria for the purposes of preserving or utilizing the resources or places; and protecting the resource. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
Utilities and Service Systems		
SMM USSW-1: During the planning, design, and project-level CEQA review process for individual development projects, SCAG shall coordinate with waste management agencies and the appropriate local and regional jurisdictions to facilitate the development of measures and to encourage diversion of solid waste such as recycling and composting programs, as needed. This includes discouraging siting of new landfills unless all other waste reduction and prevention actions have been fully explored to minimize impacts to neighborhoods.	Ongoing over the life of the plan	SCAG
SMM USSW-2: SCAG shall coordinate with waste management agencies, and the appropriate local and regional jurisdictions, measures to facilitate and encourage diversion of solid waste such as recycling and composting programs.	Ongoing over the life of the plan	SCAG
<p>PMM USSW-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce the generation of solid waste, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <p>Integrate green building measures with CALGreen (California Building Code Title 24) into project design, including but not limited to the following:</p> <ul style="list-style-type: none"> a) Reuse and minimization of construction and demolition (C&D) debris and diversion of C&D waste from landfills to recycling facilities. b) Inclusion of a waste management plan that promotes maximum C&D diversion. c) Source reduction through (1) use of materials that are more durable and easier to repair and maintain, (2) design to generate less scrap material through dimensional planning, (3) increased recycled content, (4) use of reclaimed materials, and (5) use of structural materials in a dual role as finish material (e.g., stained concrete flooring, unfinished ceilings, etc.). d) Reuse of existing structure and shell in renovation projects. e) Development of indoor recycling program and space. f) Discourage the siting of new landfills unless all other waste reduction and prevention actions have been fully explored. If landfill siting or expansion is necessary, site landfills with an adequate landfill-owned, undeveloped land buffer to minimize the potential adverse impacts of the landfill in neighboring communities. g) Discourage exporting of locally generated waste outside of the SCAG region during the construction and implementation of a project. Encourage disposal within the county where the waste originates as much as possible. Promote green technologies for long-distance transport of waste (e.g., clean engines and clean locomotives or electric rail for waste-by-rail disposal systems) and consistency with SCAQMD and Connect SoCal policies can and should be required. h) Encourage waste reduction goals and practices and look for opportunities for voluntary actions to exceed the 80 percent waste diversion target. i) Encourage the development of local markets for waste prevention, reduction, and recycling 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>practices by supporting recycled content and green procurement policies, as well as other waste prevention, reduction and recycling practices.</p> <p>j) Develop ordinances that promote waste prevention and recycling activities such as: requiring waste prevention and recycling efforts at all large events and venues; implementing recycled content procurement programs; and developing opportunities to divert food waste away from landfills and toward food banks and composting facilities.</p> <p>k) Develop and site composting, recycling, and conversion technology facilities that have minimum environmental and health impacts.</p> <p>l) Integrate reuse and recycling into residential industrial, institutional and commercial projects.</p> <p>m) Provide education and publicity about reducing waste and available recycling services.</p> <p>n) Implement or expand city or county-wide recycling and composting programs for residents and businesses. This could include extending the types of recycling services offered (e.g., to include food and green waste recycling) and providing public education and publicity about recycling services.</p>		
<p>SMM-USWW-1: SCAG shall work with local jurisdictions and wastewater agencies to encourage regional-scale planning for improved wastewater and stormwater management. Future impacts to wastewater and stormwater facilities shall be avoided to the extent practical and feasible through cooperative planning, information sharing, and comprehensive pollution control measure development within the SCAG region. This cooperative planning shall occur as part of current and existing coordination, an integral part of SCAG’s ongoing regional planning efforts.</p>	Ongoing over the life of the plan	SCAG
<p>PMM-USWW-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on utilities and service systems, particularly for construction of wastewater facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ul style="list-style-type: none"> • During the design and CEQA review of individual future projects, implementing agencies and projects sponsors shall determine whether sufficient wastewater capacity exists for the proposed projects. There CEQA determinations must ensure that the proposed development can be served by its existing or planned treatment capacity. If adequate capacity does not exist, project sponsors shall coordinate with the relevant service provider to ensure that adequate public services and utilities could accommodate the increased demand, and if not, infrastructure improvements for the appropriate public service or utility shall be identified in each project’s CEQA documentation. The relevant public service provider or utility shall be responsible for undertaking project-level review as necessary to provide CEQA clearance for new facilities. 	Ongoing over the life of the plan	Lead Agency

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
<p>SMM USWS-1: SCAG shall coordinate with local agencies as part of SCAG’s Sustainability Program regarding the implementation of Urban Greening, Greenbelts and Community Separator land use strategies. Primary features of land use strategies address the following:</p> <ul style="list-style-type: none"> • Increased trail and greenway connectivity; • Improved water quality, groundwater recharge and watershed health; • Strategies for stormwater and rainwater collection, infiltration, treatment and release; • Reduce urban runoff; • Expand the urban forest; • Provision of wildlife habitat and increased biodiversity; • Expand recreation opportunities and beautification; • Preserving agrarian economies; • Restore severed wildlife corridors. 	Ongoing over the life of the plan	SCAG
<p>PMM USWS-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i>, a Lead Agency for a project can and should consider mitigation measures to ensure sufficient water supplies, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:</p> <ol style="list-style-type: none"> a) Reduce exterior consumptive uses of water in public areas, and should promote reductions in private homes and businesses, by shifting to drought-tolerant native landscape plantings, using weather-based irrigation systems, educating other public agencies about water use, and installing related water pricing incentives. b) Promote the availability of drought-resistant landscaping options and provide information on where these can be purchased. Use of reclaimed water especially in median landscaping and hillside landscaping can and should be implemented where feasible. c) Implement water conservation best practices such as low-flow toilets, water-efficient clothes washers, water system audits, and leak detection and repair. d) For projects located in an area with existing reclaimed water conveyance infrastructure and excess reclaimed water capacity, use reclaimed water for non- potable uses, especially landscape irrigation. For projects in a location planned for future reclaimed water service, projects should install dual plumbing systems in anticipation of future use. Large developments could treat wastewater onsite to tertiary standards and use it for non-potable uses onsite. 	Ongoing over the life of the plan	Lead Agency
Wildfire		
<p>SMM WF-1: SCAG shall facilitate minimizing future impacts to fire protection services through information sharing regarding Fire-wise Land Management (vegetation data, fire-resistant building materials, locations where development is vulnerable to wildfire, and best practices for safe land management) with county and city planning departments. Furthermore, SCAG shall examine wildfire risk management strategies in areas where at-risk critical electrical infrastructure is located based on CPUC and CAL FIRE maps.</p>	Ongoing over the life of the plan	SCAG

*Mitigation Monitoring and Reporting Program for the Connect SoCal Plan, Exhibit A
Resolution No. 20-620-1*

Mitigation Measure	Mitigation Monitoring Timing	Responsible Monitoring Entity
SMM WF-2: SCAG, in partnership with technical experts and stakeholders shall launch or continue existing initiatives to help local cities and counties to protect Southern California communities and economies from the disruption of wildfire occurrences. Initiatives could include but not be limited to seminars that review the risk of wildfire and approaches for preparation, including strengthening of infrastructure, emergency services, emergency evacuation plans and reviewing building safety codes.	Ongoing over the life of the plan	SCAG
SMM WF-3: SCAG shall develop a regional resilience program and identify specific strategies to reduce vulnerabilities from natural disasters related to land based or atmospheric hazards, climate change, wildfire and other extreme weather events.	Ongoing over the life of the plan	SCAG
PMM WF-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i> , a Lead Agency for a project can and should consider mitigation measures to wildfire risk, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency: <ul style="list-style-type: none"> a) Launch fire prevention education for local cities and counties such that local fire agencies, homeowners, as well as commercial and industrial businesses are aware of potential sources of fire ignition and the related procedures to curb or lessen any activities that might initiate fire ignition. b) Ensure structures in high fire risk areas are built to current state and federal standards which serve to greatly increase the chances the structure will survive a wildfire and also allow for people to shelter-in-place. c) Improve road access for emergency response and evacuation so people can evacuate safely and timely when necessary. d) Improve, and educate regarding, local emergency communications and notifications with residents and businesses. e) Enforce defensible space regulations to keep overgrown and unmanaged vegetation, accumulations of trash and other flammable material away from structures. f) Provide public education about wildfire risk and fire prevention measures, and safety procedures and practices to allow for safe evacuation and/or options to shelter-in-place 	Ongoing over the life of the plan	Lead Agency
PMM WF-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the <i>State CEQA Guidelines</i> , a Lead Agency for a project can and should consider mitigation measures to wildfire risk, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency: <ul style="list-style-type: none"> a) New development or infrastructure activity within very high hazard severity zones or SRAs shall be required to <ul style="list-style-type: none"> — Submit a fire protection plan including the designation of fire watch staff; — Maintain water and other fire suppression equipment designated solely for firefighting on site for any construction and maintenance activities; — Locate construction and maintenance equipment in designated “safe areas” such that they do not discharge combustible materials; and — Designate trained fire watch staff during project construction to reduce risk of fire hazards. 	Ongoing over the life of the plan	Lead Agency



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EXHIBIT A – MITIGATION MONITORING AND REPORTING
FOR THE FINAL CONNECT SOCIAL PEIR

ADOPTED MAY 2020

connectsocial.org

PLEASE RECYCLE 29072020.04.23



EXHIBIT B

Findings of Fact for the Connect SoCal Plan



ADOPTED MAY 2020

STATE CLEARINGHOUSE
#20199011061

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1.0 INTRODUCTION

The California Environmental Quality Act (CEQA) requires that a public agency shall not approve or carry out a project for which an Environmental Impact Report (EIR) has been certified that identifies one or more significant adverse environmental effects of a project unless the public agency makes one or more written findings for each of those significant effects, accompanied by a brief explanation of the rationale for each finding. This document presents the findings made by the Southern California Association of Governments (SCAG), in its capacity as the CEQA lead agency, regarding Connect SoCal (Plan or Project) evaluated in the Final Program Environmental Impact Report (Final PEIR) for the Project. In addition, pursuant to Public Resources Code (PRC) section 21081 and CEQA Guidelines section 15093, the existence of significant unavoidable impacts resulting from the Project requires SCAG to prepare a Statement of Overriding Considerations explaining why the agency is willing to accept the unavoidable significant impacts. The Findings of Fact (Findings) reported in the following pages incorporate the facts and discussions of environmental impacts that are described in the Connect SoCal Program Environmental Impact Report (PEIR). Additionally, the Statement of Overriding Considerations (as set forth in Exhibit C), describes the economic, social, environmental, and other benefits of the Plan that override the significant environmental impacts.

For each of the impacts associated with the Plan, the following are provided:

- Description of Impacts – A specific description of the environmental impact identified in the PEIR.
- Mitigation – Identified mitigation measures or actions that are proposed for implementation as part of the project.
- Findings and Rationale – Explanation regarding the adoption of mitigation measures, their implementation, and the short- and long-term benefits related to reduction in criteria air pollutants and per capita reductions in greenhouse gas emissions (GHG), and other economic, social, and environmental benefits that warrant overriding the significant and unavoidable environmental impacts.

Where feasible, mitigation measures have been identified to reduce significant impacts. CEQA requires a mitigation monitoring or reporting program to be adopted by the Lead Agency. SCAG has prepared a Mitigation Monitoring and Reporting Program (MMRP) (as set forth in set forth in Exhibit A), in compliance with the requirements of Section 21081.6 of CEQA to ensure the efficacy of proposed mitigation measures. The PEIR identifies the potentially significant environmental impacts associated with the Plan and specifies measures designed to mitigate adverse environmental impacts. The MMRP includes

procedures to be used to implement the mitigation measures adopted in connection with the certification of the Connect SoCal PEIR and methods of monitoring and reporting.

Because the PEIR presents a region-wide, programmatic level of assessment of existing conditions and potential impacts associated with implementation of Connect SoCal as a whole, this PEIR identifies programmatic mitigation measures for which SCAG would be responsible on a regional scale (these mitigation measures are phrased as “SCAG shall”). In addition, consistent with the provisions of Section 15091(a)(2) of the *State CEQA Guidelines*, SCAG has identified mitigation measures that are within the responsibility and jurisdiction of other public agencies, including lead agencies, and that can and should be considered to mitigate project-level impacts, as applicable and feasible.

The Final Connect SoCal and associated PEIR come at a time of great uncertainty when the region is experiencing severe and devastating impacts of the COVID-19 pandemic. The effect of the pandemic on the region’s economy with millions out of work and thousands of businesses shut down was not anticipated by Connect SoCal or the associated PEIR. At the present time it would be speculative to determine how or even if the Connect SoCal growth forecast for the year 2045 will be impacted. Therefore, the potential impact of the pandemic on future years is not considered new information that would affect the analysis or change the findings for the Connect SoCal PEIR. SCAG will continue to monitor socioeconomic effects of the pandemic and will coordinate with local jurisdictions to assess whether revisions to Connect SoCal are necessary (and provide any appropriate environmental review), as updated information becomes available.

As will be discussed in more detail in the following sections, it is the finding of the SCAG Regional Council that the proposed Final PEIR fulfills environmental review requirements for the Connect SoCal Plan; constitutes a complete, accurate, adequate, and good faith effort at full disclosure under CEQA; and reflects the independent judgment of the SCAG Regional Council.

To assure consistent documentation of its direction at the May 7, 2020 Regional Council meeting regarding Connect SoCal, the Regional Council finds that conforming changes to the enacting resolution, findings and other decisional documents that fully effectuate the direction of the Regional Council, shall be presented to the Regional Council at a subsequent meeting for review and approval. If there is any inconsistency between the enacting resolutions, findings and other decisional documents and the Regional Council direction, the Regional Council direction shall govern.

2.0 PROJECT SUMMARY

2.1 PROJECT LOCATION

The SCAG region consists of six counties that includes Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura, and 191 cities. The total area of the SCAG region is approximately 38,000 square miles. Additionally, the SCAG region consists of 15 sub-regional entities that have been recognized by the Regional Council, SCAG's governing body, as partners in the regional policy planning process. The SCAG region is home to approximately 19 million people. This represents 5.8 percent of the 328 million people in the United States and 48 percent of California's population.¹ To the north of the SCAG region are the counties of Kern and Inyo; to the east is State of Nevada and State of Arizona; to the south is the U.S.-Mexico border; to the west and south is the county of San Diego; and to the northwest is the Pacific Ocean. The region includes the county with the largest land area in the nation, San Bernardino County; as well as the county with the highest population in the nation, Los Angeles County.

2.2 PROJECT DETAILS

This section provides background information on the RTP/SCS that is updated by SCAG every four years in accordance with applicable federal and state laws. "Connect SoCal" refers to the 2020-2045 RTP/SCS. The terms may be used interchangeably in this subsection.

The Regional Transportation Plan (RTP) is a long-range comprehensive plan for the region's multi-modal transportation. Preparation of the RTP is one of SCAG's primary statutory responsibilities under federal and state law. The RTP is the mechanism used in California by both Metropolitan Planning Organizations (MPOs) and Regional Transportation Planning Agencies (RTPAs) to conduct long-range (at least 20-year) planning in their regions. SCAG must adopt a RTP and update it every four years, or more frequently, if the region is to receive federal or state transportation dollars for public transit, street/road, bicycle, and pedestrian improvements.

State planning law further requires, pursuant to the Sustainable Communities and Climate Protection Act of 2008 (Senate Bill 375 or "SB 375"), that an MPO prepare and adopt a Sustainable Communities Strategy (SCS) that sets forth a forecasted regional development pattern that, when integrated with the transportation network, measures, and policies, will reduce greenhouse gas (GHG) emissions from automobiles and light duty trucks. SB 375 is part of California's overall strategy to reach GHG emissions reduction goals as set forth by Assembly Bill (AB) 32 and Executive Orders S-03-05 and B-30-15, by

¹ Connect SoCal Demographics & Growth Report, 2019

promoting integrated transportation and land use planning with the goal of creating more sustainable communities.

The SCS outlines certain land use growth strategies that provide for more integrated land use and transportation planning and maximize transportation investments. In accordance with provisions of SB 375, the SCS developed as part of the RTP cannot dictate local General Plan policies. Rather, SB 375 is intended to provide a regional policy foundation that local government may build upon, if they so choose, and generally includes the quantitative, jurisdiction-level growth projections from each city and county in the region going forward. Additionally, SB 375 provides streamlined environmental review opportunities for eligible projects.²

Over 4,000 multimodal transportation projects, transportation and land use strategies proposed in Connect SoCal represent an investment of over \$638 billion over the next 25 years. The regional development pattern promoted by the Plan accommodates forecasted population, housing, and employment growth while improving access to employment and services throughout the region.

The Plan includes a growth forecast with population, household and employment growth anticipated to occur in the SCAG region by 2045; a transportation network including a list of transportation projects in the region; and a forecasted development pattern with land use and transportation strategies that the region could pursue over the Plan horizon. The Plan was developed to achieve targets for greenhouse (GHG) emissions reductions (19 percent per capita reduction), consistent with SB 375 and other regional goals.

Implementation of the Plan's land use development pattern would accommodate 51 percent of the region's future household growth and 60 percent of future employment growth in designated High-Quality Transit Areas (HQTAs). Over twice as many households will live in high-quality transit opportunity areas under the Plan compared with existing conditions. In 2018, 55 percent of total housing units were single-family units and 45 percent were multi-family units. The Plan projects that in 2045, 31 percent of new homes in the SCAG region will be single-family units and 69 percent multi-family units. In 2045, 29 percent of households are anticipated to be large-lot single-family units, 20 percent small-lot single-family units, 8 percent townhome units, and 42 percent multi-family units.

² CEQA streamlining provisions are also available for eligible projects meeting the criteria established by Senate Bill 226 (Simitian, 2011), CEQA Guidelines Section 15183.3 (Streamlining for Infill Projects) and for eligible projects meeting the criteria established by Senate Bill 743 (Steinberg, 2013), Public Resources Code Section 21155.4 (Exemptions).

2.3 PROJECT GOALS AND GUIDING PRINCIPLES

SCAG developed goals for Connect SoCal, which fall into four core categories: economy, mobility, environment and healthy/complete communities. The Plan lays out goals related to housing, transportation technologies, equity and resilience in order to adequately reflect the increasing importance of these topics in the region, and where possible the goals have been developed to link to potential performance measures and targets (see **Table B-1**). The Plan’s guiding policies magnify these goals, creating a specific direction for Plan investments (see **Table B-2**).

**Table B-1
Connect SoCal Goals**

Connect SoCal Goals	
1	Encourage regional economic prosperity and global competitiveness.
2	Improve mobility, accessibility, reliability, and travel safety for people and goods.
3	Enhance the preservation, security, and resilience of the regional transportation system.
4	Increase person and goods movement and travel choices within the transportation system.
5	Reduce greenhouse gas emissions and improve air quality.
6	Support healthy and equitable communities.
7	Adapt to a changing climate and support an integrated regional development pattern and transportation network.
8	Leverage new transportation technologies and data-driven solutions that result in more efficient travel.
9	Encourage development of diverse housing types in areas that are supported by multiple transportation options.
10	Promote conservation of natural and agricultural lands and restoration of critical habitats.

Source: Final Connect SoCal Plan

**Table B-2
Connect SoCal Guiding Principles**

Connect SoCal Guiding Principles	
1	Connect SoCal will be adopted at the jurisdictional level, and directly reflects the population, household and employment growth projects that have been reviewed and refined with feedback from local jurisdictions through SCAG’s Bottom-Up Local Input and Envisioning Process. The growth forecast maintains these locally informed projected jurisdictional growth totals, meaning future growth is not reallocated from one local jurisdiction to another.
2	Connect SoCal’s growth forecast at the Transportation Analysis Zone (TAZ) level is controlled to not exceed the maximum density of local general plans, except in the case of existing entitlements and development agreements.
3	For the purpose of determining consistency with Connect SoCal for the California Environmental Quality Act (CEQA), grand or other opportunities, lead agencies such as local jurisdictions have the sole discretion in determining a local project’s consistency; SCAG may also evaluate consistency for grants and other resource opportunities; consistency should be evaluated utilizing the goals and policies of Connect SoCal and its associated Program Environmental Impact Report (PEIR).
4	TAZ level data or any data at a geography smaller than the jurisdictional level has been utilized to conduct required modeling analysis and is therefore advisory only and non-binding, given that sub-jurisdictional forecasts are not adopted as part of Connect SoCal. TAZ level data may be used by jurisdictions in local planning as they seem appropriate and Connect SoCal does not supersede local jurisdiction authority or decisions on future development,

Connect SoCal Guiding Principles

- including entitlements and development agreements. There is no obligation by a jurisdiction to change its land use policies, General Plan, or regulations to be consistent with Connect SoCal.
- 5 SCAG will maintain communication with agencies that use SCAG's sub-jurisdictional level data to ensure that the "advisory and non-binding" nature of the data is appropriately maintained.

Source: *Final Connect SoCal Plan*

2.4 CONTENTS OF THE CONNECT SOCIAL PLAN

Connect SoCal is organized into the following Chapters:

0. Making Connections – an introduction to the Plan
1. About the Plan – a description of the Plan including goals and guiding principles and description of how the Plan was developed as well as identification of the laws that guide the Plan.
2. SoCal Today – identification of major trends, population and demographic changes, regional growth, a description of the transportation system (and mode choices), identification of farmland lost and at-risk, discussion of transportation safety, discussion of public health, discussion of access and mobility, funding, planning for disruption and moving towards solutions.
3. A Path to Greater Access, Mobility and Sustainability – a description of the proposed transportation strategies and sustainable communities strategies
4. Paying Our Way Forward – a description of how the transportation projects are anticipated to be financed.
5. Measuring Our Progress – identification of how the performance of the Plan is measured.
6. Looking Ahead – a summary of the challenges that lie ahead.

The Plan also includes the following supplemental technical reports:

- Active Transportation
- Aviation and Airport Ground Access
- Congestion Management (including a TDM Toolbox of Strategies)
- Demographics and Growth Forecast
- Economic and Job Creation Analysis

- Emerging Technologies
- Environmental Justice
- Goods Movement
- Highways and Arterials
- Natural and Farm Lands Conservation
- Passenger Rail
- Performance Measures
- Project List
- Public Health
- Public Participation and Consultation
- Sustainable Communities Strategy
- Transit
- Transportation Conformity Analysis
- Transportation Finance
- Transportation, Safety and Security

3.0 FINDINGS REQUIRED UNDER CEQA

3.1 SUMMARY OF FINDINGS OF FACT

Less than Significant Impacts

As described in Section 4.0, Findings Regarding Potential Environmental Effects That Are Less than Significant, of this Findings of Fact, the impacts of the Connect SoCal Plan were determined to be less than significant in the following environmental resource categories:

- 3.3 Air Quality (AQ-1 and -4)
- 3.6 Energy (ENR-1 and -2)
- 3.7 Geology and Soils (GEO-1, -3, -4, and -5)
- 3.17 Transportation, Traffic, and Safety (TRA-1 and -3)

Significant Impacts

Findings Pursuant to Section 15091(a) of the State CEQA Guidelines

Consistent with the provisions of Section 15091(a)(1), changes and alterations have been required in, or incorporated into, the Plan, including SCAG mitigation measures, to avoid or substantially lessen the significant environmental effects of the Plan. SCAG has carefully considered the anticipated significant and unavoidable impacts of the Plan, as well as the benefits of adoption of the Plan. The benefits are as follows:

Overall, the transportation investments in the Connect SoCal Plan will provide a return of \$1.54 for every dollar invested. Compared with an alternative of not adopting the Plan, the Plan would accomplish the following:

- The Plan would reach the target of reducing greenhouse gas levels by 8 percent per capita by 2020 and 19 percent by 2035 compared to 2005 levels. This would exceed the state's mandated of 19 percent by 2035.
- Regional air quality would improve under the Plan, as cleaner fuels and new vehicle technologies would help to significantly reduce many of the pollutants that contribute to smog and other airborne contaminants that may impact public health in the region.
- By 2045 public transit boardings are projected to more than double in absolute numbers, and transit's mode share will also rise. The share of trips by bicycle and walking will rise and such active modes as well as transit will represent 12.9 percent of all trips.

- The Plan would result in an increase in the percentage of the region’s total household and employment growth occurring within Growth Priority Areas, from 58 percent to 60 percent and 70 percent to 72 percent, respectively.
- The number of vehicle miles traveled (VMT) per capita would be reduced by 4.6 percent less total regional VMT when compared to a no project scenario.
- The Plan would decrease regional VMT per capita (24.19 miles to 23.08 miles) and person hours of delay per capita (0.19 hours to 0.14 hours).
- The Plan would result in a decrease in delay metrics across the board, including minutes of delay per capita; person hours of delay on highways, HOV lanes, and arterials; delay hours for heavy duty trucks on highways and arterials; and mean commute time for all modes.
- The share of all trips using a travel mode other than single-occupancy vehicles would increase from 62.8 percent to 64.9 percent.
- The Plan would generate 264,000 new jobs annually due to improved regional economic competitiveness and 168,400 new jobs due to investments in her regional transportation system.
- The Plan would decrease the total amount of greenfield land consumed, from 64,120 acres to 45,360 acres.
- The Plan would result in 27.5 percent less area converted from agriculture to urban consumption when compared to the No Project Alternative (26,650 acres to 19,330 acres).
- The Plan would result in less energy and water used by residential and commercial buildings. Energy use would decrease by 0.89 percent when compared to the No Project Alternative (15,546 trillion Btu to 15,408 trillion Btu). Water use would decrease by 1.8 percent when compared to the No Project Alternative (89,712,225 acre-feet to 88,904,522 acre-feet).

Consistent with the provisions of Section 15091(a)(2), changes and alterations capable of avoiding or substantially lessening the significant environmental effects of the Plan, identified as project-level mitigation measures, are within the responsibility and jurisdiction of lead agencies that will consider subsequent project-level approvals of transportation and development projects. SCAG has no authority to require specific mitigation measures at the project level given that local lead agencies have the sole discretion to determine which mitigation measures are applicable and feasible based on the location-specific circumstances. Nevertheless, SCAG reasonably assumes that local lead agencies do, and will continue to, exercise their discretionary authority (through local land use and other project permits and

approvals) to implement sufficient feasible mitigation measures (and alternatives) identified through the CEQA process to avoid or reduce to the maximum extent practicable and feasible the significant direct, indirect, and cumulative impacts of subsequent projects.

In addition, state planning law specifically provides that nothing in an SCS supersedes the land use authority of cities and counties, and that cities and counties are not required to change their land use policies and regulations, including their general plans, to be consistent with the SCS or an alternative planning strategy (Govt. Code §65080(b)(2)(K)). Moreover, cities and counties have plenary authority to regulate land use through their police powers granted by the California Constitution, Art. XI, §7, and under several statutes, including the local planning law, the zoning law, and the Subdivision Map Act (Govt. Code §§65100–65763; Govt. Code §§65800–65912; Govt. Code §§66410–66499.37). With respect to the transportation projects in the Connect SoCal Plan, these projects are to be implemented by Caltrans, county transportation commissions, local transit agencies, and local governments (i.e., cities and counties), and not SCAG. As such, SCAG, as a lead agency, has a responsibility to identify feasible mitigation measures that are capable of avoiding or reducing the direct, indirect, and cumulative significant impacts of the Plan that can and should be considered by public agencies in their related discretionary decision related to subsequent project, including related reviews and consideration by trustee and responsible agencies. With respect to the Plan, SCAG has identified project level mitigation measures, or other comparable measures, which “can and should” be applied at the project level to reduce impacts. Because project-mitigation activities are within the responsibility and jurisdiction of local and other agencies, the Regional Council hereby finds that such agencies “can and should” comply with the requirements of CEQA to mitigate the environmental impacts of the individual projects, as applicable and feasible. The Regional Council further finds that the project-level mitigation measures imposed by local and other agencies will collectively reduce the environmental impact, at the regional level, to the maximum extent practicable and feasible.

Significant and Unavoidable Impacts

As described in **Section 4.0, Findings Regarding Significant Unavoidable Adverse Impacts that Cannot Be Mitigated to a Level of Less than Significant**, of this Findings of Fact, the Plan was determined to have the potential to result in significant and unavoidable impacts in the following environmental resource categories:

- 3.1 Aesthetics (AES-1, -2, -3, and -4)
- 3.2 Agriculture and Forestry Resources (AG-1, -2, -3, -4, and -5)
- 3.3 Air Quality (AQ-2, -3, and -4)
- 3.4 Biological Resources (BIO-1, -2, -3, -4, -5, and -6)
- 3.5 Cultural Resources (CULT-1, -2, -3)
- 3.7 Geology and Soils (GEO-2 and -6)
- 3.8 Greenhouse Gas Emissions and Climate Change (GHG-1 and -2)

- 3.9 Hazards and Hazardous Materials (HAZ-1, -2, -3, -4, -5, -6, and -7)
- 3.10 Hydrology and Water Quality (HYD-1, -2, -3 -4, and -5)
- 3.11 Land Use and Planning (LU-1 and -2)
- 3.12 Mineral Resources (MIN-1 and -2)
- 3.13 Noise (NOISE-1, -2, and -3)
- 3.14 Population, Housing, and Employment (POP-1 and -2)
- 3.15 Public Services (PSF-1, PSP-1, PSS-1, and PSL-1)
- 3.16 Parks and Recreation (REC-1 and -2)
- 3.17 Transportation, Traffic, and Safety (TRA-2 and -4)
- 3.18 Tribal Cultural Resources (TCR-1)
- 3.19 Utilities and Service Systems (USSW-1 and -2; USWW-1 and -2 and; USWS-1 and -2)
- 3.20 Wildfire (WF-1 and -2)

3.2 CEQA ENVIRONMENTAL REVIEW

The basic purposes of CEQA are to (1) inform decision makers and the public about the potential, significant adverse environmental effects of proposed governmental decisions and activities, (2) identify the ways those environmental effects can be avoided or significantly reduced, (3) prevent significant, avoidable and adverse environmental effects by requiring changes in projects through the use of alternatives or mitigation measures when feasible, and (4) disclose to the public the reasons why an implementing agency may approve a project even if significant unavoidable environmental effects are involved.

An EIR uses a multidisciplinary approach, applying social and natural sciences to make a qualitative and quantitative analysis of all the foreseeable environmental impacts that a project might exert. As stated in CEQA Guidelines section 15151:

An EIR should be prepared with a sufficient degree of analysis to provide decisionmakers with information which enables them to make a decision which intelligently takes account of environmental consequences. An evaluation of the environmental effects of a proposed project need not be exhaustive, but the sufficiency of an EIR is to be reviewed in the light of what is reasonably feasible.

This Final EIR has been prepared in accordance with CEQA as amended (PRC section 21000 *et seq.*) and CEQA Guidelines (California Code of Regulations, title 14, section 15000 *et seq.*). The Final PEIR incorporates, by reference, the Draft EIR (State Clearinghouse No. 20199011061) prepared by SCAG for the Connect SoCal Plan as it was originally published. In accordance with Section 15132 of the *CEQA Guidelines*, the Final EIR shall consist of the following:

The Draft PEIR or a revision of the draft.

- Comments and recommendations received on the Draft PEIR either verbatim or in summary.
- A list of persons, organizations, and public agencies commenting on the Draft PEIR.
- The responses of the Lead Agency to significant environmental points raised in the review and consultation process.
- Any other information added by the Lead Agency.

Before SCAG may approve the Project, it must certify the Final PEIR: a) has been completed in compliance with CEQA; b) was presented to the Regional Council who reviewed and considered it prior to approving the Project; and c) reflects SCAG's independent judgment and analysis (CEQA Guidelines section 15090).

Section 15004 of the CEQA Guidelines states before the approval of any project subject to CEQA, the Lead Agency must consider the final environmental document, which in this case is the Final PEIR.

Notice of Preparation and Public Scoping

A Notice of Preparation (NOP) for this PEIR was issued on January 23, 2019 by SCAG for a 30-day public review period. Two scoping meetings were held on February 13, 2019 at 3:00 pm to 5:00 pm, and at 6:30 pm to 8:00 pm. The meetings were convened in the SCAG's main office in Los Angeles, with videoconferencing available at SCAG regional offices in Imperial, Orange, Riverside, and San Bernardino Counties. Videoconferencing was made available at two additional locations in the Cities of Palm Desert (Coachella Valley Association of Governments) and Palmdale. The purpose of these meetings was to provide early consultation for the public to express their concerns about the project and acquire information and make recommendations on issues to be addressed in the PEIR.

The NOP was sent to the State Clearinghouse on January 23, 2019; posted with the County Clerks for the six counties in the SCAG region; and distributed to various federal, state, regional and local government agencies, and other interested agencies, organizations, and individuals. The NOP was made available on SCAG's website at <https://www.connectso-cal.org/Documents/PEIR/NOP-PEIR-ConnectSoCal.pdf>. The NOP was published in 12 newspapers, including the Los Angeles Times, and additional newspapers that address the large geographic reach and diverse population within the SCAG region.

SCAG also conducted 28 open house workshops on the Plan between June and July 2019. These goals of these events was to share the purpose of Connect SoCal, introduce and provide information on policies and strategies under consideration, describe the performance outcomes of the different policy choices and receive input from participants.

Notice of Availability of the Draft PEIR

The Draft PEIR was submitted to the State Clearinghouse Office of Planning and Research and circulated for public review beginning on December 9, 2019 and ending on January 24, 2020 (SCH # 20199011061) and a Notice of Completion was posted with each of the County Clerks for the six counties in the SCAG region. The PEIR was circulated primarily using electronic mail to more than 2,700 interested parties. The PEIR was mailed directly to approximately 200 interested parties, including federal, state, regional and local agencies, organizations and major libraries in the region using the U.S. Postal Service certified mail service.

Additionally, SCAG placed copies of the Draft PEIR at the offices of SCAG and electronic copies at the 56 public libraries throughout the region and posted the Draft PEIR on its website.

A public workshop was held on January 9, 2020 from 2:00 pm to 3:30 pm at SCAG’s Los Angeles Office located at 900 Wilshire Blvd., Ste 1700. This workshop was also a webinar which was available for the public via internet.

Response to Comments on the Draft PEIR

CEQA Guidelines, section 15088 requires SCAG to evaluate comments on significant environmental issues received from parties that have reviewed the Draft PEIR and to prepare a written response. As stated in CEQA Guidelines, sections 15132 and 15362, the Final PEIR must contain the comments received on the Draft PEIR, either verbatim or in summary, a list of persons commenting, and the response of the Lead Agency to the comments received.

A total of 53 comment letters were received by SCAG during the comment period. Among the 53 comment letters, there were 262 unique comments directly related to the Draft PEIR.³ The responses do not significantly alter the Project, change the Draft PEIR’s significance conclusions, or provide new information regarding substantial adverse environmental effects not already analyzed in the Draft PEIR. Instead, the information presented in the responses to comments “merely clarifies or amplifies or makes insignificant modifications” in the Draft PEIR, as is permitted by CEQA Guidelines, subdivision 15088.5(b).

In the course of responding to comments, certain portions of the Draft PEIR have been modified slightly for further clarification. The comments and modifications have not identified the existence of: (1) a significant new environmental impact that would result from the Project or an adopted mitigation measure; (2) a substantial increase in the severity of an environmental impact; (3) a feasible project alternative or mitigation measure not adopted that is considerably different from others analyzed in the Draft PEIR that would clearly lessen the significant environmental impacts of the Project; or (4) information that indicates the public was deprived of a meaningful opportunity to review and comment on the Draft PEIR (CEQA Guidelines, subdivision 15088.5(a)). Consequently, SCAG finds the clarifications made to the Draft EIR in the Final EIR do not collectively or individually constitute significant new information within the meaning of PRC, section 21092.1 and CEQA Guidelines, section 15088.5. Recirculation of the Draft PEIR or any portion thereof, is, therefore, not required.

³ SCAG received a total 327 comments, 66 of which were considered redundant (i.e., cross-referencing comments from other local jurisdictions or agencies). A complete list and copy of comments are provided in the Final PEIR.

The written responses to commenting public agencies shall be provided at least ten (10) days prior to the certification of the Draft PEIR (CEQA Guidelines § 15088(b)). SCAG provided the Final PEIR to commenters on **March 27, 2020**, and made the document available for review on the Project web site at: <http://scagrtpsc.net/Pages/PROPOSEDFINAL2016PEIR.aspx>.

3.3 GENERAL FINDINGS

Pursuant to Public Resources Code Section 21081 and *CEQA Guidelines* Section §15091, no public agency shall approve or carry out a project, for which an EIR has been certified, that identifies one or more significant effects on the environment that would occur if the project is approved or carried out unless the public agency makes one or more of the following findings with respect to each significant impact:

- Changes or alterations have been required in, or incorporated into, the project, which mitigate or avoid the significant effects on the environment.
- Those changes or alterations are within the responsibility and jurisdiction of another public agency and have been, or can and should be, adopted by that other agency.
- Specific economic, legal, social, technological, or other considerations, including considerations for the provision of employment opportunities for highly trained workers, make infeasible the mitigation measures or alternatives identified in the environmental impact report. (The concept of infeasibility also encompasses whether a particular alternative or mitigation measure promotes the Project's underlying goals and objectives, and whether an alternative or mitigation measure is impractical or undesirable from a policy standpoint.) See *California Native Plant Society v. City of Santa Cruz* (2009) 177 Cal.App.4th 957; *City of Del Mar v. City of San Diego* (1982) 133 Cal.App.3d 410.

SCAG has made one or more of these specific written findings regarding each significant impact associated with the Project. Those findings are in **Sections 6.0, Findings Regarding Significant Unavoidable Adverse Impacts that Cannot Be Mitigated to a Level of Less than Significant**, and **7.0, Findings Regarding Alternatives, of this Findings of Fact**, along with a presentation of facts in support of the findings. The Regional Council certifies these findings are based on full appraisal of all viewpoints, including all comments received up to the date of adoption of these findings, concerning the environmental issues identified and discussed.

The Connect SoCal PEIR has been prepared as a Program EIR pursuant to *CEQA Guidelines* Section 15168. The degree of specificity in the PEIR corresponds to the specificity of the regional goals, policies, and strategies of the Plan. The PEIR approached the Plan as one Project under CEQA, as a whole. The PEIR included an appropriately detailed and conservative (i.e., in a worst-case scenario) analysis of 20

environmental topics for the Project and its alternatives. The PEIR disclosed the environmental impacts expected to result from the adoption and implementation of the Plan. Feasible mitigation measures were identified to avoid or minimize significant environmental effects.

The adopted mitigation measures within the responsibility of SCAG appropriately mitigate impacts of the Connect SoCal Plan at the regional/programmatic level. The project-level mitigation measures adopted as part of the Plan can and should be implemented by lead agencies, as feasible and appropriate, to mitigate impacts at the project-level. Together, these mitigation measures mitigate the environmental impacts of the Plan to the maximum extent feasible as discussed in the findings made in **Section 6.0, Findings Regarding Significant Unavoidable Adverse Impacts that Cannot Be Mitigated to a Level of Less than Significant**, of this Findings of Fact and Statement of Overriding Considerations. The Findings in Section 6.0 indicate where mitigation measures may not be capable of reducing impacts to below the level of significance.

In response to comments received, SCAG provided clarifications and revisions to the information contained in the Draft PEIR that was circulated for public review. All such changes made to the Draft PEIR are shown in the Final PEIR (**Chapter 10.0, Corrections and Additions**).

Since circulation of the Draft PEIR for public review, between publication of the Draft Connect SoCal and Final Connect SoCal, updates to the Plan have been made. Updates were based on comments received during the comment period, input received through the outreach process, new data and information that became available after the draft was released and an additional internal review process. A copy of the Final Connect SoCal plan is available at: <https://www.connectsocial.org/Pages/Connect-SoCal-Final-Plan.aspx>.

Comments and input received through this process have assisted staff in refining and improving the final document, the underlying goals, objectives, policies as well as plan outcomes either improved or remained relatively unchanged between the draft and the final. A summary of key revisions between the Draft and proposed Final Connect SoCal that are reassessed in the proposed Final PEIR are provided below:

Modifications to the Connect SoCal Project List: As part of the Connect SoCal development process, the Draft Connect SoCal Project List has been updated since the publication of the Draft Plan and Draft PEIR. Generally, changes to the project list are as follows:

- New projects that were not included in the Connect SoCal Project List.
- Existing projects in the Project List that were requested to be removed.
- Existing projects in the Project List that have:
 - A revised description,

- A revised schedule, and
- Included a combination of above changes.

SCAG received input on the Draft Project List from six County Transportation Commissions (CTCs) as part of the Connect SoCal update and finalization process. During the comment period for the Draft Plan, input was received from the six CTC's regarding modifications to the Final Project List. The provided updates reflect the latest project information at the time as part of the finalization process for the Final Connect SoCal Project List. Connect SoCal includes more than 4,000 individual capital projects and programs across the region across all modes of transportation over the next 25 years. During the public review period, SCAG received requests from the CTCs to modify more than 170 (which represents less than 1 percent of total projects) projects. Projects removed were due to duplicative entries. Project changes between the draft and the final were changes to an existing project's completion year, project cost or a minor change to the project's scope of work. Accordingly, SCAG re-ran the travel demand and emission model with the updated transportation network and SCS as discussed below. The plan outcome from these revisions resulted in improvement in VMT, delay and economic metrics which are further discussed under the changes to Performance Measures section of the Final Connect SoCal Plan.

Improvements to Performance Outcome: Comments were received from the California Air Resources Board (ARB) on performance outcomes and greenhouse gases (GHG) reduction target analysis. In response, SCAG added data for the years 2020 and 2035 for the VMT per capita performance measure to better align with state GHG reduction target years in the main book as well as Performance Measures Technical Report. In addition, final modeling data results from both the SCAG Regional Travel Demand Model and the Scenario Planning Model offered improved performance in some significant areas of Connect SoCal, including VMT per capita (5.0% reduction from 4.2%) and daily delay per capita (25.7% reduction from 22.4%). Several of the economic opportunity indicators were also improved by the final model runs, with the benefit/cost ratio for Connect SoCal investments increasing from 1.54 to 2.06, and the annual number of new jobs generated by improved regional economic competitiveness increasing from 195,500 to 264,500.

Adjustments to the Sustainable Communities Strategy: SCAG received many comments related to the SCS. Several comments raised concerns regarding housing affordability, climate change and certain GHG reduction strategies. Other comments sought clarity on the Connect SoCal Growth Vision. In response to comments, the SCS reflects revisions in the Forecasted Regional Development Pattern, which is updated due to feedback from jurisdictions and other stakeholders.

SCAG used the performance of each scenario as well as input gathered through the public workshops to refine the Growth Vision and Forecasted Development Pattern for the Plan, which aims to increase mobility

options and reduce the need for residents to drive by locating housing, jobs, and transit closer together. The final Plan focuses growth within jurisdictions near destinations and mobility options and promotes an improved jobs-housing balance to reduce commute times.

SCAG revised Connect SoCal's Forecasted Regional Development Pattern, by initiating a peer review with local jurisdictions regarding population, household, and employment growth at the sub-jurisdictional level (i.e. transportation analysis zone (TAZ) level) prior to the release of the draft Connect SoCal plan. SCAG provided jurisdictions the opportunity to review and provide feedback to SCAG over a six-week timeframe, with requested revisions due to SCAG by December 11, 2019. From this engagement, technical refinements were made to the Forecasted Regional Development Pattern in Connect SoCal that included adjustments to growth due to entitlements and maximum planned capacities. Fifty jurisdictions requested revisions, and the majority participating in this exercise indicated that growth projections provided to SCAG in 2018 as part of the Bottom-Up Local Input and Envisioning Process were the most accurate reflection of entitlements and their phasing through the Plan's horizon of 2045. Taking this feedback, SCAG evaluated the locally provided, neighborhood level growth forecast data alongside the draft dataset for Connect SoCal to determine which dataset best reflected the policies and principles of the draft plan. Specifically, this involved analyzing each jurisdiction's concentration of growth in the draft plan's priority growth and constrained areas. As a result, SCAG replaced the draft plan data with the original local, neighborhood-level growth forecast data in approximately one third of jurisdictions, including unincorporated Los Angeles County. See Connect SoCal's Process for Incorporating Entitlements, located at: <https://www.connectsocial.org/Documents/DataMapBooks/Incorporating-Entitlements-Process.pdf>

The revised Forecasted Regional Development Pattern brings forward data elements provided by jurisdictions in 2018 during the Bottom-Up Local Input and Envisioning Process - including entitled projects and phasing, reflects "locally envisioned" jurisdictional growth totals detailed in the Demographics and Growth Forecast Technical Report and allocates growth within existing planned maximum densities as conveyed by jurisdictions. Consistent with the policies and principles of the draft plan, the revised Forecasted Regional Development Pattern directs growth to Priority Growth Areas (PGAs) near existing and planned transit, within existing job centers, in communities with existing and planned infrastructure to support more walkability and use of alternative transportation modes, and in areas identified for jurisdictional expansion (i.e. spheres of influence). Growth through 2045 was reduced in and redirected from constrained areas within a jurisdiction (e.g. very high severity fire risk areas, farmland, protected open space, wildlife corridors, areas at risk for near-term sea level rise, flood hazard areas, etc.). To ensure transparency during the process to finalize the Forecasted Development Pattern for Connect SoCal, SCAG emailed a letter to each jurisdiction starting February 21, 2020 that included a detailed methodology document for SCAG's Growth Vision (For further details please refer to the Connect

SoCal Growth Vision Methodology located at:
<https://www.connectsocial.org/Documents/DataMapBooks/Growth-Vision-Methodology.pdf>.

Jurisdictions were also given access to their jurisdictional and neighborhood level Forecasted Development Pattern data via SCAG's Scenario Planning Model - Data Management Site. Throughout this effort, SCAG engaged with stakeholders from the Technical Working Group, and provided an update on the peer review exercise to the Community, Economic, and Human Development Policy Committee. It should be emphasized that Connect SoCal does not supersede local jurisdiction land use authority or decisions on future development, including entitlements and development agreements. Use of SCAG's neighborhood level Forecasted Development Pattern data for project level CEQA analysis is at the discretion of the lead agency and/or lead applicant. No changes were made to the underlying policies or strategies presented in the Connect SoCal Plan that would constitute a major change in the Project Description.

Modifications to Transportation Finance: The Connect SoCal proposes investment of almost \$639 billion to maintain, operate and improve the region's multimodal transportation system over the next 25 years through 2045. Approximately \$500 billion is expected to be available through existing funding sources projected out to 2045. The balance of almost \$139 billion is expected to be available through implementation of innovative funding sources proposed in the Connect SoCal Plan, which will require significant actions at the local, regional, state and federal level in the coming decade through collaborative efforts. Many comments were focused on clarifying details on the financial model, implementation guidelines for new revenue sources and need for more evaluation, including assurances on distribution of funds and consideration of impacts of fees on different segments of the population. In response to comments, text clarifications were made regarding assumptions for the financial model and guidelines for implementation of new revenue sources. Modifications to transportation finance does not affect the environmental analysis as it serves to provide the reader background information on funding sources rather than information on physical changes to the environment.

Clarifications on Transportation Conformity: On the Transportation Conformity Analysis Technical Report, in response to comments, a new challenge entitled "Meeting Federal Air Quality Standards" has been added to Chapter 2 of the Connect SoCal Plan to highlight the challenge for the South Coast region to meet federal air quality standards by the near-term statutory deadline, its potential impacts, and the need for a comprehensive and coordinated regional solution. In the interim, the United States Environmental Protection Agency (EPA) recently approved emissions adjustment factors proposed by ARB to EMFAC 2014 (which is the emissions model used by SCAG to demonstrate transportation conformity of the Draft Connect SoCal, prior to issuance of SAFE Rules by US EPA) to comply with SAFE Rule Part 1 for transportation conformity determination in California. The Final Plan uses adjusted factors with respect to

air quality emissions and meets the required conformity tests pursuant to the proposed adjustment factors to the EMFAC 2014.

Additional information was identified in the comments to the Draft PEIR and responded to in Chapter 9.0, Response to Comments on the Draft Program Environmental Impact Report, of the Final PEIR with clarifications and revisions in Chapter 10.0, Corrections and Additions, of the Final PEIR.

The SCAG models described previously are used to provide gross estimates of regional environmental parameters (VMT, criteria pollutant emissions and GHG emissions). However, the inputs to these models are subject to variability (location and density of land uses, travel patterns, fuel make up, pricing assumptions and many more). Because of this, minor changes to assumptions result in minor changes to modeling results and are not statistically significant. As noted above, SCAG has made several refinements to the Connect SoCal Plan including to land use patterns, transportation projects and policies (alternatives would be similarly affected). None of these refinements result in significant changes to the information presented in the Draft PEIR, including modeling results.

Furthermore, these changes and additional information do not result in a finding of a new impact that was not analyzed in the Draft PEIR or result in a substantial increase in the severity of a significant impact identified in the Draft PEIR. They do not affect the conclusions regarding the significance of the impacts contained in the Draft PEIR. Thus, it is the finding of SCAG Regional Council that such changes and the corrections and additions as described in the Final PEIR are clarifying in nature, and do not present any significant new information requiring recirculation or additional environmental review pursuant to *CEQA Guidelines* Section 15088.5.

A Mitigation Monitoring and Reporting Program (MMRP) for the Plan has been prepared pursuant to the requirements of Public Resources Code Section 21081.6 and *CEQA Guidelines* Section 15091 (d) and Section 15097 to ensure implementation of the adopted mitigation measures to reduce significant effects on the environment, and is included in the Final PEIR document. SCAG is the custodian of the documents and other material that constitute the record of the proceedings upon which certification of the PEIR for the Plan is based, as described below in **Section 9.0, Findings Regarding Location and Custodian of Documents**, of this Findings of Fact.

It is the finding of SCAG Regional Council that the proposed Final PEIR fulfills environmental review requirements for the Connect SoCal Plan; that the document constitutes a complete, accurate, adequate, and good faith effort at full disclosure under CEQA; and that the document reflects the independent judgment of the SCAG Regional Council.

4.0 FINDINGS REGARDING POTENTIAL ENVIRONMENTAL EFFECTS THAT ARE LESS THAN SIGNIFICANT

The analysis undertaken in support of the PEIR concludes that the impacts of the Plan were determined to be less than significant in the following environmental resource categories and that no mitigation would be required:

- 4.1 Air Quality (AQ-1 and -4)
- 4.2 Energy (ENR-1 and -2)
- 4.3 Geology and Soils (GEO-1, -3, -4, and -5)
- 4.4 Transportation, Traffic, and Safety (TRA-1 and -3)

4.1 AIR QUALITY

Impact AQ-1 **Potential to conflict with or obstruct implementation of the applicable air quality plan.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is made based on the analysis included in Section 3.3, Air Quality, of the PEIR. The federal Clean Air Act (CAA) sets the National Ambient Air Quality Standards (NAAQS) for the main criteria air pollutants: nitrogen oxides (NO_x), volatile organic compounds (VOCs), particulate matter (PM_{2.5} and PM₁₀), sulfur oxides (SO_x), carbon monoxide (CO), and lead (Pb). Attainment and nonattainment of the NAAQS is variable throughout the counties within the SCAG region (1) Pb in the Los Angeles County portion of the South Coast Air Basin; (2) PM_{2.5} in Imperial, Los Angeles, Orange, Riverside, and San Bernardino Counties; (3) PM₁₀ in Imperial, Riverside, and San Bernardino Counties; and ozone in all counties.⁴ The analysis considered a review of the California Ambient Air Quality Area Designations for the six counties in the SCAG Region: Imperial, Los Angeles, Orange, Riverside, San

⁴ U.S. Environmental Protection Agency. 30 January 2015. U.S. EPA green book. Current nonattainment counties for all criteria pollutants. Available at: <http://www.epa.gov/oaqps001/greenbk/ancl.html>

Bernardino, and Ventura.⁵ Connect SoCal would result in a less than significant impact to air quality related to the potential to conflict with or obstruct implementation of the adopted SIPs/AQMPs/Attainment Plans in the SCAG region because the projected long-term emissions are in alignment with the local SIPs/AQMPs as demonstrated in the transportation conformity analysis, found in the Conformity Technical Report for the Plan. The emissions resulting from the Plan are within the applicable emissions budgets as stated in the SIPs/AQMPs for each nonattainment or maintenance area for all milestone, attainment, and planning horizon years.

Impact AQ-4 Result in other emissions (such as those leading to odors) adversely affecting a substantial number of people.

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is made based on the analysis included in Section 3.3, Air Quality, of the PEIR. Odor sources within the SCAG region, such as agricultural operations, wastewater treatment facilities, and landfills, are controlled by city and county odor policies and health and safety codes requiring property owners to contain offensive odors, enforced by the air pollution control districts (APCDs), which prohibit nuisance odors and identify enforcement measures to reduce odor impacts to nearby receptors. The Plan would not expose a substantial number of people to objectionable odors. Odors from construction are temporary and intermittent in nature. While odors would need to be evaluated on a project-by-project basis, there is a potential for multiple projects to occur simultaneously within the same neighborhood and in close proximity of each other. However, because all projects must comply with odor regulations as prescribed by the applicable air district, the Plan would result in a less than significant impact to exposing a substantial number of people to objectionable odors.

⁵ California Air Resources Board. 9 January 2015. Area designations (activities and maps). Available at: <http://www.arb.ca.gov/desig/changes.htm#summaries>

4.2 ENERGY

Impact ENR-1 **Result in potentially significant environmental impact due to wasteful, inefficient, or unnecessary consumption of energy resources, during project construction or operation.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is made based on the analysis included in Section 3.6, Energy, of the PEIR. The transportation projects combined with transportation and land use strategies will encourage compact (more efficient) land use and more efficient, less energy intensive transportation (transit, bike, walk) which will result in a lower VMT per capita. The Plan would result in a reduction of per capita VMT, combined with federal and state policies that require reductions in fossil fuel consumption (see S-06-06 and EO B-48-18), and increased renewable energy use and availability (see EO B-18-12), and increased building efficiency (EO 13834). Therefore, the Plan would not result in wasteful or inefficient use of energy and impacts are less than significant.

Impact ENR-2 **Conflict with or obstruct a state or local plan for renewable energy or energy efficiency.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is made based on the analysis included in Section 3.6, Energy, of the PEIR. The Plan would not result in the inefficient, wasteful, or unnecessary consumption of energy if it is consistent with

existing relevant energy conservation policies. Accordingly, inconsistencies between the Plan and adopted plans and policies related to energy conservation have not been identified. Specifically, development under the Plan would be required to be consistent with applicable regulations and policies including the LA County Sustainability Plan, the LA Green New Deal, as well as the Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura County General Plans. These plans encourage the use of renewable energy, energy conservation and energy efficiency techniques in all new building design, orientation and construction and support of alternative transportation and fuels. Implementation of the Plan is generally consistent with applicable policies regarding energy conservation and renewable energy. Therefore, impacts would be less than significant.

4.3 GEOLOGY AND SOILS

Impact GEO-1 **Directly or indirectly cause potential substantial adverse effects, including the risk of loss, injury, or death involving: (i) rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42; (ii) strong seismic ground shaking; (iii) seismic-related ground failure, including liquefaction; (iv) landslides.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is based on the analysis included in Section 3.7, Geology and Soils, of the PEIR. Implementation of the Plan would not exacerbate existing geologic hazards including fault rupture because the SCAG region is a seismically active area, and this condition exists throughout the region. Furthermore, there are numerous regulations in place to reduce such risks to any planned development or transportation project, and therefore, the potential impacts of the Plan with regard to fault rupture are less than significant.

Impact GEO-3 Be located on a geologic unit or soil that is unstable, or that would become unstable as a result of the project, and potentially result in on- or off-site landslide, lateral spreading, subsidence, liquefaction, or collapse.

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is based on the analysis included in Section 3.7, Geology and Soils, of the PEIR. Implementation of transportation projects and development projects anticipated to occur under the Plan would not be expected to exacerbate existing conditions with respect to geologic units and existing soils. With adherence to grading permit and building code requirements, including seismic design criteria as required by the California Building Code (CBC), transportation projects and anticipated development projects would be designed to minimize potential risks related to unstable soils and geologic units. Therefore, the potential for landslide, lateral spreading, subsidence, liquefaction, or other collapse impacts related to the implementation of transportation projects and anticipated development projects under the Plan, is considered less than significant.

Impact GEO-4 Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial risks to life or property.

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is based on the analysis included in Section 3.7, Geology and Soils, of the PEIR. Transportation projects and anticipated development under the Plan would not be expected to exacerbate existing conditions with respect to expansive soils. Expansive soil conditions would be addressed through

the integration of geotechnical information in the design process for development projects to determine whether a site is suitable for a project. Industry practice and state-provided guidance would minimize risk associated with geologic hazards. Compliance with CBC requirements as well as adherence to local building codes and ordinances would reduce hazards relating to expansive soils, and as such, impacts remain less than significant.

Impact GEO-5 **Have soils incapable of adequately supporting the use of septic tanks or alternative waste water disposal systems where sewers are not available for the disposal of waste water.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is based on the analysis included in Section 3.7, Geology and Soils, of the PEIR. The California State Water Resources Control Board has specific guidelines and requirements with regard to soil suitability for septic tanks and alternative waste water disposal systems in their publication 3.2C- Construction Practices – Onsite Wastewater Treatment Systems (OWTS). Soils with poorly or excessively drained soils are generally not suitable for septic tanks or alternatives waste water disposal systems. The Plan includes transportation investments and regional land use strategies that aim to produce more compact development in well-served transit areas. These land use strategies encourage compact development in HQTAs, existing suburban town centers, and more walkable, mixed-use communities to accommodate anticipated population growth. The Plan does not encourage or anticipate residential development in areas where sewers are not available for the disposal of waste water or where densities would not support the provision of sewer infrastructure. Therefore, impacts would be less than significant.

4.4 TRANSPORTION, TRAFFIC, AND SAFETY

Impact TRA-1 **Conflict with a program, plan, ordinance or policy addressing the circulation system, including transit, roadway, bicycle, and pedestrian facilities.**

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The Plan calls for a substantial expansion of transit facilities and service to attract trips to transit and away from single-occupancy vehicle travel. Transit-oriented land use strategies would increase the frequency and quality of fixed-route bus service by adding new rapid service, express service, and community circulators for short trips.

The proposed Active Transportation plan would increase the mode share of transit and active transportation in the SCAG region. It is unlikely that conflicts with plans and policies addressing the circulation system would occur. At the transit agency level, SCAG incorporates local transit plans into the RTP through regular amendments to the Plan. With regard to bicycle and pedestrian plans, as described above, SCAG has done extensive outreach and coordination across numerous groups to capture local input. Further, SCAG regularly assists local jurisdiction in planning for these types of projects through grant funding. The Plan includes land use strategies to focus development in HQTAs and High Quality Transit Corridors (HQTCs). The strategies of the SCS that focus development in these transit rich areas allow transit and land use to work together. Therefore, impacts would be less than significant.

Impact TRA-3 Substantially increase hazards due to geometric design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment).

Impact:

Less than significant

Finding:

The Plan would result in less than significant impacts. Therefore, no mitigation is required.

Rationale:

The above finding is made based on the analysis included in Section 3.17, Transportation, Traffic, and Safety, of the PEIR. Based on average accident rates provided by Caltrans, transportation-related fatalities

4.0 Findings Regarding Potential Environmental Effects that are Less than Significant

occur at an overall rate of 1.01 fatalities per 100 million vehicle miles traveled, considering the varying accident rates on different facility types (freeway, arterials) and travel modes (bus transit, rail transit). The two counties with the highest vehicle miles travelled, Los Angeles and Orange, have the lowest rates of fatalities per 100 million VMT, while the county with the lowest annual VMT, Imperial County, has the highest rate of fatalities per 100 million VMT. In 2016, in the SCAG region, more than 1,700 people died including more than 70 cyclists and nearly 500 pedestrians.

Based on the analysis included in Section 3.17, Transportation, Traffic, and Safety, of the PEIR, the Plan includes strategies to improve safety. The Plan includes strategies to encourage a complete streets approach to roadway improvements which would include design of facilities to enhance the safety of riders, bicyclists, and pedestrians and minimize hazards. These enhancements would also reduce hazards for drivers. The Plan includes 392 safety projects, comprising approximately 19 percent of the total budget. Therefore, impact would be less than significant.

5.0 FINDINGS REGARDING POTENTIAL ENVIRONMENTAL EFFECTS THAT CAN BE MITIGATED TO A LEVEL OF LESS THAN SIGNIFICANT

SCAG finds that none of the potential environmental effects of Connect SoCal can be mitigated to a level of less than significant.

6.0 FINDINGS REGARDING SIGNIFICANT UNAVOIDABLE ADVERSE IMPACTS THAT CANNOT BE MITIGATED TO A LEVEL OF LESS THAN SIGNIFICANT

As analyzed in the PEIR, SCAG has determined that the Plan has the potential to result in significant and unavoidable impacts in relation to the following environmental resource categories:

- 3.1 Aesthetics (AES-1, -2, -3, and -4)
- 3.2 Agriculture and Forestry Resources (AG-1, -2, -3, -4, and -5)
- 3.3 Air Quality (AQ-2, -3, and -4)
- 3.4 Biological Resources (BIO-1, -2, -3, -4, -5, and -6)
- 3.5 Cultural Resources (CULT-1, -2, -3)
- 3.7 Geology and Soils (GEO-2 and -6)
- 3.8 Greenhouse Gas Emissions and Climate Change (GHG-1 and -2)
- 3.9 Hazards and Hazardous Materials (HAZ-1, -2, -3, -4, -5, -6, and -7)
- 3.10 Hydrology and Water Quality (HYD-1, -2, -3 -4, and -5)
- 3.11 Land Use and Planning (LU-1 and -2)
- 3.12 Mineral Resources (MIN-1 and -2)
- 3.13 Noise (NOISE-1, -2, and -3)
- 3.14 Population, Housing, and Employment (POP-1 and -2)
- 3.15 Public Services (PSF-1, PSP-1, PSS-1, and PSL-1)
- 3.16 Parks and Recreation (REC-1 and -2)
- 3.17 Transportation, Traffic, and Safety (TRA-2 and -4)
- 3.18 Tribal Cultural Resources (TCR-1)
- 3.19 Utilities and Service Systems (USSW-1 and -2; USWW-1 and -2 and; USWS-1 and -2)
- 3.20 Wildfire (WF-1 and -2)

For each of these impacts, SCAG has identified program-level mitigation measures which are the responsibility of SCAG, as well as project-level mitigation measures which are the responsibility of local agencies. While SCAG has no authority to impose mitigation measures on local agencies and project sponsors, mitigation measures will be required by lead agencies at the project level if they identify potential impacts in the resource areas. To reduce impacts of the Plan, SCAG has identified project-level mitigation measures and finds that lead agencies can and should be consider these measures or other comparable measures to reduce potential impacts, as applicable and feasible. While the mitigation measures will reduce impacts of the Plan, they will not reduce the impacts to the level of less than significant.

6.1 AESTHETICS

Impact AES-1 Potential to have a substantial adverse effect on a scenic vista.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM-AES-1** and Project-Level Mitigation Measure **PMM-AES-1** will reduce adverse effects on scenic vistas to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.1, Aesthetics, of the PEIR. Implementation of Mitigation Measures **SMM-AES-1** and **PMM-AES-1** would reduce potential impacts to scenic resources and vistas. However, even with the implementation of these mitigation measures, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that due to the large number of transportation projects encompassed by the Plan, it is expected that new and expanded highway and roadway facilities, new and expanded transit projects, and new and expanded goods movement projects, or other facilities would result in significant impacts to scenic vistas in the region. Mitigation Measure **SMM AES-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-AES-1** would reduce adverse effects on scenic vistas to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in visual impacts, it is uncertain that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM AES-1: SCAG shall facilitate minimizing impacts to scenic vistas through cooperation, information sharing regarding the locations of designated scenic vistas, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including REVISION, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts such as sharing of associated online training materials.

*6.0 Findings Regarding Significant Unavoidable
Adverse Impacts that Cannot be Mitigated
to a Level of Less than Significant*

Caltrans and lead agencies, such as county and city planning departments, shall be consulted during this update process.

Project-Level Mitigation Measures

PMM AES-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts to scenic vistas, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Use a palette of colors, textures, building materials that are graffiti-resistant, and/or plant materials that complement the surrounding landscape and development.
- b) Use contour grading to better match surrounding terrain. Contour edges of major cut-and-fill to provide a more natural looking finished profile.
- c) Design new corridor landscaping to respect existing natural and man-made features and to complement the dominant landscaping of the surrounding areas.
- d) Replace and renew landscaping along corridors with road widenings, interchange projects, and related improvements.
- e) Retain or replace trees bordering highways, so that clear-cutting is not evident.
- f) Provide new corridor landscaping that respects and provides appropriate transition to existing natural and man-made features and is complementary to the dominant landscaping or native habitats of surrounding areas.
- g) Reduce the visibility of construction staging areas by fencing and screening these areas with low contrast materials consistent with the surrounding environment, and by revegetating graded slopes and exposed earth surfaces at the earliest opportunity;
- h) Use see-through safety barrier designs (e.g. railings rather than walls)

Impact AES-2 **Potential to substantially damage scenic resources, including but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway.**

Impact:

Significant and Unavoidable.

Finding:

Implementation of Mitigation Measures **SMM-AES-1** and **PMM-AES-1** will reduce impacts related to the potential to substantially damage scenic resources, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.1, Aesthetics, of the PEIR. Implementation of Mitigation Measures **SMM-AES-1** and **PMM-AES-1** would reduce impacts related to adverse effects on scenic resources. However, even with the implementation of these mitigation measures, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds many of the transportation projects and the HQTAs are in areas with designated scenic resources including historic buildings and scenic rock outcroppings, and therefore, there is potential for the Plan to affect these resources. Mitigation Measure **SMM AES-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measure **PMM-AES-1** would reduce damage to scenic resources to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on scenic resources it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM AES-1**.

Project Level Mitigation Measures

See PMM AES-1

Impact AES-3 **Potential to substantially degrade the existing visual character or quality of public views (public views are those that are experienced from publicly accessible vantage points). In an urbanized area, would the project conflict with applicable zoning and other regulations governing scenic quality.**

Impact:

Significant and Unavoidable.

Finding:

Implementation of Mitigation Measures **SMM AES-1, PMM-AES-1** and **PMM AES-2** will reduce impacts related to the potential to substantially degrade the visual character or quality of the SCAG region, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.1, Aesthetics, of the PEIR. Implementation of Mitigation Measures **SMM-AES-1, PMM-AES-1,** and **PMM AES-2** would reduce impacts related to adverse effects on visual character and quality. However, even with the implementation of these mitigation measures, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the transportation projects and strategies, and the land use strategies in the Plan have the potential to result in changes to the visual character of existing landscapes or natural areas. Mitigation Measure **SMM AES-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measures **PMM-AES-1** and **PMM AES-2** would reduce the degradation of the existing visual character or quality of project sites to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on the visual quality and character of sites, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, impacts remain significant and unavoidable. The SCAG Regional Council

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finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM AES-1.

Project-Level Mitigation Measures

PMM AES-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts that substantially degrade visual character, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Minimize contrasts in scale and massing between the projects and surrounding natural forms and development, minimize their intrusion into important viewsheds, and use contour grading to better match surrounding terrain in accordance with county and city hillside ordinances, where applicable.
- b) Design landscaping along highway corridors to add significant natural elements and visual interest to soften the hard-edged, linear transportation corridors.
- c) Require development of design guidelines for projects that make elements of proposed buildings/facilities visually compatible or minimize visibility of changes in visual quality or character through use of hardscape and softscape solutions. Specific measures to be addressed include setback buffers, landscaping, color, texture, signage, and lighting criteria.
- d) Design projects consistent with design guidelines of applicable general plans.
- e) Require that sites are kept in a blight/nuisance-free condition. Remove blight or nuisances that compromise visual character or visual quality of project areas including graffiti abatement, trash removal, landscape management, maintenance of signage and billboards in good condition, and replace compromised native vegetation and landscape.
- f) Where sound walls are proposed, require sound wall construction and design methods that account for visual impacts as follows:

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- use transparent panels to preserve views where sound walls would block views from residences;
 - use landscaped earth berm or a combination wall and berm to minimize the apparent sound wall height;
 - construct sound walls of materials whose color and texture complements the surrounding landscape and development;
- g) Design sound walls to increase visual interest, reduce apparent height, and be visually compatible with the surrounding area; and landscape the sound walls with plants that screen the sound wall, preferably with either native vegetation or landscaping that complements the dominant landscaping of surrounding areas.

Impact AES-4 Create a new source of substantial light or glare which would adversely affect day or nighttime views in the area.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM AES-2** and Mitigation Measure **PMM AES-3** will reduce impacts related to the potential to create new sources of light and glare in the SCAG region, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.1, Aesthetics, of the PEIR. Implementation of Mitigation Measures **SMM AES-2** and **PMM AES-3** would reduce the potential for light and glare impacts. However, even with the implementation of these mitigation measures, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that many of the transportation projects, HQTAs and development as a result of transportation and land use strategies would have the potential create a new source of substantial light and glare that could adversely affect day or nighttime views in the areas, and therefore, there is a potential for the Plan to affect these resources. Mitigation Measure **SMM AES-2** would reduce project

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impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measure **PMM AES-3** would reduce the adverse effects of new sources of light and glare to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in the adverse effects of new sources of light and glare, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM AES-2: SCAG shall facilitate minimizing impacts on aesthetics related to new sources of light or glare through cooperation, information sharing regarding guidelines and policies, design approaches, building materials, siting, and technology, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts and sharing of associated online training materials. Lead agencies, such as county and city planning departments, shall be consulted during this update process.

Project-Level Mitigation Measures

PMM AES-3: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to address potential aesthetic impacts that substantially degrade visual character, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Use lighting fixtures that are adequately shielded to a point below the light bulb and reflector and that prevent unnecessary glare onto adjacent properties.
- b) Restrict the operation of outdoor lighting for construction and operation activities to the hours of 7:00 a.m. to 10:00 p.m. or as otherwise required by applicable local rules or ordinances.
- c) Use high pressure sodium and/or cut-off fixtures instead of typical mercury-vapor fixtures for outdoor lighting.

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- d) Use unidirectional lighting to avoid light trespass onto adjacent properties.
- e) Design exterior lighting to confine illumination to the project site, and/or to areas which do not include light-sensitive uses.
- f) Provide structural and/or vegetative screening from light-sensitive uses.
- g) Shield and direct all new street and pedestrian lighting away from light-sensitive off-site uses.
- h) Use non-reflective glass or glass treated with a non-reflective coating for all exterior windows and glass used on building surfaces.
- i) Architectural lighting shall be directed onto the building surfaces and have low reflectivity to minimize glare and limit light onto adjacent properties.

6.2 AGRICULTURE AND FORESTRY RESOURCES

Impact AG-1 **Potential for the Plan to convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to nonagricultural use.**

Impact:

Significant and Unavoidable

Finding:

Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, SMM AG-3, and PMM-AG-1** will reduce impacts related to the potential to convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), to non-agricultural use, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.2, Agriculture and Forestry Resources, of the PEIR. The loss and disturbance of agricultural lands would be significant. Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, SMM AG-3, and PMM-AG-1** would reduce impacts related to disturbance and/or loss of prime farmlands and/or grazing lands; however, impacts would remain significant and unavoidable.

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The SCAG Regional Council finds that many of the transportation projects and development as a result of implementation of land use strategies are in areas with prime farmland, unique farmland or farmland of statewide importance, and therefore, there is potential for the Plan to affect these resources. Mitigation Measure **SMM AG-1**, **SMM AG-2** and **SMM AG-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measure **PMM AG-1** would reduce adverse effects on Prime Farmland, Unique Farmland, or Farmland of Statewide Importance, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on Prime Farmland, Unique Farmland, or Farmland of Statewide Importance, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

- SMM AG-1:** SCAG shall host a Natural & Farm Lands Conservation Working Group which will provide a forum for stakeholders to share best practices and develop recommendations for natural and agricultural land conservation throughout the region, including the development of a Natural Lands Conservation Strategy for the Connect SoCal Plan.
- SMM AG-2:** SCAG shall expand on the Natural Resource Inventory Database and Conservation Framework & Assessment by incorporating strategic mapping layers to build the database and further refine the priority conservation areas by (1) further investing in mapping and farmland data tracking and (2) working with County Transportation Commissions (CTCs) and SCAG’s subregions to support their county-level efforts at data building. SCAG shall encourage CTCs to develop advanced mitigation programs or include them in future transportation measures by (1) funding pilot programs that encourage advance mitigation including data and replicable processes, (2) participating in state-level efforts that would support regional advanced mitigation planning in the SCAG region, and (3) supporting the inclusion of advance mitigation programs at county level transportation measures.
- SMM AG-3:** SCAG shall align with funding opportunities and pilot programs to begin implementation of conservation strategies through (1) seeking planning and implementation funds, such

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as cap and trade auction proceeds that could advance local action on acquisition and restoration projects locally and regionally, (2) supporting CTCs and other partners, and (3) continuing policy alignment with the State Wildlife Action Plan 2015 Update and its implementation.

SMM AG-4: SCAG shall provide incentives to jurisdictions that cooperate across county lines to protect and restore natural habitat corridors, especially where corridors cross county boundaries, as detailed in the Natural & Farm Lands Appendix strategies of Connect SoCal. SCAG will work with stakeholders to identify incentives and leverage resources that help protect habitat corridors.

Project-Level Mitigation Measures

PMM AG-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to address potential adverse effects on agricultural resources, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Require project sponsors to mitigate for loss of farmland by providing permanent protection of in-kind farmland in the form of easements, fees, or elimination of development rights/potential.
- b) Project relocation or corridor realignment to avoid Prime Farmland, Unique Farmland, or Farmland of Local or Statewide Importance.
- c) Maintain and expand agricultural land protections such as urban growth boundaries.
- d) Provide for mitigation fees to support a mitigation bank⁶ that invests in farmer education, agricultural infrastructure, water supply, marketing, etc. that enhance the commercial viability of retained agricultural lands.

⁶ The California Department of Fish and Wildlife provides a definition for conservation or mitigation banks on their website (please see <https://www.wildlife.ca.gov/Conservation/Planning/Banking>).

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- e) Minimize severance and fragmentation of agricultural land by constructing underpasses and overpasses at reasonable intervals to provide property access.
- f) Use berms, buffer zones, setbacks, and fencing to reduce conflicts between new development and farming uses and protect the functions of farmland.

Impact AG-2 Potential to conflict with existing zoning for agricultural use, or a Williamson Act contract.

Impact:

Significant and Unavoidable

Finding:

Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, SMM AG-3, PMM-AG-1, and PMM AG-2** will reduce impacts related to the potential to conflict with existing zoning for agricultural use, or a Williamson Act contract, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.2, Agriculture and Forestry Resources, of the PEIR. Conflicts with existing zoning for agricultural use or a Williamson Act contract would be significant. Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, SMM AG-3, PMM-AG-1, and PMM AG-2** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that many of the transportation projects and development as a result of implementation of land use strategies are in or nearby areas with existing zoning for agricultural use, or Williamson act contracts, and therefore there is potential for the Plan to affect these resources. Mitigation Measure **SMM AG-1, SMM AG-2, SMM AG-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measure **PMM AG-2** would reduce conflict with existing zoning for agricultural use, or a Williamson Act contract, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on conflicts with existing zoning for agricultural use, or a Williamson Act contract, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM AG-1 through SMM AG-4.

Project-Level Mitigation Measures

See PMM AG-1.

PMM AG-2: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects on Williamson Act contracts to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:

- a) Project relocation or corridor realignment to avoid lands in Williamson Act contracts.
- b) Establish conservation easements consistent with the recommendations of the Department of Conservation, or 20-year Farmland Security Zone contracts (Government Code Section 51296 et seq.), 10-year Williamson Act contracts (Government Code Section 51200 et seq.), or use of other conservation tools available from the California Department of Conservation Division of Land Resource Protection.

Impact AG-3 **Potential for the Plan to conflict with existing zoning for, or cause rezoning of, forest land (as defined in Public Resources Code section 12220(g)), timberland (as defined by Public Resources Code section 4526), or timberland zoned Timberland Production (as defined by Government Code section 51104(g)).**

Impact:

Significant and Unavoidable

Finding:

Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, and PMM AG-3** will reduce impacts related to the potential to conflict with existing zoning for forest land or timber land, to the maximum

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extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.2, Agriculture and Forestry Resources, of the PEIR. Conflicts with existing zoning for forest land or timber land would be significant. Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, and PMM AG-3** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that many of the transportation projects, or development as a result of implementation of transportation and land use strategies, are in or nearby areas with forest lands and timberlands, and therefore, there is potential for the Plan to affect these resources. Mitigation Measure **SMM AG-1** and **SMM AG-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measure **PMM AG-3** would reduce conflict with existing zoning for forest land or timber land, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on conflicts with existing zoning for forest land or timber land, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

Mitigation Measures

SCAG Mitigation Measures

See **SMM AG-1** through **SMM AG-2**.

Project Level Mitigation Measures

PMM AG-3: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland to maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:

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- a) Minimize construction related impacts to agricultural and forestry resources by locating materials and stationary equipment in such a way as to prevent conflict with agriculture and forestry resources.

Impact AG-4 Potential for the Plan to result in the loss of forest land or conversion of forest land to non-forest use.

Impact:

Significant and Unavoidable

Finding:

Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, and PMM AG-3** will reduce impacts related to the potential to result in the loss of forest land or conversion of forest land to non-forest use, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.2, Agriculture and Forestry Resources, of the PEIR. Conversion of forest land to non-forest use would be significant. Implementation of Mitigation Measures **SMM AG-1, SMM AG-2, and PMM AG-3** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that many of the transportation projects or development as a result of implementation of transportation and land use strategies are in or nearby areas with forest lands which maybe result in conversion to non-forest uses, and therefore, there is potential for the Plan to affect these resources. Mitigation Measure **SMM AG-1 and SMM AG-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measures **PMM AG-1, PMM AG-2, and PMM AG-3** would reduce the potential to result in the loss of forest land or conversion of forest land to non-forest use, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation provided may reduce the potential to result in the loss of forest land or conversion of forest land to non-forest use, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

Mitigation Measures

SCAG Mitigation Measures

See SMM AG-1 through SMM AG-2.

Project Level Mitigation Measures

See PMM AG-3.

Impact AG-5 **Potential for the Plan to involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use.**

Impact:

Significant and Unavoidable

Finding:

Implementation of Mitigation Measures SMM AG-1 through SMM AG-2; SMM-GHG-1 through SMM-GHG-5; PMM AG-2, PMM AG-3, and PMM GHG-2 will reduce impacts related to the potential to involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.2, Agriculture and Forestry Resources, of the PEIR. The conversion of Farmland to non-agricultural use or conversion of forest land to non-forest use as a result of other changes in the environment would be significant. Implementation of Mitigation Measures SMM AG-1 through SMM AG-2; SMM-GHG-1 through SMM-GHG-5; PMM AG-

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2, PMM AG-3, and PMM GHG-2 would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that many of the transportation projects or development as a result of implementation of transportation and land use strategies are in or nearby areas with agricultural land and forest lands, and therefore, there is potential for the Plan to affect these resources. Mitigation Measure SMM AG-1, SMM AG-2, SMM GHG-1 through SMM GHG-5 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Mitigation Measures PMM AG-2 through PMM AG-3 and PMM GHG-2 would reduce the potential to result in the loss of forest land or conversion of forest land to non-forest use to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation provided may reduce the potential to result in the loss of forest land or conversion of forest land to non-forest use, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM AG-1 through SMM AG-2 and SMM-GHG-1 through SMM-GHG-5.

Project-Level Mitigation Measures

See PMM AG-2 through PMM AG-3 and PMM GHG-2.

PMM AG-4: Project level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland, to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:

- a) Design proposed projects to minimize, to the greatest extent feasible, the loss of the highest valued agricultural land.
- b) Redesign project features to minimize fragmenting or isolating Farmland. Where a project involves acquiring land or easements, ensure that the remaining non-

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project area is of a size sufficient to allow economically viable farming operations. The project proponents shall be responsible for acquiring easements, making lot line adjustments, and merging affected land parcels into units suitable for continued commercial agricultural management.

- c) Reconnect utilities or infrastructure that serve agricultural uses if these are disturbed by project construction. If a project temporarily or permanently cuts off roadway access or removes utility lines, irrigation features, or other infrastructure, the project proponents shall be responsible for restoring access as necessary to ensure that economically viable farming operations are not interrupted.

PMM AG-5: Project-level mitigation measures can and should be considered by Lead Agencies as applicable and feasible. Measures to reduce substantial adverse effects, through the conversion of Farmland, to the maximum extent practicable, as determined appropriate by each Lead Agency, may include the following, or other comparable measures:

- a) Manage project operations to minimize the introduction of invasive species or weeds that may affect agricultural production on adjacent agricultural land. Where a project has the potential to introduce sensitive species or habitats or have other spill-over effects on nearby agricultural lands, the project proponents shall be responsible for acquiring easements on nearby agricultural land and/or financially compensating for indirect effects on nearby agricultural land. Easements (e.g., flowage easements) shall be required for temporary or intermittent interruption in farming activities (e.g., because of seasonal flooding or groundwater seepage). Acquisition or compensation would be required for permanent or significant loss of economically viable operations.

6.3 AIR QUALITY

Impact AQ-2 **Potential to violate any air quality standard or contribute substantially to an existing or projected air quality violation.**

Impact:

Significant and Unavoidable

Finding:

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Implementation of SCAG Mitigation Measure **SMM-AQ-1 through SMM-AQ-3** and Project-Level Mitigation Measure **PMM-AQ-1** will reduce impacts related to the potential to violate any air quality standard or contribute substantially to an existing or projected air quality violation, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.3, Air Quality, of the PEIR. The potential to violate any air quality standard or contribute substantially to an existing or projected air quality violation would be significant. Implementation of Mitigation Measures **SMM AQ-1 through SMM-AQ-3** and **PMM-AQ-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The construction and operation of individual transportation projects and anticipated development as result of implementation of the proposed transportation and land use strategies in the Plan are expected to have the potential to violate air quality standards or contribute substantially to an air quality violation.

The SCAG Regional Council finds that the Plan, when compared to existing conditions, would result in an increase to on-road mobile-source PM2.5 in Imperial, Riverside, and San Bernardino Counties and an increase in mobile-source emissions related to PM10 would increase in Imperial, Orange, Riverside, and San Bernardino Counties due to increasing traffic. Therefore, there is potential for the Plan to violate air quality standards or contribute substantially to an existing or projected air quality violation. Mitigation Measure **SMM AQ-1 through SMM AQ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-AQ-1** would reduce the potential to violate any air quality standard or contribute substantially to an existing or projected air quality violation to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in air quality impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impacts to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

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- SMM-AQ-1:** SCAG shall develop the Southern California Disadvantaged Communities Planning Initiative which would provide funds to selected applicants to develop a low-cost, high-impact model which leverages SCAG’s staff, data, and outreach resources to deliver context-sensitive plans in high-need, low-resourced active transportation infrastructure and frameworks. As part of the initiative, the model will be operationalized through the development of plans in six communities and refined to provide a sustainable resource for SCAG staff partner with local agencies to develop local active transportation plans.
- SMM-AQ-2:** SCAG shall continue its commitment to analyze public health outcomes as part of Connect SoCal. As part of the public health analysis for the Plan, SCAG shall continue to analyze the Plan’s impacts on air quality through its Public Health Working group and continue to support policy change at the city and country level through education programs.
- SMM-AQ-3:** SCAG shall continue to conduct air quality-related technical analyses on the region, specifically in vulnerable areas that are typically environmental justice areas. For example, SCAG staff conducted technical analysis of emissions impacts on populations within 500 feet of freeways and highly travelled corridors in the Connect SoCal Environmental Justice Appendix. SCAG staff shall also continue to work with districts and relevant stakeholders to be informed of any updates new and/or changes to air quality issue areas through various forums like the Environmental Justice Working Group.

Project-Level Mitigation Measures

- PMM-AQ-1:** In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to violating air quality standards. Such measures may include the following or other comparable measures identified by the Lead Agency:
- a) Minimize land disturbance.
 - b) Suspend grading and earth moving when wind gusts exceed 25 miles per hour unless the soil is wet enough to prevent dust plumes.
 - c) Cover trucks when hauling dirt.
 - d) Stabilize the surface of dirt piles if not removed immediately.

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- e) Limit vehicular paths on unpaved surfaces and stabilize any temporary roads.
- f) Minimize unnecessary vehicular and machinery activities.
- g) Sweep paved streets at least once per day where there is evidence of dirt that has been carried on to the roadway.
- h) Revegetate disturbed land, including vehicular paths created during construction to avoid future off-road vehicular activities.
- i) On Caltrans projects, Caltrans Standard Specifications 10-Dust Control, 17-Watering, and 18-Dust Palliative shall be incorporated into project specifications.
- j) Require contractors to assemble a comprehensive inventory list (i.e., make, model, engine year, horsepower, emission rates) of all heavy-duty off-road (portable and mobile) equipment (50 horsepower and greater) that could be used an aggregate of 40 or more hours for the construction project. Prepare a plan for approval by the applicable air district demonstrating achievement of the applicable percent reduction for a CARB-approved fleet.
- k) Ensure that all construction equipment is properly tuned and maintained.
- l) Minimize idling time to 5 minutes—saves fuel and reduces emissions.
- m) Provide an operational water truck on-site at all times. Use watering trucks to minimize dust; watering should be sufficient to confine dust plumes to the project work areas. Sweep paved streets at least once per day where there is evidence of dirt that has been carried on to the roadway.
- n) Utilize existing power sources (e.g., power poles) or clean fuel generators rather than temporary power generators.
- o) Develop a traffic plan to minimize traffic flow interference from construction activities. The plan may include advance public notice of routing, use of public transportation, and satellite parking areas with a shuttle service. Schedule operations affecting traffic for off-peak hours. Minimize obstruction of through-traffic lanes. Provide a flag person to guide traffic properly and ensure safety at construction sites. Project sponsors should consider developing a goal for the minimization of community impacts.

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- p) As appropriate require that portable engines and portable engine-driven equipment units used at the project work site, with the exception of on-road and off-road motor vehicles, obtain CARB Portable Equipment Registration with the state or a local district permit. Arrange appropriate consultations with the CARB or the District to determine registration and permitting requirements prior to equipment operation at the site.

- q) Require projects to use Tier 4 Final equipment or better for all engines above 50 horsepower (hp). In the event that construction equipment cannot meet to Tier 4 Final engine certification, the Project representative or contractor must demonstrate through future study with written findings supported by substantial evidence that is approved by SCAG before using other technologies/strategies. Alternative applicable strategies may include, but would not be limited to, construction equipment with Tier 4 Interim or reduction in the number and/or horsepower rating of construction equipment and/or limiting the number of construction equipment operating at the same time. All equipment must be tuned and maintained in compliance with the manufacturer's recommended maintenance schedule and specifications. All maintenance records for each equipment and their contractor(s) should make available for inspection and remain on-site for a period of at least two years from completion of construction, unless the individual project can demonstrate that Tier 4 engines would not be required to mitigate emissions below significance thresholds. Project sponsors should also consider including ZE/ZNE technologies where appropriate and feasible.

- r) Projects located within the South Coast Air Basin should consider applying for South Coast AQMD "SOON" funds which provides funds to applicable fleets for the purchase of commercially available low-emission heavy-duty engines to achieve near-term reduction of NOx emissions from in-use off-road diesel vehicles.

- s) Projects located within AB 617 communities should review the applicable Community Emissions Reduction Plan (CERP) for additional mitigation that can be applied to individual projects.

- t) Where applicable, projects should provide information about air quality related programs to schools, including the Environmental Justice Community Partnerships (EJCP), Clean Air Ranger Education (CARE), and Why Air Quality Matters programs.

- u) Projects should work with local cities and counties to install adequate signage that prohibits truck idling in certain locations (e.g., near schools and sensitive receptors).

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- v) As applicable for airport projects, the following measures should be considered:
 - a. Considering operational improvements to reduce taxi time and auxiliary power unit usage, where feasible. Additionally, consider single engine taxiing, if feasible as allowed per Federal Aviation Administration guidelines.
 - b. Set goals to achieve a reduction in emissions from aircraft operations over the lifetime of the proposed project.
 - c. Require the use of ground service equipment (GSE) that can operate on battery-power. If electric equipment cannot be obtained, require the use of alternative fuel, the cleanest gasoline equipment, or Tier 4, at a minimum.

- w. As applicable for port projects, the following measures should be considered:
 - a. Develop specific timelines for transitioning to zero emission cargo handling equipment (CHE).
 - b. Develop interim performance standards with a minimum amount of CHE replacement each year to ensure adequate progress.
 - c. Use short side electric power for ships, which may include tugboats and other ocean-going vessels or develop incentives to gradually ramp up the usage of shore power.
 - d. Install the appropriate infrastructure to provide shore power to operate the ships. Electrical hookups should be appropriately sized.
 - e. Maximize participation in the Port of Los Angeles' Vessel Speed Reduction Program or the Port of Long Beach's Green Flag Initiation Program in order to reduce the speed of vessel transiting within 40 nautical miles of Point Fermin.
 - f. Encourage the participation in the Green Ship Incentives.
 - g. Offer incentives to encourage the use of on-dock rail.

- x. As applicable for rail projects, the following measures should be considered:

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- a. Provide the highest incentives for electric locomotives and then locomotives that meet Tier 5 emission standards with a floor on the incentives for locomotives that meet Tier 4 emission standards.

- y. Projects that will introduce sensitive receptors within 500 feet of freeways and other sources should consider installing high efficiency of enhanced filtration units, such as Minimum Efficiency Reporting Value (MERV) 13 or better. Installation of enhanced filtration units can be verified during occupancy inspection prior to the issuance of an occupancy permit.

- z. Develop an ongoing monitoring, inspection, and maintenance program for the MERV filters.
 - a. Disclose potential health impacts to prospective sensitive receptors from living in close proximity to freeways or other sources of air pollution and the reduced effectiveness of air filtration systems when windows are open or residents are outside.
 - b. Identify the responsible implementing and enforcement agency to ensure that enhanced filtration units are installed on-site before a permit of occupancy is issued.
 - c. Disclose the potential increase in energy costs for running the HVAC system to prospective residents.
 - d. Provide information to residents on where MERV filters can be purchased.
 - e. Provide recommended schedule (e.g., every year or every six months) for replacing the enhanced filtration units.
 - f. Identify the responsible entity such as future residents themselves, Homeowner's Association, or property managers for ensuring enhanced filtration units are replaced on time.
 - g. Identify, provide, and disclose ongoing cost-sharing strategies, if any, for replacing the enhanced filtration units.
 - h. Set criteria for assessing progress in installing and replacing the enhanced filtration units; and

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- i. Develop a process for evaluating the effectiveness of the enhanced filtration units.
- aa. Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities.

Impact AQ-3 **Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM AQ-1**, **SMM AQ-2**, and **SMM AQ-3** and Project-Level Mitigation Measure **PMM AQ-1** will reduce impacts related to criteria pollutants to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.3, Air Quality, of the PEIR. The potential for a project to cause a cumulatively considerable increase of criteria pollutants in a non-attainment region would be significant. Implementation of Mitigation Measures **SMM AQ-1**, **SMM AQ-2**, and **SMM AQ-3** would reduce these impacts; however, impacts would remain significant and unavoidable.

The construction and operation of individual transportation projects and anticipated development as result of implementation of the proposed transportation and land use strategies in the Plan are expected to cause a cumulatively considerable increase of criteria pollutants in a non-attainment region.

The SCAG Regional Council finds that implementation of the Plan would result in an increase to emissions in some counties (See AQ-2), and the Plan could contribute to cumulative impacts from adjacent MPO's. Therefore, there is potential for the Plan to cause a cumulatively considerable increase of criteria pollutants in a non-attainment region. Mitigation Measure **SMM AQ-1**, **SMM AQ-2** and **SMM AQ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-AQ-1** would reduce the

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potential to violate any air quality standard or contribute substantially to pollutant concentrations and the related harm to public health, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction related to the cumulatively considerable increase of criteria pollutants in a non-attainment region, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM AQ-1, SMM AQ-2, and SMM AQ-3.

Project-Level Mitigation Measures

See PMM-AQ-1.

Impact AQ-4 **Expose sensitive receptors to substantial pollutant concentrations.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures SMM AQ-1, SMM AQ-2, and SMM AQ-3 and Project-Level Mitigation Measure PMM-AQ-1 will reduce impacts related to the exposure of sensitive receptors to substantial pollutant concentrations and the related harm to public health, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.3, Air Quality, of the PEIR. The potential to expose sensitive receptors to substantial pollutant concentrations and harm public health outcomes substantially would be significant. Implementation of Mitigation Measures SMM AQ-1, SMM

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AQ-2, and SMM AQ-3 would reduce these impacts; however, impacts would remain significant and unavoidable.

The construction and operation of individual transportation projects and anticipated development as result of implementation of the proposed transportation and land use strategies in the Plan are expected to expose sensitive receptors to substantial pollutant concentrations and harm public health outcomes substantially.

The SCAG Regional Council finds that construction activity would occur adjacent to sensitive receptors. The significant construction emissions identified in AQ-2, could result in an adverse health effects to sensitive receptors. The Regional Council further finds that extended intense construction activities (e.g., from development projects that involve a high volume of haul trucks) would exceed the health risk significance thresholds due to equipment and truck exhaust emissions. Mitigation Measure SMM AQ-1 through SMM AQ-3 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure PMM-AQ-1 would reduce the potential to violate any air quality standard or contribute substantially to pollutant concentrations and the related harm to public health, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction related to the exposure of sensitive receptors to substantial pollutant concentrations and the related harm to public health, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM AQ-1, SMM AQ-2, and SMM AQ-3.

Project-Level Mitigation Measures

See PMM-AQ-1.

6.4 BIOLOGICAL RESOURCES

Impact BIO-1 Have a substantial adverse effect, either directly or through habitat modification, on any species identified as a candidate, sensitive, or special

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**status species in local or regional plans, policies, or regulations, or by the
California Department of Fish and Game or US Fish and Wildlife Service.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM BIO-1 and SMM BIO-2** and Project-Level Mitigation Measure **PMM BIO-1** will reduce impacts related to the potential to have a substantial adverse effect on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Game or U.S. Fish and Wildlife Service would be significant. Implementation of Mitigation Measures **SMM BIO-1 and SMM BIO-2** and **PMM BIO-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the implementation of transportation projects and development projects anticipated to occur under the Plan would affect biological resources. Impacts may occur through direct habitat loss and fragmentation during construction, displacement of sensitive species due to construction noise or during operation, accidental introduction of non-native plants by construction equipment or during maintenance and general operation, introduction of new lighting sources, and dust and noise during construction and operation. Impacts could result from general development related to growth that is expected to occur with the Plan. Impacts could also occur as a result of transportation projects if suitable habitat was encroached upon to the extent that it could no longer support sensitive species. Indirect impacts may include edge effects resulting from habitat fragmentation which can alter habitat structure and composition as well as negatively impact predator-prey dynamics. Therefore, there is potential for the Plan to have a substantial adverse effect, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service. Mitigation Measure **SMM BIO-1 and BIO-2** would

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reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM BIO-1** would reduce adverse effects on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM BIO-1: SCAG shall facilitate reducing future impacts to species identified as a candidate, sensitive, or special status species and its habitats through cooperation, information sharing, and program development. SCAG shall consult with the resource agencies, such as the USFWS, NMFS, USACE, USFS, BLM, and CDFW, as well as local jurisdictions including cities and counties, to incorporate designated critical habitat, federally protected wetlands, the protection of sensitive natural communities and riparian habitats, designated open space or protected wildlife habitat, local policies and tree preservation ordinances, applicable HCPs and NCCPs, or other related planning documents into SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts and sharing of associated online Training materials. Planning efforts shall be consistent with the approach outlined in the California Wildlife Action Plan.

SMM BIO-2: SCAG shall continue to develop a regional conservation strategy in coordination with local jurisdictions and other stakeholders, including the county transportation commissions. The conservation strategy will build upon existing efforts including those at the sub-regional and local levels to identify potential priority conservation areas. SCAG shall develop new regional tools, like the Regional Data Platform and Regional Greenprint to help local jurisdictions identify areas well suited for infill and redevelopment as well as critical habitat and natural lands to be preserved, including natural habitat corridors.

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SCAG will also collaborate with stakeholders to establish a new Regional Advanced Mitigation Program (RAMP) initiative to preserve habitat. The RAMP will be supplemental initiative to regional conservation and mitigation banks and other approaches by evaluating, advocating and highlighting projects that support per capita VMT reduction.

Project-Level Mitigation Measures

PMM BIO-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to threatened and endangered species. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Require project design to avoid occupied habitat, potentially suitable habitat, and designated critical habitat, wherever practicable and feasible.

- b) Where avoidance is determined to be infeasible, provide conservation measures to fulfill the requirements of the applicable authorization for incidental take pursuant to Section 7 or 10(a) of the federal ESA, Section 2081 of the California ESA to support issuance of an incidental take permit, and/or as identified in local or regional plans. Conservation strategies to protect the survival and recovery of federally and state-listed endangered and local special status species may include:
 - i. Impact minimization strategies
 - ii. Contribution of in-lieu fees for in-kind conservation and mitigation efforts
 - iii. Use of in-kind mitigation bank credits
 - iv. Funding of research and recovery efforts
 - v. Habitat restoration
 - vi. Establishment of conservation easements
 - vii. Permanent dedication of in-kind habitat

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- c) Design projects to avoid desert native plants protected under the California Desert Native Plants Act, salvage and relocate desert native plants, and/or pay in lieu fees to support off-site long-term conservation strategies.
- d) Temporary access roads and staging areas will not be located within areas containing sensitive plants, wildlife species or native habitat wherever feasible, so as to avoid or minimize impacts to these species
- e) Develop and implement a Worker Environmental Awareness Program (environmental education) to inform project workers of their responsibilities to avoid and minimize impacts on sensitive biological resources.
- f) Retain a qualified botanist to document the presence or absence of special status plants before project implementation.
- g) Appoint a qualified biologist to monitor construction activities that may occur in or adjacent to occupied sensitive species' habitat to facilitate avoidance of resources not permitted for impact.
- h) Appoint a qualified biologist to monitor implementation of mitigation measures.
- i) Schedule construction activities to avoid sensitive times for biological resources (e.g. steelhead spawning periods during the winter and spring, nesting bird season) and to avoid the rainy season when erosion and sediment transport is increased.
- j) Develop an invasive species control plan associated with project construction
- k) If construction occurs during breeding seasons in or adjacent to suitable habitat, include appropriate sound attenuation measures required for sensitive avian species and other best management practices appropriate for potential local sensitive wildlife
- l) Conduct pre-construction surveys to delineate occupied sensitive species' habitat to facilitate avoidance.
- m) Where projects are determined to be within suitable habitat and may impact listed or sensitive species that have specific field survey protocols or guidelines outlined by the USFWS, CDFW, or other local agency, conduct preconstruction surveys that follow applicable protocols and guidelines and are conducted by qualified and/or certified personnel.

Impact BIO-2 Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Game or US Fish and Wildlife Service.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM BIO-1** and **SMM BIO-2** and Project-Level Mitigation Measures **PMM BIO-1** and **PMM BIO-2** will reduce impacts related to the potential to have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, and regulations; or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, and regulations; or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service would be significant. Implementation of Mitigation Measures **SMM BIO-1**, **SMM BIO-2**, **PMM BIO-1**, and **PMM BIO-2** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the implementation of Plan projects that have the potential to cross waterways or require conversion of natural open space to infrastructure, such as transit or rail projects, highway segment projects, or land use development in open space areas located near state-designated habitats including riparian habitats, could potentially result in a substantial adverse effect, on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, and regulations, or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service. Mitigation Measure **SMM BIO-1** and **SMM BIO-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM BIO-1** and **PMM BIO-2** would reduce adverse effects on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, and regulations; or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as

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required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM BIO-1** and **SMM BIO-2**.

Project-Level Mitigation Measures

See **PMM BIO-1**.

PMM BIO-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to riparian habitats and other sensitive natural communities. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Consult with the USFWS and NMFS where such state-designated sensitive or riparian habitats provide potential or occupied habitat for federally listed rare, threatened, and endangered species afforded protection pursuant to the federal ESA.
- b) Consult with the USFS where such state-designated sensitive or riparian habitats provide potential or occupied habitat for federally listed rare, threatened, and endangered species afforded protection pursuant to the federal ESA and any additional species afforded protection by an adopted Forest Land Management Plan or Resource Management Plan for the four national forests in the six-county area: Angeles, Cleveland, Los Padres, and San Bernardino.
- c) Consult with the CDFW where such state-designated sensitive or riparian habitats provide potential or occupied habitat for state-listed rare, threatened, and endangered species afforded protection pursuant to the California ESA, or Fully Protected Species afforded protection pursuant to the State Fish and Game Code.

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- d) Consult with the CDFW pursuant to the provisions of Section 1600 of the State Fish and Game Code as they relate to Lakes and Streambeds.
- e) Consult with the USFWS, USFS, CDFW, and counties and cities in the SCAG region, where state-designated sensitive or riparian habitats are occupied by birds afforded protection pursuant to the MBTA during the breeding season.
- f) Consult with the CDFW for state-designated sensitive or riparian habitats where furbearing mammals, afforded protection pursuant to the provisions of the State Fish and Game Code for fur-bearing mammals, are actively using the areas in conjunction with breeding activities.
- g) Require project design to avoid sensitive natural communities and riparian habitats, wherever practicable and feasible.
- h) Where avoidance is determined to be infeasible, develop sufficient conservation measures through coordination with local agencies and the regulatory agency (i.e., USFWS or CDFW) to protect sensitive natural communities and riparian habitats and develop appropriate compensatory mitigation, where required.
- i) Appoint a qualified wetland biologist to monitor construction activities that may occur in or adjacent to sensitive communities.
- j) Appoint a qualified wetland biologist to monitor implementation of mitigation measures.
- k) Schedule construction activities to avoid sensitive times for biological resources and to avoid the rainy season when erosion and sediment transport is increased.
- l) When construction activities require stream crossings, schedule work during dry conditions and use rubber-wheeled vehicles, when feasible. Have a qualified wetland scientist determine if potential project impacts require a Notification of Lake or Streambed Alteration to CDFW during the planning phase of projects.
- m) Consult with local agencies, jurisdictions, and landowners where such state-designated sensitive or riparian habitats are afforded protection pursuant an adopted regional conservation plan.

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- n) Install fencing and/or mark sensitive habitat to be avoided during construction activities.
- o) Salvage and stockpile topsoil (the surface material from 6 to 12 inches deep) and perennial native plants, when recommended by the qualified wetland biologist, for use in restoring native vegetation to areas of temporary disturbance within the project area. Salvage of soils containing invasive species, seeds and/or rhizomes will be avoided as identified by the qualified wetland biologist.
- p) Revegetate with appropriate native vegetation following the completion of construction activities. as identified by the qualified wetland biologist.
- q) Complete habitat enhancement (e.g., through removal of non-native invasive wetland species and replacement with more ecologically valuable native species).
- r) Use Best Management Practices (BMPs) at construction sites to minimize erosion and sediment transport from the area. BMPs include encouraging growth of native vegetation in disturbed areas, using straw bales or other silt-catching devices, and using settling basins to minimize soil transport.

Impact BIO-3 **Have a substantial adverse effect on State or Federally Protected Wetlands (including but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption or other means.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM BIO-1 and SMM BIO-2** and Project-Level Mitigation Measures **PMM BIO-1, PMM BIO-2, and PMM BIO-3** will reduce impacts related to the potential to have a substantial adverse effect on State or Federally Protected Wetlands, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

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The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to have a substantial adverse effect on State or Federally Protected Wetlands would be significant. Implementation of Mitigation Measures **SMM BIO-1, SMM BIO-2, PMM BIO-1, PMM BIO-2, and PMM BIO-3** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the transportation projects and development as a result of implementation of the Plan's transportation or land use strategies could impact wetlands. For example, grade separation projects or transit/rail projects located in areas could impact coastal habitats or areas close to the terminal locations of major rivers or stream systems. While land use development projects may be focused in areas that are already developed as reflected under the Plan, some new projects are still anticipated in areas where wetlands are located. Therefore, the Plan could potentially have a substantial adverse effect, on State or Federally Protected Wetlands. Mitigation Measure SMM BIO-1 and SMM BIO-2 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM BIO-1, PMM BIO-2, and PMM BIO-3** would reduce adverse effects on State or Federally Protected Wetlands, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

Impact BIO-4 **Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM BIO-1 through SMM BIO-3, SMM AG-1 through SMM AG-4, SMM GHG-1, SMM WF-1** and Project-Level Mitigation Measures **PMM BIO-1 through**

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PMM BIO-4 will reduce impacts related to the potential to interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites would be significant. Implementation of SCAG Mitigation Measures **SMM BIO-1** through **SMM BIO-3**, **SMM AG-1** through **SMM AG-4**, **SMM GHG-1**, **SMM WF-1** and Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-4** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that projects listed in the Plan would involve large-scale ground disturbance during construction such as grade separation projects, mixed flow lane projects, and rail projects. Large-scale land use development could result in significant impacts to the wildlife movement corridors and native wildlife nursery sites. Indirect impacts to migratory corridors and nursery sites would occur when the functionality of a corridor is degraded after construction of the transportation project. Therefore, the Plan could potentially interfere with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites. Mitigation Measure **SMM BIO-1** through **SMM BIO-3**, **SMM AG-1** through **SMM AG-4**, **SMM GHG-1**, and **SMM WF-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-4** would reduce the potential to interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM BIO-1 and SMM BIO-2, SMM AG-1 through SMM AG-4, SMM GHG-1, SMM WF-1.

SMM BIO-3: SCAG shall encourage and facilitate research, programs and policies to identify, protect and restore natural habitat corridors, especially where corridors cross county boundaries. Additionally, continue support for preserving wildlife corridors and wildlife crossings to minimize the impact of transportation projects on wildlife species and habitat fragmentation.

Project-Level Mitigation Measures

See PMM BIO-1 through PMM BIO-3.

PMM BIO-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to wildlife movement. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Consult with the USFS where impacts to migratory wildlife corridors may occur in an area afforded protection by an adopted Forest Land Management Plan or Resource Management Plan for the four national forests in the six-County area: Angeles, Cleveland, Los Padres, and San Bernardino.
- b) Consult with counties, cities, and other local organizations when impacts may occur to open space areas that have been designated as important for wildlife movement related to local ordinances or conservation plans.
- c) Prohibit construction activities within 500 feet of occupied breeding areas for wildlife afforded protection pursuant to Title 14 § 460 of the California Code of Regulations protecting fur-bearing mammals, during the breeding season.
- d) Conduct a survey to identify active raptor and other migratory nongame bird nests by a qualified biologist at least two weeks before the start of construction at project sites from February 1 through August 31.

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- e) Prohibit construction activities with 300 feet of occupied nest of birds afforded protection pursuant to the Migratory Bird Treaty Act, during the breeding season.
- f) Ensure that suitable nesting sites for migratory nongame native bird species protected under the Migratory Bird Treaty Act and/or trees with unoccupied raptor nests should only be removed prior to February 1, or following the nesting season.
- g) When feasible and practicable, proposed projects will be designed to minimize impacts to wildlife movement and habitat connectivity and preserve existing and functional wildlife corridors.
- h) Conduct site-specific analyses of opportunities to preserve or improve habitat linkages with areas on- and off-site.
- i) Long linear projects with the possibility of impacting wildlife movement should analyze habitat linkages/wildlife movement corridors on a broad scale to avoid critical narrow choke points that could reduce function of recognized movement corridor.
- j) Require review of construction drawings and habitat connectivity mapping by a qualified biologist to determine the risk of habitat fragmentation.
- k) Pursue mitigation banking to preserve habitat linkages and corridors (opportunities to purchase, maintain, and/or restore offsite habitat).
- l) When practicable and feasible design projects to promote wildlife corridor redundancy by including multiple connections between habitat patches.
- m) Evaluate the potential for installation of overpasses, underpasses, and culverts to create wildlife crossings in cases where a roadway or other transportation project may interrupt the flow of species through their habitat. Retrofitting of existing infrastructure in project areas should also be considered for wildlife crossings for purposes of mitigation.
- n) Install wildlife fencing where appropriate to minimize the probability of wildlife injury due to direct interaction between wildlife and roads or construction.
- o) Where avoidance is determined to be infeasible, design sufficient conservation measures through coordination with local agencies and the regulatory agency (i.e., USFWS or CDFW) and in accordance with the respective counties and cities general

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plans to establish plans to mitigate for the loss of fish and wildlife movement corridors and/or wildlife nursery sites. The consideration of conservation measures may include the following measures, in addition to the measures outlined in **MM-BIO-1(b)**, where applicable:

- Wildlife movement buffer zones
- Corridor realignment
- Appropriately spaced breaks in center barriers
- Stream rerouting
- Culverts
- Creation of artificial movement corridors such as freeway under- or overpasses
- Other comparable measures

p) Where the lead agency has identified that a RTP/SCS project, or other regionally significant project, has the potential to impact other open space or nursery site areas, seek comparable coverage for these areas in consultation with the USFWS, CDFW, NMFS, or other local jurisdictions.

Impact BIO-5 Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM BIO-1** through **SMM BIO-3** and Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-5** will reduce impacts related to the potential to conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance would be significant. Implementation of Mitigation Measures **SMM BIO-1** through **SMM BIO-3** and **PMM BIO-1** through **PMM BIO-5** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that impacts are expected to occur because many natural land areas near the edge of existing urbanized areas are vulnerable to development pressure, and projects aimed to improve accessibility might require expansion in existing urbanized areas or facilitate growth into urbanizing areas. Therefore, the Plan would potentially conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance. Mitigation Measure **SMM BIO-1** through **SMM BIO-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-5** would mitigate the potential to conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM BIO-1**, **SMM BIO-2** and **SMM BIO-3**.

Project-Level Mitigation Measures

See **PMM BIO-1** through **PMM BIO-4**.

PMM BIO-5: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce conflicts with local policies and ordinances protecting biological resources. Such

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measures may include the following or other comparable measures identified by the Lead Agency.

- a) Consult with the appropriate local agency responsible for the administration of the policy or ordinance protecting biological resources.
- b) Prioritize retention of trees on-site consistent with local regulations. Provide adequate protection during the construction period for any trees that are to remain standing, as recommended by an International Society of Arboriculture (ISA) certified arborist.
- c) If specific project area trees are designated as “Protected Trees,” “Landmark Trees,” or “Heritage Trees,” obtain approval for encroachment or removals through the appropriate entity, and develop appropriate mitigation measures at that time, to ensure that the trees are replaced. Mitigation trees shall be locally collected native species, as directed by a qualified biologist.
- d) Appoint an ISA certified arborist to monitor construction activities that may occur in areas with trees are designated as “Protected Trees,” “Landmark Trees,” or “Heritage Trees,” to facilitate avoidance of resources not permitted for impact. Before the start of any clearing, excavation, construction or other work on the site, securely fence off every protected tree deemed to be potentially endangered by said site work. Keep such fences in place for duration of all such work. Clearly mark all trees to be removed.
- e) Establish a scheme for the removal and disposal of logs, brush, earth and other debris that will avoid injury to any protected tree. Where proposed development or other site work could encroach upon the protected perimeter of any protected tree, incorporate special measures to allow the roots to breathe and obtain water and nutrients. Minimize any excavation, cutting, filing, or compaction of the existing ground surface within the protected perimeter. Require that no change in existing ground level occur from the base of any protected tree at any time. Require that no burning or use of equipment with an open flame occur near or within the protected perimeter of any protected tree.
- f) Require that no storage or dumping of oil, gas, chemicals, or other substances that may be harmful to trees occur from the base of any protected trees, or any other location on the site from which such substances might enter the protected perimeter. Require that no heavy construction equipment or construction materials be operated or stored

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within a distance from the base of any protected trees. Require that wires, ropes, or other devices not be attached to any protected tree, except as needed for support of the tree. Require that no sign, other than a tag showing the botanical classification, be attached to any protected tree.

- g) Thoroughly spray the leaves of protected trees with water periodically during construction to prevent buildup of dust and other pollution that would inhibit leaf transpiration, as directed by the certified arborist.

- h) If any damage to a protected tree should occur during or as a result of work on the site, the appropriate local agency will be immediately notified of such damage. If, such tree cannot be preserved in a healthy state, as determined by the certified arborist, require replacement of any tree removed with another tree or trees on the same site deemed adequate by the local agency to compensate for the loss of the tree that is removed. Remove all debris created as a result of any tree removal work from the property within two weeks of debris creation, and such debris shall be properly disposed of in accordance with all applicable laws, ordinances, and regulations. Design projects to avoid conflicts with local policies and ordinances protecting biological resources

- i) Where avoidance is determined to be infeasible, sufficient conservation measures to fulfill the requirements of the applicable policy or ordinance shall be developed, such as to support issuance of a tree removal permit. The consideration of conservation measures may include:
 - Avoidance strategies
 - Contribution of in-lieu fees
 - Planting of replacement trees
 - Re-landscaping areas with native vegetation post-construction
 - Other comparable measures developed in consultation with local agency and certified arborist.

Impact BIO-6 Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM BIO-1** through **SMM BIO-3** and Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-6** will reduce impacts related to the potential to conflict with an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.4, Biological Resources, of the PEIR. The potential to conflict with an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan would be significant. Implementation of Mitigation Measures **SMM BIO-1** through **SMM BIO-3** and **PMM BIO-1** through **PMM BIO-6** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that transportation and development projects may occur in or adjacent to lands protected under these plans. Therefore, the Plan could potentially conflict with an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan. Mitigation Measure **SMM BIO-1** through **SMM BIO-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM BIO-1** through **PMM BIO-6** would mitigate the potential to conflict with an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to biological resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

6.5 CULTURAL RESOURCES

Impact 3.5-1 **Cause a substantial adverse change in the significance of a historical resource pursuant to § 15064.5.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM CULT-1** and Project-Level Mitigation Measure **PMM CULT-1** will reduce impacts related to the potential to cause a substantial adverse change in the significance of a historical resource, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.5, Cultural Resources, of the PEIR. The potential to cause a substantial adverse change in the significance of a historical resource would be significant. Implementation of Mitigation Measures **SMM CULT-1** and **PMM CULT-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that implementation of the Plan’s transportation projects would have the potential to cause an impact to historical resources due to the development of new lanes, tracks, arterials, or interchanges that may require the acquisition of new right-of-ways, as well as development projects influenced by the land use strategies in the Plan. Such projects may result in direct demolition of historical resources or more indirect impacts such as changing the aesthetic context of the resource and/or increasing levels of corrosive air contaminants that affect historical features, and/or project construction activity that can result in vibrations that damage to fragile buildings. Construction of transportation projects and development projects anticipated to occur under the Plan could impact the physical and aesthetic integrity of historic buildings and communities. Therefore, the Plan could potentially cause a substantial adverse change in the significance of a historical resource. Mitigation Measure **SMM CULT-1**

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would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM CULT-1** would reduce adverse effects on unique historical resources, to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to historical resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SMM CULT-1: Impacts to cultural resources shall be minimized through cooperation, information sharing, and SCAG’s ongoing regional planning efforts such as web-based planning tools for local governments including CA LOTS, and other GIS tools and data services, including, but not limiting to, Map Gallery, GIS library, and GIS applications; and direct technical assistance efforts such as Toolbox Tuesday series and sharing of associated online Training materials. SCAG shall consult with resource agencies such as the National Park Service, Office of Historic Preservation, and Native American Heritage Commission to identify opportunities for early and effective consultation to identify archaeological sites, historical resources, and cemeteries to avoid such resources wherever practicable and feasible and reduce or mitigate for conflicts in compatible land use to the maximum extent practicable.

Project-Level Mitigation Measures

PMM CULT-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to historical resources. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a. Pursuant to *CEQA Guidelines* Section 15064.5, conduct a record search during the project planning phase at the appropriate Information Center to determine whether the project area has been previously surveyed and whether historical resources were identified.
- b. During the project planning phase, retain a qualified architectural historian, defined as an individual who meets the Secretary of the Interior’s (SOI) Professional

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Qualification Standards (PQS) in Architectural History, to conduct historic architectural surveys if a built environment resource greater than 45 years in age may be affected by the project or if recommended by the Information Center.

- c. Comply with Section 106 of the National Historic Preservation Act (NHPA) including, but not limited to, projects for which federal funding or approval is required for the individual project. This law requires federal agencies to evaluate the impact of their actions on resources included in or eligible for listing in the National Register. Federal agencies must coordinate with the State Historic Preservation Officer in evaluating impacts and developing mitigation. These mitigation measures may include, but are not limited to the following:
 - Employ design measures to avoid historical resources and undertake adaptive reuse where appropriate and feasible. If resources are to be preserved, as feasible, carry out the maintenance, repair, stabilization, rehabilitation, restoration, preservation, conservation or reconstruction in a manner consistent with the Secretary of the Interior’s Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Buildings. If resources would be impacted, impacts should be minimized to the extent feasible.
 - Where feasible, noise buffers/walls and/or visual buffers/landscaping should be constructed to preserve the contextual setting of significant built resources.
- d. If a project requires the relocation, rehabilitation, or alteration of an eligible historical resource, the Secretary of the Interior’s Standards for the Treatment of Historic Properties should be used to the maximum extent possible to ensure the historical significance of the resource is not impaired. The application of the standards should be overseen by an architectural historian or historic architect meeting the SOI PQS. Prior to any construction activities that may affect the historical resource, a report, meeting industry standards, should identify and specify the treatment of character-defining features and construction activities and be provided to the Lead Agency for review and approval.
- e. If a project would result in the demolition or significant alteration of a historical resource eligible for or listed in the National Register of Historic Places (NRHP), California Register of Historical Resources (CRHR), or local register, recordation should take the form of Historic American Buildings Survey (HABS), Historic

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American Engineering Record (HAER), or Historic American Landscape Survey (HALS) documentation, and should be performed by an architectural historian or historian who meets the SOI PQS. Recordation should meet the SOI Standards and Guidelines for Architectural and Engineering, which defines the products acceptable for inclusion in the HABS/HAER/HALS collection at the Library of Congress. The specific scope and details of documentation should be developed at the project level in coordination with the Lead Agency.

- f. During the project planning phase, obtain a qualified archaeologist, defined as one who meets the SOI PQS for archaeology, to conduct a record search at the appropriate Information Center of the California Historical Resources Information System (CHRIS) to determine whether the project area has been previously surveyed and whether resources were identified.
- g. Contact the NAHC to request a Sacred Lands File search and a list of relevant Native American contacts who may have additional information.
- h. During the project planning phase, obtain a qualified archaeologist or architectural historian (depending on applicability) to conduct archaeological and/or historic architectural surveys as recommended by the qualified professional, the Lead Agency, or the Information Center. In the event the records indicate that no previous survey has been conducted, the qualified professional or Information Center will make a recommendation on whether a survey is warranted based on the sensitivity of the project area for archaeological resources.
- i. If potentially significant archaeological resources are identified through survey, and impacts to these resources cannot be avoided, a Phase II Testing and Evaluation investigation should be performed by a qualified archaeologist prior to any construction-related ground-disturbing activities to determine significance. If resources determined significant or unique through Phase II testing, and avoidance is not possible, appropriate resource-specific mitigation measures should be established by the lead agency and undertaken by qualified personnel. These might include a Phase III data recovery program implemented by a qualified archaeologist and performed in accordance with the OHP's Archaeological Resource Management Reports (ARMR): Recommended Contents and Format and Guidelines for Archaeological Research Designs. Additional options can include 1) interpretative signage, or 2) educational outreach that helps inform the public of the past activities

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that occurred in this area. Archaeological materials collected from a significant resource should be curated with a recognized scientific or educational repository

- j. If a record search or archaeological assessment indicates that the project is located in an area sensitive for archaeological resources, as determined by the Lead Agency in consultation with a qualified archaeologist, retain an archaeological monitor to observe ground disturbing operations, including but not limited to grading, excavation, trenching, or removal of existing features of the subject property. The archaeological monitor should be supervised by an archaeologist meeting the SOI PQS
- k. Conduct construction activities and excavation to avoid cultural resources (if identified). If avoidance is not feasible, further work may be needed to determine the importance of a resource. Retain a qualified archaeologist, and/or as appropriate, a qualified architectural historian who should make recommendations regarding the work necessary to assess significance. If the cultural resource is determined to be significant under state or federal guidelines, impacts to the cultural resource will need to be mitigated.
- l. Stop construction activities and excavation in the area where cultural resources are found until a qualified archaeologist can determine whether these resources are significant. If the archaeologist determines that the discovery is significant, it should be curated with a recognized scientific or educational repository.

Impact 3.5-2 **Cause a substantial adverse change in the significance of an archaeological resource pursuant to § 15064.5.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM CULT-1** and Project-Level Mitigation Measure **PMM CULT-1** will reduce impacts related to the potential to change in the significance of an archaeological resource to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

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Rationale:

The above finding is made based on the analysis included in Section 3.5, Cultural Resources, of the PEIR. The potential to cause a substantial adverse change in the significance of an archaeological resource pursuant to CEQA Guidelines Section 15064.5 would be significant. Implementation of Mitigation Measures **SMM CULT-1** and **SMM CULT-2** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction of any new transportation facilities has the potential to impact archaeological resources by changing the context of the resource or directly through disturbing previously undisturbed resources. Activities to increase roadway capacity such as the construction of additional lanes would potentially impact archaeological resources, if it would entail grading, trenching, excavation, and/or soil removal in an area not previously disturbed. The Connect SoCal Plan also includes land use strategies that focus new growth in urbanized areas that are generally developed and therefore subject to varying levels of disturbance. Therefore, the Plan could potentially result in a change in the significance of an archaeological resource. Mitigation Measure **SMM CULT-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM CULT-2** would reduce adverse effects on archaeological resource to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts on archaeological resources it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See **SMM CULT-1**.

Project-Level Mitigation Measures

See PMM CULT-1.

Impact 3.5-3 **Disturb human remains, including those interred outside of dedicated cemeteries.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM CULT-1** and Project-Level Mitigation Measure **PMM CULT-2** will reduce impacts related to the potential to disturb human remains, including those interred outside of formal cemeteries to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.5, Cultural Resources, of the PEIR. The potential to disturb human remains, including those interred outside of formal cemeteries would be significant. Implementation of Mitigation Measures **SMM CULT-1** and **PMM CULT-2** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that transportation projects and anticipated growth under the Plan could take place in previously undisturbed or areas with only little previous disturbance, and excavation and soil removal of any kind. Therefore, the Plan could potentially disturb human remains, including those interred outside of formal cemeteries. Mitigation Measure **SMM CULT-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM CULT-2** would reduce adverse effects on potential to disturb human remains, including those interred outside of formal cemeteries to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in potential to disturb human remains, including those interred outside of formal cemeteries, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM CULT-1.

Project-Level Mitigation Measures

PMM CULT-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to human remains. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a. In the event of discovery or recognition of any human remains during construction or excavation activities associated with the project, in any location other than a dedicated cemetery, cease further excavation or disturbance of the site or any nearby area reasonably suspected to overlie adjacent human remains until the coroner of the county in which the remains are discovered has been informed and has determined that no investigation of the cause of death is required.
- b. If any discovered remains are of Native American origin:
 - Contact the County Coroner to contact the NAHC to designate a Native American Most Likely Descendant (MLD). The MLD should make a recommendation to the landowner or the person responsible for the excavation work, for means of treating or disposing of, with appropriate dignity, the human remains and any associated grave goods. This may include obtaining a qualified archaeologist or team of archaeologists to properly excavate the human remains.
 - If the NAHC is unable to identify a MLD, or the MLD fails to make a recommendation within 48 hours after being notified by the commission, or the landowner or his representative rejects the recommendation of the MLD and the mediation by the NAHC fails to provide measures acceptable to the landowner, obtain a culturally affiliated Native American monitor, and an archaeologist, if recommended by the Native American monitor, and rebury the Native American human remains and any associated grave goods, with appropriate dignity, on the property and in a location that is not subject to further subsurface disturbance.

6.7 GEOLOGY AND SOILS

Impact GEO-2 **Potential to result in substantial soil erosion or the loss of topsoil.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM-GEO-1** and Project-Level Mitigation Measure **PMM-GEO-1** will reduce impacts related to the potential to result in substantial soil erosion or the loss of topsoil, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.7, Geology and Soils, of the PEIR. The potential to result in substantial soil erosion or the loss of topsoil would be significant. Implementation of Mitigation Measures **SMM-GEO-1** and **PMM-GEO-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the Plan contains transportation projects that would be located in areas prone to landslide, liquefaction and/or erosion. Additionally, land use strategies would have the potential to direct more growth into existing urban centers, walkable mixed-use communities, transit-oriented development, and other areas well-served by transit such as high-quality transit areas (HQTAs). Increased density could increase the number of people and structures exposed to potential fault rupture at a given location. Therefore, the Plan could potentially result in substantial soil erosion or the loss of topsoil. Mitigation Measure SMM GEO-1 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-GEO-1** would reduce impacts related to substantial soil erosion or the loss of topsoil, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to substantial soil erosion or the loss of topsoil, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SMM-GEO-1: SCAG shall facilitate the minimization of substantial soil erosion or loss of topsoil through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts. Such efforts shall include web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library, and GIS applications, and direct technical assistance efforts such as training series and sharing of associated online training materials. Resource agencies, such as the U.S. Geology Survey, shall be consulted during this update process.

Project-Level Mitigation Measures

PMM-GEO-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to historical resources. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Consistent with the CBC and local regulatory agencies with oversight of development associated with the Plan, ensure that site-specific geotechnical investigations conducted by a qualified geotechnical expert are conducted to ascertain soil types prior to preparation of project designs. These investigations can and should identify areas of potential failure and recommend remedial geotechnical measures to eliminate any problems.
- b) Consistent with the requirements of the State Water Resources Control Board (SWRCB) for projects over one acre in size, obtain coverage under the General Construction Activity Storm Water Permit (General Construction Permit) issued by the SWRCB and prepare a stormwater pollution prevention plan (SWPPP) and submit the plan for review and approval by the Regional Water Quality Control Board (RWQCB). At a minimum, the SWPPP should include a description of construction materials, practices, and equipment storage and maintenance; a list of pollutants likely to contact stormwater; site-specific erosion and sedimentation control practices; a list of provisions to eliminate or reduce discharge of materials to stormwater; best management practices (BMPs); and an inspection and monitoring program.

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- c) Consistent with the requirements of the SWRCB and local regulatory agencies with oversight of development associated with the Plan, ensure that project designs provide adequate slope drainage and appropriate landscaping to minimize the occurrence of slope instability and erosion. Design features should include measures to reduce erosion caused by storm water. Road cuts should be designed to maximize the potential for revegetation.

- d) Consistent with the CBC and local regulatory agencies with oversight of development associated with the Plan, ensure that, prior to preparing project designs, new and abandoned wells are identified within construction areas to ensure the stability of nearby soils.

Impact GEO-6 **Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM-GEO-3** and Project-Level Mitigation Measure **PMM-GEO-1** will reduce impacts related to the potential to directly or indirectly destroy unique paleontological resources or sites or unique geological features, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in **Section 3.7 Geology and Soils** of the PEIR. The potential to directly or indirectly destroy unique paleontological resources or sites or unique geological features would be significant. Implementation of Mitigation Measures **SMM-GEO-3** and **PMM-GEO-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that ground-disturbing activities associated with transportation projects and development projects would occur under the Plan. Therefore, the Plan could potentially result in substantial adverse effects on a unique paleontological resources or sites or unique geological features. Mitigation Measure **SMM GEO-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-**

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GEO-1 would reduce adverse effects on unique paleontological resources and sites or unique geological features, to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to unique paleontological resources or sites or unique geological features, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM-GEO-3: Impacts to paleontological resources shall be minimized through cooperation, information sharing, and SCAG’s ongoing regional planning efforts such as web-based planning tools for local governments including CA LOTS, and other GIS tools and data services, including, but not limiting to, Map Gallery, GIS library, and GIS applications; and direct technical assistance efforts such as training series and sharing of associated online training materials. SCAG shall consult with resource agencies such as the National Park Service, United States Forest Service, and Bureau of Land Management to identify opportunities for early and effective consultation to identify unique paleontological resources and unique geological features to avoid such resources wherever practicable and feasible and reduce or mitigation for conflicts in compatible land use to the maximum extent practicable.

Project-Level Mitigation Measures

PMM-GEO-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to paleontological resources. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Ensure compliance with the Paleontological Resources Preservation Act, the Federal Land Policy and Management Act, the Antiquities Act, Section 5097.5 of the Public Resources Code (PRC), adopted county and city general plans, and other federal, state and local regulations, as applicable and feasible, by adhering to and incorporating the performance standards and practices from the 2010 Society for Vertebrate

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Paleontology (SVP) standard procedures for the assessment and mitigation of adverse impacts to paleontological resources.

- b) Obtain review by a qualified paleontologist (e.g. who meets the SVP standards for a Principal Investigator or Project Paleontologist or the Bureau of Land Management (BLM) standards for a Principal Investigator), to determine if the project has the potential to require ground disturbance of parent material with potential to contain unique paleontological or resources, or to require the substantial alteration of a unique geologic feature. The assessment should include museum records searches, a review of geologic mapping and the scientific literature, geotechnical studies (if available), and potentially a pedestrian survey, if units with paleontological potential are present at the surface.
- c) Avoid exposure or displacement of parent material with potential to yield unique paleontological resources.
- d) Where avoidance of parent material with the potential to yield unique paleontological resources is not feasible:
 - 1) All on-site construction personnel receive Worker Education and Awareness Program (WEAP) training prior to the commencement of excavation work to understand the regulatory framework that provides for protection of paleontological resources and become familiar with diagnostic characteristics of the materials with the potential to be encountered.
 - 2) A qualified paleontologist prepares a Paleontological Resource Management Plan (PRMP) to guide the salvage, documentation and repository of unique paleontological resources encountered during construction. The PRMP should adhere to and incorporate the performance standards and practices from the 2010 SVP Standard procedures for the assessment and mitigation of adverse impacts to paleontological resources. If unique paleontological resources are encountered during construction, use a qualified paleontologist to oversee the implementation of the PRMP.
 - 3) Monitor ground disturbing activities in parent material, with a moderate to high potential to yield unique paleontological resources using a qualified paleontological monitor meeting the standards of the SVP or the BLM to determine

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if unique paleontological resources are encountered during such activities, consistent with the specified or comparable protocols.

- 4) Identify where ground disturbance is proposed in a geologic unit having the potential for containing fossils and specify the need for a paleontological monitor to be present during ground disturbance in these areas.
- e) Avoid routes and project designs that would permanently alter unique geological features.
- f) Salvage and document adversely affected resources sufficient to support ongoing scientific research and education.
- g) Significant recovered fossils should be prepared to the point of curation, identified by qualified experts, listed in a database to facilitate analysis, and deposited in a designated paleontological curation facility.
- h) Following the conclusion of the paleontological monitoring, the qualified paleontologist should prepare a report stating that the paleontological monitoring requirement has been fulfilled and summarize the results of any paleontological finds. The report should be submitted to the lead CEQA and the repository curating the collected artifacts and should document the methods and results of all work completed under the PRMP, including treatment of paleontological materials, results of specimen processing, analysis, and research, and final curation arrangements.

6.8 GREENHOUSE GASES

Impact GHG-1 Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment.

Impact:

Significant and unavoidable

Finding:

The Plan would result in significant impacts with regard to directly or indirectly generating greenhouse gas emissions. Implementation of SCAG Mitigation Measures **SMM GHG-1** through **SMM GHG-4** and Project-Level Mitigation Measure **PMM-GHG-1** will reduce impacts related to GHG emissions to the

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maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.8, Greenhouse Gases, of the PEIR. The potential to generate greenhouse gas emissions either directly or indirectly would be significant. Implementation of Mitigation Measures **SMM GHG-1** through **SMM GHG-4** and **PMM-GHG-1** would reduce direct and indirect impacts with regard to GHGs; however, impacts would remain significant and unavoidable.

The Regional Council finds that direct emissions in the transportation sector derived from fuel combustion in vehicles (i.e., automobiles, trucks, trains, buses, planes, ships, and trains) and natural gas combustion from stationary sources would occur under the Plan. Additionally, the Plan would result in indirect sources of emissions, which include off-site emissions occurring as a result of electricity from stationary sources and off-site emissions occurring as a result of electricity, water consumption and solid waste. Therefore, the Plan would generate greenhouse gas emissions, either directly or indirectly to the environment. Mitigation Measure **SMM GH-1** through **SMM GHG-4** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council finds that Project-Level Mitigation Measure **PMM-GHG-1** would reduce impacts related to the Plan's potential to generate GHGs, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to greenhouse gas emissions, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the cumulative impact to a less than significant level, this cumulative impact remains significant and unavoidable. The SCAG Regional Council finds that the significant cumulative impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM GHG-1: SCAG, in partnership with local air districts, shall continue to work with the counties and cities to adopt qualified GHG reduction plans (e.g., climate action plans [CAPs], develop GHG-reducing planning policies, and implement local climate initiatives. These reductions can be achieved through a combination of programs, that implement plans

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developed collaboratively, including ZNE in new construction, retrofits of existing buildings, incentivizing the development of renewable energy sources that serve both new and existing land uses, as well as measures to reduce GHG emissions from transportation sources.

SMM GHG-2: SCAG shall encourage energy efficient design for buildings, through SCAG’s Sustainable Communities Program potentially including strengthening local building codes for new construction and renovation to achieve a higher level of energy efficiency.

SMM GHG-3: SCAG shall continue working with partners including universities, utilities, regulating agencies, the private sector and NGO’s, and member agencies to support deployment of electric vehicle (EV) charging in the region. SCAG shall provide resources to member agencies and supply them with available information and data so that they can better take advantage of legislation and funding for EV charging.

SMM GHG-4: SCAG shall continue to pursue partnerships with SCE, municipal utilities, locally operated electricity providers and CPUC to promote energy efficient development in the SCAG region, through coordinated planning and data and information sharing activities.

Project-Level Mitigation Measures

PMM-GHG-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to greenhouse gas emissions. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Integrate green building measures consistent with CALGreen (California Building Code Title 24), local building codes and other applicable laws, into project design including:
 - i) Use energy efficient materials in building design, construction, rehabilitation, and retrofit.
 - ii) Install energy-efficient lighting, heating, and cooling systems (cogeneration); water heaters; appliances; equipment; and control systems.

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- iii) Reduce lighting, heating, and cooling needs by taking advantage of light-colored roofs, trees for shade, and sunlight.
 - iv) Incorporate passive environmental control systems that account for the characteristics of the natural environment.
 - v) Use high-efficiency lighting and cooking devices.
 - vi) Incorporate passive solar design.
 - vii) Use high-reflectivity building materials and multiple glazing.
 - viii) Prohibit gas-powered landscape maintenance equipment.
 - ix) Install electric vehicle charging stations.
 - x) Reduce wood burning stoves or fireplaces.
 - xi) Provide bike lanes accessibility and parking at residential developments.
- b) Reduce emissions resulting from projects through implementation of project features, project design, or other measures, such as those described in Appendix F of the *State CEQA Guidelines*.
- c) Include off-site measures to mitigate a project's emissions.
- d) Measures that consider incorporation of Best Available Control Technology (BACT) during design, construction and operation of projects to minimize GHG emissions, including but not limited to:
- i) Use energy and fuel-efficient vehicles and equipment;
 - ii) Deployment of zero- and/or near zero emission technologies;
 - iii) Use lighting systems that are energy efficient, such as LED technology;
 - iv) Use the minimum feasible amount of GHG-emitting construction materials;
 - v) Use cement blended with the maximum feasible amount of flash or other materials that reduce GHG emissions from cement production;

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- vi) Incorporate design measures to reduce GHG emissions from solid waste management through encouraging solid waste recycling and reuse;
 - vii) Incorporate design measures to reduce energy consumption and increase use of renewable energy;
 - viii) Incorporate design measures to reduce water consumption;
 - ix) Use lighter-colored pavement where feasible;
 - x) Recycle construction debris to maximum extent feasible;
 - xi) Plant shade trees in or near construction projects where feasible; and
 - xii) Solicit bids that include concepts listed above.
- e) Measures that encourage transit use, carpooling, bike-share and car-share programs, active transportation, and parking strategies, including, but not limited to the following:
- i) Promote transit-active transportation coordinated strategies;
 - ii) Increase bicycle carrying capacity on transit and rail vehicles;
 - iii) Improve or increase access to transit;
 - iv) Increase access to common goods and services, such as groceries, schools, and day care;
 - v) Incorporate affordable housing into the project;
 - vi) Incorporate the neighborhood electric vehicle network;
 - vii) Orient the project toward transit, bicycle and pedestrian facilities;
 - viii) Improve pedestrian or bicycle networks, or transit service;
 - ix) Provide traffic calming measures;
 - x) Provide bicycle parking;

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- xi) Limit or eliminate park supply;
- xii) Unbundle parking costs;
- xiii) Provide parking cash-out programs;
- xiv) Implement or provide access to commute reduction program;
- f) Incorporate bicycle and pedestrian facilities into project designs, maintaining these facilities, and providing amenities incentivizing their use; and planning for and building local bicycle projects that connect with the regional network;
- g) Improving transit access to rail and bus routes by incentives for construction of transit facilities within developments, and/or providing dedicated shuttle service to transit stations; and
- h) Adopting employer trip reduction measures to reduce employee trips such as vanpool and carpool programs, providing end-of-trip facilities, and telecommuting programs including but not limited to measures that:
 - i) Provide car-sharing, bike sharing, and ride-sharing programs;
 - ii) Provide transit passes;
 - iii) Shift single occupancy vehicle trips to carpooling or vanpooling, for example providing ride-matching services;
 - iv) Provide incentives or subsidies that increase that use of modes other than single-occupancy vehicle;
 - v) Provide on-site amenities at places of work, such as priority parking for carpools and vanpools, secure bike parking, and showers and locker rooms;
 - vi) Provide employee transportation coordinators at employment sites;
 - vii) Provide a guaranteed ride home service to users of non-auto modes.

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- i) Designate a percentage of parking spaces for ride-sharing vehicles or high-occupancy vehicles, and provide adequate passenger loading and unloading for those vehicles;
- j) Land use siting and design measures that reduce GHG emissions, including:
 - i) Developing on infill and brownfields sites;
 - ii) Building compact and mixed-use developments near transit;
 - iii) Retaining on-site mature trees and vegetation, and planting new canopy trees;
 - iv) Measures that increase vehicle efficiency, encourage use of zero and low emissions vehicles, or reduce the carbon content of fuels, including constructing or encouraging construction of electric vehicle charging stations or neighborhood electric vehicle networks, or charging for electric bicycles; and
 - v) Measures to reduce GHG emissions from solid waste management through encouraging solid waste recycling and reuse.
- k. Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities. The measures provided above are also intended to be applied in low income and minority communities as applicable and feasible.

Impact GHG-2 **Conflict with an applicable plan, policy, or regulation adopted for the purpose of reducing the emissions of greenhouse gases.**

Impact:

Significant and unavoidable

Finding:

The Plan has demonstrated that it will meet and exceed CARB’s targets for greenhouse gas emissions from light duty passenger vehicles for 2020 and 2035, respectively. By meeting the SB 375 targets, the Plan has technically contributed its share (in the transportation sector), towards meeting the AB 32, SB 32, and the

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Scoping Plan targets. GHG impacts are generally cumulative in nature and have broader (i.e. statewide, national, and global) implications. Also, CARB has indicated that even if all MPOs meet their regional SB 375 GHG targets, the state would not be able to meet the statewide GHG reduction goals of AB 32, SB 32, and the Scoping Plan. As recognized by CARB, MPO's do not have land use authority to implement additional VMT reductions. Furthermore, SCAG has no control or authority over the other key sectors (e.g., energy, industry, water, waste and agriculture) in meeting the AB 32, SB 32, and Scoping Plan targets. Assuming existing available emission factors, GHG emissions in the SCAG region are not on-track to achieve targets identified in AB 32, SB 32 and the Scoping Plan resulting in a significant and unavoidable impact. Mitigation is required.

Implementation of SCAG Mitigation Measures **SMM GHG-1** through **SMM GHG-4** and Project-Level Mitigation Measure **PMM-GHG-1** will reduce impacts related to the potential to conflict with AB 32 and or any applicable plan, policy or regulation adopted for the purpose of reducing emissions of GHGs to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.8, Greenhouse Gases, of the PEIR. With respect to impacts of the Plan, the potential to conflict with any applicable plan, policy or regulation adopted for the purpose of reducing emissions of GHGs would be significant. Implementation of Mitigation Measures **SMM GHG-1** through **SMM GHG-4** and **PMM-GHG-1** would reduce direct and indirect impacts; however, impacts would remain significant and unavoidable.

Although the SCAG Regional Council finds that the Plan itself is not in conflict with AB 32 or the State long-term GHG emissions reduction goals as set forth in the Executive Orders, the GHG and climate change impact analysis is limited in scope (transportation sector). Further, CARB has indicated that even if all MPOs meet their regional SB 375 GHG targets, the state would not be able to meet the statewide GHG reduction goals of AB 32, SB 32, and the Scoping Plan.

Mitigation Measure **SMM GHG-1** through **SMM GHG-4** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-GHG-1** would reduce impacts related to conflicts with AB 32 and other applicable plans, policies, and regulations adopted for the purpose of reducing emissions of GHGs, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA While mitigation may provide a reduction in

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impacts related to greenhouse gas emissions, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the cumulative impact to a less than significant level, this cumulative impact remains significant and unavoidable. The SCAG Regional Council finds that the significant cumulative impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM GHG -1, SMM GHG-2, SMM GHG-3, and SMM GHG-4.

Project-Level Mitigation Measures

See PMM-GHG-1.

Level of Significance after Mitigation

As discussed above, regulations and policies would reduce impacts but given the regional scale of the analysis in this PEIR, it is not possible to determine if all impacts would be fully mitigated by existing regulations and policies. Therefore, this EIR identifies project-level mitigation measures consistent with applicable regulations and policies designed to reduce impacts. Lead Agencies may choose to include project-level mitigation measures in environmental documents as they determine to be appropriate and feasible. However, because of the regional nature of the analysis, the estimated GHG emissions from the three primary sources, the difficulty in quantifying both future emission and water and energy consumption factors and the effectiveness of the mitigation measures identified above, and SCAG's lack of authority to implement project-level mitigation measures, this PEIR finds impacts related to greenhouse gas emissions and potential conflicts with applicable plans, policies and regulations to be significant and unavoidable.

6.9 HAZARDS AND HAZARDOUS MATERIALS

Impact HAZ-1 Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM HAZ-1** through **SMM HAZ-3** and Project-Level Mitigation Measure **PMM HAZ-1** will reduce impacts related to the potential to create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in **Section 3.9, Hazards and Hazardous Materials**, of the PEIR. The potential to create a significant hazard to the public or the environment through routine transport or use of hazardous materials would be significant. Implementation of Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** and **PMM HAZ-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the Plan could result in goods movement activities which can facilitate the movement of hazardous materials throughout the transportation network. Proposed freight rail enhancements and other goods movement capacity enhancements identified in the Plan could result in increased or new transport of hazardous materials or wastes. In addition, construction and maintenance of these projects would result in use of equipment that contains or uses routine hazardous materials (e.g., diesel-fuel, paint and cleaning solutions), and the transportation of excavated soil and/or groundwater containing contaminants from previously contaminated areas. Development projects anticipated to occur under the Plan would potentially involve the use of hazardous materials such as fuels, solvents, paints, and other architectural coatings. Therefore, the Plan would potentially create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials. Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HAZ-1** would reduce impacts related to the potential to create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM HAZ-1: SCAG shall work with the U.S. DOT, the Office of Environmental Service Caltrans, and the private sector to continue to conduct driver safety training programs and enforce speed limits on roadways. In an effort to reduce risks associated with the transport of hazardous materials in the SCAG region, SCAG shall encourage the U.S. Department of Transportation and the California Highway Patrol to continue to enforce speed limits and existing regulations governing goods movement and hazardous materials transportation.

SMM HAZ-2: SCAG shall notify member agencies of the importance of ensuring that construction and operation of transportation projects provide for the safe transport and disposal of hazardous waste, consistent with the provisions of HMR, 49 CFR Parts 171–180.

SMM HAZ-3: SCAG shall coordinate with the Office of Environmental Services to identify any transportation infrastructure elements within the SCAG region where risks to people and property occur at an above-average incident level, potentially warranting consideration for remedial design in future regional transportation plans (RTPs).

Project-Level Mitigation Measures

PMM HAZ-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to the routine transport, use, or disposal of hazardous materials, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Where the construction or operation of projects involves the transport of hazardous material, provide a written plan of proposed routes of travel demonstrating use of roadways designated for the transport of such materials.
- b) Specify Project requirements for interim storage and disposal of hazardous materials during construction and operation. Storage and disposal strategies must be consistent with applicable federal, state, and local statutes and regulations. Specify the appropriate procedures for interim storage and disposal of hazardous materials, anticipated to be required in support of operations and maintenance

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activities, in conformance with applicable federal, state, and local statutes and regulations, in the business plan for projects as applicable and appropriate.

- c) Submit a Hazardous Materials Business/Operations Plan for review and approval by the appropriate local agency. Once approved, keep the plan on file with the Lead Agency (or other appropriate government agency) and update, as applicable. The purpose of the Hazardous Materials Business/Operations Plan is to ensure that employees are adequately trained to handle the materials and provides information to the local fire protection agency should emergency response be required. The Hazardous Materials Business/Operations Plan should include the following:
- The types of hazardous materials or chemicals stored and/or used on-site, such as petroleum fuel products, lubricants, solvents, and cleaning fluids.
 - The location of such hazardous materials.
 - An emergency response plan including employee training information.
 - A plan that describes the way these materials are handled, transported and disposed.
- d) Follow manufacturer's recommendations on use, storage, and disposal of chemical products used in construction.
- e) Avoid overtopping construction equipment fuel gas tanks.
- f) Properly contain and remove grease and oils during routine maintenance of construction equipment.
- g) Properly dispose of discarded containers of fuels and other chemicals.
- h) Prior to shipment remove the most volatile elements, including flammable natural gas liquids, as feasible.
- i) Identify and implement more stringent tank car safety standards.
- j) Improve rail transportation route analysis, and modification of routes based on that analysis.

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- k) Use the best available inspection equipment and protocols and implement positive train control.
- l) Reduce train car speeds to 40 miles per hour when passing through urbanized areas of any size.
- m) Limit storage of crude oil tank cars in urbanized areas of any size and provide appropriate security in storage yards for all shipments.
- n) Notify in advance county and city emergency operations offices of all crude oil shipments, including a contact number that can provide real-time information in the event of an oil train derailment or accident.
- o) Report quarterly hazardous commodity flow information, including classification and characterization of materials being transported, to all first response agencies (49 Code Fed. Regs. 15.5) along the mainline rail routes used by trains carrying crude oil identified.
- p) Fund training and outfitting emergency response crews that includes the cost of backfilling personnel while in training.
- q) Undertake annual emergency responses scenario/field based training including Emergency Operations Center Training activations with local emergency response agencies.

Impact HAZ-2

Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM HAZ-1** through **SMM HAZ-3** and Project-Level Mitigation Measures **PMM HAZ-1** and **PMM HAZ-2** will reduce impacts related to the potential to create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment, to the maximum extent

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practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.9, Hazards and Hazardous Materials, of the PEIR. The potential to create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment would be significant. Implementation of Mitigation Measures **SMM HAZ-1** through **SMM HAZ-3**, **PMM HAZ-1**, and **PMM HAZ-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the Plan may guide regional growth, including industrial types of uses that could generate hazardous materials. Transportation of goods, in general, and hazardous materials can thus be expected to increase substantially with implementation of the transportation projects included in the Plan. Therefore, the Plan would potentially create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment. Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM HAZ-1** and **PMM HAZ-2** would reduce impacts related to the potential to create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM HAZ-1** through **SMM HAZ-3**.

Project-Level Mitigation Measures

See PMM HAZ-1.

PMM HAZ-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce hazards related to the reasonably foreseeable upsets and accidents involving the release of hazardous materials, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

Require implementation of safety standards regarding transport of hazardous materials, including but not limited to the following:

- a) Removal of the most volatile elements, including flammable natural gas liquids, prior to shipment;
- b) More stringent tank car safety standards;
- c) Improved rail transportation route analysis, and modification of routes based on that analysis;
- d) Utilization of the best available inspection equipment and protocols, and implementation of positive train control;
- e) Reduced train car speeds to 40 miles per hour when passing through urbanized areas of any size;
- f) Limitations on storage of hazardous materials tank cars in urbanized areas of any size and provide appropriate security in storage yards for all shipments;
- g) Advance notification to county and city emergency operations offices of all crude oil and hazardous materials shipments, including a contact number that can provide real-time information in the event of an oil train derailment or accident;
- h) Quarterly hazardous commodity flow information, including classification and characterization of materials being transported, to all first response agencies (49 Code Fed. Regs. 15.5) along the mainline rail routes used by trains carrying hazardous materials.

Impact HAZ-3 **Potential to emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** and Project-Level Mitigation Measures **PMM HAZ-1** through **PMM HAZ-3** will reduce the impacts related to the potential to emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.9, Hazards and Hazardous Materials, of the PEIR. The potential to emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school would be significant. Implementation of Mitigation Measures **SMM HAZ-1** through **SMM HAZ-3** and **PMM HAZ-1** through **PMM HAZ-3** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the Plan would develop transportation projects and more dense, compact urban development encouraged by land use strategies in the Plan, and as such there would be the potential for significant impacts related to the emission of hazardous materials or the handling of hazardous or acutely hazardous materials, substances and waste, within one-quarter mile of an existing or proposed school. Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM HAZ-1** through **PMM HAZ-3** would reduce impacts related to the potential to emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

See **SMM HAZ-1** through **SMM HAZ-3**.

Project-Level Mitigation Measures

See **PMM HAZ-1** and **PMM HAZ-2**.

PMM HAZ-3: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to the release of hazardous materials within one-quarter mile of schools, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Where the construction and operation of projects involves the transport of hazardous materials, avoid transport of such materials within one-quarter mile of schools, when school is in session, wherever feasible.
- b) Where it is not feasible to avoid transport of hazardous materials, within one-quarter mile of schools on local streets, provide notifications of the anticipated schedule of transport of such materials.

Impact HAZ-4 **Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, would it create a significant hazard to the public or the environment.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** and Project-Level Mitigation Measure **PMM HAZ-4** will reduce the impacts related to the potential to create a significant hazard to the public or environment based on hazardous materials sites, to the maximum extent practicable

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and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.9, Hazards and Hazardous Materials, of the PEIR. The potential to create a significant hazard to the public or environment based on hazardous materials sites would be significant. Implementation of Mitigation Measures **SMM HAZ-1** through **SMM HAZ-3** and **PMM HAZ-3** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction related to transportation projects and anticipated development could occur adjacent to sites that are contaminated (buildings and/or soil and/or groundwater) due to past use or disposal of hazardous materials. Therefore, development under the Plan would potentially be located on a hazardous materials site. Mitigation Measure **SMM HAZ-1** through **SMM HAZ-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM HAZ-1** through **PMM HAZ-3** would reduce impacts related to a significant hazard to the public or environment based on hazardous materials sites, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM HAZ-1** through **SMM HAZ-3**.

Project-Level Mitigation Measures

PMM HAZ-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to projects that are located on a site which is

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included on the Cortese List, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) For any listed sites or sites that have the potential for residual hazardous materials as a result of historic land uses, complete a Phase I Environmental Site Assessment, including a review and consideration of data from all known databases of contaminated sites, during the process of planning, environmental clearance, and construction for projects.
- b) Where warranted due to the known presence of contaminated materials, submit to the appropriate agency responsible for hazardous materials/wastes oversight a Phase II Environmental Site Assessment report if warranted by a Phase I report for the project site. The reports should make recommendations for remedial action, if appropriate, and be signed by a Registered Environmental Assessor, Professional Geologist, or Professional Engineer.
- c) Implement the recommendations provided in the Phase II Environmental Site Assessment report, where such a report was determined to be necessary for the construction or operation of the project, for remedial action.
- d) Submit a copy of all applicable documentation required by local, state, and federal environmental regulatory agencies, including but not limited to: permit applications, Phase I and II Environmental Site Assessments, human health and ecological risk assessments, remedial action plans, risk management plans, soil management plans, and groundwater management plans.
- e) Conduct soil sampling and chemical analyses of samples, consistent with the protocols established by the U.S. EPA to determine the extent of potential contamination beneath all underground storage tanks (USTs), elevator shafts, clarifiers, and subsurface hydraulic lifts when on-site demolition or construction activities would potentially affect a particular development or building.
- f) Consult with the appropriate local, state, and federal environmental regulatory agencies to ensure sufficient minimization of risk to human health and environmental resources, both during and after construction, posed by soil contamination, groundwater contamination, or other surface hazards including,

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but not limited to, underground storage tanks, fuel distribution lines, waste pits and sumps.

- g) Obtain and submit written evidence of approval for any remedial action if required by a local, state, or federal environmental regulatory agency.
- h) Cease work if soil, groundwater, or other environmental medium with suspected contamination is encountered unexpectedly during construction activities (e.g., identified by odor or visual staining, or if any underground storage tanks, abandoned drums, or other hazardous materials or wastes are encountered), in the vicinity of the suspect material. Secure the area as necessary and take all appropriate measures to protect human health and the environment, including but not limited to, notification of regulatory agencies and identification of the nature and extent of contamination. Stop work in the areas affected until the measures have been implemented consistent with the guidance of the appropriate regulatory oversight authority.
- i) Soil generated by construction activities should be stockpiled on-site in a secure and safe manner. All contaminated soils determined to be hazardous or non-hazardous waste must be adequately profiled (sampled) prior to acceptable reuse or disposal at an appropriate off-site facility. Complete sampling and handling and transport procedures for reuse or disposal, in accordance with applicable local, state and federal laws and policies.
- j) Groundwater pumped from the subsurface should be contained on-site in a secure and safe manner, prior to treatment and disposal, to ensure environmental and health issues are resolved pursuant to applicable laws and policies. Utilize engineering controls, which include impermeable barriers to prohibit groundwater and vapor intrusion into the building.
- k) As needed and appropriate, prior to issuance of any demolition, grading, or building permit, submit for review and approval by the Lead Agency (or other appropriate government agency) written verification that the appropriate federal, state and/or local oversight authorities, including but not limited to the Regional Water Quality Control Board (RWQCB), have granted all required clearances and confirmed that the all applicable standards, regulations, and conditions have been met for previous contamination at the site.

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- l) Develop, train, and implement appropriate worker awareness and protective measures to assure that worker and public exposure is minimized to an acceptable level and to prevent any further environmental contamination as a result of construction.

- m) If asbestos-containing materials (ACM) are found to be present in building materials to be removed, submit specifications signed by a certified asbestos consultant for the removal, encapsulation, or enclosure of the identified ACM in accordance with all applicable laws and regulations, including but not necessarily limited to: California Code of Regulations, Title 8; Business and Professions Code; Division 3; California Health and Safety Code Section 25915-25919.7; and other local regulations.

- n) Where projects include the demolitions or modification of buildings constructed prior to 1978, complete an assessment for the potential presence or lack thereof of ACM, lead based paint, and any other building materials or stored materials classified as hazardous waste by state or federal law.

- o) Where the remediation of lead-based paint has been determined to be required, provide specifications to the appropriate agency, signed by a certified Lead Supervisor, Project Monitor, or Project Designer for the stabilization and/or removal of the identified lead paint in accordance with all applicable laws and regulations, including but not necessarily limited to: California Occupational Safety and Health Administration's (Cal OSHA's) Construction Lead Standard, Title 8 California Code of Regulations (CCR) Section 1532.1 and Department of Health Services (DHS) Regulation 17 CCR Sections 35001–36100, as may be amended. If other materials classified as hazardous waste by state or federal law are present, the project sponsor should submit written confirmation to the appropriate local agency that all state and federal laws and regulations should be followed when profiling, handling, treating, transporting, and/or disposing of such materials.

Impact HAZ-5 For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project result in a safety hazard or excessive noise for people residing or working in the project area.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM NOISE-1** and **SMM HAZ-5** and Project-Level Mitigation Measures **PMM NOISE-1** and **PMM HAZ-6** will reduce the impacts related to safety hazards or excessive noise within an airport land use plan or within two miles of a public airport, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.9, Hazards and Hazardous Materials, of the PEIR. The potential to create impacts related to safety hazards or excessive noise within an airport land use plan or within two miles of a public airport would be significant. Implementation of Mitigation Measures **SMM NOISE-1** and **SMM HAZ-5** and Project-Level Mitigation Measures **PMM NOISE-1** and **PMM HAZ-6** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the increased population growth accommodated under the Plan could result in increased air traffic in major commercial airports. Increased traffic could impact sensitive receptors, thereby exposing receptors to louder noise. Therefore, the Plan would potentially create impacts related to safety hazards or excessive noise within an airport land use plan or within two miles of a public airport. Mitigation Measure **SMM NOISE-1** and **SMM HAZ-5** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM-NOISE-1** and **PMM-HAZ-6** would reduce impacts related to the potential to create impacts related to safety hazards or excessive noise within an airport land use plan or within two miles of a public airport, to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM NOISE-1**.

SMM HAZ-5: SCAG shall continue to collaborate with key stakeholders on regional aviation planning issues through the Aviation Technical Advisory Committee (ATAC). The ATAC is a partnership between the airports, transportation agencies and commissions, experts, and other community members.

Project-Level Mitigation Measures

PMM NOISE-1

Impact HAZ-6 **Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan.**

Impact:

Significant and Unavoidable

Findings:

Implementation of SCAG Mitigation Measures **SMM HAZ-1** through **SMM HAZ-5** and Project-Level Mitigation Measures **PMM HAZ-1** through **PMM HAZ-5** and **PMM TRA-5** will reduce impacts related to the potential to impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.9, Hazards and Hazardous Materials, of the PEIR. Implementation of **SMM HAZ-1** through **SMM HAZ-5** and **PMM HAZ-1** through **PMM HAZ-5** and **PMM TRA-5** would reduce impacts to the maximum extent practicable; however, impacts would remain significant and unavoidable.

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The SCAG Regional Council finds that construction activities from transportation projects included in the Plan, traffic and/or road closures in grade crossings, arterials, interchanges, and auxiliary lanes, could delay emergency vehicle response times or otherwise disrupt delivery of emergency response services. Therefore, the Plan would potentially impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan. Mitigation Measure **SMM HAZ-1** through **SMM HAZ-5** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HAZ-1** through **PMM HAZ-5**, and **PMM TRA-5** would reduce impacts related to the potential to impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM HAZ-1** through **SMM HAZ-5** and **SMM TRA-5**.

Project-Level Mitigation Measures

See **PMM HAZ-1** through **PMM HAZ-4** and **PMM TRA-5**.

PMM HAZ-5: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects which may impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Continue to coordinate locally and regionally based on ongoing review and integration of projected transportation and circulation conditions.

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- b) Develop new methods of conveying projected and real time information to citizens using emerging electronic communication tools including social media and cellular networks;
- c) Continue to evaluate lifeline routes for movement of emergency supplies and evacuation.

6.10 HYDROLOGY AND WATER QUALITY

Impact HYD-1 **Potential to violate any water quality standards or waste discharge requirements or otherwise substantially degrade surface or groundwater quality.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HYD-1** and Project-Level Mitigation Measure **PMM HYD-2** will reduce impacts related to the potential to degrade surface or groundwater quality, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.10, Hydrology and Water Quality, of the PEIR. The potential to substantially degrade surface or groundwater quality would be significant. Implementation of Mitigation Measures **SMM HYD-1** and **PMM HYD-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that grading, excavation, and other construction activities associated with transportation projects and development projects anticipated to occur under the Plan, could impact water quality due to erosion resulting from exposed soils that may be transported in stormwater runoff. Therefore, the Plan would potentially degrade surface or groundwater quality. Mitigation Measure **SMM HYD-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HYD-2** would reduce impacts related to the potential to substantially degrade surface or groundwater quality, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in

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impacts related to hydrology and water quality, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM HYD-1: SCAG shall continue to work with local jurisdictions and water quality agencies to encourage regional-scale planning for improved water quality management and pollution prevention. Future impacts to water quality shall be avoided to the extent practical and feasible through cooperative planning, information sharing, and comprehensive pollution control measure development within the SCAG region. This cooperative planning shall occur as part of current and existing coordination, an integral part of SCAG’s ongoing regional planning efforts.

Project-Level Mitigation Measure

PMM HYD-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects from violation of any water quality standards or waste discharge requirements or otherwise substantially degrade surface or groundwater quality, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Complete, and have approved, a Stormwater Pollution Prevention Plan (SWPPP) prior to initiation of construction.
- b) Implement Best Management Practices to reduce the peak stormwater runoff from the project site to the maximum extent practicable.
- c) Comply with the Caltrans storm water discharge permit as applicable; and identify and implement Best Management Practices to manage site erosion, wash water runoff, and spill control.

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- d) Complete, and have approved, a Standard Urban Stormwater Management Plan, prior to occupancy of residential or commercial structures.
- e) Ensure adequate capacity of the surrounding stormwater system to support stormwater runoff from new or rehabilitated structures or buildings.
- f) Prior to construction within an area subject to Section 404 of the Clean Water Act, obtain all required permit approvals and certifications for construction within the vicinity of a watercourse:
- g) Where feasible, restore or expand riparian areas such that there is no net loss of impervious surface as a result of the project.
- h) Install structural water quality control features, such as drainage channels, detention basins, oil and grease traps, filter systems, and vegetated buffers to prevent pollution of adjacent water resources by polluted runoff where required by applicable urban storm water runoff discharge permits, on new facilities.
- i) Provide operational best management practices for street cleaning, litter control, and catch basin cleaning are implemented to prevent water quality degradation in compliance with applicable storm water runoff discharge permits; and ensure treatment controls are in place as early as possible, such as during the acquisition process for rights-of-way, not just later during the facilities design and construction phase.
- j) Comply with applicable municipal separate storm sewer system discharge permits as well as Caltrans' storm water discharge permit including long-term sediment control and drainage of roadway runoff.
- k) Incorporate as appropriate treatment and control features such as detention basins, infiltration strips, and porous paving, other features to control surface runoff and facilitate groundwater recharge into the design of new transportation projects early on in the process to ensure that adequate acreage and elevation contours are provided during the right-of-way acquisition process.
- l) Upgrade stormwater drainage facilities to accommodate any increased runoff volumes. These upgrades may include the construction of detention basins or structures that will delay peak flows and reduce flow velocities, including expansion

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and restoration of wetlands and riparian buffer areas. System designs shall be completed to eliminate increases in peak flow rates from current levels.

- m) Encourage Low Impact Development (LID) and incorporation of natural spaces that reduce, treat, infiltrate and manage stormwater runoff flows in all new developments, where practical and feasible.

Impact HYD-2 Potential to substantially decrease groundwater supplies or interfere substantially with groundwater recharge such that the project may impede sustainable groundwater management of the basin.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HYD-2** and Project-Level Mitigation Measure **PMM HYD-2** will reduce impacts related to the potential to substantially deplete groundwater supplies or interfere substantially with groundwater recharge, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.10, Hydrology and Water Quality, of the PEIR. The potential to substantially deplete groundwater supplies or interfere substantially with groundwater recharge such that there would be a net deficit in aquifer volume or a lowering of the local groundwater table level (e.g., the production rate of pre-existing nearby wells would drop to a level that would not support existing land uses or planned uses for which permits have been granted) would be significant. Implementation of Mitigation Measures **SMM HYD-2** and **PMM HYD-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that groundwater basins in the Plan area are already in a state of overdraft, and future development may result in a net deficit in aquifer volume or a lowering of the local groundwater table level (e.g., the production rate of preexisting nearby wells would drop to a level that would not support existing land uses or planned uses for which permits have been granted). Population growth of 3.2 million people by 2045 would increase regional water demand and could substantially deplete groundwater supplies. Additionally, urbanization to accommodate future growth would

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potentially increase impervious surfaces, thus affecting groundwater recharge. Therefore, the Plan would potentially deplete groundwater supplies or interfere substantially with groundwater recharge. Mitigation Measure **SMM HYD-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HYD-2** would reduce impacts related to the potential to substantially deplete groundwater supplies or interfere substantially with groundwater recharge, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hydrology and water quality, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM HYD-2: SCAG shall build from existing efforts including those at the sub-regional and local level and shall continue to work with local jurisdictions and water agencies, to encourage regional-scale planning for improved stormwater management and groundwater recharge, including consideration of alternative recharge technologies and practices. Future adverse impacts may be avoided through cooperative planning, information sharing, and comprehensive implementation efforts within the SCAG region.

Project-Level Mitigation Measures

PMM HYD-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects from violation of any water quality standards or waste discharge requirements or otherwise substantially degrade surface or groundwater quality, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Avoid designs that require continual dewatering where feasible.

For projects requiring continual dewatering facilities, implement monitoring systems and long-term administrative procedures to ensure proper water management that prevents degrading of surface water and minimizes adverse impacts on groundwater for the life of

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the project, Construction designs shall comply with appropriate building codes and standard practices including the Uniform Building Code.

- b) Maximize, where practical and feasible, permeable surface area in existing urbanized areas to protect water quality, reduce flooding, allow for groundwater recharge, and preserve wildlife habitat. Minimize new impervious surfaces, including the use of in-lieu fees and off-site mitigation.
- c) Avoid construction and siting on groundwater recharge areas, to prevent conversion of those areas to impervious surface.
- d) Reduce hardscape to the extent feasible to facilitate groundwater recharge as appropriate.

Impact HYD-3a **Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river or through the addition of impervious surfaces, in a manner which would result in substantial erosion or siltation on- or off-site.**

Impact HYD-3b **Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river or through the addition of impervious surfaces, in a manner which would substantially increase the rate or amount of flooding on- or off-site.**

Impact HYD-3c **Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river or through the addition of impervious surfaces, in a manner which would create or contribute runoff water which would exceed the capacity of existing or planned stormwater drainage systems or provide substantial additional sources of polluted runoff.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM HYD-1** through **SMM HYD-3** and Project-Level Mitigation Measures **PMM HYD-1** and **PMM HYD-2** will reduce impacts related to the potential to substantially alter the existing drainage pattern of the site or area, to the maximum extent practicable and

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feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.10, Hydrology and Water Quality, of the PEIR. The potential to substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, or substantially increase the rate or amount of surface runoff in a manner that would result in flooding on site or off site would be significant. Implementation of Mitigation Measures **SMM HYD-1** through **SMM HYD-3** and **PMM HYD-1** and **PMM HYD-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that implementation of the Plan's transportation projects as well as land use strategies may increase impervious surfaces, which in turn could increase urban runoff if not regulated, resulting in the transport of greater volumes of polluted water into storm drain systems. Therefore, the Plan would potentially alter the existing drainage pattern of the site or area. Mitigation Measure **SMM HYD-1** through **SMM HYD-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM HYD-1** and **PMM HYD-2** would reduce impacts related to the potential to substantially alter the existing drainage pattern of the site or area, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hydrology and water quality, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM HYD-1** and **SMM HYD-2**.

SMM HYD-3: SCAG shall build from existing efforts including those at the sub-regional and local level and shall continue to work with local jurisdictions to encourage regional-scale planning for maintaining and/or improving existing drainage patterns. Future adverse impacts may be avoided through cooperative planning,

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information sharing, and comprehensive implementation efforts within the SCAG region.

Project-Level Mitigation Measures

See PMM HYD-1.

Impact HYD-4 **In flood hazard, tsunami, or seiche zones, risk release of pollutants due to project inundation.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HYD-4** and Project-Level Mitigation Measure **PMM HYD-4** will reduce impacts related to the risk of pollutant release due to inundation in flood hazard, tsunami, or seiche zones, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.10, Hydrology and Water Quality, of the PEIR. The risk of pollutant release due to inundation in flood hazard, tsunami, or seiche zones would be significant. Implementation of Mitigation Measures **SMM HYD-4** and **PMM HYD-4** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that projects developed under the Plan would be located in or near areas that are prone to flood, tsunami, or seiche zones. As such, the Plan would potentially increase the risk of pollutant release due to inundation in flood hazard, tsunami, or seiche zones. Mitigation Measure **SMM HYD-4** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HYD-4** would reduce impacts related to the potential to substantially alter the existing drainage pattern of the site or area, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hydrology and water quality, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM HYD-4: SCAG shall continue to work with local jurisdictions and water quality agencies to encourage flood protection and prevent development in flood hazard areas that do not have appropriate protections. This shall be accomplished through cooperation and information sharing regarding specific alignments and rights-of-way planning for RTP projects, and regional program development as part of SCAG’s ongoing regional planning efforts. These include but are not limited to web-based data distribution planning tools and sustainability programs in conjunction with local governments. Such services would potentially consist of an inventory of areas located in or near a 100-year flood hazard zone or hazard areas that would potentially be affected by a failure of a levee or dam; or inundation by seiche, tsunami, or mudflow.

Project-Level Mitigation Measure

PMM HYD-4: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures capable of avoiding or reducing the potential impacts of locating structures that would impede or redirect flood flows, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Ensure that all roadbeds for new highway and rail facilities be elevated at least one foot above the 100-year base flood elevation. Since alluvial fan flooding is not often identified on FEMA flood maps, the risk of alluvial fan flooding should be evaluated and projects should be sited to avoid alluvial fan flooding. Delineation of floodplains and alluvial fan boundaries should attempt to account for future hydrologic changes caused by global climate change.

Impact HYD-5 Conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM HYD-2** and Project Level Mitigation Measure **PMM HYD-2** will reduce impacts related to the potential to conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.10, Hydrology and Water Quality, of the PEIR. The potential to conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan would be significant. Implementation of Mitigation Measures **SMM HYD-2** and **PMM HYD-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that implementation of Connect SoCal would increase impervious surfaces due to additional lane miles and conversion of greenfields to developed land. An increase in impervious surfaces would increase water runoff and potentially affect groundwater recharge rates and water quality in the basins. Therefore, the Plan may conflict with or obstruct the implementation of a water quality control plan or sustainable groundwater management plan and mitigation measures are required. Mitigation Measure **SMM HYD-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM HYD-2** would reduce impacts related to the potential to conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hydrology and water quality, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM HYD-2.

Project-Level Mitigation Measure

See PMM HYD-2.

6.11 LAND USE AND PLANNING

Impact LU-1 Potential for the Plan to physically divide an established community.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM LU-1** and Project-Level Mitigation Measure **PMM LU-1** will reduce impacts related to the potential to physically divide an established community, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.11, Land Use and Planning, of the PEIR. The potential to physically divide an established community would be significant. Implementation of Mitigation Measures **SMM LU-1** and **PMM LU-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that physical division of an established community could occur as a result of real or perceived barriers to pedestrians, bicyclists, and motorists. Short-term construction related impacts could result from disturbances due to construction equipment; these impacts are discussed under other impact categories (e.g., Noise, Aesthetics, and Air Quality). Long-term impacts could result from the completion of new or expanded roadways or transit facilities in existing communities. Anticipated

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significant impacts include substantial density increases in areas of the region adjacent to transit, or other rights-of-way that could separate residences from community facilities and services, and conversion of vacant lands, including agricultural lands, to transportation infrastructure and residential and commercial development. As such, the Plan would potentially physically divide an established community. Mitigation Measure SMM LU-1 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM LU-1** would reduce impacts related to the potential to conflict with any applicable land use plan, policy, or regulation of an agency with jurisdiction over the project adopted for the purpose of avoiding or mitigating an environmental effect, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to land use, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM LU-1: SCAG shall coordinate with local County Transportation Commissions, Caltrans and other implementing agencies when siting new facilities in residential areas to facilitate minimizing future impacts of transportation projects on established communities, through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts to promote best planning practices.

Project-Level Mitigation Measures

PMM LU-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Facilitate good design for land use projects that build upon and improve existing circulation patterns
- b) Encourage implementing agencies to orient transportation projects to minimize impacts on existing communities by:

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- Selecting alignments within or adjacent to existing public rights of way.
 - Design sections above or below-grade to maintain viable vehicular, cycling, and pedestrian connections between portions of communities where existing connections are disrupted by the transportation project.
 - Wherever feasible incorporate direct crossings, overcrossings, or under crossings at regular intervals for multiple modes of travel (e.g., pedestrians, bicyclists, vehicles).
- c) Where it has been determined that it is infeasible to avoid creating a barrier in an established community, consider other measures to reduce impacts, including but not limited to:
- Alignment shifts to minimize the area affected.
 - Reduction of the proposed right-of-way take to minimize the overall area of impact.
 - Provisions for bicycle, pedestrian, and vehicle access across improved roadways.

Impact LU-2 **Cause a significant environmental impact due to a conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM LU-2** through **SMM LU-5** and Project-Level Mitigation Measure **PMM LU-2** will reduce impacts related to the potential to conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.11, Land Use and Planning, of the PEIR. The potential to conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect, would be significant. Implementation of Mitigation

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Measures **SMM LU-2** through **SMM LU-5** and **PMM LU-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that there are areas subject to general plans that would be impacted by transportation projects. In addition, since the Plan's planning horizon year is beyond the timeline of many of the most recent general plans, implementation of the Plan's transportation projects and land use strategies could potentially result in changes in the land use patterns in the region. Therefore, there is potential for inconsistencies with general plans as well as regional conservation plans. As such, the Plan would potentially conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect. Mitigation Measure **SMM LU-2** through **SMM LU-5** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM LU-2** would reduce impacts related to conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to land use, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM LU-2: SCAG shall continue to promote the Intergovernmental Review (IGR) Program as an internal and external informational tool by reviewing and monitoring all projects submitted to SCAG for review and working with local jurisdictions to ensure that submitted projects support the most currently adopted Connect SoCal Plan. SCAG shall provide comment letters on regionally significant projects to recommend additional resources to help the lead agency support or develop a projects that are consistent with the Plan, as appropriate. The IGR Mapping Tool can also be utilized by local jurisdictions to assess regional impacts. To visit the IGR Mapping tool, please go to: <https://maps.scag.ca.gov/IGR/>. For more information on SCAG's IGR Program, please visit: <http://www.scag.ca.gov/programs/Pages/IGR.aspx>.

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SMM LU-3: SCAG shall encourage cities and counties in the region to provide SCAG with electronic versions of their most recent general plan (and associated environmental document) and any updates as they are produced.

SMM LU-4: SCAG shall continue to provide targeted technical services such as GIS and data support for cities and counties to update their general plans at least every ten years, as recommended by the Governor’s Office of Planning and Research.

SMM LU-5: SCAG shall provide technical assistance and regional leadership to encourage implementation of the Plan goals and strategies that integrate growth and land use planning with the existing and planned transportation network.

Project-Level Mitigation Measures

PMM LU-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) When an inconsistency with the adopted general plan policy or land use regulation (adopted for the purpose of avoiding or mitigating an impact) is identified modify the transportation or land use project to eliminate the conflict; or, determine if the environmental, social, economic, and engineering benefits of the project warrant an amendment to the general plan or land use regulation.

6.12 MINERAL RESOURCES

Impact MIN-1 **Potential to result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM MIN-1** and Project-Level Mitigation Measure **PMM MIN-1** will reduce impacts related to the potential to result in the loss of availability of a known mineral

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resource that would be of value to the region and the residents of the state, to maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.12, Mineral Resources, of the PEIR. The potential to result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state would be significant. Implementation of Mitigation Measures **SMM MIN-1** and **PMM MIN-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction of transportation projects contained in the Plan and development projects anticipated to occur under the Plan would require substantial amounts of aggregate resources for construction purpose. Therefore, the Plan would potentially result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state. Mitigation Measure **SMM MIN-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM MIN-1** would reduce impacts related to the loss of availability of a known mineral resource that would be of value to the region and the residents of the state, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to mineral resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SMM MIN-1: SCAG shall coordinate with the Department of Conservation, California Geological Survey to maintain a database of (1) available mineral resources in the SCAG region including permitted and unpermitted aggregate resources and (2) the anticipated 50-year demand for aggregate and other mineral resources. Based on the results of this survey, SCAG shall work with local agencies on strategies to address anticipated demand, including identifying future sites that may seek permitting and working with industry experts to identify ways to encourage and increase recycling to reduce the demand for aggregate.

Project-Level Mitigation Measures

PMM MIN-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce the use of mineral resources that could be of value to the region, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Provide for the efficient use of known aggregate and mineral resources or locally important mineral resource recovery sites, by ensuring that the consumptive use of aggregate resources is minimized and that access to recoverable sources of aggregate is not precluded, as a result of construction, operation and maintenance of projects.
- b) Where avoidance is infeasible, minimize impacts to the efficient and effective use of recoverable sources of aggregate through measures that have been identified in county and city general plans, or other comparable measures such as:
 - 1) Recycle and reuse building materials resulting from demolition, particularly aggregate resources, to the maximum extent practicable.
 - 2) Identify and use building materials, particularly aggregate materials, resulting from demolition at other construction sites in the SCAG region, or within a reasonable hauling distance of the project site.
 - 3) Design transportation network improvements in a manner (such as buffer zones or the use of screening) that does not preclude adjacent or nearby extraction of known mineral and aggregate resources following completion of the improvement and during long-term operations.
 - 4) Avoid or reduce impacts on known aggregate and mineral resources and mineral resource recovery sites through the evaluation and selection of project sites and design features (e.g., buffers) that minimize impacts on land suitable for aggregate and mineral resource extraction by maintaining portions of MRZ-2 areas in open space or other general plan land use categories and zoning that allow for mining of mineral resources.

Impact MIN-2 **Potential to result in the loss of availability of a locally important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM MIN-1** and Project-Level Mitigation Measure **PMM MIN-1** will reduce impacts related to the potential to result in the loss of availability of a locally important mineral resource recovery site, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.12, Mineral Resources, of the PEIR. The potential to result in the loss of availability of a locally important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan would be significant. Implementation of Mitigation Measures **SMM MIN-1** and **PMM MIN-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction of transportation projects contained in the Plan and development projects anticipated to occur under the Plan would require substantial amounts of aggregate resources for construction purpose. Therefore, the Plan would potentially result in loss of the availability of locally important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan. Mitigation Measure **SMM MIN-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM MIN-1** would reduce impacts related to the loss of locally important mineral resource recovery sites delineated on a local general plan to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in noise impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM MIN-1.

Project-Level Mitigation Measure

See PMM-MIN-1.

6.13 NOISE

Impact Noise-1 **Generation of a substantial temporary or permanent increase in ambient noise levels in the vicinity of the project in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM-NOISE-1** and Project-Level Mitigation Measure **PMM NOISE-1** levels in excess of established standards, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.13, Noise, of the PEIR. The potential to result in exposure of persons to or generation of noise levels in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies would be significant. Implementation of Mitigation Measures **SMM-NOISE-1** and **PMM NOISE-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that grading and construction activities would generate temporary increases in noise levels, and operational activities would generate permanent increases in noise levels in excess of standards established in the local general plan or noise ordinance. As the Plan is expected to result in the conversion of greenfield areas, there is the potential for increased ambient noise in suburban and rural areas. Because of the nature of noise impacts (noise dissipates with distance from the source), new

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transportation operations may cause noise impacts, and those impacts may exceed applicable noise thresholds for determining significance within a localized area, but those impacts cannot be quantified at a regional level. Therefore, the Plan would potentially result in exposure of persons to or generation of noise levels in excess of established standards. Mitigation Measure SMM NOISE-1 would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM NOISE-1** would reduce adverse effects on ambient noise levels to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in noise impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM-NOISE-1: SCAG shall coordinate with CTCs and member agencies as part of SCAG’s outreach and technical assistance to local governments to encourage transportation projects and projects involving residential and commercial land uses to mitigate noise and vibration or be developed in areas that are normally acceptable or conditionally acceptable, consistent with applicable guidelines (i.e., OPR, Caltrans, etc.).

Project-Level Mitigation Measures

PMM NOISE-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects that physically divide a community, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a. Install temporary noise barriers during construction.
- b. Include permanent noise barriers and sound-attenuating features as part of the project design. Barriers could be in the form of outdoor barriers, sound walls, buildings, or earth berms to attenuate noise at adjacent sensitive uses.

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- c. Schedule construction activities consistent with the allowable hours pursuant to applicable general plan noise element or noise ordinance
- d. Post procedures and phone numbers at the construction site for notifying the Lead Agency staff, local Police Department, and construction contractor (during regular construction hours and off-hours), along with permitted construction days and hours, complaint procedures, and who to notify in the event of a problem.
- e. Notify neighbors and occupants within 300 feet of the project construction area at least 30 days in advance of anticipated times when noise levels are expected to exceed limits established in the noise element of the general plan or noise ordinance.
- f. Designate an on-site construction complaint and enforcement manager for the project.
- g. Ensure that construction equipment are properly maintained per manufacturers' specifications and fitted with the best available noise suppression devices (e.g., improved mufflers, equipment redesign, use of intake silencers, ducts, engine enclosures, and acoustically attenuating shields or shrouds silencers, wraps). All intake and exhaust ports on power equipment shall be muffled or shielded.
- h. Use hydraulically or electrically powered tools (e.g., jack hammers, pavement breakers, and rock drills) for project construction to avoid noise associated with compressed air exhaust from pneumatically powered tools. However, where use of pneumatic tools is unavoidable, an exhaust muffler on the compressed air exhaust should be used; this muffler can lower noise levels from the exhaust by up to about 10 dBA. External jackets on the tools themselves should be used, if such jackets are commercially available, and this could achieve a further reduction of 5 dBA. Quieter procedures should be used, such as drills rather than impact equipment, whenever such procedures are available and consistent with construction procedures.

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- i. Where feasible, design projects so that they are depressed below the grade of the existing noise-sensitive receptor, creating an effective barrier between the roadway and sensitive receptors.
- j. Where feasible, improve the acoustical insulation of dwelling units where setbacks and sound barriers do not provide sufficient noise reduction.
- k. Using rubberized asphalt or “quiet pavement” to reduce road noise for new roadway segments, roadways in which widening or other modifications require re-pavement, or normal reconstruction of roadways where re-pavement is planned
- l. Projects that require pile driving or other construction noise above 90 dBA in proximity to sensitive receptors, should reduce potential pier drilling, pile driving and/or other extreme noise generating construction impacts greater than 90 dBA; a set of site-specific noise attenuation measures should be completed under the supervision of a qualified acoustical consultant.
- m. Use land use planning measures, such as zoning, restrictions on development, site design, and buffers to ensure that future development is compatible with adjacent transportation facilities and land uses;
- n. Monitor the effectiveness of noise reduction measures by taking noise measurements and installing adaptive mitigation measures to achieve the standards for ambient noise levels established by the noise element of the general plan or noise ordinance.
- o. Use equipment and trucks with the best available noise control techniques (e.g., improved mufflers, equipment redesign, use of intake silencers, ducts, engine enclosures, and acoustically attenuating shields or shrouds, wherever feasible) for project construction.
- p. Stationary noise sources can and should be located as far from adjacent sensitive receptors as possible and they should be muffled and enclosed within temporary sheds, incorporate insulation barriers, or use other measures as determined by the Lead Agency (or other appropriate government agency) to provide equivalent noise reduction.

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- q. Use of portable barriers in the vicinity of sensitive receptors during construction.
- r. Implement noise control at the receivers by temporarily improving the noise reduction capability of adjacent buildings (for instance by the use of sound blankets), and implement if such measures are feasible and would noticeably reduce noise impacts.
- s. Monitor the effectiveness of noise attenuation measures by taking noise measurements.
- t. Maximize the distance between noise-sensitive land uses and new roadway lanes, roadways, rail lines, transit centers, park-and-ride lots, and other new noise-generating facilities.
- u. Construct sound reducing barriers between noise sources and noise-sensitive land uses.
- v. Stationary noise sources can and should be located as far from adjacent sensitive receptors as possible and they should be muffled and enclosed within temporary sheds, incorporate insulation barriers, or use other measures as determined by the Lead Agency (or other appropriate government agency) to provide equivalent noise reduction.
- w. Use techniques such as grade separation, buffer zones, landscaped berms, dense plantings, sound walls, reduced-noise paving materials, and traffic calming measures.
- x. Locate transit-related passenger stations, central maintenance facilities, decentralized maintenance facilities, and electric substations away from sensitive receptors to the maximum extent feasible.
- y. Consult the SCAG Environmental Justice Toolbox for potential measures to address impacts to low-income and/or minority communities.

Impact NOI-2 Generation of excessive groundborne vibration or groundborne noise levels.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM NOISE-1** and Project-Level Mitigation Measures **PMM-NOISE-1** and **PMM-NOISE-2** will reduce impacts related to the potential to result in the exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.13, Noise, of the PEIR. The potential to result in the exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels would be significant. Implementation of Mitigation Measures **SMM NOISE-1**, **PMM-NOISE-1**, and **PMM-NOISE-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that transportation projects and development anticipated to occur under the Plan could result in temporary noise and vibration impacts from grading, paving, clearing, landscaping, staging, excavation, earthmoving, and other related construction activities. Land use strategies would encourage compact development which would encourage more people in urbanized areas where vibration impacts would occur. As such, the Plan would potentially result in in the exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels. Mitigation Measure **SMM NOISE-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM-NOISE-1** and **PMM-NOISE-2** would reduce adverse effects on ambient noise levels to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in noise impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM NOISE-1.

Project-Level Mitigation Measures

See PMM-NOISE-1.

PMM NOISE-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to violating air quality standards. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a. For projects that require pile driving or other construction techniques that result in excessive vibration, such as blasting, determine the potential vibration impacts to the structural integrity of the adjacent buildings within 50 feet of pile driving locations.
- b. For projects that require pile driving or other construction techniques that result in excessive vibration, such as blasting, determine the threshold levels of vibration and cracking that could damage adjacent historic or other structure, and design means and construction methods to not exceed the thresholds.
- c. For projects where pile driving would be necessary for construction due to geological conditions, utilize quiet pile driving techniques such as predrilling the piles to the maximum feasible depth, where feasible. Predrilling pile holes will reduce the number of blows required to completely seat the pile and will concentrate the pile driving activity closer to the ground where pile driving noise can be shielded more effectively by a noise barrier/curtain.
- d. Restrict construction activities to permitted hours in accordance with local jurisdiction regulation.

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- e. Properly maintain construction equipment and outfit construction equipment with the best available noise suppression devices (e.g., mufflers, silences, wraps).
- f. Prohibit idling of construction equipment for extended periods of time in the vicinity of sensitive receptors.

Impact NOI-3

For a project located within the vicinity of a private airstrip or an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project expose people residing or working in the project area to excessive noise levels.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM NOISE-1** and Project-Level Mitigation Measures **PMM-NOISE-1** will reduce impacts related to public airport or public use airport noise levels, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.13, Noise, of the PEIR. The potential to result in the exposure of persons to public airport or public use airport noise levels would be significant. Implementation of Mitigation Measures **SMM NOISE-1** and **PMM-NOISE-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds increased population growth accommodated under the Plan would result in increased air traffic in major commercial airports. Increased traffic could impact sensitive receptors, thereby exposing receptors to louder noise. Therefore, the Plan would potentially result in the exposure of persons to public airport or public use airport noise levels. Mitigation Measure **SMM NOISE-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-NOISE-1** would reduce adverse effects on ambient noise levels to the maximum extent feasible because they require lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by

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CEQA. While mitigation may provide a reduction in noise impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM NOISE-1.

Project-Level Mitigation Measures

See PMM NOISE-1.

6.14 POPULATION AND HOUSING

Impact POP-1 **Induce substantial unplanned population growth to areas of the region either directly (e.g., by proposing new homes and businesses) or indirectly (e.g., by extending roads and other infrastructure.**

Impact:

Significant and Unavoidable

Findings:

Implementation of SCAG Mitigation Measures SMM-POP-1 through SMM-POP-4 will reduce impacts related to the potential to induce substantial unplanned population growth in an area, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.14, Population and Housing of the PEIR. The potential to induce substantial unplanned population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure) would be significant. Implementation of Mitigation Measures SMM-POP-1 through SMM-POP-4 would reduce impacts; however, impacts would remain significant and unavoidable.

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The SCAG Regional Council finds that the Plan's improved accessibility and connectivity potentially gained from transportation investments in the Plan could facilitate population and economic growth in areas of the region that are currently not developed or underdeveloped. Therefore, the Plan would potentially induce substantial unplanned population growth in an area. Mitigation Measure **SMM POP-1** through **SMM POP-4** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM LU-1** would reduce adverse effects on growth inducement to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM-POP-1: SCAG shall promote the Sustainability Program which will provide technical assistance to local jurisdictions that support local planning and implementation of the Connect SoCal Plan. The program recognizes sustainable solutions to local growth challenges and will result in local plans that promote sustainability through the integration of transportation and land use. For more information please visit: <http://sustain.scag.ca.gov/Documents/Sustainable%20Communities%20Program%20Guidelines.pdf>.

SMM-POP-2: SCAG shall provide technical assistance to local governments, transit agencies and developers within the region to build housing capacity to compete in the statewide Affordable Housing Sustainable Communities (AHSC) grants program. The AHSC program is one of the few state funding opportunities to address housing shortages within the state. For more information please visit: <http://ahsc.scag.ca.gov/Pages/Home.aspx>.

SMM-POP-3: SCAG shall host summits that addresses the housing crisis and provides solutions to build more housing. Examples include the 2016 Housing Summit (<http://www.scag.ca.gov/SiteAssets/HousingSummit/index.html>) and the Eighth Annual Economic Summit (<https://www.scag.ca.gov/calendar/Pages/8thEconomicSummit.aspx>).

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SMM-POP-4: SCAG shall continue to produce the biennial Local Profile reports for all member jurisdictions in the SCAG region for the purpose of data and information sharing. The Local Profiles reports provide a variety of demographic, economic, education, housing, and transportation information that local jurisdictions can utilize like project and program planning. For more information about the most recently release 2019 Local Profiles, please visit: <http://www.scag.ca.gov/DataAndTools/Pages/LocalProfiles.aspx>.

Impact POP-2 **Displace substantial numbers of existing people or housing, necessitating the construction of replacement housing elsewhere.**

Impact:

Significant and Unavoidable

Findings:

Implementation of SCAG Mitigation Measures **SMM-POP-4** and **SMM-POP-5** and Project-Level Mitigation Measure **PMM-POP-1** will reduce the potential to displace substantial amounts of existing housing, necessitating the construction of replacement housing elsewhere, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.14, Population and Housing, of the PEIR. The potential to displace substantial amounts of existing housing, necessitating the construction of replacement housing elsewhere would be significant. Implementation of Mitigation Measures **SMM-PHE-4**, **SMM-PHE-5**, and **PMM-POP-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that land use strategies in urbanized areas, could displace substantial amounts of existing housing, necessitating the construction of replacement housing elsewhere. Mitigation Measure **SMM POP-4** and **SMM POP-5** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-POP-1** would reduce adverse effects related to the displacement of substantial amounts of existing housing, necessitating the construction of replacement housing elsewhere, to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related

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to the displacement and reconstruction of housing, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Level Mitigation Measure

See SMM-POP-4.

SMM-POP-5: SCAG shall assist cities to identify funding and financing opportunities and potential partnerships for public infrastructure improvements for transit-oriented development and other smart growth projects.

Project-Level Mitigation Measures

PMM-POP-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce the displacement of existing housing, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- Evaluate alternate route alignments and transportation facilities that minimize the displacement of homes and businesses. Use an iterative design and impact analysis where impacts to homes or businesses are involved to minimize the potential of impacts on housing and displacement of people.
- Prioritize the use existing ROWs, wherever feasible.
- Develop a construction schedule that minimizes potential neighborhood deterioration from protracted waiting periods between right-of-way acquisition and construction.
- Review capacities of available urban infrastructure and augment capacities as needed to accommodate demand in locations where growth is desirable to the local lead Agency and encouraged by the SCS (primarily TPAs, where applicable).
- When General Plans and other local land use regulations are amended or updated, use the most recent growth projections and RHNA allocation plan.

6.15 PUBLIC SERVICES

Impact PSF-1 Result in substantial adverse physical impacts associated with the provision of new or physically altered fire protection facilities, need for new or physically altered fire protection facilities, the construction of which could cause significant environmental impacts in order to maintain acceptable service ratios, response times, or other performance objectives.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, **SMM PSF-2**, and Project-Level Mitigation Measure **PMM-PSP-1** will reduce impacts related to the potential to increase in the use of fire protection services such that a need for new or physically altered fire protection facilities would become necessary, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.15.1, Fire Protection, of the PEIR. The potential for increased demand for fire protection services would be significant. Implementation of Mitigation Measures **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, **SMM PSF-2**, and **PMM-PSP-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction activities associated with transportation and potential development projects may temporarily increase demand on fire protection and emergency medical services. Increased growth as well as more dense development (e.g., more families living and/or working in such areas), anticipated to occur under implementation of Connect SoCal could affect the need for additional services. Therefore, the Plan would potentially increase the demand for fire protection services. Mitigation Measure **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, **SMM PSF-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-PSP-1** would reduce adverse effects related to the potential increased demand for fire protection services to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as

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required by CEQA. While mitigation may provide a reduction in fire protection impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM PSP-1 through SMM PSP-4.

SMM PSF-1: SCAG shall assist planners, first responders, and recovery teams in a supporting role, in three key areas, before a major emergency and during the recovery period:

- Provide a policy forum to help develop regional consensus and education on security policies and emergency responses.
- Assist in expediting the planning and programming of transportation infrastructure repairs from major disasters.
- Encourage integration of transportation security measures into transportation projects early in the project development process by leveraging SCAG's relevant plans, programs, and processes, including regional ITS architecture. An example includes SCAG's participation in the development of the Southern California Catastrophic Earthquake Preparedness Plan.⁷

SMM PSF-2: SCAG shall facilitate minimizing future impacts to fire protection services through information sharing regarding Fire-wise Land Management (data regarding fire-resistant vegetation, fire-resistant materials, locations where development is potentially hazardous in regard to wildfire, and management of brush and other fire risks in the immediate vicinity of development in areas with high fire threat) with county and city planning departments.

⁷ California Emergency Management Agency, *Southern California Catastrophic Earthquake Response Plan*, December 2010
[https://www.caloes.ca.gov/PlanningPreparednessSite/Documents/SoCalCatastrophicConops\(Public\)2010.pdf](https://www.caloes.ca.gov/PlanningPreparednessSite/Documents/SoCalCatastrophicConops(Public)2010.pdf),
accessed October 31, 2019.

Project-Level Mitigation Measures

See PMM-PSP-1.

6.16 POLICE PROTECTION

Impact PSP-1 **Result in substantial adverse physical impacts associated with the provision of new or physically altered police facilities, need for new or physically altered police facilities, the construction of which could cause significant environmental impacts in order to maintain acceptable service ratios, response times, or other performance objectives.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, **SMM PSF-2**, and Project-Level Mitigation Measure **PMM-PSP-1** will reduce impacts related to the potential to increase in need for police protection services such that the need for new or physically altered police protection facilities would become necessary, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.15.2, Police Protection, of the PEIR. The potential for increased demand for police protection services would be significant. Implementation of Mitigation Measures **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, **SMM PSF-2**, and **PMM-PSP-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that construction activities associated with transportation and development projects may temporarily increase demand on police services. Increased growth as well as more dense development (e.g., more families living and/or working in such areas), anticipated to occur under implementation of Connect SoCal could affect the need for additional services. Therefore, the Plan would potentially increase the demand for police protection services. Mitigation Measure **SMM PSP-1** through **SMM PSP-4**, **SMM PSF-1**, and **SMM PSF-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-PSP-1** would reduce adverse effects related to the potential increased demand

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for police protection services to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in police impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See **SMM PSF-1** and **SMM PSF-2**

SMM PSP-1 SCAG shall facilitate minimizing future impacts to library services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to Map Gallery, GIS library, and GIS applications, and promote acceptable service ratios regarding library services.

SMM PSP-2: SCAG shall help to enhance the region’s ability to deter and respond to acts of terrorism, human-caused or natural disasters through regionally cooperative and collaborative strategies. SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety security policies.

SMM PSP-3: SCAG shall help to enhance the region’s ability to deter and respond to terrorist incidents, human-caused or natural disasters by strengthening relationship and coordination with transportation. This will be accomplished by the following:

- SCAG shall work with local officials to develop regional consensus on regional transportation safety, security, and safety security policies.
- SCAG shall encourage all SCAG elected officials are educated in NIMS.
- SCAG shall work with partner agencies, federal, state and local jurisdictions to improve communications and interoperability and to find opportunities to leverage and effectively utilize transportation and public safety/security resources in support of this effort.

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SMM PSP-4: SCAG shall encourage and provide a forum for local jurisdictions to develop mutual aid agreements for essential government services during any incident recovery.

Project-Level Mitigation Measures

PMM PSP-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of constructing new emergency response facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Coordinate with emergency response agencies to ensure that there are adequate governmental facilities to maintain acceptable service ratios, response times or other performance objectives for emergency response services and that any required additional construction of buildings is incorporated in to the project description.
- b) Where current levels of services at the project site are found to be inadequate, provide fair share contributions towards infrastructure improvements, as appropriate and applicable, to mitigate identified CEQA impacts.
- c) Project sponsors can and should develop traffic control plans for individual projects. Traffic control plans should include information on lane closures and the anticipated flow of traffic during the construction period. The basic objective of each traffic control plan (TCP) is to permit the contractor to work within the public right of way efficiently and effectively while maintaining a safe, uniform flow of traffic. The construction work and the public traveling through the work zone in vehicles, bicycles or as pedestrians must be given equal consideration when developing a traffic control plan.

Impact PSS-1 **Result in substantial adverse physical impacts associated with the provision of new or physically altered educational facilities, need for new or physically altered educational facilities, the construction of which could cause significant environmental impacts in order to maintain acceptable service ratios, response times, or other performance objectives.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM PSS-1** and Project-Level Mitigation Measure **PMM-PSS-1** will reduce impacts related to the potential increase in use of schools such that the need for new or physically altered schools facilities would become necessary, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.15.3, Schools, of the PEIR. The potential for increased demand for education services would be significant. Implementation of Mitigation Measure **SMM PSS-1** and **PMM-PSS-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that population is anticipated to increase by approximately 3.2 million people over the lifetime of the Plan (with or without the Plan); some of this population increase would include school age children, thus the need for additional schools. Therefore, the Plan would potentially increase the demand for education services. Mitigation Measure **SMM PSS-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-PSS-1** would reduce adverse effects related to the potential increased demand for schools to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in school impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM PSS-1: SCAG shall facilitate minimizing future impacts to school services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to, Map Gallery, GIS library,

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and GIS applications, and direct technical assistance efforts to promote school planning efforts.

Project-Level Mitigation Measures

PMM PSS-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of constructing new or physically altered school facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Where construction or expansion of school facilities is required to meet public school service ratios, require school district fees, as applicable.

Impact PSL-1 **Result in substantial adverse physical impacts associated with the provision of new or physically altered library facilities, need for new or physically altered library facilities, the construction of which could cause significant environmental impacts in order to maintain acceptable service ratios, response times, or other performance objectives.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM PSL-1** and Project-Level Mitigation Measure **PMM-PSL-1** will reduce impacts related to the potential increase in use of libraries such that the need for new or physically altered library facilities would become necessary, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.15.4, Libraries, of the PEIR. The potential for increased demand for libraries would be significant. Implementation of Mitigation Measure **SMM PSL-1** and **PMM-PSL-1** would reduce impacts; however, impacts would remain significant and unavoidable.

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The SCAG Regional Council finds that new transportation facilities, especially those in urban areas, could facilitate access to libraries and result in increased use of some libraries. In addition, the anticipated growth in population and households would increase the demand for library facilities, which may result in a need for new and/or expanded library facilities. Therefore, the Plan would potentially increase the demand for library services. Mitigation Measure **SMM PSL-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-PSL-1** would reduce adverse effects related to the potential increased demand for libraries to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in library impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM PSL-1 SCAG shall facilitate minimizing future impacts to library services through cooperation, information sharing, and regional program development as part of SCAG’s ongoing regional planning efforts, such as web-based planning tools for local government including CA LOTS, and other GIS tools and data services, including, but not limited to Map Gallery, GIS library, and GIS applications, and promote acceptable service ratios regarding library services.

Project-Level Mitigation Measure

PMM PSL-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects of construction of new or altered library facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Where construction or expansion of library facilities is required to meet public library service ratios, require library fees, as appropriate and applicable, to mitigate identified CEQA impacts.

6.17 PARKS AND RECREATION

Impact REC-1 Potential to increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM REC-1**, **SMM USWS-1** and Project-Level Mitigation Measure **PMM REC-1** will reduce impacts related to the potential to increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.16, Recreation, of the PEIR. The potential to increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated would be significant. Implementation of Mitigation Measures **SMM REC-1** and **PMM REC-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the Plan's transportation improvements aim to accommodate the anticipated population increase of approximately 3.2 million persons over the lifetime of the Plan. The Plan may influence new growth, primarily within urbanized areas such as HQTAs and other livable corridors and centers. Therefore, it is possible that existing neighborhood parks and other recreational facilities would see an increase in usage, which, in turn, may result in substantial physical deterioration of facilities. Therefore, the Plan would potentially increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated. Mitigation Measure **SMM REC-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM REC-1** would reduce adverse effects related to the potential to increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated to the maximum extent feasible because it

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requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in recreation impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM USWS-1.

SMM REC-1: SCAG shall continue the commitment to analyze public health outcomes as part of the Regional Transportation Plan/Sustainable Communities Strategy (Plan). As part of the public health analysis for the Plan, SCAG shall continue to analyze resident access to parks and recreational facilities from a county level to help local jurisdictions to improve resident access to parks. SCAG shall communicate the impacts of the Plan through its Public Health Working group, and continue to support policy changes at the city and county level through educational programs.

Project-Level Mitigation Measures

PMM REC-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on the use of existing neighborhood and regional parks or other recreational facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Prior to the issuance of permits, where projects require the construction or expansion of recreational facilities or the payment of equivalent Quimby fees, consider increasing the accessibility to natural areas and lands for outdoor recreation from the proposed project area, in coordination with local and regional open space planning and/or responsible management agencies.
- b) Prior to the issuance of permits, where projects require the construction or expansion of recreational facilities or the payment of equivalent Quimby fees, encourage patterns

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of urban development and land use which reduce costs on infrastructure and make better use of existing facilities, using strategies such as:

- i. Increasing the accessibility to natural areas for outdoor recreation
- ii. Utilizing “green” development techniques
- iii. Promoting water-efficient land use and development
- iv. Encouraging multiple uses, such as the joint use of schools
- v. Including trail systems and trail segments in General Plan recreation standards

Impact REC-2 **Potential to include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM REC-1** and Project-Level Mitigation Measures **PMM REC-1, PMM AQ-2, and PMM NOISE-1** will reduce impacts related to the potential to include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.16, Recreation of the PEIR. The potential to include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment would be significant. Implementation of Mitigation Measures **SMM REC-1, PMM REC-1, PMM AQ-2, and PMM NOISE-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the land use framework of the Plan assumes an increase in small-lot, single- and multi-family housing that is expected to mainly occur in infill locations near transit infrastructure (HQTAs and transit priority areas [TPAs]), and transit-oriented communities. This increased density in urban areas will increase demand and place strain for parks and recreational facilities in these

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areas. Therefore, the Plan would potentially require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment. Mitigation Measure **SMM REC-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM REC-1**, **PMM AQ-2**, and **PMM NOISE-1** would reduce adverse effects related to the potential to include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in recreation impacts, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See **SMM REC-1**.

Project-Level Mitigation Measures

See **PMM REC-1**, **PMM AQ-2**, and **PMM NOISE-1**.

6.18 TRANSPORTATION, TRAFFIC, AND SAFETY

Impact TRA-2 **Conflict or be inconsistent with CEQA Guidelines section 15064.3(b).**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM TRA-1** through **SMM TRA-6** and **PMM-TRA-1** will reduce impacts related to the potential to conflict with *CEQA Guidelines* section 15064.3(b) (which sets forth the criteria for analyzing transportation impacts), to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.17, Transportation, Traffic, and Safety, of the PEIR. The potential to conflict with *CEQA Guidelines* section 15064.3(b) would be significant. Implementation of Mitigation Measures **SMM TRA-1** through **SMM TRA-6** and **PMM-TRA-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that despite the benefits shown by implementing the Plan, the transportation projects and growth under the Plan would substantially increase VMT, which would be inconsistent and may not support achievement of the state's VMT goals as identified in the 2017 Scoping Plan. Therefore, the Plan would potentially conflict with *CEQA Guidelines* section 15064.3(b). Mitigation Measure **SMM TRA-1** through **SMM TRA-6** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-TRA-1** would reduce adverse effects on transportation, traffic, and safety, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in related to transportation, traffic, and safety, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM TRA-1: SCAG shall facilitate minimizing VMT and related vehicular delay by minimizing impacts to circulation and access, improve mobility, and encourage transit and Active Transportation via workshops (i.e., Mobility 21 workshop and Regional Transportation Workgroups) and web-based planning tools for local governments, forums with policy makers, and County Transportation Planning Agencies, member cities, and state partners.

SMM TRA-2: SCAG shall identify further reduction in VMT, and fuel consumption that could be obtained through land-use strategies, additional car-sharing programs with linkage to public transportation, additional vanpools, additional bicycle sharing and parking programs, and implementation of a universal employee transit access pass (TAP) program.

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SMM TRA-3: SCAG shall initiate and facilitate an SB 743 implementation program. The grant-funded project, co-sponsored by SCAG and LADOT, seeks to provide technical and mitigation strategy development guidance to local jurisdictions in the six-county SCAG region to facilitate implementation of the VMT-based CEQA transportation impact analysis provisions of SB 743. This coordinated program of technical guidance, evaluation of options, and cooperative engagement with local communities will serve to smooth the transition to the new VMT-reducing development paradigm, helping to ensure a successful region-wide implementation of SB 743 and attainment of the associated GHG reduction goals. Some of the primary features of the scope of work include:

- Evaluate the feasibility of various alternative VMT mitigation options, including local and regional VMT exchange and banking programs.
- Establish CEQA nexus to reduce VMT through a VMT mitigation exchange or banking program alternative.
- Substantiate the legal basis of a VMT exchange program for satisfying CEQA mitigation requirements.
- Collaborate with other communities and jurisdictions to reduce VMT through implementation of a VMT mitigation exchange or bank program.
- Improve the dissemination of transportation project VMT mitigation options.
- Support a variety of TDM strategies for Transportation Management Organization (TMO) membership agencies.
- Provide guidance to facilitate establishment of VMT mitigation exchange or bank programs throughout the region and state

SMM TRA-4: SCAG shall continue to analyze and develop potential implementation strategies for a regional, market-based system to price or charge for auto trips during peak hours.

SMM TRA-5: SCAG shall develop a vanpool program for SCAG employees' commute trips.

SMM TRA-6: SCAG shall encourage new developments to incorporate both local and regional transit measures into the project design that promote the use of alternative modes of transportation.

Project-Level Mitigation Measures

PMM-TRA-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects related to transportation-related impacts. Such measures may include the following or other comparable measures identified by the Lead Agency:

- Transportation demand management (TDM) strategies should be incorporated into individual land use and transportation projects and plans, as part of the planning process. Local agencies should incorporate strategies identified in the Federal Highway Administration’s publication: *Integrating Demand Management into the Transportation Planning Process: A Desk Reference* (August 2012) into the planning process (FHWA 2012). For example, the following strategies may be included to encourage use of transit and non-motorized modes of transportation and reduce vehicle miles traveled on the region’s roadways:
 - include TDM mitigation requirements for new developments;
 - incorporate supporting infrastructure for non-motorized modes, such as, bike lanes, secure bike parking, sidewalks, and crosswalks;
 - provide incentives to use alternative modes and reduce driving, such as, universal transit passes, road and parking pricing;
 - implement parking management programs, such as parking cash-out, priority parking for carpools and vanpools;
 - develop TDM-specific performance measures to evaluate project-specific and system-wide performance;
 - incorporate TDM performance measures in the decision-making process for identifying transportation investments;
 - implement data collection programs for TDM to determine the effectiveness of certain strategies and to measure success over time; and
 - set aside funding for TDM initiatives.

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- The increase in per capita VMT on facilities experiencing LOS F represents a significant impact compared to existing conditions. To assess whether implementation of these specific mitigation strategies would result in measurable traffic congestion reductions, implementing actions may need to be further refined within the overall parameters of the proposed Plan and matched to local conditions in any subsequent project-level environmental analysis.

Impact TRA-4 Result in inadequate emergency access.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM TRA-7** and **SMM TRA-8** and Project-Level Mitigation Measure **PMM TRA-2** will reduce impacts related to the potential to result in inadequate emergency access, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.17, Transportation, Traffic, and Safety, of the PEIR. The potential to result in inadequate emergency access would be significant. Implementation of Mitigation Measures **SMM TRA-7**, **SMM TRA-8**, and **PMM TRA-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that transportation projects (including grade crossings, arterials, interchanges, and auxiliary lanes), could result in delayed emergency vehicle response times or otherwise disrupt delivery of emergency response services. Therefore the Plan would potentially result in inadequate emergency access. Mitigation Measure **SMM TRA-7** and **SMM TRA-8** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM TRA-2** would reduce adverse effects on transportation, traffic, and safety, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in related to transportation, traffic, and safety, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM TRA-7: SCAG shall, in cooperation with local and state agencies, identify critical infrastructure needs necessary for: a) emergency responders to enter the region, b) evacuation of affected facilities, and c) restoration of utilities. In addition, SCAG shall establish transportation infrastructure practices that promote and enhance security.

SMM TRA-8: SCAG shall provide the means for collaboration in planning, communication, and information sharing before, during, or after a regional emergency. This will be accomplished by the following:

- SCAG shall develop and incorporate strategies and actions pertaining to response and prevention of security incidents and events as part of the on-going regional planning activities.
- SCAG shall offer a regional repository of GIS data for use by local agencies in emergency planning, and response, in a standardized format.
- SCAG shall enter into mutual aid agreements with other MPOs (as feasible) to provide this data, in coordination with the California OES in the event that an event disrupts SCAG's ability to function.

Project-Level Mitigation Measures

PMM TRA-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects which may substantially impair implementation of an adopted emergency response plan or emergency evacuation plan, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- Prior to construction, project implementation agencies can and should ensure that all necessary local and state road and railroad encroachment permits are obtained. The

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project implementation agency can and should also comply with all applicable conditions of approval. As deemed necessary by the governing jurisdiction, the road encroachment permits may require the contractor to prepare a traffic control plan in accordance with professional engineering standards prior to construction. Traffic control plans can and should include the following requirements:

- Identification of all roadway locations where special construction techniques (e.g., directional drilling or night construction) would be used to minimize impacts to traffic flow.
- Development of circulation and detour plans to minimize impacts to local street circulation. This may include the use of signing and flagging to guide vehicles through and/or around the construction zone.
- Scheduling of truck trips outside of peak morning and evening commute hours.
- Limiting of lane closures during peak hours to the extent possible.
- Usage of haul routes minimizing truck traffic on local roadways to the extent possible.
- Inclusion of detours for bicycles and pedestrians in all areas potentially affected by project construction.
- Installation of traffic control devices as specified in the California Department of Transportation Manual of Traffic Controls for Construction and Maintenance Work Zones.
- Development and implementation of access plans for highly sensitive land uses such as police and fire stations, transit stations, hospitals, and schools. The access plans would be developed with the facility owner or administrator. To minimize disruption of emergency vehicle access, affected jurisdictions can and should be asked to identify detours for emergency vehicles, which will then be posted by the contractor. Notify in advance the facility owner or operator of the timing, location, and duration of construction activities and the locations of detours and lane closures.
- Storage of construction materials only in designated areas.

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- Coordination with local transit agencies for temporary relocation of routes or bus stops in work zones, as necessary.
- Ensure the rapid repair of transportation infrastructure in the event of an emergency through cooperation among public agencies and by identifying critical infrastructure needs necessary for: a) emergency responders to enter the region, b) evacuation of affected facilities, and c) restoration of utilities.
- Enhance emergency preparedness awareness among public agencies and with the public at large.

6.19 TRIBAL CULTURAL RESOURCES

Impact TCR-2 Cause a substantial adverse change in the significance of a tribal cultural resource defined in Public Resources Code section 21074 that is:

- a) Listed or eligible for listing in the California Register of Historical Resources, or in a local register of historical resources as defined in Public Resources Code section 5020.1(k), or

A resource determined by the lead agency, in its discretion and supported by substantial evidence, to be significant pursuant to criteria set forth in subdivision (c) of Public Resources Code Section 5024.1.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM TCR-1** and Project-Level Mitigation Measures **PMM TCR-1** and **PMM CULT-1** will reduce impacts related to the potential to cause a substantial adverse change in the significance of a historical resource, including tribal cultural resources, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

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Rationale:

The above finding is made based on the analysis included in Section 3.18, Tribal Cultural Resources, of the PEIR. The potential to cause a substantial adverse change in the significance of a tribal cultural resources, as defined in CEQA Guidelines Section 15064.5, would be significant. Implementation of Mitigation Measures **SMM TCR-1**, **PMM TCR-1**, and **PMM CULT-1** would reduce these impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that while there are state requirements in place to minimize adverse impacts to tribal cultural resources, there is still the potential for access-related damage associated with construction and operation of projects under the Plan. Therefore, the Plan would potentially cause a substantial adverse effect on the significance of a tribal cultural resource. Mitigation Measure **SMM TCR-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM TCR-1** and **PMM CULT-1** would reduce adverse effects on tribal cultural resources, to the maximum extent feasible, because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts to tribal cultural resources, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM TCR-1: Impacts to tribal cultural resources shall be minimized through cooperation, information sharing, and SCAG's ongoing regional planning efforts such as web-based planning tools for local governments including CA LOTS, and other GIS tools and data services, including, but not limiting to, Map Gallery, GIS library, and GIS applications; and direct technical assistance efforts and sharing of associated online Training materials. SCAG shall consult with the Native American Heritage Commission, as well as Native American tribes, to identify opportunities for early and effective consultation to identify tribal cultural resources to avoid such resources wherever practicable and feasible and reduce or mitigate for conflicts in compatible land use to the maximum extent practicable.

Project Level Mitigation Measures

See PMM CULT-1.

PMM TCR-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on tribal cultural resources. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Avoidance and preservation of the resources in place, including, but not limited to, planning and construction to avoid the resources and protect the cultural and natural context, or planning greenspace, parks, or other open space, to incorporate the resources with culturally appropriate protection and management criteria;
- b) Treating the resource with culturally appropriate dignity taking into account the tribal cultural values and meaning of the resource, including, but not limited to, the following: protecting the cultural character and integrity of the resource; protecting the traditional use of the resource; and protecting the confidentiality of the resource;
- c) Permanent conservation easements or other interests in real property, with culturally appropriate management criteria for the purposes of preserving or utilizing the resources or places; and protecting the resource.

6.20 UTILITIES AND SERVICE SYSTEMS

Impact USSW-1 **Generate solid waste in excess of state or local standards, or in excess of the capacity of local infrastructure, or otherwise impair the attainment of solid waste reduction goals**

Impact USSW-2 **Comply with federal, state, and local management and reduction statutes and regulations related to solid waste.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM USSW-1** through **SMM USSW-2** and Project-Level Mitigation Measure **PMM USSW-2** will reduce impacts related to the potential to be served by a landfill with insufficient permitted capacity to accommodate the project's solid waste disposal needs, to the

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maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.19.1 Solid Waste, of the PEIR. The impact to be served by a landfill with sufficient permitted capacity to accommodate the project's solid waste disposal needs would be significant. Implementation of Mitigation Measures **SMM USSW-1**, **SMM USSW-2**, and **PMM USSW-2** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the volume of solid waste debris expected to be generated with implementation of the Plan would increase compared to existing conditions. Additionally, landfill lifetimes do not extend out 25 years. Therefore, development as a result of implementation of the Plan would potentially need to be served by a landfill with insufficient permitted capacity to accommodate the project's solid waste disposal needs. Mitigation Measure **SMM USSW-1** and **SMM USSW-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM USSW-2** would reduce adverse effects on landfill capacity, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to utilities and service systems, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

SMM USSW-1: During the planning, design, and project-level CEQA review process for individual development projects, SCAG shall coordinate with waste management agencies and the appropriate local and regional jurisdictions to facilitate the development of measures and to encourage diversion of solid waste such as recycling and composting programs, as needed. This includes discouraging siting of new landfills unless all other waste reduction and prevention actions have been fully explored to minimize impacts to neighborhoods.

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SMM USSW-2: SCAG shall coordinate with waste management agencies, and the appropriate local and regional jurisdictions, measures to facilitate and encourage diversion of solid waste such as recycling and composting programs.

Project-Level Mitigation Measures

PMM USSW-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce the generation of solid waste, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

Integrate green building measures consistent with CALGreen (California Building Code Title 24) into project design including, but not limited to the following:

- a) Reuse and minimization of construction and demolition (C&D) debris and diversion of C&D waste from landfills to recycling facilities.
- b) Inclusion of a waste management plan that promotes maximum C&D diversion.
- c) Source reduction through (1) use of materials that are more durable and easier to repair and maintain, (2) design to generate less scrap material through dimensional planning, (3) increased recycled content, (4) use of reclaimed materials, and (5) use of structural materials in a dual role as finish material (e.g., stained concrete flooring, unfinished ceilings, etc.).
- d) Reuse of existing structure and shell in renovation projects.
- e) Development of indoor recycling program and space.
- f) Discourage the siting of new landfills unless all other waste reduction and prevention actions have been fully explored. If landfill siting or expansion is necessary, site landfills with an adequate landfill-owned, undeveloped land buffer to minimize the potential adverse impacts of the landfill in neighboring communities.
- g) Discourage exporting of locally generated waste outside of the SCAG region during the construction and implementation of a project. Encourage disposal within the county where the waste originates as much as possible. Promote green technologies for long-distance transport of waste (e.g., clean engines and clean locomotives or

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electric rail for waste-by-rail disposal systems) and consistency with SCAQMD and Connect SoCal policies can and should be required.

- h) Encourage waste reduction goals and practices and look for opportunities for voluntary actions to exceed the 80 percent waste diversion target.
- i) Encourage the development of local markets for waste prevention, reduction, and recycling practices by supporting recycled content and green procurement policies, as well as other waste prevention, reduction and recycling practices.
- j) Develop ordinances that promote waste prevention and recycling activities such as: requiring waste prevention and recycling efforts at all large events and venues; implementing recycled content procurement programs; and developing opportunities to divert food waste away from landfills and toward food banks and composting facilities.
- k) Develop and site composting, recycling, and conversion technology facilities that have minimum environmental and health impacts.
- l) Integrate reuse and recycling into residential industrial, institutional and commercial projects.
- m) Provide education and publicity about reducing waste and available recycling services.
- n) Implement or expand city or county-wide recycling and composting programs for residents and businesses. This could include extending the types of recycling services offered (e.g., to include food and green waste recycling) and providing public education and publicity about recycling services.

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Impact USWW-1 **Require or result in the relocation or construction of new or expanded wastewater treatment or storm drainage facilities, the construction or relocation of which could cause significant environmental effects**

Impact USWW-2 **Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project’s projected demand in addition to the provider’s existing commitments.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM-USWW-1** and Project-Level Mitigation Measures **PMM-USWW-1** and **PMM-HYD-1** will reduce impacts related to the potential to require or result in construction of new storm water drainage facilities or expansion of existing facilities, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.19.2, Wastewater, of the PEIR. The impact to require or result in construction of new storm water drainage facilities or expansion of existing facilities, the construction of which could cause significant environmental effects would be significant. Implementation of Mitigation Measures **SMM-USWW-1**, **SMM HYD-1** through **SMM HYD-3**, **PMM-USWW-1**, and **PMM-HYD-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that development as a result of implementation of the Plan would increase impervious surface area throughout the region, thereby increasing urban runoff. Additionally, construction activities related or identified in the Plan could increase pollutant loads carried by storm water runoff. Therefore, the Plan would potentially require or result in construction of new storm water drainage facilities or expansion of existing facilities. Mitigation Measure **SMM USWW-1**, **SMM HYD-1**, **SMM HYD-2** and **SMM HYD-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM-USWW-1** and **PMM-HYD-1** would reduce adverse effects on stormwater drainage systems to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt

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all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to utilities and service systems, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See SMM HYD-1 through SMM HYD-3.

SMM-USWW-1: SCAG shall work with local jurisdictions and wastewater agencies to encourage regional-scale planning for improved wastewater and stormwater management. Future impacts to wastewater and stormwater facilities shall be avoided to the extent practical and feasible through cooperative planning, information sharing, and comprehensive pollution control measure development within the SCAG region. This cooperative planning shall occur as part of current and existing coordination, an integral part of SCAG's ongoing regional planning efforts.

Project-Level Mitigation Measures

See PMM-HYD-1.

PMM-USWW-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to reduce substantial adverse effects on utilities and service systems, particularly for construction of wastewater facilities, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- During the design and CEQA review of individual future projects, implementing agencies and projects sponsors shall determine whether sufficient wastewater capacity exists for the proposed projects. There CEQA determinations must ensure that the proposed development can be served by its existing or planned treatment capacity. If adequate capacity does not exist, project sponsors shall coordinate with the relevant service provider to ensure

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that adequate public services and utilities could accommodate the increased demand, and if not, infrastructure improvements for the appropriate public service or utility shall be identified in each project's CEQA documentation. The relevant public service provider or utility shall be responsible for undertaking project-level review as necessary to provide CEQA clearance for new facilities.

Impact USWS-1 **Require or result in the relocation or construction of new or expanded water facilities, the construction or relocation of which could cause significant environmental effects.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM USWS-1** and Project-Level Mitigation Measure **PMM-USWS-1** will reduce impacts related to the relocation or construction of new or expanded water facilities, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.19.3 Water Supply, of the PEIR. The impacts related to the relocation or construction of new or expanded water facilities would be significant. Implementation of Mitigation Measures **SMM USWS-1** and **PMM-USWS-1** would reduce impacts; however, impacts would remain significant and unavoidable.

The SCAG Regional Council finds that accommodating the population guided by the Plan would increase the water demand in certain areas of the Region. Therefore, the Plan would potentially result in the relocation or construction of new or expanded water facilities. Mitigation Measure **SMM USWS-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-USWS-1** would reduce adverse effects on regional and local water supplies to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to utilities and service systems, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

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Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

SMM USWS-1: SCAG shall coordinate with local agencies as part of SCAG’s Sustainability Program regarding the implementation of Urban Greening, Greenbelts and Community Separator land use strategies. Primary features of land use strategies address the following:

- Increased trail and greenway connectivity;
- Improved water quality, groundwater recharge and watershed health;
- Strategies for stormwater and rainwater collection, infiltration, treatment and release;
- Reduce urban runoff;
- Expand the urban forest;
- Provision of wildlife habitat and increased biodiversity;
- Expand recreation opportunities and beautification;
- Preserving agrarian economies;
- Restore severed wildlife corridors.

Project-Level Mitigation Measures

PMM USWS-1: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to ensure sufficient water supplies, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) Reduce exterior consumptive uses of water in public areas, and should promote reductions in private homes and businesses, by shifting to drought-tolerant native landscape plantings, using weather-based irrigation systems, educating other public agencies about water use, and installing related water pricing incentives.

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- b) Promote the availability of drought-resistant landscaping options and provide information on where these can be purchased. Use of reclaimed water especially in median landscaping and hillside landscaping can and should be implemented where feasible.
- c) Implement water conservation best practices such as low-flow toilets, water-efficient clothes washers, water system audits, and leak detection and repair.
- d) For projects located in an area with existing reclaimed water conveyance infrastructure and excess reclaimed water capacity, use reclaimed water for non-potable uses, especially landscape irrigation. For projects in a location planned for future reclaimed water service, projects should install dual plumbing systems in anticipation of future use. Large developments could treat wastewater onsite to tertiary standards and use it for non-potable uses onsite.

Impact USWS-2 Have sufficient water supplies available to serve the project and reasonably foreseeable future development during normal, dry and multiple dry years.

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measure **SMM USWS-1** and Project-Level Mitigation Measure **PMM-USWS-1** will reduce impacts related to the potential have insufficient water supplies available to serve the project from existing entitlements and resources or require new or expanded entitlements, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.19.3, Water Supply, of the PEIR. The impact to have sufficient water supplies available to serve the project from existing entitlements and resources or will require new or expanded entitlements would be significant. Implementation of Mitigation Measures **SMM USWS-1** and **PMM-USWS-1** would reduce impacts; however, impacts would remain significant and unavoidable.

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The SCAG Regional Council finds that transportation projects and development projects anticipated to occur under the Plan have the potential to result in water use that could exceed available water supply. Therefore, the Plan would potentially result in insufficient water supplies available to serve the project from existing entitlements and resources or require new or expanded entitlements. Mitigation Measure **SMM USWS-1** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM-USWS-1** would reduce adverse effects on regional and local water supplies to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to utilities and service systems, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measure

See **SMM USWS-1**.

Project-Level Mitigation Measures

See **PMM-USWS-1**.

6.21 WILDFIRE

Impact WF-2 **Due to slope, prevailing winds, and other factors, exacerbate wildfire risks, and thereby expose project occupants to, pollutant concentrations from a wildfire or the uncontrolled spread of a wildfire.**

Impact HAZ-7 **Expose people or structures, either directly or indirectly, to a significant risk of loss, injury or death involving wildland fires.**

Impact:

Significant and Unavoidable

Finding:

Implementation of SCAG Mitigation Measures **SMM WF-1** through **SMM WF-3** and Project-Level Mitigation Measure **PMM WF-1** will reduce impacts related to the potential to expose people or structures to a significant risk of loss, injury or death involving wildland fires, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.20, Wildfire, of the PEIR. Implementation of Mitigation Measures **SMM WF-1** through **SMM WF-3** and Project-Level Mitigation Measure **PMM WF-1** would reduce the level of impacts; however, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that transportation projects and anticipated development projects may be located in wildfire-prone areas. Therefore, implementation of the Plan would potentially expose people or structures to a significant risk of loss, injury, or death involving wildland fires. Mitigation Measure **SMM WF-1** through **SMM WF-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measure **PMM WF-1** would reduce impacts related to the potential to expose people or structures to a significant risk of loss, injury or death involving wildland fires, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to hazards and hazardous materials, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

- SMM WF-1:** SCAG shall facilitate minimizing future impacts to fire protection services through information sharing regarding Fire-wise Land Management (vegetation data, fire-resistant building materials, locations where development is vulnerable to wildfire, and best practices for safe land management) with county and city planning departments. Furthermore, SCAG shall examine wildfire risk management strategies in areas where at-risk critical electrical infrastructure is located based on CPUC and CAL FIRE maps.
- SMM WF-2:** SCAG, in partnership with technical experts and stakeholders shall launch or continue existing initiatives to help local cities and counties to protect Southern California communities and economies from the disruption of wildfire occurrences. Initiatives could include but not be limited to seminars that review the risk of wildfire and approaches for preparation, including strengthening of infrastructure, emergency services, emergency evacuation plans and reviewing building safety codes.
- SMM WF-3:** SCAG shall develop a regional resilience program and identify specific strategies to reduce vulnerabilities from natural disasters related to land based or atmospheric hazards, climate change, wildfire and other extreme weather events.

Project-Level Mitigation Measures

- PMM WF-1:** In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to wildfire risk, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:
- a) Launch fire prevention education for local cities and counties such that local fire agencies, homeowners, as well as commercial and industrial businesses are aware of potential sources of fire ignition and the related procedures to curb or lessen any activities that might initiate fire ignition.
 - b) Ensure structures in high fire risk areas are built to current state and federal standards which serve to greatly increase the chances the structure will survive a wildfire and also allow for people to shelter-in-place.
 - c) Improve road access for emergency response and evacuation so people can evacuate safely and timely when necessary.

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- d) Improve, and educate regarding, local emergency communications and notifications with residents and businesses.
- e) Enforce defensible space regulations to keep overgrown and unmanaged vegetation, accumulations of trash and other flammable material away from structures.
- f) Provide public education about wildfire risk and fire prevention measures, and safety procedures and practices to allow for safe evacuation and/or options to shelter-in-place

Impact WF-3 **Require the installation or maintenance of associated infrastructure (such as roads, fuel breaks, emergency water sources, power lines or other utilities) that may exacerbate fire risks or that may result in temporary or ongoing impacts to the environment.**

Finding:

Implementation of SCAG Mitigation Measures **SMM-WF-1**, **SMM WF-2**, **SMM AG-4**, and **SMM BIO-3** and Project-Level Mitigation Measure **PMM WF-1** and **PMM HAZ-4** will reduce impacts related to infrastructure that may exacerbate fire risks, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.20, Wildfire, of the PEIR. Implementation of Mitigation Measures **SMM WF-1** through **SMM WF-3** and Project-Level Mitigation Measures **PMM WF-2** and **PMM HAZ-4** would reduce the level of impacts; however, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that the areas with dry vegetation have the potential to exacerbate wildfire risk due to future development activities that could generate flammable debris piles. Future roadway and development construction in such areas, while likely to be less in the future, may still occur, such development has the potential to result in significant impacts as a result of construction equipment generating sparks or oil spill and other combustible materials leading to the start and spread of wildfires. Therefore, the Plan would potentially result in the installation or maintenance of infrastructure that may exacerbate fire risks. Mitigation Measure **SMM WF-1**, **SMM WF-2**, **SMM AG-4** and **SMM BIO-3** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM WF-2** and **PMM HAZ-4** would reduce impacts related to infrastructure that may exacerbate fire risks, to the maximum extent feasible because it

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requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to infrastructure that may exacerbate fire risks, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM-WF-1, SMM WF-2, SMM AG-4, and SMM BIO-3.

Project-Level Mitigation Measures

See PMM HAZ-4.

PMM WF-2: In accordance with provisions of sections 15091(a)(2) and 15126.4(a)(1)(B) of the *State CEQA Guidelines*, a Lead Agency for a project can and should consider mitigation measures to wildfire risk, as applicable and feasible. Such measures may include the following or other comparable measures identified by the Lead Agency:

- a) New development or infrastructure activity within very high hazard severity zones or SRAs shall be required to
 - 1) Submit a fire protection plan including the designation of fire watch staff;
 - 2) Maintain water and other fire suppression equipment designated solely for firefighting on site for any construction and maintenance activities;
 - 3) Locate construction and maintenance equipment in designated “safe areas” such that they do not discharge combustible materials; and
 - 4) Designate trained fire watch staff during project construction to reduce risk of fire hazards.

Impact WF-4 Expose people or structures to significant risks, including downslope or downstream flooding or landslides, as a result of runoff, post-fire slope stability, or drainage changes.

Finding:

Implementation of SCAG Mitigation Measures **SMM-WF-1, SMM WF-2, SMM HYD-3, SMM GEO-1 and SMM GEO-2** and Project-Level Mitigation Measures **PMM WF-1, PMM WF-2, PMM HYD-1 and PMM HAZ-4** will reduce impacts related to the exposure of people or structures to risks resulting from runoff, post-fire slope stability, or drainage changes, to the maximum extent practicable and feasible. The SCAG Regional Council finds that significant and unavoidable impacts will remain after mitigation.

Rationale:

The above finding is made based on the analysis included in Section 3.20, Wildfire, of the PEIR. Implementation of SCAG Mitigation Measures **SMM-WF-1, SMM WF-2, SMM HYD-3, SMM GEO-1 and SMM GEO-2** and Project-Level Mitigation Measures **PMM WF-1, PMM WF-2, PMM HYD-1 and PMM HAZ-4** would reduce the level of impacts; however, the impacts would remain significant and unavoidable.

The SCAG Regional Council finds that development of homes and infrastructure is anticipated to continue to occur in areas of the region that are subject to wildfire hazards, despite the Plan's strategies to add development to existing urban areas. Therefore, the Plan would potentially result in the exposure of people or structures to risks resulting from runoff, post-fire slope stability, or drainage changes. Mitigation Measure **SMM WF-1, SMM WF-2, SMM HYD-3, SMM GEO-1 and SMM GEO-2** would reduce project impacts to the maximum extent feasible within the authority of SCAG. The SCAG Regional Council further finds that Project-Level Mitigation Measures **PMM WF-1, PMM WF-2, PMM HYD-1 and PMM HAZ-4** would reduce impacts related to the exposure of people or structures to risks resulting from runoff, post-fire slope stability, or drainage changes, to the maximum extent feasible because it requires lead agencies to exercise their discretionary authority to adopt all applicable and feasible mitigation as required by CEQA. While mitigation may provide a reduction in impacts related to the exposure of people or structures to risks resulting from runoff, post-fire slope stability, or drainage changes, it is uncertain that that all future project-level impacts can be mitigated to a less than significant level.

Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. The SCAG Regional

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Council finds that the significant impact is acceptable due to the overriding considerations that support adoption of the Plan, discussed in the Statement of Overriding Considerations.

SCAG Mitigation Measures

See SMM-WF-1, SMM WF-2, SMM HYD-3, SMM GEO-1 and SMM GEO-2.

Project-Level Mitigation Measures

See PMM WF-1, PMM WF-2, PMM HYD-1 and PMM HAZ-4.

6.22 FINDINGS ON CUMULATIVE IMPACTS

In compliance with CEQA Guidelines Section 15130, the PEIR evaluates the cumulative impacts of the Connect SoCal Plan. CEQA defines cumulative impacts as “two or more individual effects which, when considered together, are considerable or which compound or increase other environmental impacts.” (CEQA Guidelines Section 15355). Thus, if the effects of the Plan, in combination with the effects of past, present, and reasonably foreseeable future related projects within the region will be significant, the Plan’s incremental effects must be analyzed to determine if the Plan’s contribution to the cumulative impact is cumulatively considerable. (CEQA Guidelines Section 15065(a)(3)). Supportive evidence for the below findings may be found in the “Cumulative Effects” sections of each resource topic analysis in Draft PEIR Chapter 4.

6.22.1 Cumulative Effects Determined to be Significant for which the Contribution of the Plan would be Cumulatively Considerable even with Implementation of Mitigation Measures

Based on the analysis set forth in the PEIR, SCAG finds that the cumulative impacts of the Plan, in combination with the effects of past, present, and reasonably foreseeable future related projects within the region, would be significant. SCAG further finds that the Plan’s contribution to the following significant cumulative impacts would remain cumulatively considerable even with implementation of the mitigation measures set forth in the PEIR, and thus would be significant and unavoidable.

Aesthetics

Connect SoCal includes transportation projects and land use strategies that would shape the region over the next 25 years. As discussed in Section 3.1, Aesthetics, these changes include the extension of transportation and related infrastructure and expansion of urbanized areas that would impact scenic resources. Transportation projects could facilitate access not only within SCAG boundaries but also to areas

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outside the region. In addition, Plan projects would connect with projects outside the region facilitating and potentially inducing construction of transportation infrastructure and development outside the region. Some of these changes would be expected on the fringe of the region (e.g. projects along the border of Los Angeles and Kern Counties). Urbanization or loss of these visual resources could also affect areas outside the region as many of these scenic areas extend beyond SCAG borders. As a result, the Plan could indirectly cause changes to the visual character or to scenic areas outside the region. Therefore, the Plan would contribute to cumulative impacts to scenic resources and visual character. Implementation of Mitigation Measures **SMM AES-1** and **PMM AES-1** through **PMM AES-2** would reduce potential impacts to aesthetic resources. However, even with the implementation of mitigation measures, impacts are considered significant and could add to such impacts from cumulative projects (for example other RTPs for surrounding jurisdictions) outside the region.

Findings and Rationale

SCAG finds Mitigation Measure **SMM AES-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM AES-1** and **PMM AES-2** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in **PMM AES-1** through **PMM AES-2** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of local lead agencies.

SCAG finds while project-specific mitigation may reduce impacts to aesthetic resources, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM AES-1**, **PMM AES-1** through **PMM AES-2** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Agriculture and Forestry

Under the Connect SoCal Plan, consumption of farmland is anticipated. These impacts would be the direct result of either implementation of transportation projects or development anticipated to occur due to projected growth under the Plan. As discussed in Section 3.2, Agriculture and Forestry Resources, impacts to agricultural and forestry resources from the Plan are considered significant and unavoidable. Loss of farmland would worsen the conversion of agricultural lands due to urbanization throughout the state. The 2015 California Farmland Conversion Report ranks the Southern California region at the top in net acres converted to urban land, with Riverside County ranked second at the county level.⁸ The Southern California and San Joaquin Valley regions accounted for the largest urban growth in terms of acreage.⁹ Implementation of Mitigation Measures **SMM AG-1** through **SMM AG-4** and **PMM AG-1** would reduce impacts, but as other California regions continue to urbanize, agricultural land in the state may continue to be lost due to land use conversion, contributing to cumulative statewide significant impacts.

The Plan has the potential to conflict with Williamson Act lands or existing zoning for agricultural use. Mitigation Measures **SMM AG-1** through **SMM AG-2** and **PMM AG-1** through **PMM AG-2** would reduce impacts, but they are still considered significant. As noted above, through the increasing urbanization, other regions adjacent to SCAG boundaries may also convert agricultural lands to urban uses and conflict with existing zoning.

The Plan would have a significant impact regarding forest lands. Transportation projects included in the Plan that would result impact to forest lands include highway expansion, highway widening projects, and potential connectors. Projects in adjacent regions could convert forestry resources and forest lands due to development, resulting in cumulative impacts.

The Plan would involve other changes in the environment which, due to their location or nature, could convert Farmland to non-agricultural use or conversion of forest land to non-forest use. Implementation of Mitigation Measures **SMM AG-1** through **SMM AG-2** as well as **SMM GHG-1** through **SMM GHG-5** and **PMM AG-2** as well as **PMM GHG-2** would reduce impacts, but they are still considered significant. As development pressure from conversion of Farmland to urban uses increases, lands adjacent to SCAG boundaries may feel the same indirect pressure to develop and convert lands. Therefore, there would be a significant cumulative impact.

⁸ California Department of Conservation. 2015. *California Farmland Conversion Report 2015*. Available at: https://www.conservation.ca.gov/dlrp/fmmp/Documents/fmmp/pubs/2010-2012/FCR/FCR%202015_complete.pdf, accessed November 5, 2019.

⁹ Ibid.

Findings and Rationale

Mitigation Measures **SMM AG-1** through **SMM AG-2** and **SMM GHG-1** through **SMM GHG-5** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that **PMM AG-1** through **PMM AG-2**; **PMM AG-2**; and **PMM GHG-2** are primarily within the responsibility and jurisdiction local lead agencies, which can and should adopt them. These identified mitigation measures would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts to farmland and forest land, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, the mitigation measures identified above would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Air Quality

Connect SoCal is a regional plan that integrates transportation investments with land use strategies for the SCAG region. As such, the analysis of air quality impacts presented is inherently cumulative. As discussed in Section 3.3, Air Quality, the Plan would result in significant impacts as a result of short-term emissions of criteria pollutants and as a result of sensitive receptors being in proximity to sources of TACs (**Impact AQ-4**). However, the Plan could also contribute to air quality impacts outside the SCAG region itself. The cumulative analysis impact area for air quality consists of air basins that extend beyond the SCAG boundaries, such as the Mojave Desert Air Basin that extends into Kern County. Implementation of the Connect SoCal Plan combined with cumulative development outside of the SCAG region would add to the significant air quality impacts of the Plan.

Implementation of Mitigation Measures **SMM AQ-1** through **SMM AQ-3** and **PMM AQ-1** through **PMM AQ-3** would reduce the contribution to cumulative air quality impacts; however, the Plan's impacts would remain significant and would add to the impacts of other RTPs in surrounding jurisdictions.

Findings and Rationale

Mitigation Measures **SMM AQ-1** through **SMM AQ-3** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that and **PMM AQ-1** through **PMM AQ-3** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures **PMM AQ-1** through **PMM AQ-3** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

While project-specific mitigation may reduce impacts to air quality, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM AQ-1** through **SMM AQ-3** and **PMM AQ-1** through **PMM AQ-3** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Biological Resources

As discussed in Section 3.4, Biological Resources, Connect SoCal would result in impacts to sensitive species as well as habitat fragmentation and loss and disturbance. Implementation of Mitigation Measures **SMM BIO-1** through **SMM BIO-2** and **PMM BIO-1** through **PMM BIO-6** would reduce impacts to biological resources but impacts would remain significant. Many of these impacts would be the direct result of either transportation improvements or development. Impacts to sensitive species, as well as loss of habitat and habitat fragmentation would contribute to similar statewide impacts. Many important habitat corridors cross the SCAG region's boundaries. As a result, the loss of an important corridor, or fragmentation of habitat could limit the movement of wildlife species resulting in additional cumulative impacts. Similarly, fragmentation could reduce the viability of a species beyond the plan area. Therefore, the significant impacts to biological resources anticipated to result from transportation and development projects occurring under the Plan would contribute to cumulative biological resources impacts outside of just the SCAG region, including effects throughout California.

Findings and Rationale

Mitigation Measures **SMM BIO-1** through **SMM BIO-2** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM BIO-1** through **PMM BIO-6** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in these measures would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts to farmland and forest land, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM BIO-1** through **SMM BIO-2** and **PMM BIO-1** through **PMM BIO-6** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Cultural Resources

The Plan includes transportation projects and land use strategies that will shape the region over the next 25 years. As discussed in Section 3.5, Cultural Resources, these changes include the extension of transportation and related infrastructure that would impact cultural resources through activities such as demolition of historical resources or indirect impacts such as changing the historic context of the resource. In addition, Plan projects will connect with projects outside the region, thereby facilitating and potentially inducing construction of transportation infrastructure outside the region. This additional infrastructure could lead to additional development, both inside and outside the region. Plan impacts would add to cultural resource impacts of cumulative projects (transportation projects and development in accordance with RTP plans of adjacent jurisdictions). Implementation of Mitigation Measures **SMM CULT-1** and **PMM CULT-1** and **PMM CULT-2**, would reduce the contribution to cumulative impacts to cultural resources. However, the Plan would still result in significant impacts to historical resources as well as archaeological resources and would contribute to significant cumulative impacts. Although in general cultural and

historical impacts are specific to a smaller area (region), there is the potential for the project to contribute to impacts in adjacent counties.

Findings and Rationale

Mitigation Measure **SMM CULT-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM CULT-1** and **PMM CULT-2** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures **PMM CULT-1** and **PMM CULT-2** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM CULT-1** and **PMM CULT-1** and **PMM CULT-2** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Geology and Soils

Impacts to geology and soils related to implementation of the Plan are analyzed in Section 3.7, Geology and Soils. The Plan's ground disturbing activities would potentially impact paleontological resources. Ground-disturbing activities such as excavation for building foundations and bridges, trenching for utility lines, tunneling, and grading, could damage or destroy sensitive paleontological resources on or near the surface or at depth. Implementation of Mitigation Measures **SMM-GEO-3** and **PMM-GEO-1** would reduce the level of impacts but would still be considered significant. Paleontological resources, and important paleontological finds may still occur. For example, in 2005 a Mammoth was discovered in the City of Moorpark. The fossils were dated as between 400,000 and 1.8 million years old. Such finds, while locally

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important, provide important contextual information to the state’s history and beyond. The loss of such resources would be cumulatively considerable.

Findings and Rationale

Mitigation Measure **SMM-GEO-3** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM-GEO-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM-GEO-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM-GEO-3** and **PMM-GEO-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Hazards and Hazardous Materials

Impacts associated with hazards and hazardous materials related to implementation of the proposed Plan are analyzed in Section 3.9, Hazards and Hazardous Materials. Hazards and hazardous materials impacts may be related to the transport, use, or disposal of hazardous materials, create a significant hazard through upset or accident conditions involving release of hazardous materials, hazardous materials within one-quarter mile of an existing or proposed school, location on a known hazardous materials site, airport-related hazards, and conflict with an emergency response plan. These effects occur independently of one another, related to site-specific and project-specific characteristics and conditions. However, the analysis in **Section 3.9** concluded there would be significant and unavoidable effects regarding impacts to transport of hazardous materials, release of hazardous materials, hazardous materials within one-quarter mile of a school, location on a known hazardous materials site, and conflict with an emergency response plan.

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Implementation of Mitigation Measures **SMM HAZ-1** through **SMM HAZ-5** as well as **SMM TRA-5** and **PMM HAZ-1** through **PMM HAZ-1** through **PMM HAZ-5** as well as **PMM TRA-5** would reduce the Plan's impacts, but they would remain significant. These impacts have the potential, due to transportation projects and land use strategies, to have effects beyond SCAG boundaries, particularly to adjacent jurisdictions. Therefore, implementation of the Plan would have significant cumulative impacts.

Findings and Rationale

Mitigation Measures **SMM HAZ-1** through **SMM HAZ-5** as well as **SMM TRA-5** and **PMM HAZ-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM HAZ-1** through **PMM HAZ-5** as well as **PMM TRA-5** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures **PMM HAZ-1** through **PMM HAZ-5** as well as **PMM TRA-5** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM HAZ-1** through **SMM HAZ-5** as well as **SMM TRA-5** and **PMM HAZ-1** through **PMM HAZ-1** through **PMM HAZ-5** as well as **PMM TRA-5** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Hydrology and Water Quality

As discussed in **Section 3.10, Hydrology and Water Quality**, the Plan would result in significant impacts related to water quality, groundwater recharge, flood hazards and water supply. The land use strategies included in the Plan would result in a more compact development pattern that would be more water efficient. The water providers within the SCAG region that serve the population would need to coordinate water supply with nearby jurisdictions. Given the unreliability of water supply in the region, the increase

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of approximately 3.2 million people would result in a significant impact to water supply that would add to the impacts of development in surrounding jurisdictions. The Plan could also facilitate access to other areas of the state by increasing infrastructure which could ultimately influence growth (and associated impermeable surfaces) in areas outside SCAG boundaries. Mitigation Measures **SMM HYD-1** through **SMM HYD-2** and **PMM HYD-1** through **PMM HYD-2** would reduce impacts, but they would remain significant. This could result in greater impacts to water quality and could affect water in areas outside the SCAG region. Therefore, the Plan would result in significant cumulative impacts.

Findings and Rationale

Mitigation Measures **SMM HYD-1** through **SMM HYD-2** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM HYD-1** through **PMM HYD-2** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures **PMM HYD-1** and **PMM HYD-2** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM HYD-1** through **SMM HYD-2** and **PMM HYD-1** through **PMM HYD-2** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Land Use and Planning

As discussed in Section 3.11, Land Use and Planning, implementation of the Connect SoCal Plan has the potential to physically divide an established community and to conflict with existing land use plans. The Plan would result in an increase in density and land use development. Improved accessibility from the Plan could help facilitate urbanization to areas outside the region. Furthermore, changes in land use patterns in the region (i.e. increased urbanization) could affect areas outside the region, resulting in

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increased urbanization in adjacent jurisdictions. Implementation of Mitigation Measures **SMM LU-1** through **SMM LU-4** and **PMM LU-1** through **PMM LU-2** would reduce impacts, but they would remain significant. Therefore, the Plan would result in significant cumulative land use impacts.

Findings and Rationale

Mitigation Measures **SMM LU-1** through **SMM LU-4** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM LU-1** and **PMM LU-2** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures **PMM LU-1** and **PMM LU-2** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM LU-1** through **SMM LU-4** and **PMM LU-1** through **PMM LU-2** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Mineral Resources

Impacts to mineral resources related to implementation of the Plan are analyzed in Section 3.12, Mineral Resources. The analysis concluded that there would be a significant and unavoidable impact regarding the loss of known mineral resources occurring from transportation projects and land use strategies in the Plan. Aggregate resources used in construction activities throughout the SCAG region would potentially be reduced due to the Plan's transportation projects and anticipated development under the Plan. The Plan could worsen depletion of aggregate supply which would impact surrounding areas and the state. Mitigation Measures **SMM MIN-1** and **PMM MIN-1** would reduce impacts, but they would remain

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significant. Therefore, the Plan would have significant cumulative impact on mineral resources adding to the impact from development of areas outside the SCAG region.

Findings and Rationale

Mitigation Measure **SMM MIN-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM MIN-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM MIN-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM MIN-1** and **PMM MIN-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Noise

As discussed in Section 3.13, Noise, the Plan would result in significant impacts related to increases in noise. Changes resulting from the Plan include the extension of transportation and related infrastructure that would result in new noise sources as well as increased noise from some existing sources. Implementation of Mitigation Measures **SMM NOISE-1** and **PMM NOISE-1** would reduce noise and vibration impacts, however they would remain significant. Many of the transportation projects could facilitate access not only within SCAG boundaries but also areas outside the region to adjacent jurisdictions. In addition, Plan projects will connect with projects outside the region, facilitating and potentially inducing construction of transportation infrastructure outside the region. Construction noise and vibration impacts are generally site specific, but to the extent that the Plan might influence growth outside the region, it could result in construction noise outside the region. As population in the region continues to increase, the Plan could also contribute to a cumulatively considerable temporary or permanent increase in noise and

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vibration outside the region as a result of increased travel. This activity would include railroads, as well as freeway, arterial and transit noise. As a result, there would be a significant cumulative impact.

Findings and Rationale

Mitigation Measure **SMM NOISE-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM NOISE-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM NOISE-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM NOISE-1** and **PMM NOISE-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Population and Housing

As discussed in Section 3.14, Population and Housing, implementation of the Connect SoCal Plan could facilitate an increase in population, housing, and employment (although the same increases are anticipated whether or not the Plan is adopted). It is possible that the improved accessibility gained by transportation investments and key land use strategies could result in an increase in population in areas outside the region (as people find it easier to move from outside the region to employment centers within the region). If population increases in areas outside the SCAG region were in excess of forecasts and plans, it could add to cumulative impacts in other jurisdictions. Impacts would be reduced by Mitigation Measures **SMM POP-1** through **SMM POP-4** and **PMM POP-1** would reduce impacts, but they would remain significant. Therefore, the significant impacts of the Plan could contribute to population and displacement impacts of other Plans in neighboring jurisdictions, resulting in a significant cumulative impact.

Findings and Rationale

Mitigation Measures **SMM POP-1** through **SMM POP-4** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM POP-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM POP-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM POP-1 through SMM POP-4** and **PMM POP-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Public Services

Fire Protection

As discussed in Section 3.15.1, Fire Protection, the Plan would result in significant impacts related to the need for new facilities, the construction of which could cause physical impacts. In general impacts to fire services would be confined to the region and would result from transportation projects and anticipated growth. It is possible that developments that occur near the region's boundary could result in the need for new or expanded fire protection facilities outside the region. This impact would be cumulatively considerable. In addition, wildfire impacts would be significant. Large fires can extend across regional boundaries requiring firefighters from adjacent regions and beyond to assist on a case-by-case basis. To the extent that the Plan would increase urban uses along the wildland interface and increase fire risk, the chance of a fire requiring multi-regional support also increases. Implementation of Mitigation Measures **SMM PSF-1** through **SMM PSF-2** and **PMM PSP-1** would reduce potential impacts to fire protection.

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However, even with the implementation of mitigation measures, impacts are considered significant and could add to such impacts from cumulative projects outside the region.

Findings and Rationale

SCAG finds Mitigation Measure **SMM PSF-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM PSP-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. SCAG finds mitigation activity identified in Mitigation Measure **PMM PSP-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of local lead agencies.

SCAG finds while project-specific mitigation may reduce impacts to fire protection, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM PSF-1** and **SMM PSF-2**, and **PMM PSP-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Police Protection

As discussed in Section 3.15.2, Police Protection, the Plan would result in significant impacts related to the need for new facilities. Impacts would be reduced by Mitigation Measures **SMM PSP-1** through **SMM PSP-4** and **PMM PSP-1** would reduce impacts, but they would remain significant. In general, impacts as a result of construction of new police facilities would be confined to the immediate area of the construction of each facility. However, as with fire protection, where development and transportation projects are located on the boundary of the region, it is possible that new or expanded facilities would be necessary outside the region. If the construction of such facilities results in a significant impact, the Plan's impact would be cumulatively considerable.

Findings and Rationale

Mitigation Measures **SMM PSP-1** through **SMM PSP-4** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG find that Mitigation Measure **PMM PSP-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in **PMM PSP-1** would require the exercise of discretionary authority to implement project specific mitigation that is wholly within the responsibility of local lead agencies.

SCAG finds while project-specific mitigation may reduce impacts to police protection, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM PSP-1** through **SMM PSP-4** and **PMM PSP-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Schools

As discussed in Section 3.15.3, Schools, the Plan would result in significant impacts related to the need for new school facilities. Mitigation Measure **SMM PSS-1** and **PMM PPS-1** would reduce impacts, but they would remain significant. In general, impacts as a result of construction of new schools would be confined to the immediate area of each school. However, if development and transportation projects occur on the boundary of the region, it is possible that new or expanded school facilities would be necessary. If the construction of such facilities results in significant impacts, the Plan's impact would be cumulatively considerable.

Findings and Rationale

SCAG finds Mitigation Measure **SMM PSS-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM PSS-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activity identified in Mitigation Measure **PMM PSS-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of local lead agencies.

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SCAG finds while project-specific mitigation may reduce impacts to school, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM PSS-1** and **PMM PSS-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Library Services

As discussed in Section 3.15.4, the Plan would result in significant impacts related to the need for new facilities. Mitigation Measure **PMM PSL-1** would reduce impacts, but they would remain significant. In general, impacts as a result of construction of new library facilities would be confined to the immediate area of each library. However, if development and transportation projects occur on the boundary of the region, it is possible that new or expanded library facilities would be necessary. If the construction of such facilities results in significant impacts, the Plan's impact would be cumulatively considerable.

Findings and Rationale

SCAG finds **Mitigation Measure SMM PSL-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG find that Mitigation Measure **PMM PSL-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activity identified in Mitigation Measure **PMM PSL-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of local lead agencies.

SCAG finds while project-specific mitigation may reduce impacts to school, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM PSL-1** and **PMM PSL-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce this impact to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain

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mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Parks and Recreation

To the extent that development may occur on the periphery of the SCAG region, it could increase demand for recreation facilities in surrounding jurisdictions as discussed in Section 3.16, Parks and Recreation. Similarly, development on the periphery of these other regions, such as adjacent counties, would result in demand for recreational facilities within the SCAG region. In addition, given the natural resources in the SCAG region, any development in other counties would tend to increase demand for recreation facilities with statewide appeal that are within the SCAG region. Improved transportation infrastructure would facilitate access to these recreational facilities. Impacts would be reduced by Mitigation Measures **SMM REC-1** and **PMM REC-1, PMM AQ-2(b), and PMM NOISE-1(b)** but would remain significant. Therefore, the significant impacts of the Plan on existing facilities of statewide appeal would add to similar impacts anticipated to result from RTPs in other jurisdictions, resulting in a significant cumulative impact.

Findings and Rationale

SCAG finds Mitigation Measure **SMM REC-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM REC-1, PMM AQ-2, and PMM NOISE-1** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in, **PMM REC-1, PMM AQ-2, and PMM NOISE-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM REC-1, PMM REC-1, PMM AQ-2, and PMM NOISE-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact

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remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Transportation, Traffic, and Safety

As discussed in Section 3.17, Transportation, Traffic and Safety, the Plan would result in increases in total VMT and vehicle hours of delay but reductions in per capita VMT and vehicle hours of delay. Implementation of the Connect SoCal Plan would result in an increase in density and land use development over the life of the Plan. Transportation and traffic related impacts would be reduced by Mitigation Measures **SMM TRA-1** through **SMM TRA-8** and **PMM TRA-1** through **PMM TRA-2** but they would remain significant. Implementation of the Plan, combined with growth outside the region, has the potential to conflict with congestion management programs outside SCAG boundaries. Congestion and delay from RTPs in adjacent counties would add to these significant impacts, which would result in a significant cumulative impact. Further, as discussed in Section 3.17 Transportation, the per capita VMT reductions may not be enough to meet the state goals established by CARB. This, combined with other MPO's not achieving reductions in VMT beyond those identified by CARB, would result in a cumulative statewide impact.

Findings and Rationale

SCAG finds Mitigation Measures **SMM TRA-1** through **SMM TRA-8** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measures **PMM-TRA-1** and **PMM-TRA-2** are within the responsibility and jurisdiction of local lead agencies, which can and should adopt them. The mitigation activities identified in Mitigation Measures and **PMM TRA-1** through **PMM TRA-2** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM TRA-1** through **SMM TRA-8** and **PMM TRA-1** through **PMM TRA-2** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation

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measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Tribal Cultural Resources

Plan projects will facilitate access to areas outside the region. In addition, Plan projects will connect with projects outside the region, thereby facilitating and potentially inducing construction of transportation infrastructure outside SCAG boundaries. As discussed in Section 3.18, implementation of the Plan would result in significant impacts to tribal cultural resources. Mitigation Measures **SMM TCR-1** and **PMM TCR-1** would reduce impacts but they would remain significant. Therefore, the impacts would contribute to significant cumulative impacts to tribal cultural resources throughout the state as resources are impacted by new development and land is disturbed.

Findings and Rationale

SCAG finds that Mitigation Measure **SMM TRC-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM-TRA-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM TRC-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM TRC-1** and **PMM TRC-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Utilities and Service Systems

Solid Waste

The Plan would result in significant impacts related to solid waste generation in the region, as discussed in Section 3.19.1, Solid Waste. Implementation of Mitigation Measures **SMM USSW-1** through **SMM USSW-2** and **PMM USSW-1** would reduce impacts but they would remain significant. As population increases across the state, it is expected that additional demands will be placed on landfills with remaining capacity both from inside the SCAG region and from nearby areas such as adjacent counties. The increased demand on landfill capacity could result in the need to truck waste long distances, including to sites outside the region which could result in localized impacts outside the region (noise, air quality, traffic). Further, landfill capacity is finite and by reducing landfill capacity outside the region, there would be less capacity available for areas outside the region. As a result, the Plan would add to impacts on available landfill capacity and result in a cumulatively considerable impact.

Findings and Rationale

SCAG finds Mitigation Measures **SMM-USSW-1** through **SMM-USSW-2** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM USSW-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM USSW-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measure **SMM-USSW-1** through **SMM-USSW-2** and **PMM USSW-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Wastewater

The Plan would result in a significant impact related to wastewater capacity and the need for new facilities, as discussed in Section 3.19.2, Wastewater. Impacts would be reduced by Mitigation Measures **SMM HYD-1** through **SMM HYD-3** and **SMM USWW-1** and **PMM USWW-1** but impacts would remain significant. Connect SoCal includes transportation projects and regional land use strategies, targeting growth in urban areas. However, due to planned transportation projects and anticipated development, there would be potential for construction of new stormwater drainage facilities or expansion of existing facilities would be needed. The need for new or expanded facilities for Plan projects in combination with other large projects outside the region, such as wastewater projects in adjacent counties or transportation projects that connect with projects to outside areas could result in significant impacts. As such the Plan would result in a cumulatively considerable impact.

Findings and Rationale

SCAG finds Mitigation Measures **SMM HYD-1** through **SMM HYD-3** and **SMM USWW-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM USWW-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measures **PMM USWW-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG finds while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measure **SMM HYD-1** through **SMM HYD-3** and **SMM USWW-1** and **PMM USWW-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

Water Supply

The Plan would result in significant impacts related to water supply, as discussed in Section 3.19.3, Water Supply. Impacts would be reduced by Mitigation Measures **SMM USWS-1** and **PMM USWS-1** but impacts would remain significant. The water providers within the SCAG region that serve the population would need to coordinate water supply with nearby jurisdictions. Given the unreliability of water supply in the region, additional population growth would result in a significant impact to water supply that would add to the impacts of development in surrounding jurisdictions. Water supply projects that serve the SCAG region include infrastructure that extends beyond the boundaries of the SCAG region. For example, the California Aqueduct conveys water from the Sierra Nevada Mountains and the Colorado River Aqueduct conveys water from the Colorado River to Southern California. Increases in population could require or result in the relocation or construction of new or expanded water facilities outside of the region. As such, the Plan would result in a cumulatively considerable impact.

Findings and Rationale

SCAG finds Mitigation Measure **SMM USWS-1** would reduce cumulative impacts to the maximum extent feasible within the authority of SCAG. SCAG finds that Mitigation Measure **PMM USWS-1** is within the responsibility and jurisdiction of local lead agencies, which can and should adopt it. The mitigation activities identified in Mitigation Measure **PMM USWS-1** would require the exercise of discretionary authority to implement project-specific mitigation that is wholly within the responsibility of other agencies.

SCAG while project-specific mitigation may reduce impacts, all project circumstances are not foreseeable and these mitigation measures may not be feasible or effective for some projects. Therefore, Mitigation Measures **SMM USWS-1** and **PMM USWS-1** would not necessarily eliminate all significant adverse impacts.

SCAG finds that no other mitigation measures or alternatives are feasible that would reduce cumulative impacts to less than significant levels. SCAG finds that specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make certain mitigation measures or alternatives identified in the EIR infeasible. Since no feasible mitigation measures or alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable. Since no specific feasible mitigation measures or project alternatives have been found to reduce the impact to a less than significant level, this impact remains significant and unavoidable.

6.22.2 Cumulative Impacts Determined to be Significant for which the Contribution of the Plan would not be Cumulatively Considerable

Energy

Impacts to energy related to implementation of the Plan are analyzed in Section 3.6, Energy. The increase in energy demand that is anticipated to occur as population increases in the SCAG region would contribute cumulatively to state increases in energy consumption. The state population is anticipated to continue to grow throughout the implementation period of the Connect SoCal Plan, reaching over 47 million by 2045.¹⁰ Inland areas within the state will grow at higher rates, as the Inland Empire, San Joaquin Valley, and the Sacramento region experience faster growth.¹¹ The population growth reflects California's increasing energy demand, with the lowest 2030 estimates indicating an annual consumption demand of 326,026 GWh.¹² Transportation energy demand will see significant changes in response to increasing vehicle electrification, higher vehicle fuel economy, and hydrogen fuel demand. Although California's population and economy are expected to grow, gasoline consumption is projected to decline by 2030.¹³ Diesel demand and demand for hydrogen fuel will continue to rise during same period.¹⁴ The various counties and cities within the SCAG region, in accordance with state law, will require the implementation a variety of energy efficiency measures to decrease energy consumption as a means to reduce GHG emissions. The Plan aims to reduce energy consumption and GHG emissions, and would comply with the state's goals, as adjacent counties' regional plans would also comply with state goals. Energy impacts would be less than significant. Therefore, the Plan would not cumulatively contribute to wasteful, inefficient, or unnecessary consumption of energy resources.

Findings and Rationale

The project would make a less than cumulatively considerable contribution to the significant cumulative public services impact from wasteful, inefficient, or unnecessary consumption of energy resources.

¹⁰ California Department of Finance. 2019. *Total Estimated and Projected Population for California and Counties: 1-Year Increments*. Available at: <http://www.dof.ca.gov/Forecasting/Demographics/Projections/>, accessed November 6, 2019.

¹¹ Public Policy Institute of California. 2016. *California's Future*. Available at: https://www.ppic.org/content/pubs/report/R_116HJ3R.pdf, accessed November 6, 2019.

¹² California Energy Commission. 2018. *California Energy Demand 2018-2030 Revised Forecast*. Available at: https://ww2.energy.ca.gov/2017_energy_policy/documents/, accessed November 6, 2019.

¹³ California Energy Commission. 2017. *Transportation Energy Demand Forecast, 2018-2030*.

¹⁴ Ibid.

Geology and Soils

Impacts to geology and soils related to implementation of the Plan are analyzed in **Section 3.7, Geology and Soils**. The SCAG region extends primarily over four California geomorphic provinces: the Mojave Desert, the Transverse Ranges, the Peninsular Ranges, and the Colorado Desert.¹⁵ These geomorphic provinces include several active faults, and they extend beyond SCAG's boundaries to neighboring counties. However, geologic effects occur independently of one another and are related to site-specific and project-specific characteristics and conditions. In addition, existing regulations specify mandatory actions that must occur during project development, which would adequately address the potential for effects from construction or operation of projects related to exposure to seismic hazards. Since the implementation of the Plan would not exacerbate existing geologic hazards including fault rupture, in addition to the fact that there are already numerous regulations in place to reduce such risks to any planned development or transportation project, geologic impacts would be less than significant and the Plan would not result in a considerable contribution to cumulative impacts.

Findings and Rationale

The project would make a less than cumulatively considerable contribution to impacts to existing geologic hazards.

¹⁵ California Geological Survey. 2002. *California Geomorphic Provinces*. Available online at: https://www.conservation.ca.gov/cgs/Documents/Note_36.pdf, accessed June 12, 2019.

7.0 FINDINGS REGARDING ALTERNATIVES

7.1 BACKGROUND

CEQA requires that an EIR describe a reasonable range of alternatives to the project or to the location of the project that could feasibly avoid or lessen significant environmental impacts while substantially attaining the basic objectives of the project. An EIR should also evaluate the comparative merits of the alternatives. This chapter sets forth potential alternatives to the proposed project and provides a qualitative analysis of each alternative and a comparison of each alternative to the proposed project. Key provisions of the CEQA Guidelines pertaining to the alternatives analysis are summarized below.

- The discussion of alternatives shall focus on alternatives to the project including alternative locations that are capable of avoiding or substantially lessening any significant effects of the project, even if these alternatives would impede to some degree the attainment of the project objectives, or would be more costly.
- The No Project Alternative shall be evaluated along with its potential impacts. The No Project Alternative analysis shall discuss the existing conditions at the time the notice of preparation is published, as well as what would reasonably be expected to occur in the foreseeable future if the project were not approved, based on current plans and consistent with available infrastructure and community services.
- The range of alternatives required in an EIR is governed by a "rule of reason." Therefore, the EIR must evaluate only those alternatives necessary to permit a reasoned choice. The alternatives shall be limited to ones that would avoid or substantially lessen any of the significant effects of the proposed project.
- For alternative locations, only locations that would avoid or substantially lessen any of the significant effects of the project need be considered for inclusion in the EIR.
- An EIR need not consider an alternative whose effects can be reasonably ascertained and whose implementation is remote and speculative.

7.2 PROJECT OBJECTIVES AND LEGAL REQUIREMENTS

At the time of project approval, the lead agency's decision-making body must determine whether the alternatives are feasible or not -- a task it cannot delegate (see *California Native Plant Society v. City of Santa Cruz* (2009) 177 Cal.App.4th 957, 998-1000; and CEQA Guidelines §§ 15025(b)(2), 15091(a)(3)). The lead agency must consider whether specific "economic, legal, social, technological, and other considerations . . .

make infeasible mitigation measures or alternatives identified in the environmental impact report" (Pub. Res. Code, § 21081(a)(3); CEQA Guidelines § 15091(a)(3)).

"Feasible" means "capable of being accomplished in a successful manner within a reasonable period of time, taking into account economic, environmental, legal, social and technological factors" (CEQA Guidelines § 15364; see also CEQA Guidelines § 15021(b)). The concept of "feasibility" under CEQA also encompasses "desirability" to the extent that desirability is based on a reasonable balancing of all relevant factors (*City of Del Mar v. City of San Diego* (1982) 133 Cal.App.3d 401, 417). Additionally, "policy considerations," may also be taken into account because they are "permissible" under CEQA as "other considerations" that make infeasible mitigation measures or alternatives identified in the EIR. (See *California Native Plant Society*, 177 Cal.App.4th at 1001 (An agency may reject project alternatives if found to be impracticable or undesirable from a policy standpoint.)) Finally, an alternative or measure is legally infeasible if "there is no way to legally implement it" (*Sequoyah Hills Homeowners Assn. v. City of Oakland*, 23 Cal.App.4th 704, 714 (1993)).

Importantly, CEQA gives lead agencies the authority to approve a project notwithstanding its significant environmental impacts, if the agency determines it is not "feasible" to lessen or avoid the significant effects. (Pub. Res. Code, § 21002). If specifically identified benefits of the project outweigh the significant unavoidable environmental impacts, the adverse impacts may be considered "acceptable," thereby allowing for lead agency approval of the project, notwithstanding such adverse impacts, provided the agency adopts a statement of overriding considerations (Pub. Res. Code, § 21081.1(b); CEQA Guidelines § 15093).

As called for by the CEQA Guidelines, the achievement of project objectives must be balanced by the ability of an alternative to reduce the significant impacts of the project. The proposed Connect SoCal Plan goals include:

1. Encourage regional economic prosperity and global competitiveness.
2. Improve mobility, accessibility, reliability, and travel safety for people and goods.
3. Enhance the preservation, security, and resilience of the regional transportation system.
4. Increase person and goods movement and travel choices within the transportation system.
5. Reduce greenhouse gas emissions and improve air quality.
6. Support healthy and equitable communities.

7. Adapt to a changing climate and support an integrated regional development pattern and transportation network.
8. Leverage new transportation technologies and data-driven solutions that result in more efficient travel.
9. Encourage development of diverse housing types in areas that are supported by multiple transportation options.
10. Promote conservation of natural and agricultural lands and restoration of critical habitats.

The proposed Connect SoCal Guiding principles include:

1. Base transportation investments on adopted regional performance indicators and MAP-21/FAST Act regional targets.
2. Place high priority for transportation funding in the region on projects and programs that improve mobility, accessibility, reliability and safety, and that preserve the existing transportation system.
3. Assure that land use and growth strategies recognize local input, promote sustainable transportation options, and support equitable and adaptable communities.
4. Encourage RTP/SCS investments and strategies that collectively result in reduced non-recurrent congestion and demand for single occupancy vehicle use, by leveraging new transportation technologies and expanding travel choices.
5. Encourage transportation investments that will result in improved air quality and public health, and reduced greenhouse gas emissions.
6. Monitor progress on all aspects of the Plan, including the timely implementation of projects, programs and strategies.
7. Regionally, transportation investments should reflect best-known science regarding climate change vulnerability, in order to design for long term resilience.

CEQA does not require adoption of an alternative that does not adequately meet project objectives as determined by the lead agency decision-makers. A feasible alternative must meet most, if not all, of these project objectives. In addition, while not specifically required under CEQA, other parameters may be used to further establish criteria for selecting alternatives such as adjustments to phasing, and other “fine-tuning” that could shape feasible alternatives in a manner that could result in reducing identified environmental impacts.

The SCAG Regional Council finds that the Plan meets all of the above objectives and is feasible. With the exception of the No Project Alternative, the other alternatives considered herein meet some but not all of these objectives (the No Project Alternative does not meet any of the project objectives). SCAG has evaluated three alternatives: (1) No Project Alternative; (2) Existing Plans - Local Input Alternative; and (3) the Intensified Land Use Alternative, and determined that none of the alternatives were able to avoid the significant impacts associated with the Plan. The SCAG Regional Council further finds that the other alternatives are infeasible due to economic, legal, social, technological, and other considerations including policy considerations as discussed in more detail below.

Overview

Alternatives were analyzed in the PEIR for the SCAG Connect SoCal Plan consistent with the recommendations of Section 15126.6 of the CEQA Guidelines, which require evaluation of a range of reasonable alternatives to the project, or to the location of the project, which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant project effects.

The analysis of alternatives is limited to those that SCAG has determined could feasibly attain most of the basic objectives of the Plan. Section 15126.6(f) of the CEQA Guidelines describes feasibility as being dependent on site suitability, economic viability, availability of infrastructure, general plan consistency, consistency with other plans or regulatory limitations, jurisdictional boundaries, and the ability of the project proponent to gain access to or acquire an alternative site. As a result of the analysis contained in the PEIR regarding the environmental, health, and social characteristics of the Project and alternatives, SCAG recommends approval of the Plan. Support for the Plan is directly responsive to the ability to attain all the objectives of the project and minimize significant impacts. Therefore, the Plan will meet all project objectives and reduce the identified significant environmental impacts to the maximum extent feasible.

The alternatives were identified during the Plan scenario planning development process as having the potential to avoid significant effects of the Plan. Section 15126.6(e) of the State CEQA Guidelines requires that a “No Project” Alternative must be evaluated. In addition to the No Project Alternative required to be considered pursuant to CEQA, this PEIR evaluates two other alternatives: Existing Plans - Local Input Alternative and the Intensified Land Use Alternative. Each of the three alternatives including the No Project Alternative, consists of a transportation network element and a land use pattern element, and is substantively aligned with the scenarios for developing the Plan.¹⁶ The No Project Alternative is based on

¹⁶ Southern California Association of Governments. Accessed 7 November 2015. 2016-2040 RTP/SCS Draft Scenario Planning Matrix. Available at: <http://www.scag.ca.gov/committees/CommitteeDocLibrary/oswgc021915draftscenario.pdf>

and aligned with the Trend/Baseline Scenario and includes transportation projects that are in place at the time of preparation of the Connect SoCal Plan and that are included in the first two years of the previously conforming transportation plan and/or federal transportation improvement program (FTIP).

The effectiveness of each of the alternatives to achieve the basic objectives of the Plan has been evaluated in relation to the statement of goals and guiding principles. Although the No Project Alternative is not capable of meeting most of the goals of the Project, it has been analyzed, as required by CEQA.

The alternatives are evaluated at a comparative level of detail, consistent with the provisions of § 15126.6(d) of the *State CEQA Guidelines* (**Table B-3, Comparison of Connect SoCal and Alternatives**). Concentration of development to improve the transportation network and accommodated anticipated population growth are among the guiding principles for the Plan. Development of greenfields varies widely among the alternatives. At approximately 64,608 acres of greenfield land consumption, the No Project Alternative has the greatest anticipated conversion of greenfield, while Alternative 3: Intensified Land Use Alternative would reduce that development of greenfields to approximately 32,247 acres.

**Table B-3
Comparison of Connect SoCal and Alternatives**

Elements	Proposed Project: Connect SoCal	Alternative 1: No Project Alternative	Alternative 2: Existing Plans- Local Input Alternative	Alternative 3: Intensified Land Use Alternative
Greenfield Land Consumption	41,546 acres	64,608 acres	54,679 acres	32,247 acres
Natural Land	21,561,361 acres	21,559,568 acres	21,553,029 acres	21,563,157 acres
Agricultural Land (total)	892,477 acres	887,706 acres	882,069 acres	890,603 acres
Total Area Converted from Agriculture to Urban from the existing	6,732 acres	10,101 acres	14,861 acres	8,563 acres
Agriculture Production Value	\$3,561,365,881	\$3,543,507,241	\$3,522,366,445	\$3,556,648,245
Acres of Habitat Improved from the existing (Threatened and Endangered Species)	311 acres	29 acres	481 acres	126 acres
Acres of Habitat Improved from the existing (Species Vulnerable to Climate Change – Except Birds)	354 acres	44 acres	735 acres	220 acres
Acres of Habitat Improved from the existing (Species	1,525 acres	1,265 acres	3,125 acres	1,216 acres

Elements	Proposed Project: Connect SoCal	Alternative 1: No Project Alternative	Alternative 2: Existing Plans- Local Input Alternative	Alternative 3: Intensified Land Use Alternative
Vulnerable to Climate Change - Birds)				
Acres of Habitat Degraded from the existing (Threatened and Endangered Species)	7,899 acres	8,365 acres	12,274 acres	7,115 acres
Acres of Habitat Degraded from the existing (Species Vulnerable to Climate Change – Except Birds)	9,621 acres	10,456 acres	14,967 acres	8,728 acres
Acres of Habitat Degraded from the existing (Species Vulnerable to Climate Change - Birds)	12,778 acres	15,231 acres	19,862 acres	11,666 acres
High Species Movement Potential	22,210,114 acres	22,211,576 acres	22,191,944 acres	22,211,104 acres
Total Carbon Stock	73,707,960 metric tons	73,726,660 metric tons	73,571,245 metric tons	73,809,796 metric tons
Total non-Transportation GHG Emissions (MMT), annual	34.2 MMT	35.0 MMT	34.7 MMT	34.2 MMT
Housing Mix	42% Multifamily 8% Townhome 23% Single Family small lot 27% Single Family large lot	37% Multifamily 7% Townhome 27% Single Family small lot 29% Single Family large lot	40% Multifamily 7% Townhome 25% Single Family small lot 28% Single Family large lot	44% Multifamily 8% Townhome 22% Single Family small lot 26% Single Family large lot
Development Location (Growth Priority Areas)	60% Homes 73% Jobs	58% Homes 70% Jobs	57% Homes 70% Jobs	60% Homes 73% Jobs
Land Pattern Focus (New Housing)	21% Urban (infill) 63% Compact (walkable) 16% Standard (suburban)	9% Urban (infill) 18% Compact (walkable) 73% Standard (suburban)	4% Urban (infill) 69% Compact (walkable) 27% Standard (suburban)	16% Urban (infill) 57% Compact (walkable) 27% Standard (suburban)
Land Pattern Focus (New Jobs)	23% Urban (infill) 62% Compact (walkable) 15% Standard (suburban)	8% Urban (infill) 9% Compact (walkable) 84% Standard (suburban)	4% Urban (infill) 61% Compact (walkable) 36% Standard (suburban)	20% Urban (infill) 52% Compact (walkable) 27% Standard (suburban)
Cumulative Residential and Commercial Building Energy Consumed and Energy Costs	15,464 trillion Btu \$670 billion	15,670 trillion Btu \$678 billion	15,592 trillion Btu \$675 billion	15,381 trillion Btu \$666 billion
Cumulative Residential and Commercial Building	84,676,019 acre-feet \$116 billion	85,689,515 acre-feet \$117 billion	85,215,252 acre-feet \$116 billion	85,038,413 acre-feet \$116 billion

Elements	Proposed Project: Connect SoCal	Alternative 1: No Project Alternative	Alternative 2: Existing Plans- Local Input Alternative	Alternative 3: Intensified Land Use Alternative
Water Use and Water Costs				
Per Household Total Cost (driving + utilities)	\$13,225	\$13,758	\$13,523	\$13,172
Infrastructure Capital	\$25.9 billion	\$28.6 billion	\$27.5 billion	\$26 billion
Operations and Maintenance	\$10.1 billion	\$ 11.3 billion	\$ 10.6 billion	\$ 10.0 billion
Highway Network	80,170 lane mile	74,862 lane mile	80,170 lane mile	80,170 lane mile
Transit Network (route mile)	14,906	14,485	14,824	14,906
Transit Boarding (daily)	5.1 million	3.1 million	4.7 million	5.1 million
Vehicle Miles Traveled (VMT) ¹	517,631,374 (total) 22.89 (VMT per capita)	538,091,045 (total) 23.80 (VMT per capita)	529,269,153 (total) 23.41 (VMT per capita)	516,259,271 (total) 22.83 (VMT per capita)
Vehicle Hours Traveled (VHT) ¹	14,130,874	15,424,699	14,539,787	14,074,675
Vehicle Hours Delay ¹	2,668,229 (total) 0.12 (Delay per capita)	3,470,645 (total) 0.15 (Delay per capita)	2,823,797 (total) 0.12 (Delay per capita)	2,619,980 (total) 0.12 (Delay per capita)

Note:

1 This includes light and medium-duty vehicles, and heavy-duty trucks.

Source:

SCAG Modeling and SPM data, 2019.

Consistent with the requirements of § 15126.6(d) of the *State CEQA Guidelines*, the PEIR analysis provides information for the alternatives, including the No Project Alternative to allow meaningful evaluation, analysis, and comparison with the Project, inclusive of direct, indirect, and cumulative impacts (**Table B-4, Comparison of Alternatives to Connect SoCal**). The evaluation demonstrates if the alternative is able to avoid or reduce the significant and unavoidable effects of the Project.

**Table B-4
Comparison of Alternatives to Connect SoCal**

Environmental Issue	Connect SoCal Impact	Alternative 1 – No Project	Alternative 2 – Existing Plans - Local Input	Alternative 3- Intensified Land Use
Aesthetics				
Scenic Vistas	Significant	Less (significant)	Greater (significant)	Greater (significant)
Scenic Resources	Significant	Less (significant)	Greater (significant)	Greater (significant)
Visual Character	Significant	Greater (significant)	Greater (significant)	Less (significant)

Environmental Issue	Connect SoCal Impact	Alternative 1 – No Project	Alternative 2 – Existing Plans - Local Input	Alternative 3- Intensified Land Use
Light and Glare	Significant	Greater (significant)	Greater (Significant)	Less (significant)
Agricultural Resources				
Convert Prime Farmland	Significant	Greater (significant)	Greater (significant)	Greater (significant)
Conflict with Williamson Act	Significant	Greater (significant)	Greater (significant)	Greater (significant)
Conflict with forest land zoning	Significant	Less (Less than significant)	Similar (Significant)	Similar (Significant)
Loss of forest land	Significant	Less (less than significant)	Similar (Significant)	Similar (Significant)
Other changes that result in loss of farmland or forest land	Significant	Greater (significant)	Greater(significant)	Similar (significant)
Air Quality				
Conflict with Air Quality Plans	Less than significant	Similar (less than significant)	Similar (less than significant)	Less (Less than significant)
Violate an air quality standard	Significant	Similar (significant)	Greater (significant)	Similar (significant)
Cumulatively considerable net increase in criteria pollutants	Significant	Greater (significant)	Greater (significant)	Less (significant)
Expose sensitive receptors	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Odor	Less than significant	Greater (less than significant)	Similar (less than significant)	Similar (less than significant)
Biological Resources				
Sensitive Species	Significant	Greater (significant)	Greater (significant)	Less (significant)
Riparian Habitat	Significant	Greater (significant)	Greater (significant)	Less (significant)
Wetlands	Significant	Greater (significant)	Greater (significant)	Less (significant)
Migratory Fish/Birds	Significant	Greater (significant)	Greater (significant)	Less (significant)
Tree Preservation	Significant	Greater (significant)	Greater (significant)	Less (significant)
Local Plans/HCPs	Significant	Greater (significant)	Greater (significant)	Less (significant)
Cultural Resources				
Historical Resources	Significant	Greater (significant)	Greater (significant)	Greater (significant)
Archeological Resources	Significant	Greater (significant)	Greater (significant)	Less (significant)
Disturb Human Remains	Significant	Similar (significant)	Greater (significant)	Less(significant)
Energy				
Wasteful and inefficient use of energy	Less than significant	Greater (less than significant)	Greater (less than significant)	Less (less than significant)
Conflict with or obstruct renewable energy plans	Less than significant	Similar (less than significant)	Similar (less than significant)	Less (less than significant)
Geology and Soils				
Fault rupture, ground shaking, ground failure/ liquefaction, landslides	Less than Significant	Similar (less than significant)	Similar (less than significant)	Similar (less than significant)
Soil Erosion	Significant	Greater (significant)	Greater (significant)	Less (significant)
Unstable Soil	Less than Significant	Similar (less than significant)	Similar (less than significant)	Similar (less than significant)

Environmental Issue	Connect SoCal Impact	Alternative 1 – No Project	Alternative 2 – Existing Plans - Local Input	Alternative 3- Intensified Land Use
Expansive Soil	Less than Significant	Similar (less than significant)	Similar (less than significant)	Similar (less than significant)
Septic Systems	Less than Significant	Similar (less than significant)	Similar (less than significant)	Similar (less than significant)
Paleontological Resources	Significant	Greater (significant)	Greater (significant)	Less (significant)
Greenhouse Gas Emissions				
Generate greenhouse gas emission	Significant	Greater (significant)	Greater (significant)	Less (significant)
Conflict with Plans	Significant	Greater (significant)	Greater (significant)	Similar (significant)
Hazards and Hazardous Materials				
Routine Transport	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Upset conditions	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Emissions within 0.25 mile of school	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Hazardous materials site	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Airport hazards	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Emergency response plan	Significant	Greater (significant)	Greater (significant)	Less (significant)
Hydrology and Water Quality				
Violate water quality standard	Significant	Greater (significant)	Greater (significant)	Less (significant)
Decrease groundwater	Significant	Greater (significant)	Greater (significant)	Less (significant)
Erosion or siltation	Significant	Greater (significant)	Greater (significant)	Less (significant)
Flooding	Significant	Greater (significant)	Greater (significant)	Less (significant)
Stormwater runoff	Significant	Greater (significant)	Greater (significant)	Less (significant)
Flood, seiche, tsunami	Significant	Greater (significant)	Greater (significant)	Less (significant)
Conflict with water quality control plan	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Land Use				
Physically divide a community	Significant	Less (significant)	Similar (significant)	Similar (significant)
Conflict with land use plans	Significant	Less (significant)	Similar (significant)	Greater (significant)
Mineral Resources				
Loss in availability of mineral resources	Significant	Less (significant)	Greater (significant)	Less (significant)
Loss of locally important mineral resources	Significant	Less (significant)	Greater (significant)	Less (significant)
Noise				
Temporary or permanent increase in noise levels in excess of established standards	Significant	Similar (significant)	Similar (significant)	Less (significant)
Groundborne vibration or noise	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Airport noise	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Population and Housing				

Environmental Issue	Connect SoCal Impact	Alternative 1 – No Project	Alternative 2 – Existing Plans - Local Input	Alternative 3- Intensified Land Use
Induce unplanned population growth	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Displace people or housing	Significant	Similar (significant)	Similar (significant)	Similar (significant)
Public Services				
Fire	Significant	Greater (significant)	Greater (significant)	Less (significant)
Police	Significant	Greater (significant)	Greater (significant)	Less (significant)
Schools	Significant	Similar (significant)	Similar (significant)	Less (significant)
Library	Significant	Similar (significant)	Similar (significant)	Less (significant)
Recreation				
Increase park use	Significant	Less (significant)	Less (significant)	Greater (significant)
Construction of new parks	Significant	Similar (significant)	Similar (significant)	Greater (significant)
Transportation and Traffic				
Conflict with program, plan, ordinance or policy addressing circulation system	Less than significant	Similar (less than significant)	Similar (less than significant)	Similar (less than significant)
Conflict with CEQA Guidelines 15064.3(b)	Significant	Greater (significant)	Greater (significant)	Less (significant)
Increase hazards	Less than significant	Greater (less than significant)	Greater (less than significant)	Greater (less than significant)
Inadequate emergency access	Significant	Greater (significant)	Greater (significant)	Less (significant)
Tribal Cultural Resources				
Adverse change in a TCR	Significant	Greater (significant)	Greater (significant)	Less (significant)
Utilities – Solid Waste				
Generate excess solid waste or conflict with statutes	Significant	Greater (significant)	Similar (significant)	Similar (significant)
Utilities – Wastewater				
New or expanded wastewater treatment	Significant	Greater (significant)	Greater (significant)	Less (significant)
Exceed capacity	Significant	Greater (significant)	Greater (significant)	Greater (significant)
Utilities – Water Supply				
New or expanded water facilities	Significant	Greater (significant)	Greater (significant)	Less (significant)
Sufficient water supply	Significant	Greater (significant)	Greater (significant)	Less (significant)
Wildfire				
Impair adopted response plan	Significant	Greater (significant)	Greater (significant)	Less (significant)
Slope, prevailing winds may exacerbate wildfire risk	Significant	Greater (significant)	Greater (significant)	Less (significant)

Environmental Issue	Connect SoCal Impact	Alternative 1 – No Project	Alternative 2 – Existing Plans - Local Input	Alternative 3- Intensified Land Use
Installation or maintenance of infrastructure that may exacerbate fire risk	Significant	Greater (significant)	Greater (significant)	Less (significant)

Source: Impact Sciences 2019

7.3 ALTERNATIVE 1: NO PROJECT ALTERNATIVE

Description of Alternative

The No Project Alternative is required by Section 15126.6(e)(2) of the *CEQA Guidelines* and assumes that the Plan would not be implemented. The No Project Alternative allows decision makers to compare the impacts of approving the proposed project with the impacts of not approving the proposed project. The No Project Alternative evaluates “what would be reasonably expected to occur in the foreseeable future if the project were not approved, based on current plans and consistent with available infrastructure and community services” (*CEQA Guidelines* Section 15126.6(e)(2)). The No Project Alternative is aligned with the Trend/Baseline Scenario¹⁷ and includes transportation projects that are in place at the time of preparation of the Connect SoCal Plan and that are included in the first two years of the previously conforming transportation plan and/or federal transportation improvement program (FTIP). “Exempt projects” include safety projects and certain mass transit projects, transportation control measures (“TCMs”) that are approved by the State Implementation Plan, and project phases that were authorized by the FHWA/FTA prior to expiration of SCAG’s conformity finding for the adopted 2016 RTP/SCS. These exempt projects would also be included in the No Project Alternative since they could move forward in the absence of an adopted Connect SoCal Plan.¹⁸

The land use strategies included in the No Project Alternative are based on the trending socioeconomic growth projection to the future (2045) updated with the same jurisdictional local input population, household and employment data as those in the Connect SoCal Plan to reflect the most recent local input growth estimates in the region.

¹⁷ Connect SoCal – Sustainable Communities Strategy Technical Report.

¹⁸ Federal Highway Administration. *Transportation Conformity: A Basic Guide for State and Local Officials (Revised 2010)*, FHWA-HEP-11-001. Available at: http://www.fhwa.dot.gov/environment/air_quality/conformity/guide/guide10.cfm

Effectiveness in Meeting Project Objectives

Although the No Project Alternative is not capable of meeting any of the goals of the Project, it has been analyzed, as required by CEQA.

Ability to Avoid or Substantially Lessen the Significant and Unavoidable Impacts of the Plan

The No Project Alternative does not avoid the significant and unavoidable impacts of the Plan, and in several instances the impacts would be more adverse due to the failure to achieve reductions in the consumptive use of land, energy, and water resources achieved through the policies and program embedded in the Plan that facilitate a more efficient use of these resources.

As set forth in detail in Section 4.0 of the PEIR, Alternative 1, the No Project Alternative, would result in *greater* impacts than the Plan in the following 15 resource areas: Aesthetics, Agricultural Resources, Air Quality, Biological Resources, Cultural Resources, Energy, Geology and Soils, Greenhouse Gas Emissions, Hazard and Hazardous Materials, Hydrology and Water Quality, Public Services, Transportation and Traffic, Tribal Cultural Resources, Utilities and Service Systems, and Wildfire.

Alternative 1 would result in *similar* impacts as the Plan in the following two resource areas: Noise and Population and Housing.

Alternative 1 would result in less severe impacts compared to the Plan for the following two resource areas: Land use and Mineral Resources.

On balance, the Project is environmentally superior compared to Alternative 1, the No Project Alternative.

Findings and Rationale

The No Project Alternative does not avoid the significant and unavoidable impacts of the Plan, and in several instances the impacts would be more adverse due to the failure to achieve reductions in the consumptive use of land, energy, and water resources achieved through the policies and program embedded in the Plan that facilitate a more efficient use of these resources. SCAG Regional Council finds that specific economic, financial, legal, social, technological, or other considerations, including policy considerations, make Alternative 1 infeasible, and rejects this Alternative for the following reasons.

Reason 1. Alternative 1 fails to meet all the project objectives as follows:

- Encourage regional economic prosperity and global competitiveness

- Alternative 1 does not align plan investments and policies with improving regional economic prosperity because it would not use transportation investments to create economic benefits; nor would it enhance the goods movement system to support economic development to the same degree as the Plan.
- Improve mobility, accessibility, reliability, and travel safety for people and goods
 - Alternative 1 does not improve mobility, accessibility, and reliability for people and goods in the region because it would not create equitable transportation opportunities for all communities or ensure access to jobs, services, and recreation for populations with fewer transportation choices as would the Plan.
- Enhance the preservation, security, and resilience of the regional transportation system
 - Alternative 1 does not enhance the preservation, security, and resilience of the regional transportation system to the same degree as the Plan. Furthermore, Alternative 1 would not maintain the transportation system in a good state of repair or improve emergency preparedness as would the Plan.
- Reduce greenhouse gas emissions and improve air quality
 - Alternative 1 does not reduce greenhouse gas emissions or improve air because (1) all transit improvements associated with the Plan would not be available; (2) efficient management of the transportation system and demands on the system would not be provided to the same degree as the Plan; (3) SB 375 GHG emissions targets for passenger cars and light trucks would not be met; (4) regional air quality would not improve to the same degree as the Plan; and (5) land use strategies identified in the Plan, which calls for a more compact, efficient land use pattern would not be sufficiently employed to achieve the benefits of compact development achieved by the Plan.
- Support healthy and equitable communities
 - Alternative 1 does not support healthy and equitable communities because it does not provide the Plan’s transit improvements that would offer efficient and affordable travel options. It would not meet the GHG emissions targets that would support public health.
- Adapt to a changing climate and support an integrated regional development pattern and transportation network
 - Alternative 1 does not adapt to a changing climate or support an integrated regional development system and transportation network because the Plan’s investments in transportation and land use improvements would not be implemented. Connect SoCal includes proposed strategies for transportation investments, totaling approximately \$638.6 billion.
- Leverage new transportation technologies and data-driven solutions that result in more efficient travel
 - Alternative 1 does not leverage new transportation technologies or data-driven solutions for travel. The Plan encourages incentives for transportation efficiency and land use patterns that would reduce transportation energy usage.

- Encourage development of diverse housing types in areas that are supported by multiple transportation options
 - Alternative 1 does not encourage diverse housing types because it does not have sufficient land use and growth patterns to facilitate transit and other alternative transportation because it does not employ the same level of commitment to the land use and transportation strategies in the Plan that encourage increased density and a compact land form and facilitates transit and non-motorized transportation.
- Promote conservation of natural and agricultural lands and restoration of critical habitats.
 - Alternative 1 does not promote conservation of natural and agricultural lands. It consumes the most greenfield land (64,608 acres) of all three alternatives. Alternative 1 would convert 10,101 acres from agriculture to urban, compared to 6,732 acres under the Plan.

Reason 2. Alternative 1 does not avoid or substantially lessen the significant and unavoidable environmental impacts for the Plan, and in several instances the impacts would be more adverse due to the failure to achieve reductions in the consumptive use of land, energy, and water resources achieved through the policies and program embedded in the Plan that facilitate a more efficient use of these resources.

Reason 3. Alternative 1 is legally infeasible. It does not meet the requirements of federal transportation planning law. Pursuant to 23 USC §134(i), SCAG is required to “prepare and update” its RTP every four years if it encompasses an area designated as nonattainment under the federal Clean Air Act. Nor would Alternative 1 include the SCS as a component to the RTP as required pursuant to SB 375 (California Government Code §65080(b)(2)(B)). Alternative 1 also does not meet the requirements of 23 USC §134(h)(1), which requires that the RTP contain projects and strategies that will:

- A. Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency;
- B. Increase the safety of the transportation system for motorized and non-motorized users;
- C. Increase the security of the transportation system for motorized and non-motorized users;
- D. Increase the accessibility and mobility of people and for freight;
- E. Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns;
- F. Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight;
- G. Promote efficient system management and operation; and
- H. Emphasize the preservation of the existing transportation system.

Reason 4. The No Project Alternative does not avoid the significant and unavoidable impacts of the Plan, and in several instances the impacts would be more adverse due to the failure to achieve reductions in the consumptive use of land, energy, and water resources achieved through the policies and program embedded in the Plan that facilitate a more efficient use of these resources. The Plan would have less than significant impacts when compared to the No Project Alternative.

For the reasons described above, SCAG Regional Council finds that the specific economic, legal, social, technological, and environmental consideration summarized herein make Alternative 1 infeasible for consideration.

7.4 ALTERNATIVE 2: EXISTING PLANS - LOCAL INPUT ALTERNATIVE

Description of Alternative

The Existing Plans - Local Input Alternative is aligned with the Existing Plans – Local Input Scenario in the Plan.¹⁹ This alternative incorporates local general plans and land use information to reflect the Plan’s population, household and employment growth estimates in the region. The Plan’s transportation and land use strategies are not included in this alternative. The transportation network analyzed under this alternative are the transportation projects planned by each County Transportation Commission (CTC) in the region. In general, this alternative represents a more dispersed land use pattern as compared to Connect SoCal.

Effectiveness in Meeting Project Objectives

The Existing Plans – Local Input Alternative, meets some but not all the project goals. Specifically, it is less effective than the Plan in meeting Plan goals:

1. Encourage regional economic prosperity and global competitiveness.
2. Improve mobility, accessibility, reliability, and travel safety for people and goods
3. Enhance the preservation, security, and resilience of the regional transportation system.
4. Increase person and goods movement and travel choices within the transportation system.
5. Reduce greenhouse gas emissions and improve air quality.

¹⁹ Connect SoCal – Sustainable Communities Strategy Technical Report.

6. Support healthy and equitable communities.
7. Adapt to a changing climate and support an integrated regional development pattern and transportation network.
8. Leverage new transportation technologies and data-driven solutions that result in more efficient travel.
9. Encourage development of diverse housing types in areas that are supported by multiple transportation options.
10. Promote conservation of natural and agricultural lands and restoration of critical habitats. Ability to Avoid or Substantially Lessen the Significant and Unavoidable Impacts of the Plan

Alternative 2 does not avoid or substantially lessen any of the significant and unavoidable impacts of the Plan.

As set forth in detail in Section 4.0 of the PEIR, Alternative 2, Existing Plans with Local Input Alternative, would result in *greater* impacts than the Plan in the following 16 resource areas: Aesthetics, Agricultural Resources, Air Quality, Biological Resources, Cultural Resources, Energy, Geology and Soils, Greenhouse Gas Emissions, Hazards and Hazardous Materials, Hydrology and Water Quality, Mineral Resources, Public Services, Transportation and Traffic, Tribal Cultural Resources, Utilities and Service Systems, and Wildfire.

Alternative 2 would result in *similar* impacts as the Plan in the following four resource areas: Land Use, Noise, Population and Housing, and Utilities (Solid Waste).

No environmental resource area would result in less severe impacts with Alternative 2 compared to the Plan.

On balance, the Project is environmentally superior compared to Alternative 2, the Existing Plans with Local Input Alternative.

Findings and Rationale

SCAG Regional Council finds that specific economic, financial, legal, social, technological, or other considerations, including policy considerations, make Alternative 2 infeasible and rejects this Alternative for the following reasons:

Reason 1. Alternative 2, meets some but not all the Project objectives. Specifically, it is less effective than the Project in meeting the following plan goals:

- Encourage regional economic prosperity and global competitiveness
 - The Existing Plans – Local Input Alternative would not include the strategies in the Plan (for example, strategies related to job centers and goods movement) that will enhance regional prosperity.
- Improve mobility, accessibility, reliability, and travel safety for people and goods
 - The Existing Plans – Local Input Alternative would not reduce VMT to the same extent as the Plan and would not achieve the GHG reduction goals set by CARB.
- Enhance the preservation, security, and resilience of the regional transportation system
 - The Existing Plans – Local Input Alternative does not include the Plan’s safety and resilience strategies and therefore would not achieve this goal.
- Increase person and goods movement and travel choices within the transportation system
 - The Existing Plans – Local Input Alternative does not include the goods movement strategies aimed at increasing person and freight mobility, including critical access projects.
- Reduce greenhouse gas emissions and improve air quality
 - The Existing Plans – Local Input Alternative would not reduce greenhouse gas emissions or improve air quality to the same extent as the Plan.
- Support healthy and equitable communities
 - The Existing Plans – Local Input Alternative would not include the regional strategies for complete streets and jobs/housing balance and planning for trips that reduce dependence on solo car trips.
- Adapt to a changing climate and support an integrated regional development pattern and transportation network
 - The Existing Plans – Local Input Alternative would not include the “green region” strategies such as supporting climate action plans, renewable energy production, and integrated food production.
- Leverage new transportation technologies and data-driven solutions that result in more efficient travel
 - The Existing Plans – Local Input Alternative would not include strategies such as promoting low emissions technologies, shared rides, car and bike sharing and scooters, as well as improving access to services through technology.
- Encourage development of diverse housing types in areas that are supported by multiple transportation options
 - The Existing Plans – Local Input Alternative would not include the Plan’s strategies to focus growth near destinations and mobility options.
- Promote conservation of natural and agricultural lands and restoration of critical habitats.

- The Existing Plans – Local Input Alternative would result in the consumption of more natural lands and habitat lands as compared to the Plan

Reason 2. Alternative 2 does not avoid or substantially lessen the significant and unavoidable environmental impacts of the Plan, and in several instances the impacts would be more adverse due to the failure to achieve reductions in the consumptive use of land, energy, and water resources achieved through the policies and program embedded in the Plan that facilitate a more efficient use of these resources.

Reason 3. Alternative 2 would not meet the GHG emissions targets for passenger cars and light trucks as required by SB 375, is therefore, legally infeasible.

Reason 4. The level of impact for Alternative 2 varies in relation to the land use development pattern, but is not capable of avoiding any of the significant and unavoidable impacts of the Plan, because those impacts are primarily associated with net increase in population that is anticipated for the SCAG region. Alternative 2 requires implementation of the same mitigation measures required for the Plan but would not resolve any of the significant and unavoidable impacts of the Plan.

For the reasons described above, SCAG Regional Council finds that the specific economic, legal, social, technological, and environmental consideration summarized herein make Alternative 2 infeasible for consideration.

7.5 ALTERNATIVE 3: INTENSIFIED LAND USE ALTERNATIVE (ENVIRONMENTALLY SUPERIOR ALTERNATIVE)

Description of Alternative

This Intensified Land Use Alternative is based off the Plan’s transportation network and strategies. This alternative analyzes more aggressive densities and land use patterns than included in the Accelerated Tomorrow Scenario.²⁰ The land use pattern builds on the land use strategies as described in the Connect SoCal Plan and beyond. Specifically, it increases densities and intensifies land use patterns of the Plan, especially around HQTAs in an effort to maximize transit opportunities. The growth pattern associated with this alternative optimizes urban areas and suburban town centers, transit-oriented developments (TODs), HQTAs, livable corridors, and neighborhood mobility areas. It also includes a greater progressive job-housing distribution optimized for TODs and infill in HQTAs. It includes the same transportation investments as the Plan. This alternative considers the basis of the Plan with enhancements to accelerate

²⁰ Connect SoCal – Sustainable Communities Strategy Technical Report.

the SB 375 GHG emissions reduction trend into 2045 and beyond, and includes related improvements for air quality, livability, public health, active transportation opportunities, and affordability.

While the Intensified Land Use Alternative would be considered the environmentally superior alternative because of the more compact land use patterns fewer emissions and reduced VMT, this alternative requires implementation of the same mitigation measures required for the Connect SoCal Plan and would not resolve any of the significant and unavoidable impacts of the Plan. However, the more intensified and compact land use development pattern would result in somewhat less adverse impacts to energy, land, and water resources due to the denser pattern of development. The Intensified Land Use Alternative would also achieve greater overall reductions in criteria air pollutants and greenhouse gas emissions, as a result of the more compact pattern of land use development. The level of impact for the Existing Plan – Local Input Alternative and the Intensified Land Use Alternative varies in relation to the land use development pattern, but neither is capable of avoiding any of the significant and unavoidable impacts of the Plan, because those impacts are primarily associated with net increase in population anticipated for the SCAG region. Therefore, the comparative impacts between the alternatives and the Plan are primarily related to the level of severity of the impacts.

Effectiveness in Meeting Project Objectives

The Intensified Land Use Alternative is capable of meeting most of the goals of the Plan. However, because it would place a large portion of growth in existing communities it may conflict with local plans or place a burden on some community facilities such as parks and other services to a greater extent than the Plan. Therefore, it is less effective in meeting the following goal:

1. Support healthy and equitable communities. The Intensified Land Use Alternative would not achieve this goal to the same extent as the Plan due to its focus on compact development beyond what is currently contemplated under the Plan. The emphasis on development in urban communities may result in overuse of parks and other services (police, fire, schools, library) which has the potential to result in quality of life impacts in urban areas.

Ability to Avoid or Substantially Lessen the Significant and Unavoidable Impacts of the Plan

Of the three alternatives, the Intensified Land Use Alternative would be considered the environmentally superior alternative due to fewer impacts overall as a result of reduced VMT and GHG emissions, and because it would substantially restrict the use of land for single-family development, in a manner that differs from the adopted general plans of the six counties and 191 member cities in the SCAG region. This alternative concentrates development in existing urban centers. and near transit stations and activity

centers. As such, the Intensified Land Use has less impact on rural and undeveloped areas, specifically greenfields. However, the Intensified Land Use Alternative would have more severe impacts on the built environment (i.e., seven CEQA impact categories: land use; noise and vibration, public services, traffic delay, and overtaxed recreation facilities in the vicinity of HQTAs).

As set forth in detail in Section 4.0 of the PEIR, Alternative 3, Intensified Land Use Alternative, would result in *greater* impacts than the Project in the following seven resource areas: Aesthetics, Agricultural Resources, Cultural Resources, Land Use, Recreation, Transportation and Traffic, and Utilities (Wastewater).

Alternative 3 would result in *similar* impacts as the Project in the following two resource areas: Population and Housing and Utilities (Solid Waste).

Alternative 3 would result in less severe impacts compared to the Project in the following eight resource areas: Biological Resources, Energy, Hydrology and Water Quality, Mineral Resources, Public Services, Tribal Cultural Resources, Utilities (Water Supply), and Wildfire.

On balance, Alternative 3, the Intensified Land Use Alternative, is environmentally superior compared to the Project.

Findings and Rationale

The SCAG Regional Council finds that specific economic, financial, legal, social, technological, or other considerations, including policy considerations, make Alternative 3 infeasible and rejects this Alternative for the following reasons:

Reason 1. Alternative 3 meets some but not all of the project objectives. It is less effective than the project with respect to the following goal:

- Support healthy and equitable communities
 - The Intensified Land Use Alternative would not achieve this goal to the same extent as the Plan due to its focus on compact development beyond what is currently contemplated under the Plan. The emphasis on development in urban communities may result in overuse of parks and other services (police, fire, schools, library) which has the potential to result in quality of life impacts in urban areas.

Reason 2. While the Intensified Land Use Alternative would be considered the environmentally superior alternative because of the more compact land use patterns fewer emissions and reduced VMT, this alternative requires implementation of the same mitigation measures required for the Connect SoCal Plan and would not resolve any of the significant and unavoidable impacts of the Plan. However, the more

intensified and compact land use development pattern would result in somewhat less adverse impacts to energy, land, and water resources due to the denser pattern of development. The Intensified Land Use Alternative would also achieve greater overall reductions in criteria air pollutants and greenhouse gas emissions, as a result of the more compact pattern of land use development.

Reason 3. The level of impact for the Existing Plan – Local Input Alternative and the Intensified Land Use Alternative varies in relation to the land use development pattern, but neither is capable of avoiding any of the significant and unavoidable impacts of the Plan, because those impacts are primarily associated with net increase in population anticipated for the SCAG region.

For the reasons described above, SCAG Regional Council finds that the specific economic, legal, social, technological, and environmental consideration summarized herein make Alternative 3 infeasible for consideration.

8.0 FINDINGS REGARDING MITIGATION MONITORING AND REPORTING PROGRAM

8.1 REQUIREMENTS OF MITIGATION MONITORING AND REPORTING PROGRAM

According to Section 21081.6 of the Public Resources Code, the California Environmental Quality Act requires that when a public agency is making the findings required by Sections 21081, the public agency shall adopt a reporting or monitoring program for the changes made to the project or conditions of project approval, adopted to mitigate or avoid significant effects on the environment.

SCAG, through its governing body, the Regional Council, hereby finds that the Mitigation Monitoring and Reporting Program (MMRP) meets the requirements of Section 21081.6 of the Public Resources Code by providing a monitoring program designed to ensure compliance during implementation of the Plan. The MMRP monitors the mitigation measures to be implemented by SCAG, and the mitigation measures that can and should be considered by lead agencies at the individual project-level, as applicable and feasible. Project-level mitigation may be required as a result of evaluation and entitlement of subsequent transportation and developments projects during implementation of the Plan and are wholly within the authority, responsibility, and/or jurisdiction of project-level lead agencies or other agencies serving as lead agencies under CEQA in subsequent project- and site- specific design, CEQA review, and decision-making processes.

9.0 FINDINGS REGARDING LOCATION & CUSTODIAN OF DOCUMENTS

9.1 LOCATION AND CUSTODIAN OF DOCUMENTS

Section 15091(e) of the California Code of Regulations, *California Environmental Quality Act Guidelines*, requires the public agency to specify the location and custodian of the documents or other materials that constitute the record of proceedings upon which the decision is based. Section 6.1 of the Program Environmental Impact Report (PEIR) contains a list of all references used in the preparation of the environmental analysis. Unless otherwise noted, reference materials are located at SCAG Main Office, which shall also serve as the custodian of the documents constituting the record of proceedings upon which the Regional Council, the governing board for SCAG, has based its decision related to the project. The designated location and custodian of documents is as follows:

Southern California Association of Governments

Attn: Mr. Roland Ok
900 Wilshire Blvd., Suite 1700
Los Angeles, California 90017
Telephone: (213) 236-1819
E-Mail: ok@scag.ca.gov

For purposes of CEQA, the Record of Proceedings for the Connect SoCal Plan consists of the following documents, at a minimum:

- The Notice of Preparation and all other public notices issued by SCAG and in conjunction with the Plan.
- The Draft and Final PEIRs, including appendices and technical studies included or referenced in the Draft and Final PEIRs.
- All comments submitted by agencies or members of the public during the 46-day public comment period on the Draft PEIR.
- The MMRP for the Plan.
- All Findings and resolutions adopted by the SCAG Regional Council in connection with the Plan, and all documents cited or referred to therein.
- All reports, studies, memoranda, maps, staff reports, or other planning documents relating to the Plan.
- All documents and information submitted to SCAG by responsible, trustee, or other public agencies, or by individuals or organizations, in connection with the Plan, up through the date the SCAG Regional Council approved the Plan.

9.0 Findings Regarding Location & Custodian of Documents

- Minutes and/or summary transcripts of all public meetings and public hearings held by SCAG, in connection with the Plan.
- Any documentary or other evidence submitted to SCAG at such public meetings and public hearings.
- Matters of common knowledge to SCAG, including, but not limited to federal, state, and local laws and regulations.
- Any documents expressly cited in these Findings, in addition to those cited above.
- Any other materials required to be in the Record of Proceedings by Public Resources Code Section 21167.6(e).

References associated with the PEIR, and technical analysis related to the PEIR for this project that are not available from the SCAG, are located at Impact Sciences Inc.

Impact Sciences, Inc.

Attn: Jessica Kirchner Flores, AICP, ENV SP
811 W. 7th Street, Suite 200
Los Angeles, CA 90017
Phone: 213.935.1901
E-mail: jflores@impactsciences.com

10.0 CERTIFICATION REGARDING INDEPENDENT JUDGMENT

Pursuant to Section 21082.1(c) of the Public Resources Code, SCAG certifies that the Regional Council, as the governing body for SCAG, has independently reviewed and analyzed the Final Program Environmental Impact Report (Final PEIR) for the Connect SoCal (“Plan” or “Project”), on behalf of SCAG. SCAG’s Energy and the Environment Committee (EEC), Joint Policy Committees, Technical Working Group (TWG), and Staff have provided input and/or reviewed the Draft PEIR including supporting technical appendices prior to circulation for public review. The Final PEIR similarly has been subject to review by the EEC, Joint Policy Committees, TWG, and Staff.

It is the finding of the SCAG Regional Council that the Final PEIR fulfills environmental review requirements for the Plan, that the document constitutes a complete, accurate, adequate, and good faith effort at full disclosure under CEQA, and reflects the independent judgment of the SCAG Regional Council.

11.0 SUMMARY OF FINDINGS

Based on the information contained in the record, the SCAG Regional Council incorporates the foregoing findings herein and provides this summary of findings with respect to the significant impacts on the environment resulting from the Connect SoCal (“Plan” or “Project”) pursuant to Section 15091 of the *State California Environmental Quality Act (CEQA) Guidelines*.

- Changes or alterations have been required in, or incorporated into, the Project that avoid or substantially lessen the significant environmental effects as identified in the Final Program Environmental Impact Report (PEIR).
- Some changes and alterations are within the responsibility and jurisdiction of another public agency that can and should be adopted by such other agency; and SCAG has no concurrent jurisdiction with the other agency to deal with the identified project-level mitigation measures.
- Consistent with the provisions of Section 15091(a)(2) of the *State CEQA Guidelines*, SCAG has identified performance standards-based mitigation measures that are within the responsibility and jurisdiction of other public agencies, including lead agencies, and that can and should be considered to mitigate project-level impacts, as applicable and feasible, or other comparable measures.
- Pursuant to Section 15091(c) of the *State CEQA Guidelines*, SCAG has adopted a Mitigation Monitoring and Reporting Program which identifies responsible agencies for the mitigation measures.
- The mitigation measures to be implemented by SCAG as identified in the Final PEIR are feasible and are required as conditions of approval of the Plan.

Based on the foregoing findings and the substantial evidence contained in the record, and as conditioned by the foregoing findings:

- All significant effects on the environment due to the Project have been eliminated or substantially lessened where feasible.
- Any remaining significant effects on the environment found to be unavoidable are acceptable due to the overriding concerns set forth in the Statement of Overriding Considerations.



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EXHIBIT B - FINDINGS OF FACT
FOR THE CONNECT SOCIAL PLAN

ADOPTED MAY 2020

connectsocial.org

PLEASE RECYCLE 29072020.04.23



EXHIBIT C

Statement of Overriding Considerations for the Connect SoCal Plan



ADOPTED MAY 2020

STATE CLEARINGHOUSE
#20199011061

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EXHIBIT C- STATEMENT OF OVERRIDING CONSIDERATIONS REGARDING THE FINAL PEIR FOR THE CONNECT SOCIAL PLAN

CEQA requires that a lead agency balance the benefits provided by a project against its unavoidable environmental risks in determining whether to approve the project, and authorizes a public agency to approve a project with significant and unavoidable environmental impacts if it concludes that such impacts are acceptable because they are outweighed by the benefits of the project. In making this determination, Southern California Association of Governments (SCAG), as the lead agency, is guided by CEQA Guidelines Section 15093 which provides as follows:

- a) *CEQA requires the decision-making agency to balance, as applicable, the economic, legal, social, technological, or other benefits, including region-wide or statewide environmental benefits, of a proposed project against its unavoidable environmental risks when determining whether to approve the project. If the specific economic, legal, social, technological, or other benefits, including region-wide or statewide environmental benefits, of a proposed project outweigh the unavoidable adverse environmental effects, the adverse environmental effects may be considered “acceptable.”*
- b) *When the lead agency approves a project which will result in the occurrence of significant effects which are identified in the final EIR but are not avoided or substantially lessened, the agency shall state in writing the specific reasons to support its action based on the final EIR and/or other information in the record. The statement of overriding considerations shall be supported by substantial evidence in the record.*
- c) *If an agency makes a statement of overriding considerations, the statement should be included in the record of the project approval and should be mentioned in the notice of determination. This statement does not substitute for, and shall be in addition to, findings required pursuant to Section 15091.*

Consistent with these guidelines and the California Public Resources Code section 21081(b), The Final Program Environmental Impact Report (PEIR) for the Connect SoCal Plan (“Plan” or “Project”) has identified and discussed significant environmental impacts that may occur as a result of implementation of the Plan and has made a good-faith effort to eliminate, minimize, and render less than significant all potentially significant adverse impacts that may result from implementation of the Plan through the adoption of feasible mitigation measures. SCAG made specific Findings pursuant to CEQA, on each of the significant environmental impacts of the Plan and on mitigation measures and alternatives. Nevertheless, even with implementation of feasible mitigation measures, many of the impacts may remain significant

and unavoidable. However, after considering the Project and the entire administrative record and weighing the Project's economic, legal, social, technological, environmental and other benefits, including region-wide or statewide environmental benefits, against its unavoidable environmental impacts, SCAG concludes that the benefits of the Plan outweigh its potentially significant and unavoidable adverse environmental impacts.

To assure consistent documentation of its direction at the May 7, 2020 Regional Council meeting regarding Connect SoCal, the Regional Council finds that conforming changes to the enacting resolution, findings and other decisional documents that fully effectuate the direction of the Regional Council, shall be presented to the Regional Council at a subsequent meeting for review and approval. If there is any inconsistency between the enacting resolutions, findings and other decisional documents and the Regional Council direction, the Regional Council direction shall govern.

1.0 IMPACTS OF THE PROJECT AND ASSOCIATED MITIGATION MEASURES

The PEIR identifies the following potentially significant and unavoidable impacts associated with the project:

- 3.1 Aesthetics (AES-1, -2, -3, and -4)
- 3.2 Agriculture and Forestry Resources (AG-1, -2, -3, -4, and -5)
- 3.3 Air Quality (AQ-2, -3, and -4)
- 3.4 Biological Resources (BIO-1, -2, -3, -4, -5, and -6)
- 3.5 Cultural Resources (CULT-1, -2, -3)
- 3.7 Geology and Soils (GEO-2 and -6)
- 3.8 Greenhouse Gas Emissions and Climate Change (GHG-1 and -2)
- 3.9 Hazards and Hazardous Materials (HAZ-1, -2, -3, -4, -5, -6, and -7)
- 3.10 Hydrology and Water Quality (HYD-1, -2, -3 -4, and -5)
- 3.11 Land Use and Planning (LU-1 and -2)
- 3.12 Mineral Resources (MIN-1 and -2)
- 3.13 Noise (NOISE-1, -2, and -3)
- 3.14 Population, Housing, and Employment (POP-1 and -2)
- 3.15 Public Services (PSF-1, PSP-1, PSS-1, and PSL-1)
- 3.16 Parks and Recreation (REC-1 and -2)
- 3.17 Transportation, Traffic, and Safety (TRA-2 and -4)
- 3.18 Tribal Cultural Resources (TCR-1)
- 3.19 Utilities and Service Systems (USSW-1 and -2; USWW-1 and -2 and USWS-1 and -2)
- 3.20 Wildfire (WF-1 and -2)

3.21 Cumulative Impacts (Aesthetics, Agricultural and Forestry, Air Quality, Biological Resources, Cultural Resources, Geology and Soils, Greenhouse Gases, Hazards and Hazardous Materials, Hydrology and Water Quality Materials, Land Use and Planning, Mineral Resources, Noise, Population and Housing, Public Services (Fire Protection, Police Protection, Schools, Library Services), Parks and Recreation, Transportation, Traffic, Safety, Tribal Cultural Resources, Utilities and Services Systems (Solid Waste, Wastewater, Water Supply), Wildfire

For each of these impacts, SCAG has identified program-level mitigation measures which are the responsibility of SCAG, as well as project-level mitigation measures which are the responsibility of local agencies. While SCAG has no authority to impose mitigation measures on local agencies and project sponsors, mitigation measures will be required by lead agencies at the project level if they identify potential impacts in the resource areas. While these mitigation measures will reduce impacts of the Plan, they will not reduce the impacts to the level of less than significant.

2.0 BENEFITS OF THE CONNECT SOCIAL PLAN

The Plan recognizes the continuous growth in the region and balances region-wide policy goals established by SCAG, as well as legal requirements, for a long-range regional transportation plan and sustainable communities strategy that achieves these goals better than the alternatives (see **Section 7.0, Findings Regarding Plan Alternatives**). The goals, policies, objectives, strategies, and investments in the Plan were derived from an extensive collaborative process with public participation and consultation efforts led by the SCAG Regional Council and reflect broad agency and public support. As indicated in the **Executive Summary** of the Plan, the Plan will provide a return of \$2.06 for every dollar invested. The Plan provides \$316 billion of funding commitments for the preservation of the existing transportation system. Greater commitments in infrastructure preservation spending will ensure maintaining and even improving the productivity of our transportation system, thereby accruing greater benefits associated with mobility, congestion relief, economic activity, safety, and accessibility.

The regional development pattern promoted by the Plan accommodates forecasted population, housing, and employment growth while improving access to employment and services throughout the region. Implementation of the Plan's land use development pattern would accommodate 51 percent of the region's future household growth and 60 percent of future employment growth in designated High Quality Transit Areas (HQTAs). Over twice as many households will live in high-quality transit opportunity areas under the Plan compared with existing conditions. In 2018, 55 percent of total housing units were single-family units and 45 percent were multi-family units. The Plan projects that in 2045, 31 percent of new homes in the SCAG region will be single-family units and 69 percent multi-family units. In 2045, 29 percent of households are anticipated to be large-lot single-family units, 20 percent small-lot single-family units, 8

percent townhome units, and 42 percent multi-family units. This focus on development in high-quality transit and other existing opportunity areas, as well as the focus on multi-family, townhome, and single family (small lot) development will collectively help the region accommodate housing demand. The compact land use patterns described in the Plan, combined with the transportation network improvements and strategies identified in the Plan, would result in improved pedestrian and bicycle access to community amenities, shorter average trip length, and reduced vehicle miles traveled per person.

Compared with an alternative of not adopting the Plan, the Plan would accomplish improvements in performance measures in the following eight areas:

1. Location Efficiency

The development pattern of the Plan would accommodate the forecasted population, housing and employment growth while improving access to employment and services throughout the region. Additionally, land use patterns and strategies described the Plan, combined with transportation network improvements and strategies of the Plan would result in improved access to community amenities, shorter average trip lengths, and reduced vehicle miles traveled per person. Furthermore, conservation planning policies and strategies referenced in the Plan would support natural land restoration, conservation and protection. Benefits include but are not limited to:

- The Plan would result in an increase in the percentage of the region's total household and employment growth occurring within Growth Priority Areas, from 58 percent to 60 percent and 70 percent to 72 percent, respectively.
- The Plan would result in a decrease the total amount of greenfield land consumed, from 64,120 acres to 45,370 acres.
- The Plan would decrease regional VMT per capita (24.19 miles to 23.08 miles¹) and person hours of delay per capita (0.19 hours to 0.14 hours²).

2. Mobility and Accessibility

Connect SoCal works to address regional mobility and accessibility challenges by promoting job accessibility, enabling shorter commutes, making communities safer and encouraging lower-cost housing developments. Implementation of the Plan would increase transit services and ridership which serves as a key component of local, regional and state efforts to combat climate change, reduce

¹ Includes Light/Medium and Heavy-Duty Trucks

² Includes Light/Medium and Heavy-Duty Trucks

congestion and improve accessibility to jobs and retail. Mobility and accessibility enhancements would improve the quality of life for the poor, recent immigrants, and the elderly by providing easier access to critical services.

- The Plan would result in a decrease in delay metrics across the board, including minutes of delay per capita; person hours of delay on highways, HOV lanes, and arterials; hours of delay for heavy duty trucks on highways and arterials; and mean commute time for all modes.

3. Safety and Public Health

The Plan provides safety and public health initiatives such as active transportation strategies (i.e., bicycling and walking) by providing \$17.7 billion in capital funding for expanded active transportation networks and \$316 billion for operations and maintenance throughout the region. The Plan advocates for complete streets policies, promotes active transportation, pedestrian infrastructure and supports implementation on all non-limited access streets throughout the region and a range of planning resources. By expanding complete streets concepts to accommodate and optimize new technologies and micro-mobility options (e-scooters and e-bikes), first-last mile connections to transit and curbside management strategies, the region will achieve greater mode shift and reductions to VMT. Benefits include but are not limited to:

- The Plan would reduce rates of air pollution-related respiratory disease and associated costs due to a projected increase in daily per capita walking and biking and a reduction in driving.
- The mode share for walking and biking would increase for all trip types.
- Physical activity-related health outcomes would improve, including reductions in regional rates of obesity, hypertension, cardiovascular disease, and diabetes (type 2).
- Strategies such as complete streets, protected bikeways and safe routes to school infrastructure would improve safety for vulnerable road users as well as drivers.

4. Environmental Quality

The Plan would encourage transportation investments that will result in improved air quality, reduced greenhouse gas emissions and protect natural lands and biological resources. Transportation interventions such as Transportation System Management strategies aim towards reducing traffic congestion, improve air quality and reduce greenhouse gas emissions. Additionally, land use strategies such as the Smart Cities and Job Centers aim to expand air quality, congestion and VMT reduction

benefits in the region. Natural land strategies aim to restore habitats degraded by pollution, invasive species, and protect wildlife corridors.

- The Plan would reduce criteria air pollutant emissions including ROG, CO, NO_x, PM₁₀, and PM_{2.5} emissions.
- The Plan would achieve greenhouse gas (GHG emissions) reduction targets required under the SB 375, with a reduction of per capita emissions of 19 percent by 2035.
- The share of all trips using a travel mode other than single-occupancy vehicle (SOV) would increase from 62.8 percent to 64.9 percent. A greater mode share for non-SOV will improve regional performance in regard to GHG emissions, per capita VMT, and air quality. It will also improve public health and traffic congestion outcomes.
- The Plan would result in 0.002 percent less natural lands consumption when compared to the No Project Alternative (21,514,000 acres to 21,513,400 acres).
- The Plan would result in 4.6 percent less total regional VMT when compared to the No Project Alternative. The Plan would decrease total regional VMT from approximately 536 million to 511 million when compared to the No Project Alternative.
- The Plan would result in 27.5 percent less area converted from agriculture to urban consumption when compared to the No Project Alternative (26,650 acres to 19,330 acres).
- The Plan would result in less energy and water used by residential and commercial buildings. Energy use would decrease by 0.89 percent when compared to the No Project Alternative (15,546 trillion Btu to 15,408 trillion Btu). Water use would decrease by 1.8 percent when compared to the No Project Alternative (89,712,225 acre-feet to 88,904,522 acre-feet).

5. Economic Opportunity

The Plan will employ people to build, operate and maintain transportation projects as a result of the regional infrastructure investments outlined in the Plan (See Chapter 2.0, Project Description). The Plan would also increase accessibility to jobs. The Plan would encourage regional growth and employing transportation strategies in the 70+ job centers. These job centers range in size from over 250,000 jobs in the region's most urbanized areas, to roughly 1,500 jobs in rural areas – all with employment densities far higher than neighboring areas. When growth is concentrated in job centers, the length of vehicle trips can be reduced. Benefits include but are not limited to:

- The Plan would generate 264,500 new jobs annually due to improved regional economic competitiveness and 168,400 new jobs due to investments in the regional transportation system.
- Reduction of traffic congestion would increase employment growth as drivers would require less travel time from place to place.
- Reduction of local traffic congestion would also increase employment growth as downtowns and pedestrian-oriented neighborhoods would increase walkability, thereby providing high amenity locations that are attractive to businesses.
- The Plan would bolster the regional goods movement system by improving accessibility to goods from ports to local businesses.
- The Plan would result in \$4.7 billion in total cumulative energy cost savings when compared to the No Project Alternative
- The Plan would result in \$2.2 billion in total cumulative water cost savings when compared to the No Project Alternative.

6. Investment Effectiveness

- The Plan will provide a return of \$2.06 for every dollar invested and save \$346million in annual healthcare expenditures.

7. Transportation System Sustainability

- The Plan allocates \$316 billion toward maintaining and operating the transportation system in a state of good repair. This amounts to an average annual per capita investment of about \$562 per person for each year of the Plan.

8. Environmental Justice

The Plan advocates for the implementation of an Environmental Toolbox or set of mitigation strategies, which include recommended practices and approaches for performance areas that may result in disproportionate adverse impacts on EJ communities. The toolbox can be a resource to local jurisdictions or EJ stakeholders to combat disproportionate adverse impacts on EJ communities. Implementation of the Plan would result in the following benefits:

- Conditions will improve regionally for Environmental Justice communities in accessibility to employment, services, parks and education facilities, and impacts along freeways (travel time and travel distance savings).
- Reduction of active transportation hazards, climate vulnerability and public health issues (i.e., obesity, asthma) on EJ communities.
- Reduction in jobs-housing imbalance, neighborhood change and displacement.
- Reduction of regional and local emissions impacts, roadway noise impacts and rail-related impacts.

3.0 CONCLUSION

SCAG acknowledges that despite all feasible mitigation measures, approval of the Project may result in significant adverse and unavoidable impacts associated with implementation of the Plan. However, for the foregoing reasons and based on the Final PEIR and the entire administrative record, SCAG hereby determines that when the impacts are balanced against the Project’s specific benefits, the benefits of the Project outweigh the impacts and warrant approval of the Project. While the Project’s impacts cannot be reduced to a level of less than significant, the Project balances the need for SCAG to meet its legal requirements to adopt a long-range regional transportation plan and sustainable communities strategy which will maintain and improve the productivity of our transportation system and accrue benefits associated with improved regional mobility, congestion relief, economic activity, safety, and accessibility, and the need to protect the environment of Southern California to the greatest extent feasible.

For the above-mentioned reasons, the SCAG Regional Council hereby concludes that the benefits of the Plan outweigh and override any adverse environmental impacts associated with the Plan, and warrants approval of the Plan.



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**EXHIBIT C – STATEMENT OF OVERRIDING CONSIDERATIONS
FOR THE CONNECT SOCIAL PLAN**

ADOPTED MAY 2020

connectsocial.org

PLEASE RECYCLE 29072020.04.23

ERRATA FOR THE FINAL CONNECT SOCAL PEIR

This document presents additional staff-initiated text changes for the Connect SoCal Program Environmental Impact Report (PEIR). This PEIR text change reflects revisions that have occurred subsequent to the March 27, 2020 publication of the Proposed Final PEIR. The revisions are organized by EIR section and deleted text is ~~struck through~~ and new text is underlined. The text additions and revisions presented below clarify and expand the information presented in the Draft PEIR and Final PEIR. The revised text does not provide new information that identifies new significant environmental impacts; the clarified and expanded information does not identify mitigation measures that, if implemented, would result in significant environmental impacts; and considerably different alternatives or mitigation measures were not identified that would clearly lessen the significant environmental impacts of the proposed project. In sum, the staff-initiated text change provided does not change any of the conclusions presented in the Final PEIR, but rather clarifies and provides additional relevant information.

2.0 PROJECT DESCRIPTION

Revisions on Page 2.0-21, Table 2.0-6, Connect SoCal Guiding Principles are as follows:

**Table 2.0-6
Connect SoCal Guiding Principles**

Connect SoCal Guiding Principles	
1	Connect SoCal will be adopted at the jurisdictional level, and directly reflects the population, household and employment growth projects that have been reviewed and refined with feedback from local jurisdictions through SCAG's Bottom-Up Local Input and Envisioning Process. The growth forecast maintains these locally informed projected jurisdictional growth totals, meaning future growth is not reallocated from one local jurisdiction to another.
2	Connect SoCal's growth forecast at the Transportation Analysis Zone (TAZ) level is controlled to not exceed the maximum density of local general plans, except in the case of existing entitlements and development agreements.
3	For the purpose of determining consistency with Connect SoCal for the California Environmental Quality Act (CEQA), grand or other opportunities, lead agencies such as local jurisdictions have the sole discretion in determining a local project's consistency; SCAG may also evaluate consistency for grants and other resource opportunities; consistency should be evaluated utilizing the goals and policies of Connect SoCal and its associated Program Environmental Impact Report (PEIR).
4	TAZ level data or any data at a geography smaller than the jurisdictional level has been utilized to conduct required modeling analysis and is therefore advisory only and non-binding, given that sub-jurisdictional forecasts are not adopted as part of Connect SoCal. TAZ level data may be used by jurisdictions in local planning as they seem appropriate and <u>Connect SoCal does not supersede local jurisdiction authority or decisions on future development, including entitlements and development agreements.</u> There is no obligation by a jurisdiction to change its land use policies, General Plan, or regulations to be consistent with Connect SoCal.
5	SCAG will maintain communication with agencies that use SCAG's sub-jurisdictional level data to ensure that the "advisory and non-binding" nature of the data is appropriately maintained.

Source: *Final Connect SoCal Plan*

8.2 REFINEMENTS TO THE PLAN SINCE PUBLICATION OF THE DRAFT PEIR

Modifications to the Connect SoCal Project List

A new project has been added to page 8.0-5:

SCAG received input on the Draft Project List from six County Transportation Commissions (CTCs) as part of the Connect SoCal update and finalization process. During the comment period for the Draft Plan, input was received from the six CTC's regarding modifications to the Final Project List. The provided updates reflect the latest Connect SoCal project information at the time and are part of the finalization process by SCAG and the CTCs for the Final Connect SoCal Project List. Connect SoCal includes over 4,000 individual capital projects and programs across the region across all modes of transportation over the next 25 years. During the public review period, SCAG received requests from the county transportation commissions to modify more than 170 projects (which represents less than 1 percent of total projects). Projects removed were due to duplicative entries. Notable projects that were removed between the Draft and Final Connect SoCal are as follows:

- 1TR103 – California High-Speed Rail Phase 1 (Duplicative)
- 1H0101 – Add 1 HOV lane SR-14
- 4M0714 – I-15 Mohave Interchange
- LA0G1099 – High Desert Corridor (Duplicative)
- LA0G901- Historic LA Streetcar

New projects that were added between the Draft and Final Connect SoCal are as follows:

- 1200P005 – Terminal Access Improvement on Harbor Scenic Drive
- 2200G001 – BNSF Line
- 200T004 – Replacement of 40 Buses
- 3200L300 – Railroad Crossing Safety Improvements
- 3200P200 – Metrolink low/zero emission technology trains
- 4A07004 – Widen US-395 from I-15 to SR 18 (Palmdale Rd)

- ORA120507 – Widen Route 74 (Ortega Highway)
- 720009 – High Desert Corridor – High Speed Rail Line (Palmdale to Victor Valley/PAED/PS&E)

Adjustments for the Sustainable Communities Strategy (SCS)

Language regarding Adjustments to the Sustainable Communities Strategy (SCS) on Page 8.0-6 and 8.0-7 has been revised:

SCAG received many comments related to the SCS. Several comments raised concerns regarding housing affordability, climate change and certain GHG reduction strategies. Other comments sought clarity on the Connect SoCal Growth Vision. In response to comments, pursuant to the process outlined in October 2019 and February 2020 communications to jurisdictions and subregions, the Sustainable Communities Strategy reflects ~~has updated SCAG's Growth Vision and modified land use patterns in the Sustainable Communities Strategy, which resulted in adjustments to HQTAs in the region with revisions in the Forecasted Regional Development Pattern, which is updated due to feedback from jurisdictions and other stakeholders.~~

SCAG used the performance of each scenario as well as input gathered through the public workshops to refine the Growth Vision and Forecasted Development Pattern for the Plan, which ~~This vision aims~~ aims to increase mobility options and reduce the need for residents to drive by locating housing, jobs, and transit closer together. The final Plan focuses growth within jurisdictions near destinations and mobility options and promotes an improved jobs-housing balance to reduce commute times.

SCAG revised Connect SoCal's Forecasted Regional Development Pattern ~~forecasted development pattern~~, by initiating a peer review with local jurisdictions regarding population, household, and employment growth at the sub-jurisdictional level (i.e. transportation analysis zone (TAZ) level) prior to the release of the draft Connect SoCal plan. SCAG provided jurisdictions the opportunity to review and provide feedback to SCAG over a six-week timeframe, with requested revisions due to SCAG by December 11, 2019. From this engagement, technical refinements were made to the Forecasted Regional Development Pattern in Connect SoCal that included adjustments to growth due to entitlements and maximum planned capacities. Fifty jurisdictions requested revisions, and the majority participating in this exercise indicated that growth projections provided to SCAG in 2018 as part of the Bottom-Up Local Input and Envisioning Process were the most accurate reflection of entitlements and their phasing through the Plan's horizon of 2045. Taking this feedback, SCAG evaluated the locally provided, neighborhood level growth forecast data alongside the draft dataset for Connect SoCal to determine which dataset best reflected the policies and principles of the draft plan. Specifically, this involved analyzing each jurisdiction's concentration of growth in the draft plan's priority growth and constrained

areas. As a result, SCAG replaced the draft plan data with the original local, neighborhood-level growth forecast data in approximately one third of jurisdictions, including unincorporated Los Angeles County. See Connect SoCal’s Process for Incorporating Entitlements, located at: <https://www.connectsocal.org/Documents/DataMapBooks/Incorporating-Entitlements-Process.pdf>

The revised Forecasted Regional Development Pattern brings forward data elements provided by jurisdictions in 2018 during the Bottom-Up Local Input and Envisioning Process - including entitled projects and phasing, reflects “locally envisioned” jurisdictional growth totals detailed in the Demographics and Growth Forecast Technical Report and allocates growth within existing planned maximum densities as conveyed by jurisdictions. Consistent with the policies and principles of the draft Plan,

~~Technical refinements were made to the Forecasted Development Pattern in Connect SoCal that included adjustments to growth due to entitlements and maximum planned capacities. “Locally envisioned growth” was used in some instances to further Connect SoCal’s Growth Vision of reducing the need for residents to drive by locating housing, jobs and transit closer together helping to assure that Connect SoCal’s land use and growth strategies recognize local input, promote sustainable transportation options, and support equitable and adaptable communities.~~

~~The revised Forecasted Regional Development Pattern forecasted development pattern directs growth to Priority Growth Areas (PGAs) near existing and planned transit, within existing job centers, in communities with existing and planned infrastructure to support more walkability and use of alternative transportation modes, and in areas identified for jurisdictional expansion (i.e. spheres of influence). The final growth vision includes entitled projects and reflects the local input growth totals, and follows currently adopted local plans to allocate growth within the existing general plan maximums. Growth through 2045 was reduced in and redirected from constrained areas within a jurisdiction (e.g. very high severity fire risk areas, farmland, protected open space, wildlife corridors, areas at risk for near-term sea level rise, flood hazard areas, etc.). To ensure transparency during the process to finalize the Forecasted Development Pattern for Connect SoCal, SCAG emailed a letter to each jurisdiction starting February 21, 2020 that included a detailed methodology document for SCAG’s Growth Vision (For further details please refer to the Connect SoCal Growth Vision Methodology located at: <https://www.connectsocal.org/Documents/DataMapBooks/Growth-Vision-Methodology.pdf>).~~

Jurisdictions were also given access to their jurisdictional and neighborhood level Forecasted Development Pattern data via SCAG’s Scenario Planning Model - Data Management Site. Throughout this effort, SCAG engaged with stakeholders from the Technical Working Group, and provided an update on the peer review exercise to the Community, Economic, and Human Development Policy

Committee. It should be emphasized that Connect SoCal does not supersede local jurisdiction land use authority or decisions on future development, including entitlements and development agreements. Use of SCAG’s neighborhood level Forecasted Development Pattern data for project level CEQA analysis is at the discretion of the lead agency and/or lead applicant.

No changes were made to the underlying policies or strategies presented in the ~~draft~~ Connect SoCal Plan ~~which~~ that would constitute a major change in the Project Description.



AGENDA ITEM 3
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Community
Economic & Human Development Committee (CEHD)
Energy & Environment Committee (EEC)
Transportation Committee (TC)
Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Kome Ajise, Executive Director, (213) 236-1835

Subject: COVID-19: Leading and Learning in Uncertain Times

RECOMMENDED ACTION FOR EAC AND RC:

For Information Only – No Action Required

RECOMMENDED ACTION FOR CEHD, EEC AND TC:

Receive and File

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

Southern California, like other regions across the globe, is experiencing severe and devastating impacts as a result of the COVID-19 pandemic. Saving lives and addressing the immediate public health crisis continues to be the top priority of federal, state, regional and local agencies. While public servants, medical professionals and essential workers lead on the frontlines, a crisis of this magnitude calls on all institutions, businesses and society-at-large to come together to preserve and restore lives and livelihoods. Examples abound of individuals and organizations who are leading and learning during this unprecedented time.

This staff report provides an initial broad assessment of the impacts of the COVID-19 pandemic on Southern California in the context of transportation, planning, and the economy, highlights a few of the many ways the transportation and planning community is responding, and lays out a series of early actions being pursued by staff to support our partner agencies, gather insights and prepare the region for policy discussions and actions on economic recovery.

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

BACKGROUND:

COVID-19 Preliminary Assessment

The COVID-19 pandemic is having severe and unprecedented implications on a wide range of areas which SCAG plans for including public health, transportation, housing, public finance, and the economy more generally. This may take the form of an acceleration of trends that were already taking shape such as teleworking, telemedicine, and the decline in brick-and-mortar retail. But similar to the way a major recession’s impacts can linger (for example, the generational impacts of delayed fertility and household formation), it is reasonable to expect the pandemic to engender some structural economic changes as well as more far-reaching changes to, for example, some social habits or expectations for government service.

The forecast toolkit of an economist is challenged because, in the words of National Institute of Allergy and Infectious Diseases Director Dr. Anthony Fauci, “the virus makes the timeline.” Most directly, there is uncertainty about testing availability, vaccine development, and the prospect of herd immunity. Secondly, economic impacts depend on the ability to rapidly, clearly, and consistently resume normal activities with public health measures in place. Finally, the future of consumer behavior and willingness to resume activity is unclear and necessitates monitoring.

Employment

Rapid increases in unemployment claims immediately followed stay-at-home orders in many industries. During a key six-week period from March 14 to April 25, national unemployment claims totaled 30,307,000, which corresponds to roughly 21 percent of the nation’s nonfarm employment.¹ Put differently, about one in four Americans are effectively unemployed.

In California, 3.1 million were laid off during March alone, but not equally. 2.4 million lay-offs were of jobs with salaries below \$50,000 but only 70,000 with salaries above \$100,000.² Southern California’s reliance on tourism, hospitality, entertainment, trade, and earlier stay-at-home orders can yield disproportionate impacts in our region overall, and within local jurisdictions as well (see table below).

2019 Industry Share Comparison

Category	US	SCAG
Health Care and Social Assistance	13.9%	15.6%
Arts, Entertainment, and Recreation	1.7%	2.0%
<i>Combined</i>	<i>15.6%</i>	<i>17.6%</i>

¹ <https://www.dol.gov/ui/data.pdf>

² <https://californiaforecast.com/covid-19-economic-analysis/>

The Coronavirus Aid, Relief, and Economic Security (CARES) Act, signed by President Trump on March 27, 2020, provided some support to employers and through additional unemployment insurance, but it is widely believed that this is insufficient in scope and duration. As far as large service employment categories are concerned, job recovery will depend on whether safe protocols for reopening can be developed, whether consumer behavior will return to normal, and the additional challenge or rehiring or restarting entire businesses.

Supply Chains and Global Demand

Southern California is a global center for trade and logistics with a disproportionate responsibility for, and impact from, disruptions in global trade. As of mid-April, the Port of Los Angeles reports operations at 80 percent of capacity. In an early March survey conducted by the Institute for Supply Chain Management, nearly 75 per cent of companies reported supply chain disruptions in one form or the other due to Coronavirus-related transportation restrictions³. Several factors contribute to greater possible impacts including COVID-19's origination in China, China's role and importance to global trade, and this region's role as North America's largest gateway to Asia. Long lead times and undiversified supply chains could result in cascading impacts in the coming months. At the same time, e-commerce and digital delivery-based orders for a wide variety of goods are experiencing extreme surges that are concurrently pushing supply chain limits. Additionally, as the COVID pandemic takes root in other countries as seasons change, global supply linkages could be further impacted.

Health Care

Growth in Health Care and Social Assistance employment is already expected to outpace growth in any other industry in our region (a 58.4% increase from 2016 to 2045). While this is in part due to an ageing population and shifts toward services more generally, the Affordable Care Act has increased healthcare coverage substantially. For example, in the Inland Empire the share of uninsured residents dropped from 20.5 percent in 2012 to 8.4 percent in 2018.

As the pandemic highlights the societal importance of the field alongside its growth potential, it's possible that younger generations will be even further motivated to pursue healthcare careers and that additional investment in workforce training and development may ensue. While the federal CARES act and other emergency measures have attempted to plug coverage gaps and incentivize more proactive health behaviors, the pandemic response and employment losses both illustrate how coverage gaps can be a substantial vulnerability during a crisis.

³ https://weareism.org/docs/Coronavirus%20Outbreak%20in%20China%20Impact%20to%20Supply%20Chain_v3.pdf

Economic Vulnerability and Resiliency

Many consumers and businesses who walked into the COVID-19 pandemic already highly leveraged and with heavy debt burdens are at risk of devastating impacts, including bankruptcy, unemployment, eviction, and foreclosure. Federal aid includes funding for more unemployment insurance, employee retention, cash payments, and loans to businesses; however, even this historic amount of funding is widely thought to be insufficient to blunt the impact of extended work stoppages. Interestingly, this aid included provisions for gig workers and one-time cash payments resembling a form of universal basic income—arguably some of the first large-scale policy responses recognizing the increased precariousness experienced by some of today’s workers. Nonetheless, a protracted economic downturn does not bode well for the reduction of poverty rates which are still elevated in the region following the Great Recession—especially the higher rates typically seen in households with children.

Retail businesses are especially impacted as the pandemic’s closure of storefronts has accelerated the shift toward online shopping. Malls and landlords hopeful that hospitality and leisure uses would keep them afloat may experience further pressure while extended periods of telework may impact future office leases—both impacts which are accelerating discussions about the repurposing of commercial land use.

Vulnerability and resiliency are not uniform across our expansive region and the pandemic highlights many challenges latent amongst the region’s households and businesses. SCAG is developing a set of indicators on select economic, social, health, and housing factors to assess local vulnerabilities and support resiliency planning.

Housing

Despite approaching all-time low mortgage rates, the National Association of Realtors states that six in ten buyers and sellers are stalling their transaction for a couple of months, but only one in ten are deciding not to buy or sell indefinitely.⁴ This suggests only a delay of otherwise strong demand indicators with which supply has not kept up lately—prices may not drop precipitously as in past recessions. While some disruptions are unavoidable, construction, maintenance, and operations are considered essential services and these activities have continued. Despite targeted state and local efforts in the near-term, as the ripple effect passes through landlords and lenders it is likely that evictions and foreclosures will impact many who’ve experienced financial strain from the pandemic. The region’s large population experiencing homelessness presents an additional public health challenge while extended stay-at-home orders are an added challenge for those living in substandard or overcrowded conditions—and no doubt one linked to increases in domestic disturbances.

⁴ <https://www.nar.realtor/research-and-statistics/research-reports/weekly-housing-market-monitor>

Public Finance

All levels of government are being tested in their ability to respond to this crisis in one way or another. Federal emergency funding in the trillions has never before been seen, state tax receipts on sales and gasoline are already impacted, while decreases in economic activity in 2020-2021 will impact income tax receipts and revenue writ large. Local governments which must provide much of the pandemic response are beginning to feel tremendous budgetary stresses, while promises of federal assistance are still in early stages at the time of this writing.

Based on SCAG's calculations of 2018 state Comptroller data, 25 of the 197 local jurisdictions in the region received more than 50 percent of their total revenue from sales and use or transient occupancy (hotel) taxes with a median of 32 percent and a high of 79 percent. Unfortunately longstanding retail declines have placed a higher priority on revenue from tourism, dining, and hotels in many places—activities put on hold during the pandemic and with more complicated recovery trajectories.

Given the immediate need to stabilize local job markets for the benefit of residents and small businesses alike, recovering from budget deficit shocks may necessitate substantial belt-tightening and place strain on nonessential services for some time absent state or federal financial support.

Environment and Sustainability

The immediate decrease in transportation and overall activity following the pandemic and stay-at-home orders has resulted in temporary improvements in air quality and Greenhouse Gas (GHG) emissions, providing a glimpse of future potential despite the unfortunate context. Telecommuting and other trip substitutions have contributed to this and may continue to do so, though the speed and nature of the subsequent economic recovery will go a long way in determining whether some changes are lasting. Additionally, this pandemic has exposed key vulnerabilities in the region's social, economic, and environmental systems' ability to respond to future emergencies or disasters.

Scenarios and Pace of Recovery

Most experts now believe that a return to normal lifestyles and economic activity will be punctuated or come in stages rather than a "V-shaped" recovery, i.e. a quick return to a previous normal. SCAG will continue to monitor the pace of recovery and studies by experts in order to assess the potential impacts on the region's economy and employment. SCAG is currently monitoring the possible impacts of mandatory closures and a lengthy recovery over 2020 and 2021 in terms of taxable sales and employment. Due to the rapidly unfolding nature of the COVID-19

pandemic, any estimates generated at this early stage of the crisis should be considered very preliminary, would include a very high level of uncertainty, and would not yet be able to assess long-range impacts. A preliminary assessment will be presented in future RC and policy committee meetings.

Continuity of Service

Despite significant disruptions, SCAG's transportation and municipal partners are working tirelessly to address the most critical needs of our communities while continuing to provide services, as able, to support the health, safety and economic well-being of the region in the wake of the pandemic and stay-at-home orders.

Public transportation operators continue to provide a mobility lifeline for essential workers to access their jobs, while implementing new measures to keep bus operators safe and reduce the spread of the virus⁵. The federal CARES Act provides \$25 billion in federal funding allocations to support the nation's public transportation systems in providing this critical service. SCAG is collaborating with the FTA and county transportation commissions to help transit operators access these funds, which total \$1.4 billion regionwide⁶. SCAG collaborated with the county transportation commissions (CTCs) to further apportion resources to each of the CTCs based on a set formula, and then the CTCs apportioned these funds further to eligible transit operators within their counties. In addition to helping the region's transit operators access emergency funds, SCAG has assembled an on-line platform for transit operators to share COVID-19 actions, including allowing each operator to update the data for their own agency in real time. The intent is to facilitate inter-agency coordination and information sharing as the situation evolves.

Transportation planning funding programs, including those administered by SCAG, have also been impacted by the pandemic as project sponsors have been limited in their ability to conduct outreach and collect representative data on transportation system operations. In addition to reaching out to funding partners to request extensions, staff is adjusting outreach approaches in certain programs to ensure engagement with the public continues leveraging new technologies and tactics to educate and solicit feedback from constituents. As an example, SCAG worked closely with the California Office of Traffic Safety to refine the project eligibility criteria for this year's *Go Human* Call for Projects for the Local Community Engagement and Traffic Safety Mini-Grant Program. The program will award mini grants up to \$10,000 for nonprofits across the region for efforts that approach safety engagement, education and documentation through online platforms and virtual strategies, among others, to ensure inclusive participation. The Call opened in April and can be

⁵ See, for example, LA Metro's commitment to continue service at <https://www.youtube.com/watch?v=Nzat1PzUr28&feature=youtu.be>

⁶ These funds are being distributed via 5307 Urbanized Area Formula Funds (Approx. \$13.7 B nationwide) and 5337 State of Good Repair (Approx. \$7.5 B nationwide)

accessed at <http://gohumansocal.org/Pages/Mini-Grants.aspx>. Awards will be announced in May 2020.

E-government and on-line services have become essential to keeping local governments in operation during the shut-down. Local planning departments with this capacity continue to issue permits. SCAG is conducting additional research to assess e-government and e-permitting capacity across the region and aims to continue to provide resources to local jurisdictions to support this work. As part of the Future Communities Pilot Program, SCAG is currently funding efforts in the cities of Riverside and Cerritos to develop online permitting and licensing systems to replace vehicle trips to City Hall with an efficient, integrated digital experience for residents and developers. Also, SCAG, the California Emerging Technology Fund and the Inland Empire Regional Broadband Consortium are spearheading a study to facilitate broadband planning and deployment as part of transportation projects in the region. Improved broadband deployment, particularly in disadvantaged communities and unserved/underserved rural and urban areas, will support increased use of online learning, telehealth/telemedicine, telecommuting, e-commerce, and e-government, reducing vehicle miles traveled and greenhouse gas emissions.

The planning community at-large continues to find meaningful ways to contribute to COVID-19 response including through the geographic representation of data through maps and interactive tools that help decision-makers and the public better understand the extent, impacts and local resources available during the crisis. The public-private collaboration between Esri and federal and state agencies has helped organizations to provide clear and accurate information relevant to COVID-19 in their communities promptly. Local jurisdictions interested in developing COVID-19 sites may use the free Coronavirus Response template from Esri's ArcGIS Hub, providing an intuitive approach for users to connect and organize live information from authoritative sources such as the World Health Organization (WHO) and the Center for Disease Control and Prevention (CDC) as well as localized data like the number of hospital beds and provider practice locations.

SCAG's initial inclination was to develop a COVID-19 site for the region; however, we decided that it may be more meaningful to instead support local jurisdictions' ability to provide their citizens with more close-to-home information. SCAG sees the forthcoming Regional Data Platform as an even more relevant tool to bring the region's capacity to further assist local data-driven decision making, effective, and efficient government operations.

Planning for the Recovery

Staff has also identified a series of actions to support our partner agencies, gather insights and lay the groundwork for a more comprehensive Economic Recovery Strategy. Central to this work is obtaining approval of the Proposed Final Connect SoCal and securing a conformity determination from the federal government to ensure funds continue to flow to critical transportation projects in

the region. The policy framework and performance outcomes of the plan also serve as the basis, and funding justification, for most of the regional studies and local funding programs SCAG provides. Connect SoCal's planning horizon (2016-2045) will include economic cycles and presents a long-range vision for the region's future. While there will be more significant challenges to overcome, striving for a healthy, prosperous future for the region could not be more important. Our region's long-range vision, *Connect SoCal*—strengthened by the lengthy and public process it has already undergone—can also provide a strong basis for the recovery from COVID-19.

Connect SoCal includes over 4,000 individual capital projects and programs across the region across all modes of transportation over the next 25 years. Its implementation is anticipated to generate 168,400 annual jobs stemming from transportation investments and 264,500 jobs from the enhanced economic competitiveness that infrastructural improvements will provide. Staff is reaching out to partners to ensure funding is stabilized and assessing opportunities to accelerate the delivery of transportation projects in the plan as well as to stimulate the development of housing envisioned in priority growth areas. A plan acceleration strategy, leveraging anticipated federal and state stimulus programs as well as funding programs, would provide a direct benefit to the economy and strengthen the construction industry as it still works to reach its employment peak from prior to the Great Recession.⁷ SCAG will be hosting focus groups with up to ten nonprofit community based organizations that had participated in Connect SoCal outreach in 2019. This additional outreach will also allow SCAG to better understand the impact to the communities these organizations represent. SCAG will also be seeking feedback on Connect SoCal implementation in light of COVID-19, such as how to best move forward with the Key Connections.

Work to advance Connect SoCal's housing production strategies and goals has already begun and will continue to evolve to align with the comprehensive Economic Recovery Strategy. Under President Bill Jahn's leadership, housing production has become a primary component of the SCAG's legislative strategy and the basis for a series of new planning programs supported by AB 101 resources. SCAG's Regional Early Action (Housing) Program will provide resources to local jurisdictions for housing plans and programs. Staff is evaluating opportunities to target these resources to meet both the short- and longer-term needs given the changing dynamics of the housing market as well to provide direct support to local jurisdictions who may have to make difficult cuts due to COVID-related budget shortfalls. SCAG's Sustainable Communities Program (SCP) can provide much needed planning support for housing, and supply resources for other critical planning initiatives that advance integrated land use/transportation decision making.

⁷ Connect SoCal ranks Construction #4 out of 20 industries for employment growth over 2016-2045 (30.6% growth). With a present-day average wage of \$64,674, construction jobs are 8 percent above regional industry averages. Importantly, amongst the nine industries expected to grow by over 15 percent over the Plan horizon, Construction trails only two in average wages.

An Economic Recovery Strategy must also advance strategies to better prepare the region for the future disruptions, particularly in vulnerable communities where disruption has the greatest impact. In its concluding chapter, Connect SoCal acknowledges the inevitable disruption to our systems and the critical need for planning to become a more resilient region. To better anticipate a wide range of potential futures, Connect SoCal calls for and positions the region to pursue a collaborative “exploratory” scenario planning process to augment the traditional Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) planning process. This process, which will not be focused on achieving predetermined outcomes or targets, will explore pressing issues and possible near- and long-term disruptions Southern California may face. This exploration will be an expansive, comprehensive initiative that will inform amendments and future updates of the regional plan.

Beyond activities aimed at stimulating the broader regional economy, the Economic Recovery Strategy could explore avenues to restore the financial capacity of cities and counties while also reducing costs. SCAG will continue to provide technical assistance—in the form of toolkits, webinars, and, programs—to save cost and advocate on behalf of local jurisdictions to promote economic recovery. We anticipate being a leader as well as a resource, building upon the Future Communities Framework and supported in part by SCAG’s forthcoming Regional Data Platform, in providing local governments and partner agencies with data tools and information to make planning and policy decisions based on a strong understanding of current conditions and likely future outcomes. SCAG also foresees being an advocate of moving services online and helping local jurisdictions be effective in this manner.

Finally, this crisis presents a clear opportunity to accelerate broadband expansion programs not only to close the ‘digital divide’ (a significant equity issue), but also as a mobility initiative and an economic recovery imperative. Before the COVID-19 pandemic necessitated telecommuting for workers and students, working from home was the fastest growing commute mode in the region. SCAG is involved in a critical broadband study with the California Emerging Technology Fund and regional broadband consortia, and we expect to identify all gaps in broadband infrastructure to prioritize early action broadband projects to include capacity for not only telework in residential units, but also enable more commercial, medical, judicial and other municipal activities online.

Next Steps

While the long-term impacts of COVID-19 on the region and regional planning will continue to unfold over the next months and years, there are immediate actions SCAG can take through the adoption and implementation of Connect SoCal and its associated funding programs to support our local agencies and position the region for economic recovery and greater resiliency. Staff seeks the Regional Council’s immediate input and will report back with additional analysis and



recommendations for developing a Regional Economic Recovery Strategy focused on SCAG's mission and role as a regional planning agency.

FISCAL IMPACT:

None.



AGENDA ITEM 4
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Adoption of the Fiscal Year 2020-21 Final Comprehensive
Budget

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

It is recommended that the Regional Council: 1) Adopt the Fiscal Year 2020-21 (FY 2020-21) Final Comprehensive Budget and corresponding Resolution 20-621-2, subject to approval of the General Fund Budget and Membership Dues Assessment by the SCAG General Assembly; and 2) Authorize the submittal of the FY 2020-21 Overall Work Program (OWP) to the California Department of Transportation (Caltrans), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA).

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

EXECUTIVE SUMMARY:

On March 5, 2020, the Regional Council approved the FY 2020-21 Draft Comprehensive Budget which included the Draft OWP and the General Fund Budget. Additionally, the Regional Council authorized the release of the Draft OWP for a 30-day public comment period, and transmittal of the General Fund Budget and Membership Dues Assessment to the SCAG General Assembly for adoption.

It is important to note that this budget was developed prior to the current COVID-19 pandemic. As described below, staff is continually evaluating potential impacts to SCAG revenues and work plan.

The Draft OWP was submitted to Caltrans, FHWA and FTA for their review and comment. All comments received on the Draft OWP have been addressed and incorporated into the FY 2020-21 Final OWP. The OWP complies with federal and state requirements and it reflects a concentrated focus on the implementation of Connect SoCal.

Staff recommends approval of the FY 2020-21 Final Comprehensive Budget, including the OWP

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



and the General Fund Budget, in the amount of \$96.0 million, which is \$2.2 million or 2.3% more than the Draft Comprehensive Budget. The budget increase is primarily due to adding the entire advance allocation of the Regional Early Action Planning (REAP) Grant Program funds awarded to SCAG on April 14, 2020 in the amount of \$11,867,755.75. The REAP funds will facilitate compliance to implement the 6th cycle of the regional housing needs assessment and to accelerate housing production through regional programs.

DISCUSSION:

The FY 2020-21 Comprehensive Budget is \$96.0 million, including the OWP and the General Fund Budget.

Table 1 provides a summary of revenue sources in the Comprehensive Budget.

Table 1. FY 2020-21 Revenues	
REVENUES	FINAL
FHWA PL - Metropolitan Planning	\$ 23,289,431
FTA 5303 - Metropolitan Planning	\$ 15,764,886
FHWA SPR - Strategic Partnerships Grants	\$ 739,175
FTA 5304 - Sustainable Communities Grants	\$ 607,848
FEDERAL OTHER	\$ 3,906,532
SB 1 - Sustainable Communities Formula Grants	\$ 13,921,538
SB 1 - Sustainable Communities Competitive Grants	\$ 54,003
SHA - Sustainable Communities Grants	\$ 893,635
AB 101 - Regional Early Action Planning Grants	\$ 11,867,755
STATE OTHER	\$ 6,643,885
TDA	\$ 7,680,345
IN-KIND COMMITMENTS	\$ 4,177,451
CASH/LOCAL OTHER	\$ 3,195,521
GENERAL FUND	\$ 2,683,973
INDIRECT COST CARRYFORWARD	\$ 555,465
TOTAL	\$ 95,981,443

Table 2 provides a summary of expenditures in the FY 2020-21 Comprehensive Budget.

Table 2. FY 2020-21 Expenditures	
EXPENDITURES	FINAL
SALARIES & BENEFITS	\$ 29,541,881
CONSULTANTS	\$ 42,366,024
NON-PROFITS/IHL	\$ 705,601
PASS-THROUGH PAYMENTS	\$ 3,031,153
IN-KIND COMMITMENTS	\$ 4,177,451
CASH/LOCAL OTHER	\$ 2,268,972
OTHER COSTS	\$ 13,373,545
CAPITAL & DEBT SERVICE	\$ 516,816
Total	\$ 95,981,443

This budget was prepared before the COVID-19 emergency and will require a re-evaluation in the coming months with respect to:

1. **Revenue Sources.** SCAG has a variety of federal, state and local funding sources, each of which has varying exposures to the impact of COVID-19. At one end of the spectrum, we have \$6.3 million of Transportation Development Act (TDA) revenues in the FY 2020-21 budget which will be significantly impacted by the retrenchment in consumer spending currently underway. The State Public Utilities Code Section 99233.2 authorizes the Transportation Commissions in Los Angeles, Orange, Riverside and San Bernardino counties to allocate up to $\frac{3}{4}$ of 1 percent of their local transportation funds to SCAG, as the multicounty designated planning agency, for the transportation planning and programming process.

At the other end, our Federal Consolidated Planning Grant (CPG) funding seems to be quite secure and the rest of our grants fall somewhere along that continuum. Staff will refine revenue expectations as more visibility develops in order to adjust the related expenditures accordingly. The CPG funds included in the budget consist of FHWA and FTA metropolitan planning funds to develop transportation plans and transportation improvement programs.

2. **Work Program.** Social distancing requirements will impact the delivery of projects which included outreach and public demonstration project activities, even if their funding source is secure. SCAG has begun to re-imagine how to deliver this work and/or possibly change the mix of timelines. In addition, some aspects of the work program will take on a higher priority, especially those relating to emergency development and response to COVID-19 impacts once the short- and long-term impacts are better understood.

3. **New Positions.** The budget before you contains 16 new positions, and as stated above, each position needs to be carefully re-evaluated with respect to its funding source and its purpose in light of the coming changes to the work program.
4. **General Fund.** The FY 2020-21 budget currently assumes member dues at \$2,172,297 but in light of the economic difficulties currently being experienced by all of our membership, staff is recommending that the General Assembly approve the assessment with a 20% waiver. This will amount to \$434,459 loss to the General Fund. This will be mostly offset by savings in FY 2019-20 resulting from the cancelation of the General Assembly meeting whose net cost was budgeted at \$388,423. The remaining \$46,036 of the dues waiver will come out of General Fund reserves.

All changes resulting from these analyses will be presented to the RC in the form of a FY 2020-21 budget amendment.

Included in the proposed budget is an updated salary schedule reflecting market based increases to the salary ranges (page 62 of the Comprehensive Budget). The last update to salary ranges was effective July 2017. The results of a salary study conducted in October 2019 indicated that, on average, SCAG is behind 25% in total compensation when compared to benchmark agencies in the region. Funds are included in the proposed staff salaries budget to adjust 15 employees who would fall below the adjusted minimum salary range in FY 21 for a total fiscal impact of approximately \$80,000. All other employees will move in the range when they receive their next performance based merit increase. It is important to note that SCAG employees receive only performance based merit increases. They do not receive automatic step increases or cost of living adjustments.

Also included in the proposed budget are adjustments to the following employee benefits: parental leave, bereavement leave, and vacation accrual. Additionally, SCAG is implementing a vacation cash-out policy at maximum cost of \$267,000 next fiscal year.

FISCAL IMPACT:

The FY 2020-21 Comprehensive Budget serves to guide the management of the agency's financial resources. The OWP is SCAG's transportation planning grant application for federal and state funds and contract for the state fiscal year, July 1 through June 30. Approval of the budget document will allow SCAG to receive federal and state planning funds for FY 2020-21.

ATTACHMENT(S):

1. Resolution No. 20-621-2
2. FY 2020-21 Final Comprehensive Budget
3. FY 2020-21 Final OWP http://www.scag.ca.gov/Documents/FY20-21_FinalOWP.pdf



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
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Los Angeles, CA 90017
T: (213) 236-1800
www.scag.ca.gov

RESOLUTION NO. 20-621-2

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG)
ADOPTING THE FISCAL YEAR 2020-21
COMPREHENSIVE BUDGET**

REGIONAL COUNCIL OFFICERS

- President
Bill Jahn, Big Bear Lake
- First Vice President
Rex Richardson, Long Beach
- Second Vice President
Clint Lorimore, Eastvale
- Immediate Past President
Alan D. Wapner, San Bernardino
County Transportation Authority

COMMITTEE CHAIRS

- Executive/Administration
Bill Jahn, Big Bear Lake
- Community, Economic &
Human Development
Peggy Huang, Transportation
Corridor Agencies
- Energy & Environment
Linda Parks, Ventura County
- Transportation
Cheryl Viegas-Walker, El Centro

WHEREAS, the Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization, for the six county region consisting of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial counties pursuant to 23 U.S.C. § 134 et seq. and 49 U.S.C. §5303 et seq.; and

WHEREAS, SCAG has developed the Fiscal Year (FY) 2020-21 Comprehensive Budget that includes the following budget components: the Overall Work Program (OWP); the FTA Discretionary and Formula Grant Budget; the TDA Capital and Debt Service Budget; the General Fund Budget; the Indirect Cost Budget (ICAP); and the Fringe Benefits Budget; and

WHEREAS, the OWP is the basis for SCAG’s annual regional planning activities and budget; and

WHEREAS, in conjunction with the OWP Agreement and Master Fund Transfer Agreement, the OWP constitutes the annual funding contract between the State of California Department of Transportation (Caltrans) and SCAG for the Consolidated Planning Grant (CPG), the Sustainable Transportation Planning Grants, and the Adaptation Planning Grants; and

WHEREAS, SCAG is also eligible to receive other Federal and/or State grant funds and/or local funds for certain regional transportation planning related activities. For such funding upon award, the funds are implemented through the OWP and SCAG and the applicable Federal or State agency shall execute the applicable grant agreement(s); and

WHEREAS, SCAG’s Regional Council authorized release of the FY 2020-21 Draft OWP for a thirty-day public comment period on March 5, 2020, and submitted the Draft OWP to Caltrans, the Federal Transportation Agency and the Federal Highway Administration for review and comment. All comments received to the Draft OWP have been addressed and incorporated into the FY 2020-21 Final OWP within the Comprehensive Budget as appropriate; and

WHEREAS, the FY 2020-21 Comprehensive Budget, along with its corresponding staff report and this resolution, has been reviewed and discussed by SCAG’s Regional Council at its meeting on May 7, 2020.

Attachment: Resolution No. 20-621-2 [Revision 1] (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

NOW, THEREFORE, BE IT RESOLVED, by the Regional Council of the Southern California Association of Governments, that the FY 2020-21 Comprehensive Budget is approved and adopted.

BE IT FURTHER RESOLVED THAT:

1. The Regional Council hereby authorizes submittal of SCAG’s approved FY 2020-21 OWP to the participating State and Federal agencies.
2. The Regional Council hereby authorizes submittal of SCAG’s approved FY 2020-21 Indirect Cost Allocation Plan (ICAP) to the participating State and Federal agencies.
3. SCAG pledges to pay or secure in cash or services, or both, the matching funds necessary for financial assistance.
4. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby designated and authorized to execute all related agreements and other documents on behalf of the Regional Council.
5. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make and submit to the applicable funding agencies, the necessary work program, and budget modifications to the FY 2020-21 OWP based on actual available funds and to draw funds as necessary on a line of credit or other requisition basis.
6. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to submit grant applications and execute the applicable grant agreements and any amendments with the applicable Federal or State agency and to implement grant funds through SCAG’s OWP, and this includes submittal and execution of the required Overall Work Program Agreement (OWPA) and the Master Fund Transfer Agreement (MFTA) with Caltrans, as part of the Caltrans Sustainable Transportation Planning Grant Programs.
7. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make administrative amendments to the FY 2020-21 OWP that do not affect the delivery of regional transportation planning tasks, activities, steps, products, or the funding amounts listed on the OWPA.
8. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to negotiate and execute subrecipient agreements (e.g., memorandum of understanding) and related documents, on behalf of the Regional Council, involving the expenditure of funds programed under the FY 2020-21 Comprehensive Budget.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting this 7th day of May, 2020.

William “Bill” Jahn
President, SCAG

Attested by:

Kome Ajise
Executive Director

Approved as to Form:

Justine Block
Acting Chief Counsel



FINAL
**Comprehensive
Budget**

Fiscal Year 2020–2021

May 2020

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Southern California Association of Governments

Comprehensive Budget

Fiscal Year 2020-21

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FINAL
**Comprehensive
Budget**
Fiscal Year 2020–2021

SECTION I
Overview

Organization

Introduction

This document contains the Southern California Association of Governments (SCAG) or Association Comprehensive Budget for Fiscal Year (FY) 2020-21.

The annual budget for consists of:

- The Overall Work Program (OWP)
A federal, state and locally funded budget consisting of projects related to regional planning in the areas of transportation, housing and the environment.
- Federal Transit Administration (FTA) Discretionary & Formula Grant Budget
A budget for federal grant funds of which SCAG is the designated recipient and must pass through to eligible public agencies for specialized transportation programs and projects.
- Transportation Development Act (TDA) Capital & Debt Service Budget
A budget for the local transportation funds that the Transportation Commissions in Los Angeles, Orange, Riverside and San Bernardino counties allocate to SCAG as the multi-county planning agency for the region.
- The General Fund Budget (GF)
A budget that utilizes Association members' dues for activities not eligible for federal and state funding.
- The Indirect Cost Budget (IC)
The budget for the administrative and operations support of the Association.
- The Fringe Benefits Budget (FB)
The budget for the fringe benefits and leave time of Association employees.

Organization

SCAG Organization

SCAG, founded in 1965, is a Joint Powers Authority under California state law, established as an association of local governments and agencies that voluntarily convene as a forum to address regional issues. Under federal law, SCAG is designated as a Metropolitan Planning Organization (MPO) and under state law as the Multicounty Designated Transportation Planning Agency for the six (6) county Southern California region. Through SCAG, city and county governments throughout Southern California come together to develop solutions to common problems in transportation, housing, air quality, and other issues.

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

SCAG's primary responsibilities include: the development of the Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS); the Federal Transportation Improvement Program (FTIP); the annual OWP; and the transportation-related portions of local air quality management plans. Under the federal Clean Air Act, SCAG is responsible for determining if regional transportation plans and programs are in conformity with of applicable state air quality plans. SCAG's additional functions include the intergovernmental review of regionally significant development projects, and the periodic preparation of a Regional Housing Needs Assessment (RHNA).

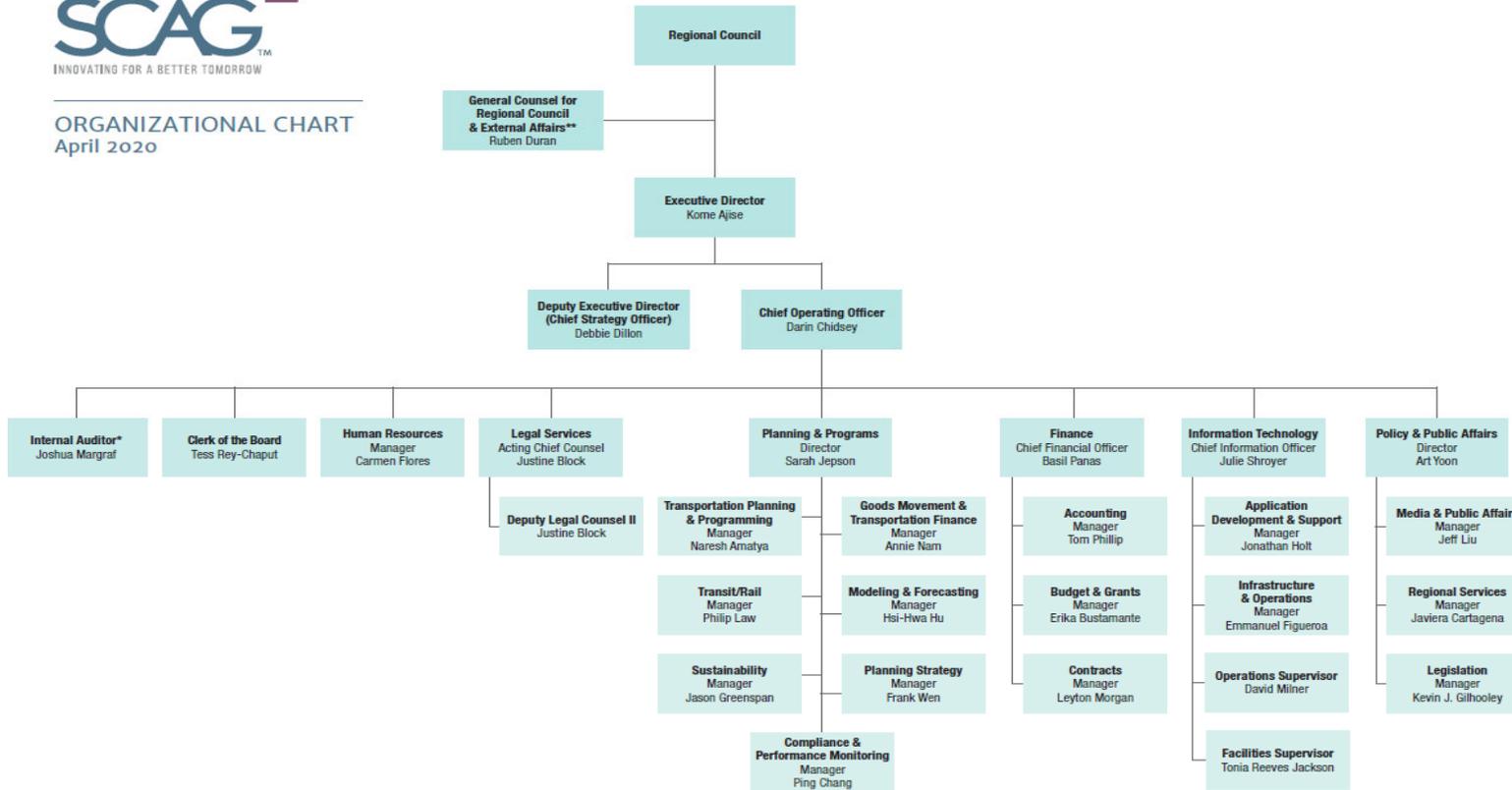
In addition to the six (6) counties and 191 cities that make up SCAG's region, there are six (6) County Transportation Commissions (CTCs) that hold the primary responsibility for programming and implementing transportation projects, programs and services in their respective counties. The agency also operates via a number of critical partnerships at the local, state and federal levels. In addition to its federal and state funding partners (Federal Highway Administration (FHWA), FTA, Federal Aviation Administration, California Transportation Commission, California Department of Transportation (Caltrans), etc.), SCAG's planning efforts are closely coordinated with regional transit operators, Tribal Governments and fifteen sub-regional Councils of Governments (COGs) or joint power agencies that represent SCAG's cities and counties.

The framework for developing the FY 2020-21 Comprehensive Budget is SCAG's multi-year Strategic Plan that focuses on SCAG's vision and priorities and improves the organization and its operations. The FY 2020-21 Comprehensive Budget supports Strategic Plan Goal #7 – Secure funding to support agency priorities to effectively and efficiently deliver work products. All the work programs funded in the budget support at least one of the seven Strategic Plan Goals.

Organization



ORGANIZATIONAL CHART April 2020



* Takes direction from the Audit Committee
** Takes direction from the Regional Council

AJZ/SCAG Org Chart 2020.04.21

Strategic Plan

Strategic Plan Components

Vision Statement

Southern California's Catalyst for a Brighter Future.

Mission Statement

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

Core Values

Be Open

Be accessible, candid, collaborative and transparent in the work we do.

Lead by Example

Commit to integrity and equity in working to meet the diverse needs of all people and communities in our region.

Make an Impact

In all endeavors, effect positive and sustained outcomes that make our region thrive.

Be Courageous

Have confidence that taking deliberate, bold and purposeful risks can yield new and valuable benefits.

Strategic Plan

Strategic Plan Goals

GOAL #1

Produce innovative solutions that improve the quality of life for Southern Californians.

Objectives

- A. Create plans that enhance the region's strength, economy, resilience and adaptability by reducing greenhouse gas (GHG) emissions and air pollution.
- B. Be the leading resource for best practices that lead to local implementation of sustainable and innovative projects.
- C. Ensure quality, effectiveness, and implementation of plans through collaboration, pilot testing, and objective, data-driven analysis.
- D. Identify partnership opportunities with the private sector yield public benefits.
- E. Facilitate inclusive and meaningful engagement with diverse stakeholders to produce plans that are effective and responsive to community needs.
- F. Partner with the broader research community to ensure plans are informed by the most recent research and technology.

GOAL #2

Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Objectives

- A. Cultivate dynamic knowledge of the major challenges and opportunities relevant to sustainability and quality of life in the region.
- B. Develop and implement effective legislative strategies at both the state and federal level.
- C. Advocate for the allocation, distribution and expenditure of resources to meet the region's needs.
- D. Promote and engage partners in a cooperative regional approach to problem-solving.
- E. Act as the preeminent regional convener to shape regional, state and national policies.

GOAL #3

Be the foremost data information hub for the region.

Objectives

- A. Develop and maintain models, tools, and data sets that support innovative plan development, policy analysis and project implementation.
- B. Become the information hub of Southern California by improving access to current, historical, local, and regional data sets that reduce the costs of planning and increase the efficiency of public services.

Strategic Plan

- C. Allocate resources to accelerate public sector innovation related to big data, open data and smart communities with a focus on social equity in the deployment of new technologies across the region.
- D. Develop partnerships and provide guidance by sharing best practices and promoting collaborative research opportunities with universities, local communities and the private sector regionally, nationally, and internationally.
- E. Facilitate regional conversations to ensure data governance structures are in place at the local and regional level to standardize data sets, ensure timely updates of data, and protect the region's data systems and people.
- F. Model best practices by prioritizing continuous improvement and technical innovations through the adoption of interactive, automated, and state-of-the-art information tools and technologies.

GOAL #4

Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

Objectives

- A. Promote information-sharing and local cost savings with enhanced services to member agencies through networking events, educational and training opportunities, technical assistance, and funding opportunities.
- B. Provide resources and expertise to support local leaders and agencies in implementing regional plans.
- C. Expand SCAG's ability to address local and regional planning and information needs by prioritizing regular engagement with members to develop innovative, insight-driven, and interactive tools.
- D. Promote data-driven decision making, government transparency, and information as public engagement tools to increase opportunities for the public to inform local and regional policy.
- E. Identify, support, and partner with local champions to foster regional collaboration.

GOAL #5

Recruit, support, and develop a world-class workforce and be the workplace of choice.

Objectives

- A. Integrate the Strategic Plan into SCAG's day-to-day operations by defining roles and responsibilities across the agency.
- B. Prioritize a diverse and cooperative environment that supports innovation, allows for risk-taking, and provides opportunities for employees to succeed.
- C. Encourage interdepartmental collaboration through the use of formal and informal communication methods.

Strategic Plan

- D. Adopt and support enterprise-wide data tools to promote information sharing across the agency.
- E. Anticipate future organizational needs of the agency by developing a systematic approach to succession planning that ensures leadership continuity and cultivates talent.
- F. Invest in employee development by providing resources for training programs, internal mentorship opportunities, and partnerships with universities.
- G. Foster a culture of inclusion, trust, and respect that inspires relationship-building and employee engagement.

GOAL #6

Deploy strategic communications to further agency priorities and foster public understanding of long- range regional planning.

Objectives

- A. Leverage cutting-edge communication tools and strategies to maximize connectivity and sustain regional partnerships.
- B. Produce clear and consistent communications, media, and promotional campaigns that exemplify agency values and standards.
- C. Enhance the SCAG brand as a respected and influential voice for the region increasing awareness of agency's work and purpose.
- D. Practice robust public engagement, conducting proactive outreach to traditionally underrepresented communities as well as long-term stakeholders.

GOAL #7

Secure funding to support agency priorities to effectively and efficiently deliver work products.

Objectives

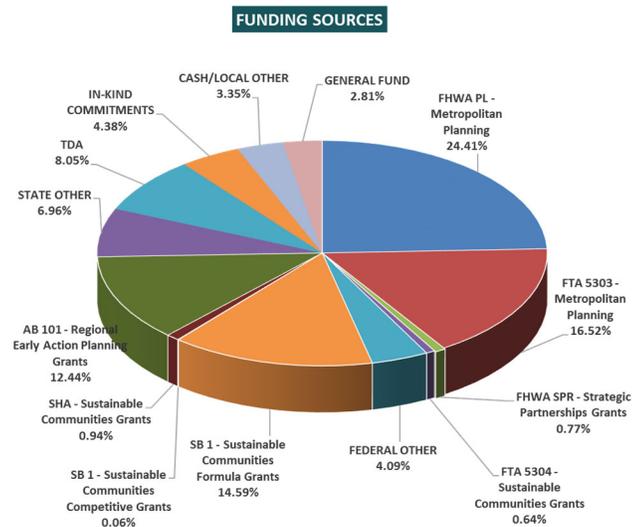
- A. Pursue innovative funding opportunities for planning and infrastructure investments.
- B. Maximize efficiency and effectiveness in resource allocation to maintain adequate working capital, appropriate reserves, and investments, and utilize resources in a timely and responsible fashion.
- C. Pioneer best practices and streamline administrative processes to better support agency activities.
- D. Focus resources to maintain and expand programs that are aligned with agency values.

Comprehensive Budget

FY 2020-21 Comprehensive Budget

Budget Funding Sources

SCAG receives most of its funding from the Federal Consolidated Planning Grant (CPG) which consists of Metropolitan Planning Funds from FHWA (FHWA PL) and FTA (FTA Section 5303). More information on CPG is detailed on page 14. The following chart illustrates the source and relative value of SCAG's funding sources.



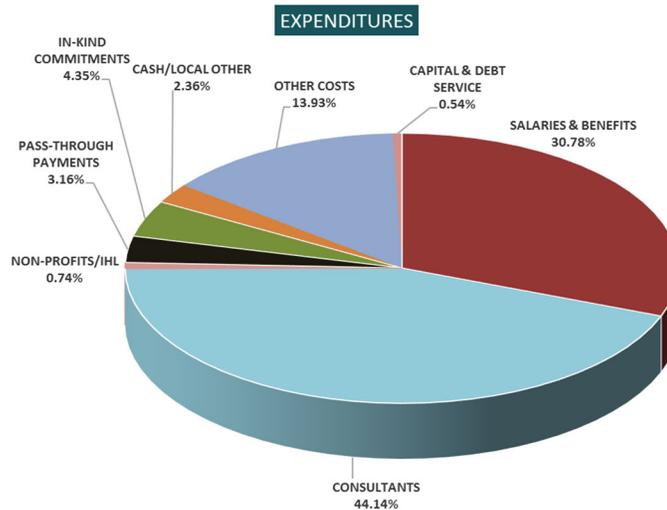
*May not total 100.00% due to rounding

Funding Sources	Amount
FHWA PL - Metropolitan Planning	23,289,431
FTA 5303 - Metropolitan Planning	15,764,886
FHWA SPR - Strategic Partnerships Grants	739,175
FTA 5304 - Sustainable Communities Grants	607,848
FEDERAL OTHER	3,906,532
SB 1 - Sustainable Communities Formula Grants	13,921,538
SB 1 - Sustainable Communities Competitive Grants	54,003
SHA - Sustainable Communities Grants	893,635
AB 101 - Regional Early Action Planning Grants	11,867,755
STATE OTHER	6,643,885
TDA	7,680,345
IN-KIND COMMITMENTS	4,177,451
CASH/LOCAL OTHER	3,195,521
GENERAL FUND	2,683,973
SUBTOTAL	95,425,978
INDIRECT COST CARRYFORWARD	555,465
TOTAL REVENUES	95,981,443

Comprehensive Budget

Budget Expenditures

SCAG allocates its budget into four major expenditure categories. The following chart illustrates the relative values of each category.



Expenditures	Amount
SALARIES & BENEFITS	\$ 29,541,881
CONSULTANTS	42,366,024
NON-PROFITS/IHL	705,601
PASS-THROUGH PAYMENTS	3,031,153
IN-KIND COMMITMENTS	4,177,451
CASH/LOCAL OTHER	2,268,972
OTHER COSTS	13,373,545
CAPITAL & DEBT SERVICE	516,816
TOTAL EXPENDITURES	\$ 95,981,443

*Other includes direct and indirect non-labor costs (see pages 12-13)

**Consultants includes the cost categories: Consultant, Consultant TC, and Cloud Services (see page 12)

Comprehensive Budget

Comprehensive Line Item Budget: FY18 through FY21

GL Account	Line Item	FY18 Actuals	FY19 Actuals	FY20 Adopted	FY21 Proposed	% Incr. (Decr)
500XX	Staff	\$ 14,275,871	\$ 14,964,261	\$ 17,144,874	\$ 19,255,349	12%
54300	Consultant	8,997,306	10,578,095	30,700,604	33,324,206	9%
54302	Non-Profits/IHL	-	82,664	485,000	705,601	45%
54303	Consultant TC	-	-	6,265,889	6,919,788	10%
54340	Legal	220,154	155,301	360,000	190,000	-47%
54360	Pass-Through Payments	8,424,962	2,124,650	4,480,619	3,031,153	-32%
55210	Software Support	519,697	549,754	769,400	1,606,300	109%
55220	Hardware Support	123,077	296,843	415,000	2,715,000	554%
55230	Computer Maintenance	-	-	250,000	-	-100%
55240	Repair-Maintenance	21,903	30,698	26,500	26,500	0%
55250	Cloud Services	-	217,816	489,330	2,122,030	334%
5528X	3rd Party Contributions	2,918,831	3,326,903	5,739,013	5,569,260	-3%
55284	Toll Credits	-	-	718,703	-	-100%
55310	Furniture & Fixture Principal	97,023	228,569	239,928	251,852	5%
55315	Furniture & Fixture Interest	19,464	50,598	39,239	27,315	-30%
55320	Audio-Visual Equipment Principal	33,766	126,639	133,703	141,160	6%
55325	Audio-Visual Equipment Interest	6,193	33,198	26,135	18,678	-29%
55400	Office Rent / Operating Expense	877,112	816,099	1,538,000	2,192,805	43%
55410	Office Rent Satellite	152,668	171,470	260,000	260,000	0%
55415	Off-Site Storage	2,947	3,866	5,000	5,000	0%
55420	Equipment Leases	114,674	61,180	100,000	100,000	0%
55425	Lease Obligation Payment	1,555,787	-	-	-	-
55430	Equipment Repair-Maintenance	40,551	38,090	1,000	1,000	0%
55435	Security Services	64,218	58,139	100,000	100,000	0%
55440	Insurance	150,011	226,247	238,385	285,931	20%
55441	Payroll / Bank Fees	25,593	27,536	27,500	30,000	9%
55445	Taxes	5,659	2,523	5,000	5,000	0%
55460	Materials & Equipment < \$5,000	1,440,975	37,173	64,000	64,000	0%
55510	Office Supplies	84,206	59,810	73,800	73,800	0%
55520	Graphic Supplies	5,119	13,333	7,500	9,000	20%
55530	Telephone	177,299	136,091	195,000	195,000	0%
55540	Postage	305	9,998	12,000	10,000	-17%
55550	Delivery Services	3,587	4,088	5,000	5,000	0%
55580	Outreach/Advertisement	74,156	93,808	50,000	50,000	0%
55600	SCAG Memberships	151,396	206,919	192,200	208,200	8%
55610	Professional Memberships	11,514	9,130	15,500	13,000	-16%
55611	Professional Dues	-	600	1,350	1,350	0%
55620	Resource Materials/Subscriptions	451,350	320,250	1,007,255	672,300	-33%
55700	Depreciation - Furniture & Fixture	80,790	170,183	185,000	185,000	0%
55715	Amortization - Software	161,873	91,018	1,684	-	-100%
55720	Amortization - Lease	35,007	70,623	62,500	75,000	20%
55725	Fixed Asset Write-Down	15,548	-	-	-	-
55730	Capital Outlay	1,656,202	141,433	300,000	100,000	-67%
55800	Recruitment - Advertising	12,937	7,645	25,000	25,000	0%
55801	Recruitment - Other	20,676	17,930	45,000	45,000	0%
55810	Public Notices	5,894	59,136	59,500	97,500	64%
55820	Staff Training	70	1,973	30,000	30,000	0%
55830	Networking Meetings/Special Events	18,942	12,603	27,000	24,000	-11%
55840	Training Registration	-	53,890	65,000	65,000	0%
55860	Scholarships	36,000	32,000	32,000	36,000	13%
55910	RC/Committee Meetings	22,032	9,469	25,000	15,000	-40%
55912	RC Retreat	9,734	-	10,000	13,000	30%
55914	RC General Assembly	557,488	640,155	672,000	611,500	-9%

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Comprehensive Budget

Comprehensive Line Item Budget: FY18 thru FY21 (continued)

GL Account	Line Item	FY18 Actuals	FY19 Actuals	FY20 Adopted	FY21 Proposed	% Incr. (Decr)
55915	Demographic Workshop	26,785	27,423	28,000	28,000	0%
55916	Economic Summit	108,378	84,937	100,000	85,000	-15%
55918	Housing Summit	-	-	20,000	20,000	0%
55920	Other Meeting Expense	127,825	108,558	131,500	112,250	-15%
55925	RHNA Subregional Delegation	-	-	500,000	-	-100%
55930	Miscellaneous Other	192,421	185,868	405,694	1,971,894	386%
55936	Engagement Committee	-	-	-	20,000	
55937	Employee Recognition	-	-	-	15,000	
55938	Department Allowances	-	-	-	15,000	
55940	Stipend-RC Meetings	191,350	194,130	210,485	195,000	-7%
55950	Temporary Help	177,077	40,718	105,000	106,000	1%
55980	Contingency - General Fund	907,338	(5,428,815)	-	260	
55995	Disallowed Grant Costs	-	4,832,192	-	-	0%
56100	Printing	29,713	54,410	68,000	50,000	-26%
58100	Travel	232,040	197,669	427,590	374,766	-12%
58101	Travel - Local	72,254	69,800	73,500	75,000	2%
58110	Mileage	88,011	69,983	76,000	79,000	4%
58150	Staff Lodging Expense	13,294	12,880	13,500	13,000	-4%
58200	Travel-Registration Fees	53,445	-	-	-	
58800	RC Sponsorships	184,596	251,433	200,000	150,000	-25%
59090	Expense - Local Other	1,592,130	465,138	6,268,529	877,163	-86%
60041	Vacation Cash Out	-	-	-	266,967	
60110	Retirement-PERS	3,737,123	4,203,649	5,389,857	6,018,361	12%
60120	Retirement-PARS	73,867	75,344	75,094	76,595	2%
60200	Health Insurance - Active Employees	1,212,326	1,247,798	1,478,400	1,670,400	13%
60201	Health Insurance - Retirees PAYGO	537,875	560,022	636,009	698,772	10%
60202	Health Insurance - Retirees GASB 45	317,727	320,067	242,805	-	-100%
60210	Dental Insurance	180,804	181,403	235,826	277,049	17%
60220	Vision Insurance	50,173	50,027	65,501	74,275	13%
60225	Life Insurance	86,181	86,869	78,190	92,345	18%
60240	Medicare Tax Employers Share	204,226	197,770	240,279	270,866	13%
60245	Social Security Tax Employers	19,210	-	-	-	
60250	Medicare Tax ER - Interns	-	3,438	6,917	6,931	0%
60255	Social Security ER - Interns	-	14,699	36,491	36,567	0%
60300	Tuition Reimbursement	39,836	24,986	43,776	43,776	0%
60310	Transit Passes	140,382	123,557	137,749	212,795	54%
60315	Bus Passes NT - Interns	-	15,395	38,093	38,174	0%
60320	Carpool Reimbursement	420	420	420	420	0%
60400	Workers Compensation Insurance	132,586	205,585	170,048	205,585	21%
60405	Unemployment Compensation Insurance	34,585	40,469	35,000	35,000	0%
60410	Miscellaneous Employee Benefits	80,273	74,427	66,954	91,254	36%
60415	SCAG 457 Match	81,129	102,915	96,500	109,000	13%
60450	Benefits Administrative Fees	3,204	3,474	3,508	43,400	1137%
60500	Automobile Allowance	18,420	26,412	14,400	18,000	25%
	Total	54,627,570	45,095,447	91,441,726	95,981,443	5%

*Totals may not add due to rounding



FINAL Comprehensive Budget

Fiscal Year 2020-2021

SECTION II Budget Components

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Overall Work Program

Overall Work Program (OWP)

The Flow of Funds

Traditionally, the majority of OWP funding has come to SCAG via the Federal appropriations process. Some funding has been directly allocated to SCAG, and some has “passed through” via Caltrans.

Summary of Revenue Sources

Consolidated Planning Grant (CPG)

In 1997, FHWA/FTA instituted a transportation planning funds process called CPG. In California, the four CPG fund sources are described below.

1. FHWA Metropolitan Planning (FHWA PL)

Metropolitan Planning funds, otherwise known as PL funds, are available for MPOs to carry out the metropolitan transportation planning process required by 23 U.S.C. 134, including development of metropolitan area transportation plans and transportation improvement programs.

The state must make all federally authorized PL funds available to the MPOs in accordance with a formula developed by the state, in consultation with the MPOs and approved by the FHWA.

2. FTA Metropolitan Planning, Section 5303 (FTA §5303)

All MPOs with an urbanized area receive FTA §5303 funds each year to develop transportation plans and programs. The percentage of the California apportionment of FTA §5303 each MPO receives is determined by a formula agreed to by the MPOs, Caltrans and FTA.

The FTA §5303 formula has two components, a base allocation and a population component which distributes funds according to the MPOs percentage of statewide urbanized area population as of the most recent decennial census.

3. FHWA State Planning and Research Part I – Strategic Partnership Grants (SP&R)

Funds transportation planning studies in partnership with Caltrans that address the regional, interregional and statewide need of the State highway system, and assist in achieving other State goals. Caltrans awards these grants through an annual, competitive selection process.

Overall Work Program

4. FTA State Planning and Research, Section 5304 Strategic Partnerships – Transit (FTA §5304)

Funds local and regional multimodal transportation and land use planning projects that further the region’s RTP/SCS, contribute to the State’s GHG reduction targets, and assist in achieving other State goals. Caltrans awards these grants through an annual, competitive selection process.

Sustainable Communities Competitive Grants

Beginning in FY 2017-18, the Sustainable Communities Competitive Grants reside under the Sustainable Transportation Planning Grant Program and include the traditional State Highway Account (SHA) funds and Senate Bill (SB) 1 funds that are deposited into the Road Maintenance and Rehabilitation Account (RMRA). Caltrans awards these grants through an annual, competitive selection process.

SHA, Sustainable Communities Grants

Funds local and regional multimodal transportation and land use planning projects that further the region’s RTP/SCS, contribute to the State’s GHG reduction targets, and assist in achieving other State goals.

Sustainable Communities Formula Grants

Beginning in FY 2017-18, approximately \$12.5 million in Sustainable Communities Formula Grants from SB 1 reside under the Sustainable Transportation Planning Grant Program and are allocated via formula (consistent with the FHWA PL formula) to the 18 MPOs. These funds are for local and regional multimodal transportation and land use planning projects that further the region’s RTP/SCS, contribute to the State’s GHG reduction targets, and assist in achieving other State goals.

Local Funds

Each of the funding sources described above requires that local cash or in-kind services be provided as match. The Association uses a combination of the following sources for match:

TDA

State of California Public Utilities Code Section 99233.2 authorizes the Transportation Commissions in Los Angeles, Orange, Riverside, and San Bernardino counties to allocate up to $\frac{3}{4}$ of 1 percent of their local transportation funds to SCAG as the multi-county planning agency for the region. As the largest source of non-federal funding received by SCAG, TDA is used to fund local initiatives and to provide cash match as needed for projects funded with state or federal funds.

Overall Work Program

Cash Match/Local Funds

Funding from local agencies is provided to SCAG to serve as matching funds to the CPG and other grants that require local match for consultant expenditures as a condition of receiving grant funds. For example, the CPG requires a match of 11.47%. In addition, local agencies such as Transportation Commissions periodically provide funding for specific projects such as localized modeling work.

In-Kind Match

The CPG and other grants accept in-kind match, as well as cash match, to fulfill the local match requirement for staff costs that is a condition of receiving grant funds. In-kind match reflect services, such as staff time, provided by a local agency in support of the work funded by a grant.

FTA Pass-Through Funds

As the Designated Recipient of Section 5339 and Section 5312 FTA funds, SCAG is required to pass them through to eligible public agencies. SCAG administers these grant programs which provide capital funding to replace, rehabilitate and purchase buses, vans, and fixed guide-way, as well as to construct related facilities and to purchase related equipment.

Special Grant Funds

SCAG receives various discretionary grant funds to carry out a wide array of planning programs such as Go Human Campaign, Pedestrian and Bicycle Safety Program, Clean Cities Coalition, Future Communities Pilot Program, and Caltrans Local Assistance Active Transportation Program.

AB2766/Mobile Source Air Pollution Reduction Review Committee (MSRC) Funds

State Health & Safety Code Section 44225 (AB2766) established MSRC to develop a work program to fund projects which help reduce air pollution from motor vehicles within the South Coast Air District. MSRC provides to SCAG the financial assistance which primarily supports Go Human Campaign and Future Communities Pilot Program.

Office of Traffic Safety (OTS) Pedestrian and Bicycle Safety Funds

California OTS competitively award to various agencies for projects that increase awareness of traffic rules, rights, and responsibilities among different age groups.

Overall Work Program

Department of Energy/National Energy Tech Lab Funds

The Department of Energy/National Energy Tech Lab provides financial assistance to fund projects which provide technical aid and targeted outreach, within the coalition's territory, to raise awareness and foster a greater understanding of alternative fuels and advanced vehicle technologies in order to increase the market and decrease petroleum dependence.

Active Transportation Program (ATP) Funds

ATP was created by Senate Bill 99 (Chapter 359, Statutes of 2013) and Assembly Bill 101 (Chapter 354, Statutes of 2013) to encourage increased use of active modes of transportation, such as biking and walking. The ATP program is funded from various federal and state funds appropriated in the annual Budget Act. Caltrans provides the administrative oversight for the Programs and ensures that the terms and conditions of the California Transportation Commission's guidelines.

Regional Early Action Planning (REAP) Grants Program

The California 2019-20 Budget Act, also known as Assembly Bill (AB) 101, appropriated two new one-time programs to provide regions and jurisdictions with grants for planning activities to enable jurisdictions to increase housing planning and accelerate housing production in order to meet housing needs as determined by the sixth Regional Housing Needs Assessment (RHNA). Up to \$47.5 million is available for SCAG under the REAP Grants Program for eligible activities. This budget includes an advance allocation of the REAP Grants Program funds awarded to SCAG on April 14, 2020 in the amount of \$11,867,755.75.

Overall Work Program

OWP Budget Document

The core regional transportation planning document is the OWP and its core product is completion of the Regional Transportation Plan (RTP). The OWP is developed by SCAG on an annual basis, and:

- Introduces the agency
- Provides users with an overview of the region
- Focuses on the SCAG regional planning goals and objectives

The OWP serves as the planning structure that SCAG must adhere to for the state fiscal year, which is July 1 through June 30 of the following calendar year. The OWP includes three component pieces:

1. Regional Prospectus

The prospectus section provides the context for understanding the work activities proposed and gives information about the region. It includes, but is not limited to:

- The region's regional planning approach
- The agency's organizational structure and interagency arrangements
- An overview of governmental and public involvement
- The progress made towards implementing the RTP/SCS

2. Work Elements

The Work Element identifies specific planning work to be completed during the term of the OWP, as well as a narrative of previous, on-going and future year's work to be completed. It also includes the sources and uses of funds.

3. Budget Revenue & Expenditure Reports

These summary reports are a listing of all the work elements in the OWP by funding sources and expenditure category.

The OWP, in conjunction with the Overall Work Program Agreement (OWPA) and the regional planning Master Fund Transfer Agreement (MFTA), constitutes the annual funding agreement between the State and SCAG. Although the OWP includes all planning projects to be undertaken by SCAG during the fiscal year, the OWPA and MFTA do not include special federal and state grants.

Overall Work Program

OWP Line Item Budget

The OWP Budget can be viewed two ways: The first is a line item budget displaying how the OWP budget is allocated. The second is a chart showing the same budget by project and major budget category.

Following the budget tables are brief descriptions of each project in the OWP.

Cost Category	FY20 Adopted	FY21 Proposed	Incr (Decr)
500XX Staff	\$ 8,651,727	9,434,096	\$ 782,369
54300 Consultant	29,075,454	30,910,906	1,835,452
54302 Non-Profits/IHL	485,000	705,601	220,601
54303 Consultant TC	6,265,889	6,919,788	653,899
55305 Cloud Services	489,330	2,122,030	1,632,700
54340 Legal	200,000	50,000	(150,000)
55210 Software support	250,000	250,000	-
5528X Third party contribution	5,739,013	5,569,260	(169,753)
55284 Toll Credits	718,703	-	(718,703)
55520 Graphic supplies	5,000	5,000	-
55540 Postage	2,000	-	(2,000)
55580 Outreach/Advertisement	50,000	50,000	-
55610 Professional membership	2,500	-	(2,500)
55620 Resource materials/subscriptions	934,455	610,000	(324,455)
55810 Public notices	57,000	95,000	38,000
55830 Networking Meetings/Special Events	3,500	4,000	500
55920 Other meeting expense	54,000	23,250	(30,750)
55930 Miscellaneous other	184,828	1,818,730	1,633,902
56100 Printing	15,000	17,000	2,000
58100 Travel	252,250	213,966	(38,284)
58101 Travel-local	17,500	7,500	(10,000)
58110 Mileage	24,000	24,000	-
Sub-total	\$ 53,477,149	58,830,127	\$ 5,352,978
51000 Fringe benefits	\$ 6,641,021	7,290,965	\$ 649,944
51001 Indirect costs	\$ 19,069,577	21,907,080	\$ 2,837,503
Total	\$ 79,187,747	88,028,172	\$ 8,840,425

*Totals may not add due to rounding

Overall Work Program

This table shows the same budget by program and major budget category.

	Program	FY21 Proposed Budget			
		Total *	Other Costs	Consultant	Consultant TC
010	System Planning	1,770,400	820,400	-	950,000
015	Transportation Finance	527,102	427,102	-	100,000
020	Environmental Planning	993,313	793,313	-	200,000
025	Air Quality and Conformity	673,063	623,063	-	50,000
030	Federal Transportation Improvement Program (FTIP)	2,165,534	2,165,534	-	-
045	Geographic Information Systems (GIS)	4,572,407	3,355,619	-	1,216,788
050	Active Transportation Planning	1,952,192	1,702,192	150,000	100,000
055	Regional Forecasting, Socioeconomic Technical & Policy Analysis	2,135,687	1,280,187	180,500	675,000
060	Corridor Planning	74,617	74,617	-	-
065	Sustainability Program	1,614,377	1,129,377	475,000	10,000
070	Modeling	8,643,423	7,196,893	586,530	860,000
080	Performance Assessment & Monitoring	491,981	491,981	-	-
090	Public Information and Communications	3,502,365	3,094,365	-	408,000
095	Regional Outreach and Public Participation	4,496,009	4,171,009	-	325,000
100	Intelligent Transportation Systems (ITS)	384,738	134,738	-	250,000
120	OWP Development and Administration	1,209,718	1,209,718	-	-
130	Goods Movement	2,769,700	1,744,700	-	1,025,000
140	Transit and Rail Planning	1,341,737	841,737	-	500,000
145	Sustainable Communities, Strategic Partnerships and Adaption Planning Grant Program	2,083,358	284,924	1,798,434	-
155	Sustainable Communities Planning Grant Program - State Highway Account	1,031,136	115,030	916,106	-
225	Special Grant Projects	6,304,668	958,247	5,346,421	-
230	Regional Aviation and Airport Ground Access Planning	363,902	363,902	-	-
265	Express Travel Choices Phase III	204,980	104,980	-	100,000
267	Clean Cities Program	127,520	127,520	-	-
275	Sustainable Communities Program	7,057,430	1,455,429	5,602,001	-
280	Future Communities Initiative	10,372,220	2,254,276	8,117,944	-
290	Research, Planning and Engagement for Sustainable Communities	5,382,011	3,572,011	1,810,000	-
300	Regional Early Action Planning Grants Program (AB 101)	12,291,720	4,241,720	8,050,000	-
310	Planning Strategy Development and Implementation	3,490,864	3,340,864	-	150,000
Total Costs		88,028,172	48,075,448	33,032,936	6,919,788

*Totals may not add due to rounding

*Includes indirect costs, fringe benefits, non-labor and in-kind match.

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Overall Work Program

OWP Programs

The following section provides a summary of the OWP Programs and the Strategic Plan goal(s) each program supports.

010 System Planning

Manager: Naresh Amatya

Program Objective:

Transportation System Planning involves long-term planning for system preservation, system maintenance, optimization of system utilization, system safety, and strategic system expansion of all modes of transportation for people and goods in the six-county region, including Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura. The RTP/SCS is the primary vehicle SCAG uses to achieve our transportation system planning goals and objectives. As the MPO for this region, one of SCAG's major responsibilities is to develop, administer, and update the RTP/SCS. The primary objective of this work element is to ensure SCAG is fulfilling its roles and responsibilities in this area as the designated MPO and RTPA for this region. The focus of FY 2020-21 will be to develop a framework and work with our partners towards implementation of the adopted 2020 RTP/SCS (Connect SoCal). SCAG will ensure that Connect SoCal is consistent with state and federal requirements while addressing the region's transportation needs.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

015 Transportation Finance

Manager: Annie Nam

Program Objective:

This work program is critical to addressing some of SCAG's core activities—specifically, satisfying federal planning requirements on financial constraint; ensuring a reasonably available revenue forecast through the RTP/SCS planning horizon, and addressing system level operation and maintenance cost analyses along with capital cost evaluation of transportation investments. In FY

Overall Work Program

2020-21, this work program will continue development of the Connect SoCal financial plan.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #7 – Secure funding to support agency priorities to effectively and efficiently deliver work products.

020 Environmental Planning

Manager: Ping Chang

Program Objective:

Prepare environmental documentation to ensure regulatory compliance with applicable federal and state laws. Review environmental plans, programs and projects of regional significance. Monitor changes in environmental compliance requirements such as OPR's update to the State California Environmental Quality Act (CEQA) Guidelines and recent case laws regarding CEQA litigation. The focus of FY 2020-21 will be developing Addendums to the Connect SoCal Programmatic Environmental Impact Report (PEIR), as needed, pursuant to CEQA. SCAG will initiate a CEQA Program that provides services to SCAG and local jurisdictions. Work efforts would include assisting with CEQA streamlining, AB 52 consultation, strategies for regional mitigation, implementing SCAG mitigation measures, serve in an advisory capacity for updates to the State CEQA Guidelines, coordination with sister agencies (CARB, SCAQMD, Etc.) to develop a cohesive and regionally consistent way to evaluate environmental impacts. Under this program, SCAG will also develop and disseminate strategies related to Planning and Environment Linkages (PEL), utilizing resources from the U.S. Department of Transportation (DOT) and Federal Highway Administration (FHWA). On environmental justice, SCAG staff will also monitor potential changes to EJ requirements and related policies (i.e. SB1000, AB617), provide support services to member agencies, as needed, to ensure regulatory compliance, and provide on-going outreach opportunities with local jurisdictions and EJ stakeholders to discuss and collect input on environmental justice issues relevant to the region by means of the Environmental Justice Working Group. And SCAG staff will use these outreach opportunities to monitor implementation of EJ policies and assist local jurisdictions that may benefit from SCAG's wide range of EJ analysis and data.

Overall Work Program

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

025 Air Quality and Conformity

Manager: Ping Chang

Program Objective:

Oversee and/or perform regional transportation conformity and GHG emission analyses. Ensure that the RTP/SCS, FTIP and their amendments meet federal transportation Conformity requirements and state SB 375 regional GHG emission reduction targets. Oversee and/or provide support for SCAG air quality planning, analysis, documentation and policy implementation. This includes collaboration with the California Air Resources Board (ARB) and local air districts in the SCAG region in developing air quality management plans/state implementation plans (AQMPs/SIPs), including new transportation conformity emission budgets to meet federal transportation conformity requirements. Facilitate federally required interagency consultation via SCAG’s Transportation Conformity Working Group (TCWG), including the processing and acting as clearinghouse for the particulate matter (PM) hot spot analysis for transportation projects within the region. Continue the process to ensure the timely implementation of transportation control measures (TCMs). Continue to track and participate in relevant air quality rulemaking. Collaborate with six County Transportation Commissions in the SCAG region to compile, review, and upload federally required information for projects funded by the Congestion Mitigation and Air Quality Improvement Program (CMAQ).

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 - Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Overall Work Program

030 Federal Transportation Improvement Program (FTIP)

Manager: Naresh Amatya

Program Objective:

The Federal Transportation Improvement Program (FTIP) is a multimodal list of capital improvement projects programmed over a six-year period. The FTIP is the program that implements the RTP. The currently approved FTIP is the 2019 FTIP and was federally approved and found to conform on December 17, 2019. The program contains approximately \$34.6 billion worth of projects beginning FY 2018-19 to FY 2023-24. The FTIP must include all federally funded transportation projects in the region, as well as all regionally significant transportation projects and projects for which approval from a federal agency is required regardless of funding source. The FTIP is developed to incrementally implement the programs and projects in the RTP/SCS in accordance with federal and state requirements. The FTIP is amended on an on-going basis, as necessary, thereby allowing projects consistent with the RTP/SCS to move forward toward implementation. While the 2019 FTIP continues to be amended, SCAG has begun the development of the 2021 FTIP which will be approved by our federal partners in December 2020. SCAG will also continue work to enhance the functionality of programming and performance monitoring databases that support the program.

Strategic Plan:

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

045 Geographic Information Systems (GIS)

Manager: Hsi-Hwa Hu

Program Objective:

To support SCAG’s ongoing role as a Regional Information Center and manager for all data and information related to Southern California and to provide data support and mapping capabilities to better serve the needs of Regional Transportation Plan and Sustainable Community Strategies for the agency and its local jurisdictions. This program will also provide data and information to stakeholders to promote data-driven transportation planning, economic development and enhance the effectiveness of decision-makers. Additional goals include discovering and developing cutting edge web-GIS applications and tools for data sharing and innovative planning; providing advanced spatial analytics and visualization for insights of data and information; providing an interconnected

Overall Work Program

platform for integrated transportation/land use planning and regional collaboration.

A top priority will be to develop a Regional Data Platform (RDP) in support of Goals 3, 4 and 6 of SCAG Strategic Plan; continue on the implementation and development of an Enterprise GIS system (including GIS hardware/software, GIS database, GIS analysis, and GIS applications) that will serve as the guide for meeting Objective #4 of the SCAG Strategic Plan; keep to provide GIS training, data cleanup/updating/sharing/standardizing and other value-added GIS services and products to our local jurisdictions. The program will play essential and critical roles to the implementation of Connect SoCal (2020 RTP/SCS) and 2024 RTP/SCS development process, which include enhancement of SCAG's regional highway network, regional transit network, and regional railroad network, update of regional bikeway system and regional high injury network, maintenance of regional goods movement system, delineation of detail land use and intersections of priority growth areas (such as Sphere of Influence, TPA, HQTAs, Job Centers, Neighborhood Mobility Areas, Livable Corridors) for alternative transportation modes planning.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #3 – Be the foremost data information hub for the region.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

Supports Goal #6 – Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.

Overall Work Program

050 Active Transportation Planning

Manager: Frank Wen & Marco Anderson

Program Objective:

Staff will continue to research and explore opportunities and partnerships to implement the core regional active transportation strategies. In addition, staff will develop partnerships and strategies that are coordinated with the rapid deployment of micro-mobility services to advance complete streets goals and reduce the use of SOVs for short trips. Staff will also work with Caltrans, counties and individual cities to fund local active transportation plans and multi-jurisdictional active transportation projects that are part of Connect SoCal, the 2020 RTP/SCS. Staff will begin engaging with Community Based Organizations in laying a strong foundation for development of the 2024 RTP/SCS.

Staff will also continue to manage the Regional Active Transportation Program, including providing technical assistance to project sponsors, managing planning and program grants, tracking project delivery, and preparing program amendments, as necessary. Staff will provide leadership and input at the state and regional level to ensure future funding cycles align with regional planning goals. Through continued collaboration with the California Transportation Commission, Caltrans and the Southern California Regional Transportation Planning Agencies, SCAG will also work to improve the application and allocation procedures.

Efforts will also be continued to expand regional capability to measure the impact of active transportation investments, including through better data collection, modeling and co-benefit analysis (focusing on greenhouse gas emissions, public health and the economy).

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

Overall Work Program

055 Regional Forecasting, Socioeconomic Technical & Policy Analysis

Manager: Frank Wen

Program Objective:

The key focus of this work element is to collect, compile, assess, analyze, and research socioeconomic, technology advancement, and demographic data and their trends, develop value-added information products, including but not limited to regional and county-level population, household and employment estimates and projections to inform regional planning and policy development.

This program also addresses the following: promote and advance in-house research and capacity with trainings and teaching research methodology, data, analytical tools—GIS, statistics, programming across the agency. Collaboration with universities, research institutes and international planning partners and peer agencies jointly conduct research and data sharing on important and emerging regional challenges and issues. Serve as the regional data and information hub, promote data and information driven decision making process and outcome. Additional program objectives include actively promote and advocate SCAG’s innovative planning practices and experiences across the nation and internationally by organizing and conducting summits, workshops, symposiums, participation, presentation at key conferences, and publications in the peer-reviewed journals.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of live for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #3 – Be the foremost data information hub for the region.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

Supports Goal #6 - Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.

Overall Work Program

060 Corridor Planning

Manager: Naresh Amatya

Program Objective:

Provide input to the RTP/SCS on the design concept and scope of major transportation corridor investments, as identified upon the completion of corridor planning studies conducted under this work element and in partnership with other agencies. Initiate and/or support our partners in developing comprehensive, multi-modal and sustainable corridor plans that will meet the needs of the region, including mobility choices, well maintained, sustainable and safer transportation system. Ensure that corridor planning studies are completed in accordance with federal transportation planning requirements as identified in 23 CFR 450.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

065 Sustainability Program

Manager: Jason Greenspan

Program Objective:

SCAG’s Sustainability Program is a core effort for implementing the Connect SoCal, the 2020 RTP/SCS. The program demonstrates that the region can achieve both mobility, air quality, and public health goals through local land use and policy changes along with targeted transportation investments. The program also focuses on developing regional resiliency strategies; explores pressing issues and possible challenges Southern California’s residents may face in the coming decades, including climate change impacts to public health; furthers the region’s ability to model the impacts of transportation and land use changes on public health; and considers ways to address potential disruptions to anticipated regional development patterns and transportation investments.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Overall Work Program

070 Modeling

Manager: Hsi-Hwa Hu & Emmanuel Figueroa

Program Objective:

Provide data and modeling services for the development and implementation of the RTP/SCS, FTIP, and other major land use and transportation planning initiatives. Analyze socioeconomic data and build analytical foundations for planning activities. Develop demographic and employment growth forecast through collaborating with local jurisdictions and peer planning agencies and building consensus. Continue to provide small area socioeconomic data for scenario planning and transportation modeling. Provide member agencies tools to analyze the impacts of their land use and planning decisions. Develop, maintain and improve SCAG's modeling tools to more effectively forecast travel demand and estimate resulting air quality. Maintain a leadership role in the Southern California modeling community by coordinating the Region's modeling activities and by providing technical assistance and data services to member agencies and other public institutions. Promote model consistency through an active subregional modeling program. Continue ongoing modeling collaboration with SCAG's partners to advance the region's modeling practices.

Strategic Plan:

Supports Goal #3 – Be the Foremost Data Information Hub for the Region.

080 Performance Assessment & Monitoring

Manager: Ping Chang

Program Objective:

Provide performance assessment and monitoring of the SCAG region that is consistent with federal performance-based planning, monitoring, and reporting guidance. Ensure the region is on track toward achieving the goals of the 2020 RTP/SCS (Connect SoCal) and in the implementation of Connect SoCal. Performance Assessment & Monitoring tasks include the collection and analysis of data needed to identify and evaluate regional growth and development trends, transportation system performance, environmental quality, regional sustainability and climate resilience, public health, and the socioeconomic well-being of the SCAG population, including household income and housing affordability. The results of the monitoring and assessment program provide the basis for

Overall Work Program

informed policy-making and support plan implementation, particularly in relation to regional transportation planning and required federal performance monitoring and reporting. The provision of assistance to our local jurisdictions in the implementation of the new CEQA transportation impact assessment requirements per SB 743 is also included in this task item. This program also works with the California Department of Transportation in the coordination and data collection mandated under the Highway Performance Monitoring System (HPMS).

Strategic Plan:

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

090 Public Information & Communications

Manager: Jeff Liu

Program Objective:

Develop and execute a comprehensive external communications program that informs the region's diverse audiences about SCAG programs, plans, initiatives and services. SCAG's communications strategies facilitates the agency's transportation planning activities by helping to inform the general public, media, agency stakeholders and partners about the existence, purpose and potential impact of these activities, and to convey this information in ways that are engaging and easy to understand for general audiences. SCAG communicates through various email and social media channels, engagement with local media, video production, websites, print collateral and workshops/events.

Strategic Plan:

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #6 – Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.

Overall Work Program

095 Regional Outreach & Public Participation

Manager: **Javiera Cartagena**

Program Objective:

Provide support for federal and state mandated public outreach for SCAG's planning activities. Engage regional stakeholders in the SCAG planning and programming process through the support, assessment and enhancement of outreach efforts to local governments, Tribal Governments, and members of the various stakeholder entities, including community, environmental, business, and academic groups, as well as other interested parties. The SCAG Regional Offices are critical components in these efforts, with SCAG staff assigned to an office in each county in the SCAG region.

Strategic Plan:

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

100 Intelligent Transportation Systems (ITS)

Manager: **Philip Law**

Program Objective:

Continue engaging with regional stakeholders on ITS and ITS related matters, including use and maintenance of the updated Regional ITS Architecture. Maintain the web-accessible Architecture and provide documentation to maximize usability of the Architecture and ensure on-going maintenance. Seek to provide training and educational opportunities to stakeholders on ITS related topics in partnership with FHWA/Caltrans as opportunities become available.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Overall Work Program

120 OWP Development & Administration

Manager: Erika Bustamante

Program Objective:

Develop, administer, and monitor the Overall Work Program (OWP). The OWP is a required function of SCAG as the Metropolitan Planning Organization (MPO) for this region and provides a detailed description of the planning activities that will be completed by the MPO and its partners in the fiscal year.

Strategic Plan:

Supports Goal #7 – Secure funding to support agency priorities to effectively and efficiently deliver work products.

130 Goods Movement

Manager: Annie Nam

Program Objective:

This work program focuses on integrating freight related transportation initiatives into the regional transportation planning process, including efforts to refine and support the implementation of the Comprehensive Regional Goods Movement Plan and Implementation Strategy. This strategy includes proposals set forth in Connect SoCal, the 2020 RTP/SCS.

Strategic Plan:

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #7 – Secure funding to support agency priorities to effectively and efficiently deliver work products.

Overall Work Program

140 Transit and Rail Planning

Manager: Philip Law

Program Objective:

Support and engage transit and rail operations in corridor and regional planning efforts and in further refining the transit and rail strategies for inclusion in future updates to Connect SoCal. Monitor FTA rulemaking and guidance related to new provisions for performance based planning and coordinate with transit operators to address specific requirements related to transit safety and transit asset management (TAM), as they relate to metropolitan transportation planning. Assess and monitor regional transit system performance. Work with transit operators through the Regional Transit Technical Advisory Committee to ensure stakeholder input and participation in the metropolitan transportation planning process, consistent with the SCAG MOUs with the transit operators.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

145 Sustainable Communities, Strategic Partnerships and Adaptation Planning Grant Program

Manager: Erika Bustamante

Program Objective:

To encourage local and regional planning that furthers state goals; to identify and address statewide, interregional, or regional transportation deficiencies on the State highway system; and to support planning actions at the local and regional levels that advance climate change efforts on the transportation system.

Strategic Plan:

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

Overall Work Program

155 Sustainable Communities Planning Grant Program – State Highway Account

Manager: Erika Bustamante

Program Objective:

To encourage local and regional planning that furthers state goals; to identify and address statewide, interregional, or regional transportation deficiencies on the State highway system; and to support planning actions at the local and regional levels that advance climate change efforts on the transportation system.

Strategic Plan:

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

225 Special Grant Projects

Manager: Frank Wen & Marco Anderson

Program Objective:

To fund and participate in environmental and transportation specialized projects with funding from discretionary grants and/or local funds contributed by local jurisdictions. Grants assist the region and local agencies to better integrate land use, technology and transportation planning to develop alternatives for addressing growth, sustainability and to assess efficient infrastructure investments that meet community needs. In addition, staff has secured multiple grants to support Go Human, a Regional Active Transportation Safety and Encouragement Campaign. The Campaign will be implemented in partnership with the six county health departments and six county transportation commissions and aims to increase levels of active transportation while reducing collisions. The multi-faceted campaign will include partnering with local agencies on demonstration projects, coordinating safety trainings and workshops, and increasing public awareness of the rules of the road through outreach and advertising partnerships,

SCAG will also administer an ATP grant to develop a regional template for active transportation

Overall Work Program

plans in disadvantaged communities. The template will be used to partner with at least six cities to prepare active transportation plans.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians;

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy;

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

230 Regional Aviation & Airport Ground Access Planning

Manager: Naresh Amatya

Program Objective:

Monitor progress of the 2020 RTP/SCS Aviation Program, continue ongoing regional airport and airport ground access planning work and explore new areas of research on aviation systems planning, and begin long-term planning and data collection for updating the Aviation Element in the 2024 RTP/SCS.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Overall Work Program

265 Express Travel Choices Phase III

Manager: Annie Nam

Program Objective:

Develop an implementation strategy for mobility innovations and incentives.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #7 – Secure funding to support agency priorities to effectively and efficiently deliver.

267 Clean Cities Program

Manager: Jason Greenspan

Program Objective:

Administer the U.S. Department of Energy (DOE) Clean Cities Program for the SCAG Clean Cities Coalition, including performing outreach and marketing in support of expanding alternative fuels in the SCAG region through on going funds from DOE and funds from the California Energy Commission (CEC). Partner with public and private entities to displace petroleum gasoline use by encouraging purchase of alternative vehicles, increasing efficiency of existing fleet vehicles, and reduction of vehicle miles traveled (VMT).

Strategic Plan:

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

275 Sustainable Communities Program

Manager: Jason Greenspan

Program Objective:

The Sustainable Communities Program (SCP) is a proven, recognized and effective framework for deploying essential planning resources throughout the SCAG region. This collaborative initiative provides assistance to member local jurisdictions to coordinate sustainable transportation, land use

Overall Work Program

and regional policies and issues in local planning. The SCP seeks to provide needed planning resources to local jurisdictions for sustainability planning efforts; develop local plans that support the implementation of the Connect SoCal, the 2020 RTP/SCS; and increase the region's competitiveness for federal and state funds. The program seeks planning solutions to local growth challenges and results in strategies that promote local and regional sustainability through the integration of transportation and land use, with particular focus on developing and practical strategies to reduce greenhouse gases. It will continue to be a critical tool in achieving SB 375 targets and other State goals aimed at reducing GHG emissions.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

280 Future Communities Initiative

Manager: Frank Wen & Philip Law

Program Objective:

The Future Communities Initiative, guided by the Emerging Technologies Committee, includes early action items aimed at harnessing the power of new technologies, big data, open data as well as enhanced analytics to promote innovation in regional and local planning and reduce transportation demand. Tools and resources provided through the initiative will enable more informed regional and local policy making, increase the efficiency of public service delivery, and ensure the financial sustainability of future cities. The Future Communities Initiative will play a key role in reducing VMT and GHG emissions by modernizing regional land-use and transportation planning tools, fostering data-driven collaboration with SCAG's partner agencies, and providing local agencies with planning resources to pilot new technologies and initiatives to reduce travel demand.

Overall Work Program

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of live for Southern Californians.

Supports Goal #3 – Be the foremost data information hub for the region.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

290 Research, Planning and Engagement for Sustainable Communities

Manager: Jason Greenspan & Annie Nam

Program Objective:

SCAG staff initiated implementation of the 2016 RTP/SCS immediately after its adoption, and has since launched research, planning and studies in preparation for the 2020 SCS. Much of SCAG's research and planning is focused on reducing single occupancy vehicle trips and transportation related GHG through: advancing mode shift; transportation demand management; operational efficiency; system accessibility; and integration of future transportation, employment and land use.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of live for Southern Californians.

Supports Goal #2 – Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

300 Regional Early Action Planning (REAP) Grants Program

Manager: Ping Chang & Jason Greenspan

Program Objective:

To accelerate housing production region-wide, SCAG staff will develop a variety of programs to assist local jurisdictions, subregional partners, and stakeholders. The REAP Grants Program is intended to promote housing through planning, strategies, and best practices and SCAG staff will encourage the coordination of REAP funding directed toward jurisdictions with other Statewide funding sources directly provided to jurisdictions. The REAP Grants Program will provide education and technical assistance throughout the region to meet housing need.

Overall Work Program

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of live for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

310 Planning Strategy Development and Implementation

Manager: Frank Wen

Program Objective:

This program will support a strategic framework for implementing the current Connect SoCal (2020 Regional Transportation Plan/Sustainable Communities Strategy) and for integrating existing strategies with development of the next Connect SoCal (2024 RTP/SCS). This program will coordinate and advance planning division priorities and major work programs, and coordinate projects that fall in different departments. Additionally, this program will foster partnerships with federal, state, regional, and local agencies, and identify, seek, and manage resources to advance portfolio projects.

Strategic Plan:

Supports Goal #1 – Produce innovative solutions that improve the quality of life for Southern Californians.

Supports Goal #2 – Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

Supports Goal #4 – Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

FTA Discretionary and Formula

FTA Discretionary and Formula Grant Budget

Program Overview

SCAG is the Designated Recipient of FTA Urbanized Area Formula Grants under 49 U.S.C. Section 5307 for the large urbanized areas (UZAs) with populations of 200,000 or more (according to the latest U.S. Census) in the SCAG region. Pursuant to the two-year transportation reauthorization bill that was signed into Law on July 6, 2012, the Moving Ahead for Progress in the 21st Century Act (MAP-21; P.L. 112-131), funding is authorized for 49 U.S.C. Section 5339 Bus and Bus Facilities Formula Grants Program and U.S.C. Section 5312 National Research & Technology Program to SCAG due to being the Section 5307 Designated Recipient.

As the Designated Recipient, SCAG is responsible to apply for and pass through Section 5339 and Section 5312 grant funds for specialized transportation programs and projects, which provide capital funding to replace, rehabilitate and purchase buses, vans, fixed guide-way, as well as to construct related facilities and purchase related equipment.

Line Item Budget

The following table shows the FTA Discretionary and Formula Grant line item budget.

Cost Category	FY20 Adopted	FY21 Proposed	Incr (Decr)
500XX Staff	\$ 50,282	\$ 43,832	\$ (6,450)
54360 Pass Through Payments			
Riverside Transit Agency	640,755	1,492,532	851,777
SunLine Transit Agency	1,309,864	1,132,988	(176,876)
Metro-Foothill	2,530,000	405,633	(2,124,367)
54360 Total	\$ 4,480,619	\$ 3,031,153	\$ (1,449,466)
55930 Miscellaneous Other	\$ 78,051	\$ 106,664	\$ 28,613
59090 Exp Local Other			
Riverside Transit Agency	160,289	372,901	212,612
SunLine Transit Agency	245,240	208,941	(36,299)
Metro-Foothill	5,863,000	295,321	(5,567,679)
59090 Total	\$ 6,268,529	\$ 877,163	\$ (5,391,366)
Sub-total	\$ 10,877,481	\$ 4,058,812	\$ (6,818,669)
51000 Fringe Benefits	\$ 39,976	\$ 34,979	\$ (4,997)
51001 Indirect Costs	\$ 112,546	\$ 103,226	\$ (9,320)
Total	\$ 11,030,003	\$ 4,197,017	\$ (6,832,986)

TDA Budget

TDA Budget

Program Overview

State of California Public Utilities Code Section 99233.2 authorizes the Transportation Commissions in Los Angeles, Orange, Riverside and San Bernardino counties to allocate up to ¾ of 1 percent of their local transportation funds to SCAG as the multi-county planning agency for the region. SCAG uses TDA to fund local initiatives and to provide cash match as needed for projects funded with state or federal funds.

Line Item Budget

In FY 2020-21, the TDA budget includes \$7,163,529 for SCAG consultants and staff related costs, and \$516,816 for capital purchases and debt service payments for furniture/fixtures and audio visual equipment for the new SCAG offices.

The following table shows the TDA line item budget.

	FY20 Adopted	FY21 Proposed	Incr (Decr)
REVENUES:			
TDA Revenue	\$ 6,106,496	\$ 6,312,424	\$ 205,928
Transfer from Fund Balance	4,177,615	1,367,921	(2,809,694)
Total Revenues	10,284,111	7,680,345	(2,603,766)
EXPENDITURES:			
500XX Staff	\$ 1,510,825	\$ 1,016,346	\$ (494,479)
54300 SCAG consultant	3,800,114	2,587,494	(1,212,620)
54302 Non-Profits/IHL	55,629	80,933	25,304
55250 Cloud Services	56,127	331,927	275,800
55520 Graphic Supplies	-	5,000	5,000
55920 Other meeting expense	1,376	1,250	(126)
55930 Miscellaneous other	22,252	90,692	68,440
58100 Travel	34,598	17,450	(17,148)
Sub-total	5,480,921	4,131,092	(1,349,829)
51000 Fringe benefits - Reg Staff	881,605	698,796	(182,809)
51003 Fringe benefits - Intern	82,086	28,724	(53,362)
51001 Indirect Cost	3,100,494	2,304,917	(795,577)
Non-Capital	\$ 9,545,106	\$ 7,163,529	\$ (2,381,577)
55310 F&F Principal	239,928	251,852	11,924
55315 F&F Interest	27,635	19,237	(8,398)
55320 AV Principal	133,703	141,160	7,457
55325 AV Interest	6,390	4,567	(1,823)
55730 Capital Outlay	300,000	100,000	(200,000)
55930 Miscellaneous Other	31,349	-	(31,349)
Capital & Debt Service	\$ 739,005	\$ 516,816	\$ (222,189)
Total Expenditures	\$ 10,284,111	\$ 7,680,345	\$ (2,603,766)

General Fund Budget

General Fund Budget (GF)

Program Overview

The General Fund (GF) has been established to: provide support to the Regional Council (RC) and its Subcommittees for the costs of stipends and travel; fund costs not eligible for grant reimbursement; provide a source of working capital; finance program expenditures, which must be paid prior to sending requisitions to certain federal and state grantors; and authorize establishment of, and borrowing from, a line of credit. The General Fund is not an available resource to fund project costs otherwise chargeable to grants.

The RC is responsible for conducting the affairs of SCAG pursuant to Article V (A) 4 of the By-Laws. Among other duties, the RC reviews and may revise, amend, increase or decrease the proposed annual GF budget as prepared by the Chief Financial Officer. The RC submits the approved GF budget to members of the General Assembly (GA) at least thirty (30) days before the annual meeting for review. After adoption of the budget and the annual assessment schedule by the GA, the RC controls all GF expenditures in accordance with the budget.

Membership Dues Assessments

The By-Laws require the Executive Director to annually submit the GF budget to the RC. Upon its adoption, the GA fixes membership assessment for all members of SCAG in amounts sufficient to provide the funds required by the GF budget. Member dues are calculated in accordance with the guidelines of the By-Laws.

General Fund Budget

General Fund Line Item Budget

The following table shows General Fund revenues and expenditures by task.

		FY19 Actual	FY20 Adopted Budget	FY21 Proposed Budget	FY20 Adopted To FY21 Proposed Incr (Decr)
REVENUE:	Membership Dues:				
	Counties	307,523	315,132	320,872	5,740
	Cities	1,637,939	1,690,277	1,742,925	52,648
	Commissions	88,500	88,500	88,500	-
	Transportation Corridor Agency	10,000	10,000	10,000	-
	Air Districts	10,000	10,000	10,000	-
	Sub-total	2,053,962	\$ 2,113,909	\$ 2,172,297	\$ 58,388
	Interest	132,565	95,000	130,000	35,000
	Other	138,493	41,800	41,676	(124)
	General Assembly Sponsorships & Registrations	380,145	340,000	340,000	-
Transfer from Fund Balance	-	1,354,625	-	(1,354,625)	
Sub-total	651,203	\$ 1,831,425	\$ 511,676	\$ (1,319,749)	
Total Revenues		2,705,165	\$ 3,945,334	\$ 2,683,973	\$ (1,261,361)
EXPENDITURES:					
Task .01 Regional Council	Regional Council:				
	Staff Time	351	10,102	10,285	183
	Legal Services	139,127	120,000	100,000	(20,000)
	Miscellaneous Other	15,859	-	-	-
	Networking Mtgs/Special Events	-	1,000	-	(1,000)
	Other Meeting Expense	15,497	10,000	20,000	10,000
	RC/Committee Meeting	9,469	25,000	15,000	(10,000)
	RC Retreat	-	10,000	13,000	3,000
	Stipends	194,130	210,485	195,000	(15,485)
	Travel - Outside	48,458	60,000	50,000	(10,000)
	Travel - Local	46,224	35,000	46,000	11,000
	Mileage - Local	26,999	25,000	25,000	-
Task sub-total	496,114	\$ 506,587	\$ 474,285	\$ (32,302)	
Task .02 Legislative	External Legislative:				
	Staff Time	23,465	5,718	26,715	20,997
	Federal Lobbyist	-	115,000	120,000	5,000
	Other Meeting Expense	13,343	40,000	15,000	(25,000)
	Resource Materials / Subscriptions	1,876	2,000	2,000	-
	State Lobbyist	105,519	100,000	120,000	20,000
	Travel - Outside	7,028	-	10,000	10,000
	Travel - Local	17	-	-	-
Mileage	83	-	500	500	
Task sub-total	151,331	\$ 262,718	\$ 294,215	\$ 31,497	
Task .03 RHNA	RHNA:				
	Staff Time	163,222	211,886	-	(211,886)
	Other Meeting Expense	3,000	-	-	-
	RHNA Subregional Delegation	-	500,000	-	(500,000)
	SCAG Consultant	306	-	-	-
Travel - Outside	432	-	-	-	
Task sub-total	166,960	\$ 711,886	\$ -	\$ (711,886)	

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

General Fund Budget

General Fund Line Item Budget (continued)

		FY19 Actual	FY20 Adopted Budget	FY21 Proposed Budget	FY20 Adopted To FY21 Proposed Incr (Decr)
Task .04 Other Non-Labor	Other Non-Labor:				
	Bank Fees	15,183	12,500	15,000	2,500
	Contingency	(5,428,815)	-	261	261
	Demographic Workshop	27,423	28,000	28,000	-
	Economic Summit	84,937	100,000	85,000	(15,000)
	Housing Summit	-	20,000	20,000	-
	Legal Services	863	-	-	-
	Miscellaneous Other	12,104	101,966	15,000	(86,966)
	Office Supplies	397	-	-	-
	Other Meeting Expense	61,304	25,000	50,000	25,000
	Professional Memberships	7,256	11,500	11,500	-
	SCAG Consultant	90,722	76,400	-	(76,400)
	SCAG Memberships	83,678	116,000	116,000	-
	Scholarships	32,000	32,000	36,000	4,000
	Software Support	36,647	-	76,400	76,400
	Sponsorships	247,938	200,000	150,000	(50,000)
	Travel	1,089	2,500	2,500	-
	Travel - Local	1,263	1,500	1,500	-
Staff Lodging Expense	12,880	13,500	13,000	(500)	
Mileage - Local	679	500	500	-	
Task sub-total		(4,712,453)	\$ 741,366	\$ 620,661	\$ (120,705)
Task .06 General Assembly	General Assembly:				
	Staff Time	32,180	28,423	49,562	21,139
	General Assembly	640,155	672,000	611,500	(60,500)
	Miscellaneous Other	530	-	-	-
	Printing	8,056	25,000	10,000	(15,000)
	SCAG Consultant	26,602	-	87,000	87,000
	Travel - Local	490	-	-	-
	Mileage	6,333	3,000	5,000	2,000
Task sub-total		714,345	\$ 728,423	\$ 763,062	\$ 34,639
Task .07 Leasehold Improvements	Leasehold Improvements:				
	Capital Outlay	5,956	-	-	-
Task sub-total		5,956	\$ -	\$ -	\$ -
Task .11 Public Records Administration	Public Records Administration:				
	Staff Time	702	21,154	21,611	457
Task sub-total		702	\$ 21,154	\$ 21,611	\$ 457
Task .13 Sustainability Project	Sustainability Project:				
	SCAG Consultant	50,000	-	-	-
Task sub-total		50,000	\$ -	\$ -	\$ -
Task .14 International Collaboration	International Collaboration:				
	Staff Time	9,279	9,959	9,996	37
	Miscellaneous Other	673	-	2,000	2,000
	Other Meeting Expense	1,494	-	1,500	1,500
	Printing	-	5,000	-	(5,000)
	Travel	10,186	30,000	15,000	(15,000)
	Mileage	19	-	500	500
Task sub-total		21,651	\$ 44,959	\$ 28,996	\$ (15,963)

General Fund Budget

General Fund Line Item Budget (continued)

		FY19 Actual	FY20 Adopted Budget	FY21 Proposed Budget	FY20 Adopted To FY21 Proposed Incr (Decr)
Task .20 Go Human Events	Go Human Events:				
	Go Human	67,262	-	-	-
	Outreach/Advertisement	5,554	-	-	-
	RC Sponsorships	3,495	-	-	-
	SCAG Consultant	70	-	-	-
	Task sub-total	76,381	\$ -	\$ -	\$ -
Task .23 Other Labor	Other Labor:				
	Staff Time	80,028	14,072	14,075	3
	Task sub-total	80,028	\$ 14,072	\$ 14,075	\$ 3
Task .24 Randall Lewis Wellness Program	Randall Lewis Wellness Program:				
	Other Meeting Expense	84	-	-	-
	Resource Materials / Subscriptions	37	-	-	-
	Travel - Local	281	-	-	-
	Wellness	120	-	-	-
	Task sub-total	522	\$ -	\$ -	\$ -
Task .25 Caltrans Audit	Caltrans Audit:				
	Disallowed Grant Costs	4,832,192	-	-	-
	Task sub-total	4,832,192	\$ -	\$ -	\$ -
Task .26 Employee Engagement Program	Randall Lewis Wellness Program:				
	Engagement Committee	-	-	20,000	20,000
	Employee Recognition	-	-	15,000	15,000
	Department Allowance	-	-	15,000	15,000
	Task sub-total	-	\$ -	\$ 50,000	\$ 50,000
Total for all tasks		1,883,729	\$ 3,031,165	\$ 2,266,905	\$ (814,260)
Allocated Fringe Benefits		234,130	239,606	105,521	(134,085)
Allocated Indirect Costs		508,311	674,563	311,548	(363,015)
Total		2,626,170	\$ 3,945,334	\$ 2,683,973	\$ (1,261,361)

*Totals may not add due to rounding

Fringe Benefits Budget

Fringe Benefits Budget (FB)

Program Overview

Fringe benefits (FB) are employee-associated costs such as leave expenses (vacation, holidays, personal floating holidays, sick leave, etc.), health plan expenses, retirement plan expenses, workers' compensation insurance, unemployment insurance, bus/rail/carpool expenses, tuition reimbursement expenses, and deferred compensation expenses. These costs are expressed as a rate for full-time regular staff. The rate is the pooled costs of the fringe benefits divided by the total salaries for full-time regular staff.

To participate in SCAG's fringe benefits program, staff must hold benefits-eligible positions as regular, at-will or limited-term positions. Some of these programs provide staff and their families with financial protection if they become ill or disabled. Others are designed to aid them in preparing for retirement or in meeting educational costs they incur for themselves. Others are designed to allow staff and their family's time to recreate and spend time together.

The employee-associated costs are related to SCAG's full-time staff to generate a fringe benefits burden rate. The fringe benefits burden is applied to all staff charges in OWP, General Fund and Indirect projects.

A rate is applied to all OWP, GF and IC salaries, e.g., for every \$1,000 of salaries, the FB budget is \$798.01 (79.8008%).

Part-time staff, interns, and temporary employees may be eligible for SCAG's limited fringe benefits. Part-time staff, interns, and temporary employee benefits are calculated separately and are not part of the fringe benefits burden rate.

Fringe Benefits Budget

Line Item Budget

The following table shows the Fringe Benefits line item budget.

GL Account	Line Item	FY20 Adopted	FY21 Proposed	Incr (Decr)
60002	Sick leave	327,982	305,888	(22,094)
60004	PFH	294,351	355,494	61,143
60003	Holiday	655,580	754,169	98,589
60001	Vacation	1,042,023	1,199,707	157,684
60032	Sick - Interns	15,900	15,933	33
60041	Vacation Cash Out	-	266,967	266,967
60110	PERS	5,389,857	6,018,361	628,504
60120	PARS	75,094	76,595	1,501
60200	Health insurance - actives	1,478,400	1,670,400	192,000
60201	Health insurance - retirees PAYGO	636,009	698,772	62,763
60202	Health insurance - retirees GASB 45	242,805	-	(242,805)
60210	Dental insurance	235,826	277,049	41,223
60220	Vision insurance	65,501	74,275	8,774
60225	Life insurance	78,190	92,345	14,155
60240	Medicare tax employers - regular staff	240,279	270,866	30,587
60250	Medicare tax employers - interns	6,917	6,931	14
60255	Social security tax employers - interns	36,491	36,567	76
60300	Tuition reimbursement	43,776	43,776	-
60310	Bus passes - regular staff	137,749	212,795	75,046
60315	Bus passes - interns	38,093	38,174	81
60320	Carpool reimbursement	420	420	-
60400	Workers compensation	170,048	205,585	35,537
60405	Unemployment compensation Insurance	35,000	35,000	-
60410	Miscellaneous employee benefits	66,954	91,254	24,300
60415	SCAG 457 match	96,500	109,000	12,500
60450	Benefits administrative fees	3,508	43,400	39,892
60500	Automobile allowance	14,400	18,000	3,600
		11,427,653	12,917,723	1,490,070

*Totals may not add due to rounding

Indirect Cost Budget

Indirect Cost Budget (IC)

Program Overview

The Indirect Cost Budget is established to provide funding for staff salaries, fringe benefits and other non-labor costs that are not attributable to an individual direct program project, except on a pro-rata basis. The Indirect Cost Allocation Plan (ICAP) is based on Caltrans guidelines and requires their approval.

An IC rate, approved by Caltrans, is applied to all productive staff salaries and fringe costs. For example, for every \$1,000 of direct salaries and fringe, the IC budget is \$1,309.84 (130.9842%). A review of the comprehensive line item budget chart beginning on page 12 shows the impact of this concept. Notice that the OWP (pg. 19) and General Fund (pg. 42) budgets have each allocated funds for indirect costs which represents each budget component's share of funding the Indirect Cost program.

Indirect Cost Budget

Line Item Budget

The following table shows the Indirect Cost line item budget.

GL Account	Cost Category	FY20 Adopted	FY21 Proposed	Incr (Decr)
	Staff	\$ 5,805,706	\$ 7,013,986	\$ 1,208,280
54300	SCAG consultant	1,333,750	2,086,300	752,550
54340	Legal	40,000	40,000	-
55210	Software support	519,400	1,279,900	760,500
55220	Hardware support	415,000	2,715,000	2,300,000
55230	Computer maintenance	250,000	-	(250,000)
55240	Repair- maintenance	26,500	26,500	-
55315	Furniture & Fixture Interest	11,604	8,078	(3,526)
55325	Audio-visual Equipment Interest	19,745	14,111	(5,634)
55400	Office rent / Operating expense	1,538,000	2,192,805	654,805
55410	Office rent satellite	260,000	260,000	-
55415	Off-site Storage	5,000	5,000	-
55420	Equipment leases	100,000	100,000	-
55430	Equip repairs and maintenance	1,000	1,000	-
55435	Security Services	100,000	100,000	-
55440	Insurance	238,385	285,931	47,546
55441	Payroll / bank fees	15,000	15,000	-
55445	Taxes	5,000	5,000	-
55460	Materials & equipment <\$5K	64,000	64,000	-
55510	Office supplies	73,800	73,800	-
55520	Graphic Supplies	2,500	4,000	1,500
55530	Telephone	195,000	195,000	-
55540	Postage	10,000	10,000	-
55550	Delivery services	5,000	5,000	-
55600	SCAG memberships	76,200	92,200	16,000
55610	Professional memberships	1,500	1,500	-
55611	Professional dues	1,350	1,350	-
55620	Resource materials	70,800	60,300	(10,500)
55700	Depreciation - furniture & fixture	185,000	185,000	-
55715	Amortization - software	1,684	-	(1,684)
55720	Amortization - lease	62,500	75,000	12,500
55800	Recruitment adverting	25,000	25,000	-
55801	Recruitment - other	45,000	45,000	-
55810	Public notices	2,500	2,500	-
55820	In House Training	30,000	30,000	-
55830	Networking Meetings/Special Events	22,500	20,000	(2,500)
55840	Training Registration	65,000	65,000	-
55920	Other meeting expense	2,500	2,500	-
55930	Miscellaneous other	9,500	29,500	20,000
55950	Temporary help	105,000	106,000	1,000
56100	Printing	23,000	23,000	-
58100	Travel	82,800	83,300	500
58101	Travel - local	19,500	20,000	500
58110	Mileage	23,500	23,500	-
	Sub-total	\$ 11,889,224	\$ 17,391,061	\$ 5,501,837
51000	Fringe benefits - regular staff	4,491,785	5,470,331	978,546
51003	Fringe benefits - interns	15,315	15,927	613
	Total	\$ 16,396,323	\$ 22,877,319	\$ 6,480,996

*Totals may not add due to rounding

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Indirect Cost Budget

Indirect Cost Work Areas

The Indirect Cost budget is spread across several functional work areas within the agency. The following chart describes each work area.

Group	Work Area	Activities
Administration	Finance	Finance is responsible for all financial activities of the agency, including accounting, budget & grants, investment policy, contracts, procurement, internal audits, and directing outside audits.
	Human Resources	Human Resources (HR) is responsible for staff recruitment, employee relations, training, employee benefits, maintaining personnel records, and administration of personnel rules and systems.
	Information Technology	Information Technology (IT) supports IT operations, computers for office staff, modeling and GIS capabilities, phone systems, video conferencing and networks as well as Facilities/property management for all of SCAG offices.
Agency-wide Management		The Agency-wide Management section is responsible for the management of staff, the budget, and day-to-day operations of the departments. The Executive Director is the official representative of the agency and its policies.
Legal Services		Legal Services is responsible for all internal and external legal affairs of the Association.
Policy & Public Affairs	Legislation	This unit is responsible for interfacing with the legislative processes at the federal and state level.
	Regional Services & Public Affairs	The primary responsibility of this unit is to maintain and expand governmental, community and private sector participation in the regional planning work of SCAG. This is done by working with cities and counties, local government officials, community and business interest groups.



FINAL
**Comprehensive
Budget**
Fiscal Year 2020–2021

SECTION III
Appendices

Budget Line Items

Description of Budget Line Item

The following chart provides a description of each budget account/line item.

Account/Line Item	Description
500XX Staff	Staff wages including non-worktime.
54300 Consultant	Outside experts retained to provide special expertise.
54302 Non-Profits/IHL	Partnerships with non-profit organizations and institutes of higher learning (IHL).
54303 Consultant TC	Same as 54300 above. Toll credits are used in lieu of local matching funds, which allows for work to be 100% funded with federal funds.
54340 Legal	Outside legal experts retained to provide special expertise.
54360 Pass-Through Payments	Payments received by SCAG but passed through to other agencies.
55210 Software Support	Fees paid for telephone support and updates of SCAG's high end desktop and network software.
55220 Hardware Support	Fees paid for maintenance and repair contracts on SCAG's computer servers.
55230 Computer Maintenance	Fees paid for maintenance on SCAG computers.
55240 Repair - Maintenance	Processes that do not enhance function or extend the useful life of an asset are expensed as repairs.
55250 Cloud Services	Monthly recurring costs for cloud compute and storage capacity.
5528X 3rd Party Contribution	Like-kind contribution from other agencies that are match for SCAG's grants.
55284 Toll Credits	Toll credits are earned when the state funds a capital transportation investment with toll revenues earned on existing toll facilities. Toll credits that can be used as a substitution for local matching funds, which allows for work to be 100% funded with federal funds.
55310 Furniture & Fixtures Principal	Principal portion of furniture and fixtures debt service payments.
55315 Furniture & Fixtures Interest	Interest portion of furniture and fixtures debt service payments.
55320 Audio-visual Equipment Principal	Principal portion of audio-visual equipment debt service payments.
55325 Audio-visual Equipment Interest	Interest portion of audio-visual equipment debt service payments.
55400 Office Rent / Operating Expense	Rent and operating expense paid for SCAG's main office.

Budget Line Items

Account/Line Item	Description
55410 Office Rent Satellite	Rent paid for SCAG's satellite offices.
55415 Off-site Storage	Fees paid for off-site storage.
55420 Equipment Leases	Fees paid for copier, telephone, postage, equipment, etc.
55425 Lease Obligation Payment	Lease obligation payable to the landlord of the Los Angeles office in FY18.
55430 Equipment Repairs - Maintenance	Fees paid to outside vendors to repair SCAG owned equipment.
55435 Security Services	The cost of physical security services at SCAG's locations.
55440 Insurance	SCAG's liability insurance premiums.
55441 Payroll / Bank Fees	Fees paid for payroll processing & bank services.
55445 Taxes	Personal property taxes levied on SCAG's assets.
55460 Materials & Equipment <\$5,000	Used to buy capital equipment with unit costs under \$5,000 (it's not necessary to capitalize and depreciate).
55510 Office Supplies	Routine office supplies and paper for copy machines.
55520 Graphic Supplies	Materials used in the production of documents for agency communications, presentations, etc.
55530 Telephone	SCAG's monthly telephone fees paid for both voice and data lines.
55540 Postage	Postage and delivery fees.
55550 Delivery Services	Cost of outside courier delivery and other non-USPS services.
55580 Outreach/Advertisement	Cost of advertising and public outreach for SCAG programs and services.
55600 SCAG Memberships	Pays for SCAG to belong to various organizations.
55610 Professional Memberships	Fees paid on behalf of SCAG employees to belong to certain professional organizations.
55611 Professional Dues	Dues paid on behalf of SCAG employees for professional licenses (Certified Public Accountant, Certified Internal Auditor or State Bar).
55620 Resource Materials / Subscriptions	Fees for book purchases, subscriptions and data acquisition.

Budget Line Items

Account/Line Item	Description
55700 Depreciation - Furniture & Fixtures	The general fund buys assets that have a cost greater than \$5,000 using account 55730, Capital Outlay. The cost is recovered when depreciation is charged to a grant using this account.
55715 Amortization - Software	To account for amortization of software.
55720 Amortization - Lease	To account for amortization of leasehold improvements.
55725 Fixed Asset Write-Down	Adjustments to the carrying cost of capitalized assets.
55730 Capital Outlay	Fixed asset purchases greater than \$5,000. The cost is recovered when depreciation is charged to a grant.
55800 Recruitment - Advertising	Advertising in certain journals and publications regarding job opportunities at SCAG.
55801 Recruitment - Other	Moving expenses and cost of sponsoring foreign employees (visas).
55810 Public Notices	Legal advertising that SCAG must undertake to support certain programs or grants.
55820 Staff Training	Used to provide access to outside training opportunities or to bring experts for in-house training.
55830 Networking Meetings / Special Events	Cost of informational events attended by SCAG staff and elected officials.
55840 Training Registration	Training registration cost for staff.
55860 Scholarships	Contributions by SCAG to offset the educational expense of selected students.
55910 RC/Committee Meetings	Pays for the food and other expenses associated with hosting RC and committee meetings.
55912 RC Retreat	The RC holds an annual off-site retreat. This budget pays for the actual meeting expenses such as meals and conference facilities.
55914 RC General Assembly	The by-laws require an annual meeting of the membership. This budget pays for the actual meeting expenses such as meals and conference facilities.
55915 Demographic Workshop	Pays for the meeting expenses of the annual workshop that addresses demographic issues.
55916 Economic Summit	Pays for the meeting expenses of the annual summit that addresses economic issues.
55918 Housing Summit	Pays for the expenses of the annual summit that addresses housing issues.
55920 Other Meeting Expense	Pays for other, non-food expenses related to meeting support.

Budget Line Items

Account/Line Item	Description
55925 RHNA Subregional Delegation	Financial assistance for subregional entities who accept delegation of the RHNA process.
55930 Miscellaneous Other	Pays for other, minor expenses not categorized elsewhere.
55936 Engagement Committee	Pays for employee engagement committee activities and projects.
55937 Employee Recognition	Pays for employee recognition activities.
55938 Department Allowances	Pays for employee recognition activities by department managers.
55940 Stipend-RC Meeting	Stipends paid to RC Members for attending meetings.
55950 Temporary Help	SCAG occasionally uses employment agencies to provide short term staffing.
55980 Contingency – General Fund	Funds available for unforeseen spending.
55995 Disallowed Grant Costs	Costs previously charged to a grant that have been disallowed by the grantor.
56100 Printing	Pays for outside printing costs of SCAG publications and brochures.
58100 Travel	Pays for staff and RC travel on behalf of SCAG projects.
58101 Travel – Local	Travel inside the SCAG region.
58110 Mileage	Cost of automobile travel at the IRS rate per mile.
58150 Staff Lodging Expense	General funds used to pay for staff lodging expenses, under certain conditions, greater than state or federal guidelines.
58200 Travel-Registration Fees	Pays for conference and seminar registration fees.
58800 RC Sponsorships	General funds allocated to events supported by RC actions.
59090 Expense-Local Other	Cash contributions from local agencies for projects funded with federal pass-through funds from SCAG.
60041 Vacation Cash Out	Vacation cash-out program for staff and management.
60110 Retirement-PERS	Pays for employee share of contributions to PERS.
60120 Retirement-PARS	SCAG contribution to the supplemental defined benefit retirement plan.
60200 Health Insurance – Active Employees	SCAG contribution for employee health insurance

Budget Line Items

Account/Line Item	Description
60201 Health Insurance - Retirees PAYGO	Retiree health insurance premiums paid to CalPERS.
60202 Health Insurance - Retirees GASB 45	Retiree health insurance premiums paid to the California Employers' Retiree Benefit Trust, as computed by an actuary.
60210 Dental Insurance	SCAG contribution for employee dental insurance
60220 Vision Insurance	SCAG contribution for employee vision insurance
60225 Life Insurance	SCAG cost of life insurance for each benefit-eligible employee.
60240 Medicare Tax Employer Share	SCAG pays a percentage of 1.45% (of payroll) contribution to Medicare for all employees hired after 1986.
60245 Social Security Tax Employers	Employer's share of social security on wages paid.
60250 Medicare Tax ER - Interns	SCAG pays a percentage of 1.45% (of payroll) contribution to Medicare for all employees hired after 1986.
60255 Social Security ER - Interns	Employer's share of social security on wages paid.
60300 Tuition Reimbursement	All employees can participate in a tuition reimbursement program for work related classes.
60310 Transit Passes	All employees who utilize public transportation to commute are eligible to be reimbursed up to a specified maximum.
60315 Bus Passes NT - Interns	Interns who utilize public transportation to commute are eligible to be reimbursed up to a specified maximum.
60320 Carpool Reimbursement	Eligible employees who are members of a carpool receive a specified monthly allowance.
60400 Workers Compensation Insurance	This is mandated insurance for employees that provides a benefit for work-related injuries.
60405 Unemployment Comp Insurance	Payments for unemployment insurance claims filed by former employees.
60410 Miscellaneous Employee Benefits	The cost of SCAG's Employee Assistance Program.
60415 SCAG 457 Match	SCAG managers and directors receive matching funds for 457 Plan deferred compensation contributions.
60450 Benefits Administrative Fees	These fees pay for third parties who administer SCAG's cafeteria plan.
60500 Automobile Allowance	Allowances payable to executives in accordance with employment contracts.

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
<u>COUNTIES (6)</u>		
IMPERIAL	38,033	7,221
LOS ANGELES	1,046,858	137,427
ORANGE	129,128	37,634
RIVERSIDE	394,200	63,569
SAN BERNARDINO	312,654	55,591
VENTURA	96,377	19,430
SUB-TOTAL	2,017,250	320,872
<u>CITIES (189)</u>		
ADELANTO	35,136	3,938
AGOURA HILLS	20,842	2,289
ALHAMBRA	86,931	9,005
ALISO VIEJO	51,372	5,526
ANAHEIM	359,339	35,908
APPLE VALLEY	73,464	7,688
ARCADIA	58,891	6,262
ARTESIA	16,919	1,905
AVALON	3,845	476
AZUSA	51,313	5,521
BALDWIN PARK	77,286	8,062
BANNING	31,044	3,537
BARSTOW	24,150	2,613
BEAUMONT	48,401	5,236
BELL	36,556	4,077
BELLFLOWER	78,308	8,162
BELL GARDENS	42,972	4,704
BEVERLY HILLS	34,627	3,888
BIG BEAR LAKE	5,461	634
BLYTHE	19,428	2,151
BRADBURY	1,077	205
BRAWLEY	27,337	3,175
BREA	45,606	4,962
BUENA PARK	83,384	8,658
BURBANK	105,952	11,117
CALABASAS	24,239	2,622

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
CALEXICO	42,198	4,629
CALIMESA	9,159	996
CALIPATRIA	7,281	812
CAMARILLO	69,880	7,337
CANYON LAKE	11,285	1,354
CARSON	93,604	9,658
CATHEDRAL CITY	54,907	5,872
CERRITOS	50,711	5,462
CHINO	89,829	9,289
CHINO HILLS	84,364	8,754
CLAREMONT	36,511	4,072
COACHELLA	46,351	5,035
COLTON	54,391	5,822
COMMERCE	13,021	1,524
COMPTON	98,711	10,158
CORONA	168,101	17,197
COSTA MESA	115,830	12,083
COVINA	48,876	5,282
CUDAHY	24,264	2,624
CULVER CITY	40,173	4,431
CYPRESS	49,833	5,376
DANA POINT	34,249	3,851
DESERT HOT SPRINGS	29,251	3,362
DIAMOND BAR	57,495	6,125
DOWNEY	114,212	11,925
DUARTE	21,952	2,398
EASTVALE	66,078	6,965
EL CENTRO	46,248	5,025
EL MONTE	117,204	12,217
EL SEGUNDO	17,066	1,920
FILLMORE	15,925	1,808
FONTANA	212,078	21,500
FOUNTAIN VALLEY	56,652	6,043
FULLERTON	142,824	14,724
GARDEN GROVE	175,155	17,888
GARDENA	61,042	6,472
GLENDALE	206,283	20,933
GLENDORA	52,122	5,600
GRAND TERRACE	12,654	1,488
HAWAIIAN GARDENS	14,690	1,687

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
HAWTHORNE	87,854	9,096
HEMET	84,754	8,792
HERMOSA BEACH	19,847	2,192
HESPERIA	96,362	9,928
HIDDEN HILLS	1,885	284
HIGHLAND	55,778	5,957
HOLTVILLE	6,779	763
HUNTINGTON BEACH	203,761	20,686
HUNTINGTON PARK	59,350	6,307
IMPERIAL	19,929	2,200
INDIAN WELLS	5,445	633
INDIO	89,406	9,248
INDUSTRY	432	142
INGLEWOOD	112,549	11,762
IRVINE	280,202	28,166
IRWINDALE	1,506	247
JURUPA VALLEY	106,318	11,152
LA CANADA FLINTRIDGE	20,602	2,266
LA HABRA	63,542	6,717
LA HABRA HEIGHTS	5,485	637
LA MIRADA	49,558	5,349
LA PALMA	15,820	1,798
LA PUENTE	40,795	4,491
LA QUINTA	42,098	4,619
LA VERNE	33,201	3,748
LAGUNA BEACH	23,358	2,535
LAGUNA HILLS	31,572	3,589
LAGUNA NIGUEL	66,748	7,031
LAGUNA WOODS	16,518	1,866
LAKE ELSINORE	62,949	6,659
LAKE FOREST	86,346	8,948
LAKESWOOD	81,352	8,460
LANCASTER	161,604	16,562
LAWNSDALE	33,436	3,771
LOMA LINDA	24,335	2,631
LOMITA	20,763	2,281
LONG BEACH	475,013	47,226
LOS ALAMITOS	11,721	1,397
LOS ANGELES	4,040,079	396,539
LYNWOOD	71,343	7,480
MALIBU	12,046	1,429

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
MAYWOOD	27,971	3,237
MENIFEE	93,452	9,644
MISSION VIEJO	96,434	9,935
MONROVIA	38,529	4,270
MONTCLAIR	39,563	4,371
MONTEBELLO	64,247	6,786
MONTEREY PARK	61,828	6,549
MOORPARK	37,020	4,122
MORENO VALLEY	208,297	21,130
MURRIETA	118,125	12,308
NEEDLES	5,085	598
NEWPORT BEACH	87,180	9,030
NORCO	26,386	3,082
NORWALK	106,744	11,194
OJAI	7,769	860
ONTARIO	178,268	18,192
OXNARD	209,879	21,285
PALM DESERT	53,625	5,747
PALM SPRINGS	48,733	5,268
PALMDALE	157,854	16,195
PALOS VERDES ESTATES	13,544	1,575
PARAMOUNT	55,497	5,930
PASADENA	146,312	15,065
PERRIS	76,971	8,031
PICO RIVERA	64,033	6,765
PLACENTIA	52,333	5,620
POMONA	154,310	15,848
PORT HUENEME	23,526	2,552
RANCHO CUCAMONGA	179,412	18,304
RANCHO MIRAGE	18,489	2,059
RANCHO PALOS VERDES	42,560	4,664
REDLANDS	71,839	7,529
REDONDO BEACH	68,473	7,200
RIALTO	107,271	11,246
RIVERSIDE	328,101	32,852

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
ROLLING HILLS	1,892	285
ROLLING HILLS ESTATES	8,247	907
ROSEMEAD	55,097	5,891
SAN BERNARDINO	219,233	22,200
SAN BUENAVENTURA	108,170	11,334
SAN CLEMENTE	65,405	6,899
SAN DIMAS	34,584	3,884
SAN FERNANDO	24,918	2,688
SAN GABRIEL	41,178	4,529
SAN JACINTO	48,878	5,282
SAN JUAN CAPISTRANO	36,821	4,103
SAN MANUEL BAND OF MISSION INDIANS	200	120
SAN MARINO	13,352	1,556
SANTA ANA	337,716	33,793
SANTA CLARITA	218,103	22,090
SANTA FE SPRINGS	18,261	2,037
SANTA MONICA	93,593	9,657
SANTA PAULA	30,779	3,511
SEAL BEACH	25,073	2,953
SIERRA MADRE	11,135	1,339
SIGNAL HILL	11,795	1,404
SIMI VALLEY	127,716	13,246
SOUTH EL MONTE	21,293	2,333
SOUTH GATE	96,777	9,969
SOUTH PASADENA	26,245	3,068
STANTON	39,307	4,346
TEMECULA	113,826	11,887
TEMPLE CITY	36,583	4,079
THOUSAND OAKS	129,557	13,426
TORRANCE	148,054	15,236
TUSTIN	81,369	8,461
TWENTYNINE PALMS	28,958	3,333
UPLAND	78,481	8,179
VERNON	301	129
VICTORVILLE	126,543	13,131
VILLA PARK	5,933	680
WALNUT	30,551	3,489
WEST COVINA	108,116	11,328

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

Membership Assessment Schedule

SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

Proposed Membership Assessment

Schedule Fiscal Year 2020-21

As of April 23, 2020

	UNINC POP COUNTIES/TOTAL POP CITIES	ASSESSMENTS 2020-21
WEST HOLLYWOOD	36,660	4,087
WESTLAKE VILLAGE	8,378	920
WESTMINSTER	92,610	9,561
WESTMORLAND	2,461	341
WILDOMAR	36,066	4,029
WHITTIER	87,526	9,064
YORBA LINDA	68,706	7,222
YUCCA VALLEY	22,050	2,407
YUCAIPA	54,844	5,866
SUB-TOTAL	16,911,782	1,742,925
GRAND TOTAL-ASSESSMENTS	18,929,032	2,063,797
 <u>COMMISSIONS</u>		
SBCTA	2,192,203	25,000
RCTC	2,440,124	25,000
VCTC	856,598	10,000
ICTC	190,266	3,500
Transportation Corridor Agency		10,000
OCTA	3,222,498	25,000
Air Districts		10,000
SUB-TOTAL	8,901,689	108,500
 TOTAL MEMBERSHIP AND ASSESSMENTS		<u>2,172,297</u>

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

SCAG Salary Schedule

	Classification	Ranges						Time Base
		Minimum	Minimum	Midpoint	Midpoint	Maximum	Maximum	
			Hourly		Hourly		Hourly	
1	Accountant I	\$62,836.80	\$30.21	\$72,259.20	\$34.74	\$81,660.80	\$39.26	Monthly
2	Accountant II	\$68,473.60	\$32.92	\$78,748.80	\$37.86	\$89,024.00	\$42.80	Monthly
3	Accountant III	\$76,024.00	\$36.55	\$87,422.40	\$42.03	\$98,820.80	\$47.51	Monthly
4	Accounting Systems Analyst	\$84,219.20	\$40.49	\$96,865.60	\$46.57	\$109,512.00	\$52.65	Monthly
5	Accounting Technician	\$45,531.20	\$21.89	\$52,374.40	\$25.18	\$59,196.80	\$28.46	Monthly
6	Administrative Assistant	\$54,184.00	\$26.05	\$62,296.00	\$29.95	\$70,408.00	\$33.85	Hourly
7	Application Developer	\$99,985.60	\$48.07	\$114,982.40	\$55.28	\$129,958.40	\$62.48	Monthly
8	Assistant Analyst to the Ex Director	\$74,796.80	\$35.96	\$86,008.00	\$41.35	\$97,219.20	\$46.74	Monthly
9	Assistant Internal Auditor	\$84,156.80	\$40.46	\$96,782.40	\$46.53	\$109,387.20	\$52.59	Monthly
10	Assistant Regional Planner	\$71,198.40	\$34.23	\$81,910.40	\$39.38	\$92,601.60	\$44.52	Monthly
11	Assistant to the Executive Director	\$120,120.00	\$57.75	\$138,153.60	\$66.42	\$156,187.20	\$75.09	Monthly
12	Associate Accountant	\$49,171.20	\$23.64	\$56,555.20	\$27.19	\$63,939.20	\$30.74	Hourly
13	Associate Analyst to the Ex Director	\$88,836.80	\$42.71	\$102,169.60	\$49.12	\$115,481.60	\$55.52	Monthly
14	Associate Human Resources Analyst	\$62,067.20	\$29.84	\$71,385.60	\$34.32	\$80,683.20	\$38.79	Hourly
15	Associate IT Projects Manager	\$83,033.60	\$39.92	\$95,492.80	\$45.91	\$107,931.20	\$51.89	Monthly
16	Associate Regional Planner	\$83,033.60	\$39.92	\$95,492.80	\$45.91	\$107,931.20	\$51.89	Monthly
17	Budget and Grants Analyst I	\$68,619.20	\$32.99	\$78,936.00	\$37.95	\$89,232.00	\$42.90	Monthly
18	Budget and Grants Analyst II	\$80,496.00	\$38.70	\$92,580.80	\$44.51	\$104,665.60	\$50.32	Monthly
19	Chief Counsel/Director of Legal Services	\$224,744.00	\$108.05	\$258,460.80	\$124.26	\$292,177.60	\$140.47	Monthly
20	Chief Financial Officer	\$213,886.40	\$102.83	\$245,980.80	\$118.26	\$278,054.40	\$133.68	Monthly
21	Chief Information Officer	\$203,590.40	\$97.88	\$234,145.60	\$112.57	\$264,680.00	\$127.25	Monthly
22	Chief Operating Officer	\$245,627.20	\$118.09	\$282,484.80	\$135.81	\$319,321.60	\$153.52	Monthly
23	Clerk of the Board	\$102,481.60	\$49.27	\$117,852.80	\$56.66	\$133,203.20	\$64.04	Monthly
24	Community Engagement Specialist	\$67,641.60	\$32.52	\$77,792.00	\$37.40	\$87,921.60	\$42.27	Monthly
25	Contracts Administrator I	\$68,619.20	\$32.99	\$78,936.00	\$37.95	\$89,232.00	\$42.90	Monthly
26	Contracts Administrator II	\$80,496.00	\$38.70	\$92,580.80	\$44.51	\$104,665.60	\$50.32	Monthly
27	Contracts and Purchasing Assistant	\$55,681.60	\$26.77	\$64,064.00	\$30.80	\$72,425.60	\$34.82	Hourly
28	Database Administrator	\$95,222.40	\$45.78	\$109,512.00	\$52.65	\$123,780.80	\$59.51	Monthly
29	Department Manager	\$141,772.80	\$68.16	\$163,030.40	\$78.38	\$184,288.00	\$88.60	Monthly
30	Deputy Clerk of the Board	\$81,952.00	\$39.40	\$94,307.20	\$45.34	\$106,641.60	\$51.27	Monthly
31	Deputy Director (Division)	\$187,054.40	\$89.93	\$215,113.60	\$103.42	\$243,152.00	\$116.90	Monthly
32	Deputy Executive Director	\$233,729.60	\$112.37	\$268,798.40	\$129.23	\$303,846.40	\$146.08	Monthly
33	Deputy Legal Counsel I	\$122,304.00	\$58.80	\$140,670.40	\$67.63	\$159,036.80	\$76.46	Monthly
34	Deputy Legal Counsel II	\$146,764.80	\$70.56	\$168,792.00	\$81.15	\$190,819.20	\$91.74	Monthly
35	Division Director	\$203,590.40	\$97.88	\$234,145.60	\$112.57	\$264,680.00	\$127.25	Monthly
36	Executive Assistant	\$79,851.20	\$38.39	\$93,953.60	\$45.17	\$108,056.00	\$51.95	Monthly
37	Facilities Supervisor	\$88,691.20	\$42.64	\$98,966.40	\$47.58	\$109,241.60	\$52.52	Monthly
38	GIS Analyst	\$82,264.00	\$39.55	\$94,598.40	\$45.48	\$106,932.80	\$51.41	Monthly
39	GIS Application Developer	\$99,985.60	\$48.07	\$114,982.40	\$55.28	\$129,958.40	\$62.48	Monthly
40	GIS Database Administrator	\$95,222.40	\$45.78	\$109,512.00	\$52.65	\$123,780.80	\$59.51	Monthly
41	Grants Administrator	\$97,406.40	\$46.83	\$112,008.00	\$53.85	\$126,609.60	\$60.87	Monthly
42	Graphics Designer	\$66,747.20	\$32.09	\$76,752.00	\$36.90	\$86,756.80	\$41.71	Monthly
43	Human Resources Analyst I	\$72,384.00	\$34.80	\$83,241.60	\$40.02	\$94,078.40	\$45.23	Monthly
44	Human Resources Analyst II	\$76,044.80	\$36.56	\$92,684.80	\$44.56	\$109,324.80	\$52.56	Monthly
45	Internal Auditor	\$141,772.80	\$68.16	\$163,030.40	\$78.38	\$184,288.00	\$88.60	Monthly
46	IT Projects Assistant	\$56,763.20	\$27.29	\$65,270.40	\$31.38	\$73,756.80	\$35.46	Hourly
47	Junior Planner	\$58,240.00	\$28.00	\$67,600.00	\$32.50	\$76,960.00	\$37.00	Hourly
48	Lead Accountant	\$106,246.40	\$51.08	\$122,200.00	\$58.75	\$138,132.80	\$66.41	Monthly
49	Lead Budget & Grants Analyst	\$97,406.40	\$46.83	\$112,008.00	\$53.85	\$126,609.60	\$60.87	Monthly
50	Lead Graphics Designer	\$79,393.60	\$38.17	\$91,312.00	\$43.90	\$103,209.60	\$49.62	Monthly
51	Lead IT Help Desk	\$72,800.00	\$35.00	\$83,200.00	\$40.00	\$93,600.00	\$45.00	Monthly
52	Lead IT Projects Manager	\$120,120.00	\$57.75	\$138,153.60	\$66.42	\$156,187.20	\$75.09	Monthly

Attachment: FY 2020-21 Final Comprehensive Budget (Adoption of the Fiscal Year 2020-21 Final Comprehensive Budget)

SCAG Salary Schedule

	Classification	Ranges						Time Base
		Minimum	Minimum	Midpoint	Midpoint	Maximum	Maximum	
			Hourly		Hourly		Hourly	
53	Lead Projects Manager	\$120,120.00	\$57.75	\$138,153.60	\$66.42	\$156,187.20	\$75.09	Monthly
54	Lead Operations Technician	\$75,171.20	\$36.14	\$86,465.60	\$41.57	\$97,739.20	\$46.99	Monthly
55	Lead Programmer Analyst	\$110,344.00	\$53.05	\$126,900.80	\$61.01	\$143,457.60	\$68.97	Monthly
56	Legislative Aide	\$53,664.00	\$25.80	\$61,713.60	\$29.67	\$69,742.40	\$33.53	Hourly
57	Legislative Analyst I	\$61,630.40	\$29.63	\$70,865.60	\$34.07	\$80,100.80	\$38.51	Monthly
58	Legislative Analyst II	\$73,840.00	\$35.50	\$84,905.60	\$40.82	\$95,971.20	\$46.14	Monthly
59	Legislative Analyst III	\$85,404.80	\$41.06	\$98,217.60	\$47.22	\$111,009.60	\$53.37	Monthly
60	Legislative Analyst IV	\$96,844.80	\$46.56	\$111,384.00	\$53.55	\$125,923.20	\$60.54	Monthly
61	Management Analyst	\$79,081.60	\$38.02	\$90,958.40	\$43.73	\$102,814.40	\$49.43	Monthly
62	Office Assistant	\$46,716.80	\$22.46	\$53,726.40	\$25.83	\$60,736.00	\$29.20	Hourly
63	Office Services Specialist	\$46,716.80	\$22.46	\$53,726.40	\$25.83	\$60,736.00	\$29.20	Hourly
64	Operations Supervisor	\$88,691.20	\$42.64	\$98,966.40	\$47.58	\$109,241.60	\$52.52	Monthly
65	Operations Technician	\$46,716.80	\$22.46	\$53,726.40	\$25.83	\$60,736.00	\$29.20	Hourly
66	Operations Technician II	\$56,076.80	\$26.96	\$64,500.80	\$31.01	\$72,904.00	\$35.05	Hourly
67	Operations Technician III	\$62,649.60	\$30.12	\$72,072.00	\$34.65	\$81,473.60	\$39.17	Hourly
68	Planning Technician	\$66,830.40	\$32.13	\$76,876.80	\$36.96	\$86,902.40	\$41.78	Hourly
69	Principal Management Analyst	\$105,976.00	\$50.95	\$119,995.20	\$57.69	\$134,014.40	\$64.43	Monthly
70	Program Manager I	\$112,278.40	\$53.98	\$129,126.40	\$62.08	\$145,953.60	\$70.17	Monthly
71	Program Manager II	\$120,120.00	\$57.75	\$138,153.60	\$66.42	\$156,187.20	\$75.09	Monthly
72	Programmer Analyst	\$82,056.00	\$39.45	\$94,369.60	\$45.37	\$106,662.40	\$51.28	Monthly
73	Public Affairs Specialist I	\$68,868.80	\$33.11	\$79,206.40	\$38.08	\$89,523.20	\$43.04	Monthly
74	Public Affairs Specialist II	\$82,513.60	\$39.67	\$94,910.40	\$45.63	\$107,286.40	\$51.58	Monthly
75	Public Affairs Specialist III	\$95,451.20	\$45.89	\$109,761.60	\$52.77	\$124,072.00	\$59.65	Monthly
76	Public Affairs Specialist IV	\$108,243.20	\$52.04	\$124,488.00	\$59.85	\$140,712.00	\$67.65	Monthly
77	Receptionist	\$46,716.80	\$22.46	\$53,726.40	\$25.83	\$60,736.00	\$29.20	Hourly
78	Records Analyst	\$79,081.60	\$38.02	\$90,958.40	\$43.73	\$102,814.40	\$49.43	Monthly
79	Regional Affairs Officer I	\$68,868.80	\$33.11	\$79,206.40	\$38.08	\$89,523.20	\$43.04	Monthly
80	Regional Affairs Officer II	\$82,513.60	\$39.67	\$94,910.40	\$45.63	\$107,286.40	\$51.58	Monthly
81	Regional Affairs Officer III	\$95,451.20	\$45.89	\$109,761.60	\$52.77	\$124,072.00	\$59.65	Monthly
82	Regional Affairs Officer IV	\$108,243.20	\$52.04	\$124,488.00	\$59.85	\$140,712.00	\$67.65	Monthly
83	Regional Planner Specialist	\$104,936.00	\$50.45	\$120,681.60	\$58.02	\$136,406.40	\$65.58	Monthly
84	Senior Accountant	\$84,156.80	\$40.46	\$96,782.40	\$46.53	\$109,387.20	\$52.59	Monthly
85	Senior Administrative Assistant	\$62,649.60	\$30.12	\$72,072.00	\$34.65	\$81,473.60	\$39.17	Hourly
86	Senior Analyst to the Ex Director	\$100,464.00	\$48.30	\$115,544.00	\$55.55	\$130,624.00	\$62.80	Monthly
87	Senior Budget & Grants Analyst	\$88,545.60	\$42.57	\$101,836.80	\$48.96	\$115,107.20	\$55.34	Monthly
88	Senior Contracts Administrator	\$88,545.60	\$42.57	\$101,836.80	\$48.96	\$115,107.20	\$55.34	Monthly
89	Senior Economist	\$102,710.40	\$49.38	\$118,123.20	\$56.79	\$133,536.00	\$64.20	Monthly
90	Senior Graphic Designer	\$75,275.20	\$36.19	\$86,569.60	\$41.62	\$97,843.20	\$47.04	Monthly
91	Senior Human Resources Analyst	\$88,171.20	\$42.39	\$101,420.80	\$48.76	\$114,649.60	\$55.12	Monthly
92	Senior Management Analyst	\$86,985.60	\$41.82	\$100,048.00	\$48.10	\$113,089.60	\$54.37	Monthly
93	Senior Network Engineer	\$96,616.00	\$46.45	\$113,443.20	\$54.54	\$130,249.60	\$62.62	Monthly
94	Senior Operations Technician	\$68,931.20	\$33.14	\$79,268.80	\$38.11	\$89,585.60	\$43.07	Monthly
95	Senior Programmer Analyst	\$99,985.60	\$48.07	\$114,982.40	\$55.28	\$129,958.40	\$62.48	Monthly
96	Senior Regional Planner	\$91,332.80	\$43.91	\$105,040.00	\$50.50	\$118,747.20	\$57.09	Monthly
97	Senior Regional Planner Specialist	\$112,278.40	\$53.98	\$129,126.40	\$62.08	\$145,953.60	\$70.17	Monthly
98	Senior Systems Engineer	\$96,616.00	\$46.45	\$113,443.20	\$54.54	\$130,249.60	\$62.62	Monthly
99	Transportation Modeler I	\$70,220.80	\$33.76	\$80,745.60	\$38.82	\$91,270.40	\$43.88	Monthly
100	Transportation Modeler II	\$83,033.60	\$39.92	\$95,492.80	\$45.91	\$107,931.20	\$51.89	Monthly
101	Transportation Modeler III	\$97,968.00	\$47.10	\$112,673.60	\$54.17	\$127,379.20	\$61.24	Monthly
102	Transportation Modeler IV	\$112,278.40	\$53.98	\$129,126.40	\$62.08	\$145,953.60	\$70.17	Monthly
103	Transportation Modeling Prog Mgr	\$120,120.00	\$57.75	\$138,153.60	\$66.42	\$156,187.20	\$75.09	Monthly
104	Web/Graphic Designer	\$73,424.00	\$35.30	\$84,448.00	\$40.60	\$95,451.20	\$45.89	Monthly

The Southern California Association of Governments (SCAG) is the nation's largest metropolitan planning organization and council of governments. To better serve the 19 million residents and 191 cities it represents, SCAG has an office in each of its six member counties: Imperial, Los Angeles, Orange, Riverside, San Bernardino and Ventura. For more information about SCAG call (213) 236-1800 or visit us at scag.ca.gov.



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4001 Mission Oaks Blvd., Ste. L
Camarillo, CA 93012
Phone: (805) 642-2800



AGENDA ITEM 5
REPORT

Southern California Association of Governments

Remote Participation Only
May 7, 2020

To: Community
Economic & Human Development Committee (CEHD)
Regional Council (RC)

From: Kome Ajise, Executive Director, (213) 236-1835
Ajise@scag.ca.gov

Subject: 6th Cycle RHNA Appeals Procedures

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION FOR CEHD:

Recommend that the Regional Council approve the 6th Cycle RHNA Appeals Procedures.

RECOMMENDED ACTION FOR REGIONAL COUNCIL:

Approve the 6th Cycle RHNA Appeals Procedures.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

After the Regional Council adopts both the Connect SoCal (2020 RTP/SCS) and RHNA Appeals Procedures, staff will provide each local jurisdiction its draft RHNA allocation. Per Government Code Section 65584.05(b) within forty-five (45) days of receipt of the draft RHNA allocation, a local jurisdiction (city or county) within the region or the California Department of Housing and Community Development (HCD) may appeal for a revision of the share of the draft regional housing need proposed to be allocated to one or more local jurisdictions. SCAG staff has developed 6th Cycle RHNA Appeals Procedures that outline the appeals process, and includes information on bases for appeals, the public hearings to hear appeals, and the reallocation of successful appeals.

BACKGROUND:

On March 5, 2020, the Regional Council adopted the Final RHNA Methodology for the 6th cycle RHNA. After the Regional Council adopts both the Connect SoCal (2020 RTP/SCS) and RHNA Appeals Procedures, staff will provide each local jurisdiction its draft RHNA allocation. In accordance with Government Code Section 65584.05(a), SCAG is required under state housing law to distribute a draft allocation of regional housing needs to each city and county in the region, based on the adopted Final RHNA Methodology, and publish the draft allocation on its website. Per

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California’s Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

Government Code Section 65584.05(b), within 45 days of receipt of the draft RHNA allocation, local jurisdictions and the California Department of Housing and Community Development (HCD) may appeal any jurisdiction's draft RHNA allocation.

Under the RHNA Appeals Procedures recommended for adoption, appeals may be filed on any draft RHNA allocation within the SCAG region by any SCAG jurisdiction and HCD. Per Government Code Section 65584.05(b), an appeal may only be filed on at least one of the following basis:

- Local planning factors and information relating to affirmatively furthering fair housing;
- Application of the adopted Final RHNA Methodology
- Significant and Unforeseen change in circumstances

Regarding a "significant and unforeseen change in circumstances," Government Code Section 65584.05(b)(3) requires it is based on a local planning factor as described in Government Code Section 65584.04(b) and by extension, subsection (e). This would require that any qualifying change in circumstances would need to have occurred after SCAG's survey packet, distributed pursuant to Government Code Section 65584.04(b), was distributed in Spring 2019. Additionally, an appeal based on a change in circumstances may only be filed by a jurisdiction appealing its own draft RHNA allocation.

Additionally, in accordance with state housing law, an appeal cannot be granted based on the following factors:

- A local jurisdiction's existing zoning ordinance and land use restrictions
- Any local ordinance, policy, voter-approved measure or standard limiting residential development.
- Prior underproduction of housing in a jurisdiction from the previous regional housing need allocation.
- Stable population numbers in a jurisdiction.

More detailed descriptions of these exclusions for appeals is included in Section I.D of the attached 6th Cycle RHNA Appeals Procedures, which is attached to this report.

Applicants of an appeal must complete an appeals form (Exhibit A) that will be available on the SCAG RHNA webpage (www.scag.ca.gov/rhna) after the RHNA Appeals Procedures are adopted by the Regional Council. Directions on how to electronically submit the form and supporting documentation will be provided on the final form and on the RHNA webpage.

Following the conclusion of the filing period, all jurisdictions will be notified by SCAG of all appeals filed and related attachments will be posted on SCAG's website. Per Government Code Section 65584.05(c) Jurisdictions and HCD will have 45 days to comment on filed appeals.

Within 30 days of the end of the appeal comment period, SCAG must conduct public hearings to hear all filed appeals. The hearing body will be the RHNA Subcommittee, also known at this point as the RHNA Appeals Board. The RHNA Appeals Board will be subject to the RHNA Subcommittee Charter, which was adopted by the SCAG Regional Council at their February 7, 2019 meeting. All decisions made by the Appeals Board will be considered final and not reviewed by the CEHD Committee or Regional Council. Given recent public health directives limiting public gatherings due to the threat of COVID-19 and in compliance with applicable executive orders in effect, RHNA Appeals Board hearings may be held via teleconference. Public notice of hearings will be posted within 21 days of the scheduled public hearings. Because it is unknown at this time how many appeals will be filed, SCAG staff is currently unable to set the date of the hearings.

The appeals hearings will be organized by each jurisdiction subject to an appeal. Appeal applicants that have filed an appeal will be allotted time during the public hearing to present their argument for an adjustment to the jurisdiction's draft RHNA allocation. Jurisdictions that are the subject of an appeal but did not file an appeal on their own draft RHNA allocation will also be allotted time to present. SCAG staff will provide a recommendation and staff report for each subject jurisdiction, after which applicants and the subject jurisdiction which did not file an appeal but is the subject of an appeal (if applicable) may present a brief rebuttal. The RHNA Appeals Board is encouraged to make one finding on the subject jurisdiction after hearing all arguments and presentations on each subject jurisdiction. A full description of the public hearing procedures, including time allotments, are including in the 6th Cycle RHNA Appeals Procedures, which is an attachment to this report.

All successful appeals, except in determined cases as outlined in the Appeals Procedures Section H, will be reallocated back to all jurisdictions in the SCAG region, including those who had successful appeals. A full description of the methodology for successful appeal redistribution is described in the 6th Cycle RHNA Appeals Procedures.

The results of the appeals process and its subsequent reallocation will be included in the proposed final RHNA Allocation Plan, which will be reviewed by the RHNA Subcommittee, CEHD Committee, and Regional Council. The final RHNA Allocation Plan will be adopted by the Regional Council.

Differences between the 5th and 6th Cycles Appeals Procedures

There are several noticeable differences between the 5th and proposed 6th Cycle RHNA Appeals Procedures. First, for the 6th Cycle any jurisdiction and HCD may file an appeal on any jurisdiction whereas in the 5th cycle only a jurisdiction could file an appeal on its own draft RHNA allocation. Additionally, there were two separate processes in which a jurisdiction could request a reduction to

its draft RHNA allocation – a revision request and an appeal. However, due to recent legislation the process has been streamlined into one appeals process.

Moreover, in prior RHNA cycles, an appeal could not be based on local ordinances or voter-approved measures that limited the number of residential permits issued. For the 6th Cycle, in addition to these types of local ordinances, also excluded from appeals are underproduction of housing units since the last RHNA cycle and stable population growth.

Next Steps

A draft of the proposed 6th Cycle RHNA Appeals Procedures was presented at a public workshop on February 3, 2020. The purpose of the workshop was to provide the public a preview of SCAG staff proposals on the procedures and solicit comments until February 10, 2020. A number of jurisdictions provided written comments on the procedures, several of which have been directly incorporated into the procedures and attachments. Written comments received on the draft 6th Cycle RHNA Appeals Procedures can found posted on the RHNA webpage.

SCAG staff presented the updated RHNA Appeals Procedures at the February 24, 2020 RHNA Subcommittee meeting, where the Subcommittee recommended review and approval by the CEHD Committee and Regional Council at their respective March 5, 2020 meetings. Due to meeting time constraints, the CEHD postponed consideration of the RHNA Appeals Procedures to their next meeting. Similar time constraints for the Regional Council required postponement of consideration of the RHNA Appeals Procedures. The RHNA Appeals Procedures have been included in the May 7, 2020 CEHD and Regional Council agendas for recommendation and approval.

Following Regional Council adoption, SCAG will post the procedures along with a final appeal request form and directions for filing an appeal on the SCAG RHNA webpage. Key dates of the appeals process will be published after the adoption of the RHNA Appeals Procedures.

FISCAL IMPACT:

Work associated with this item is included in the current FY 19-20 General Fund Budget (800.0160.03: RHNA).

ATTACHMENT(S):

1. 6th Cycle RHNA Appeals Procedures
2. Exhibit A Appeal Request Form
3. Exhibit B SCAG Final RHNA Methodology
4. Exhibit C-GOV_65080.
5. Exhibit C -GOV_65584.
6. Exhibit C - GOV_65584.04
7. Exhibit C -GOV_65584.05



-
8. RHNA Subcommittee Charter
 9. PowerPoint Presentation - RHNA Appeals

6th RHNA Cycle Appeals Procedures

Pursuant to Government Code section 65584.05, any local jurisdiction within the SCAG region may file an appeal to modify its allocated share or another jurisdiction's share of the regional housing need included as part of SCAG's Draft Regional Housing Needs Assessment (RHNA) Allocation Plan, hereinafter referred to as the "Draft RHNA Plan." The California Department of Housing and Community Development, hereinafter referred to as "HCD", may also file an appeal to one or more jurisdiction's draft RHNA allocation. No appeal shall be allowed relating to post-appeal reallocation adjustments made by SCAG, as further described in Section II, below.

I. APPEALS PROCESS

A. DEADLINE TO FILE

The period to file appeals shall commence on May 15, 2020¹, which shall be deemed as the date of receipt by jurisdictions and HCD of the draft RHNA Plan. In order to comply with Government Code § 65584.05(b), a jurisdiction or HCD seeking to appeal a draft allocation of the regional housing need must file an appeal by 5:00 p.m. June 29, 2020. Late appeals shall not be accepted by SCAG.

B. FORM OF APPEAL

The local jurisdiction shall state the basis and specific reasons for its appeal on the RHNA Appeal Request Form prepared by SCAG, a copy of which is attached hereto as Exhibit "A". Additional documents may be submitted by the local jurisdiction as attachments, and all such attachments should be properly labeled and numbered.

C. BASES FOR APPEAL

Local jurisdictions shall only file an appeal based upon the criteria listed below. In order to provide guidance to potential appellants, SCAG's Final RHNA Methodology for the 6th Housing Element Cycle (2021-2029) (Final RHNA Methodology) approved by SCAG's Regional Council on March 5, 2020, is attached hereto as Exhibit "B". Appeals based on "change of circumstance" can only be filed by the jurisdiction or jurisdictions where the change in circumstance occurred.

Pursuant to Government Code Section 65584.05, filed appeals must include a statement as to why the revision is necessary to further the intent of the objectives listed in Section 65584. Additionally, Government Code Section 65584.05(b) requires that all

¹ The period to file appeals shall commence on the eighth day after the Regional Council adopts the Final Connect SoCal in its entirety, and all the subsequent dates in this Appeals Procedures shall be adjusted accordingly.

filed appeals must be consistent with, and not to the detriment of, the development pattern in the sustainable communities strategy, or SCAG’s Connect SoCal Plan, pursuant to Government Code Section 65080(b)(2).

1. Methodology – That SCAG failed to determine the jurisdiction’s share of the regional housing need in accordance with the information described in the Final RHNA Methodology established and approved by SCAG, and in a manner that furthers, and does not undermine the five objectives listed in Government Code Section 65584(d).

2. Local Planning Factors and Information Affirmatively Furthering Fair Housing (AFFH) – That SCAG failed to consider information submitted by the local jurisdiction relating to certain local factors outlined in Govt. Code § 65584.04(e) and information submitted by the local jurisdiction relating to affirmatively furthering fair housing pursuant to Government Code § 65584.04(b)(2) and 65584(d)(5) including the following:
 - a. Each jurisdiction’s existing and projected jobs and housing relationship.
 - b. The opportunities and constraints to development of additional housing in each jurisdiction, including the following:
 - (1) lack of capacity for sewer or water service due to federal or state laws, regulations or regulatory actions, or supply and distribution decisions made by a sewer or water service provider other than the local jurisdiction that preclude the jurisdiction from providing necessary infrastructure for additional development during the planning period;
 - (2) the availability of land suitable for urban development or for conversion to residential use, the availability of underutilized land, and opportunities for infill development and increased residential densities;
 - (3) Lands preserved or protected from urban development under existing federal or state programs, or both, designed to protect open space, farmland, environmental habitats, and natural resources on a long-term basis, including land zoned or designated for agricultural protection or

preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts conversion to non-agricultural uses.

- (4) County policies to preserve prime agricultural land, as defined pursuant to Government Code § 56064, within an unincorporated area, and land within an unincorporated area zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts its conversion to non-agricultural uses.
- c. The distribution of household growth assumed for purposes of a comparable period of regional transportation plans and opportunities to maximize the use of public transportation and existing transportation infrastructure.
- d. Agreements between a county and cities in a county to direct growth toward incorporated areas of the county or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of the jurisdiction that prohibits or restricts conversion to nonagricultural uses.
- e. The loss of units contained in assisted housing developments, as defined in Government Code § 65583(a)(9), that changed to non-low-income use through mortgage prepayment, subsidy contract expirations, or termination of use restrictions.
- f. The percentage of existing households at each of the income levels listed in subdivision (e) of Section 65584 that are paying more than 30 percent and more than 50 percent of their income in rent.
- g. The rate of overcrowding.
- h. The housing needs of farmworkers.
- i. The housing needs generated by the presence of a private university or a campus of the California State University or the University of California within any member jurisdiction.
- j. The loss of units during a state of emergency that was declared by the Governor pursuant to the California

Emergency Services Act (Chapter 7(commencing with Section 8550) of Division 1 of Title 2), during the planning period immediately preceding the relevant revision pursuant to Section 65588 that have yet to be rebuilt or replaced at the time of the analysis. For purposes of these guidelines, this applies to loss of units during a state of emergency occurring since October 2013 and have not yet been rebuilt or replaced by the time of the development of the draft RHNA methodology, or November 7, 2019.

- k. The region’s greenhouse gas emissions targets provided by the State Air Resources Board pursuant to Section 65080, to be met by SCAG’s Connect SoCal Plan.
 - l. Information based upon the issues, strategies, and actions that are included, as available in an Analysis of Impediments to Fair Housing Choice or an Assessment of Fair Housing completed by any city or county or the California Department of Housing and Community Development, and in housing elements
3. Changed Circumstances – That a significant and unforeseen change in circumstance has occurred in the jurisdiction after April 30, 2019 and merits a revision of the information previously submitted by the local jurisdiction. Appeals on this basis shall only be made by the jurisdiction or jurisdictions where the change in circumstances has occurred.

D. LIMITS ON SCOPE OF APPEAL

Existing law explicitly limits SCAG’s scope of review of appeals. Specifically, SCAG shall not grant any appeal based upon the following:

1. Any other criteria other than the criteria in Section I.C above.
2. A local jurisdiction's existing zoning ordinance and land use restrictions, including but not limited to, the contents of the local jurisdiction's current general plan. Pursuant to Government Code Section 65584.04(e)(2)(B), SCAG may not limit its consideration of suitable housing sites or land suitable for urban development to existing zoning ordinances and land use restrictions of a locality, but shall consider the potential for increased residential development under alternative zoning ordinances and land use restrictions.
3. Any local ordinance, policy, voter-approved measure or standard limiting residential development. Pursuant to Government Code Section 65584.04(g)(1), any ordinance, policy, voter-approved measure, or standard of a city or county that directly or indirectly limits the number of residential building permits shall not be a justification for a determination or a reduction in a city's or county's share of regional housing need.
4. Prior underproduction of housing in a jurisdiction from the previous regional housing need allocation. Pursuant to Government Code Section 65584.04(g)(2), prior underproduction of housing in a jurisdiction from the previous housing need allocation, as determined by each jurisdiction's annual production report submitted to Government Code Section 65400(a)(2)(H) cannot be used as a justification for a determination or reduction in a jurisdiction's share of the regional housing need.
5. Stable population numbers in a jurisdiction. Pursuant to Government Code Section 65584.04(g)(3), stable population growth from the previous regional housing needs cycle cannot be used as a justification for a determination or reduction in a jurisdiction's share of the regional housing need.

E. COMMENTS ON APPEALS

At the close of the appeals period as set forth in I.A., SCAG shall notify all jurisdictions within the region and HCD of all appeals and shall make all materials submitted in support of each appeal available on its website after the close of the appeals filing period. Local jurisdictions and HCD may comment on one or more appeals within the 45 days following the end of the appeals filing period. All comments must be filed by 5:00 p.m. August 13, 2020. No late comments shall be accepted by SCAG.

F. HEARING BODY

SCAG’s Regional Council has delegated the responsibility of considering appeals regarding draft allocations to the RHNA Subcommittee, also referred to as the RHNA Appeals Board. All provisions of the RHNA Subcommittee’s charter shall apply with respect to the membership and conduct of the appeal hearings. Per the RHNA Subcommittee charter, which was adopted on February 7, 2019 by the Regional Council, ex-officio members may participate as non-voting members of the RHNA Subcommittee and by extension the RHNA Appeals Board, and are not counted for purposes of a quorum. Also per the RHNA Subcommittee charter, all decisions made by the RHNA Appeals Board are considered final and will not be reviewed by the SCAG CEHD Committee or Regional Council.

G. APPEAL HEARING

SCAG shall conduct one public hearing to consider all appeals filed and comments received on the appeals no later than September 12, 2020. This public hearing may be continued (over several days if necessary) until all appeals are heard. Notice shall be provided to the appealing jurisdictions, commenting jurisdictions, and HCD at least 21 days in advance of the hearing. Per Government Code Section 65584.05(i), SCAG may extend the deadline to conduct the appeals hearing by up to thirty (30) days.

The appeal hearing may take place provided that each county is represented either by a member or alternate of the RHNA Appeals Board. Alternates are permitted to participate in the appeal hearing, provided however, that each county shall only be entitled to one vote when deciding on the appeal. Ex-officio members may participate as non-voting members of the RHNA Appeals Board and are not counted for purposes of a quorum. In alignment with the adopted RHNA Subcommittee charter, in the event the hearing involves the member’s or alternate’s respective jurisdiction, the member or alternate may elect not to participate in the discussion and vote by the RHNA Subcommittee regarding such appeal.

Due to the public health situation that began in late Winter 2020, RHNA appeals hearings may be conducted via teleconference per State-adopted emergency amendments to the Brown Act. SCAG staff will continue to apprise the public on any updates to meeting procedures and will include all information in the public noticing of the appeal hearings.

Appeal Hearing Procedures

The hearing(s) shall be conducted to provide applicants and jurisdictions that did not file appeals but are the subject of an appeal, with the opportunity to make their case regarding a change in their draft regional housing need allocation or another

jurisdiction's allocation, with the burden on the applicants to prove their case. The appeals hearings will be organized by the specific jurisdiction subject to an appeal or appeals and will adhere to the following procedures:

1. Initial Arguments

Applicants who have filed an appeal for a particular jurisdiction will have an opportunity to present their request and reasons to grant the appeal. In the event of multiple appeals filed for a single jurisdiction, the subject jurisdiction will present their argument first if it has filed an appeal on its own draft RHNA allocation. Applicants may present their case either on their own, or in coordination with other applicants, but each applicant shall be allotted five (5) minutes each. If the subject jurisdiction did not file an appeal on its own draft RHNA allocation, it will be given an opportunity to present after all applicants have provided initial arguments on their filed appeals. Any presentation from the jurisdiction who did not appeal but is the subject of the appeal is limited to five (5) minutes unless it is responding to more than one appeal, in which case the jurisdiction is limited to eight (8) minutes.

2. Staff Response

After initial arguments are presented, SCAG staff will present their recommendation to approve or deny the appeals filed for the subject jurisdiction. The staff response is limited to five (5) minutes .

3. Rebuttal

Applicants and the jurisdiction who did not file an appeal but is the subject of the appeal may elect to provide a rebuttal but are limited to the arguments and evidence presented in the staff response. Each applicant and the subject jurisdiction that did not file an appeal on its own draft RHNA allocation will be allotted three (3) minutes each for a rebuttal.

4. Extension of Time Allotment

The Chair of the Appeals Board may elect to grant additional time for any presentation, staff response, or rebuttal in the interest of due process and equity.

5. Appeal Board Discussion and Determination

After arguments and rebuttals are presented, the RHNA Appeals Board may ask questions of applicants, the subject jurisdiction (if present), and SCAG staff. The Chair of the Appeals Board may request that questions

from the Appeals Board be asked prior to a discussion among Appeals Board members. Any voting Board member may make a motion regarding the appeal(s) for the subject jurisdiction. The Appeals Board is encouraged to make a single determination on the subject jurisdiction after hearing all arguments and presentations on each subject jurisdiction.

The RHNA Appeals Board need not adhere to formal evidentiary rules and procedures in conducting the hearing. An appealing jurisdiction may choose to have technical staff present its case at the hearing. At a minimum, technical staff should be available at the hearing to answer any questions of the RHNA Appeals Board.

H. DETERMINATION OF APPEAL

The RHNA Appeals Board shall issue a written final determination on all filed appeals after the conclusion of the public hearing(s). The written final determination shall consider all arguments and comments presented on revising the draft RHNA allocation of the subject jurisdiction and make a determination for each subject jurisdiction. The final determinations shall be based upon the information and methodology set forth in Government Code section 65584.04 and whether the revision is necessary to further the objectives listed in Government Code section 65584(d). The final determination shall include written findings as to how the determination is consistent with Government Code section 65584.05. The decision of the RHNA Appeals Board shall be final, and local jurisdictions shall have no further right to appeal.

In accordance with existing law, the final determination on an appeal by the RHNA Subcommittee may require the adjustment of allocation of a local jurisdiction that is not the subject of an appeal. Specific adjustments to jurisdictions not the subject of an appeal as a result of an appeal will be included as part of the Appeal Board's determination. These specific adjustments may be excluded from the cumulative total adjustments required to be reallocated as described in Section II of these Appeals Guidelines if it is included as part of the appeals determination of the subject jurisdiction.

I. ALTERNATIVE DATA REQUIREMENTS

To the extent a local jurisdiction submits admissible alternative data or evidentiary documentation to SCAG in support of its appeal, such alternative data shall meet the following requirements:

1. The alternative data shall be readily available for SCAG’s review and verification. Alternative data should not be constrained for use by proprietary conditions or other conditions rendering them difficult to obtain or process.
2. The alternative data shall be accurate, current, and reasonably free from defect.
3. The alternative data shall be relevant and germane to the local jurisdiction’s basis of appeal.
4. The alternative data shall be used to support a logical analysis relating to the local jurisdiction’s request for a change to its draft regional housing need allocation.

II. POST-APPEAL REALLOCATION OF REGIONAL HOUSING NEED

In accordance with existing law (*see*, Government Code Section 65584.05(g)), after the conclusion of the appeals process, SCAG shall total the successfully appealed housing need allocations, except for adjustments made to jurisdictions not the subject of an appeal as determined by the Appeals Board in Section I.H. If the adjustments total seven percent (7%) or less of the regional housing need, SCAG shall distribute the adjustments proportionally, to all local jurisdictions. For purposes of these procedures, proportional distribution shall be based on the share of regional need after the appeals are determined and prior to the required redistribution.

If the adjustments total more than seven percent (7%) of the regional housing need, existing law requires that SCAG to develop a methodology to distribute the amount greater than seven percent to local governments. In this situation, SCAG will redistribute the amount greater than the seven percent based on the “residual” existing need calculation included in the adopted final RHNA methodology. To be consistent with the “residual” existing need calculation, successfully appealed units above the seven percent threshold will be redistributed to each county based on their proportion of total successful appeals. Fifty percent (50%) of each county’s amount above the regional seven percent will be redistributed within the county based on population within a High Quality Transit Area (HQTAs) and fifty percent (50%) of the amount will be redistributed within the county based on share of regional jobs accessible. Communities designated as disadvantaged, defined in the Final RHNA Methodology as having more than fifty percent (50%) of their population in lower resource areas, will be exempt from redistribution of the amount greater than seven percent. For more information regarding the existing need distribution in the Final RHNA Methodology, please refer to Exhibit B SCAG’s adopted Final RHNA Methodology.

III. FINAL RHNA PLAN

After SCAG reallocates units to all local jurisdictions resulting from successful appeals, SCAG's Regional Council shall review and consider adoption of the Final RHNA Plan for SCAG's 6th cycle RHNA. This is scheduled to occur on October 1, 2020.

DRAFT

List of Exhibits

Exhibit A: RHNA Appeal Request Form (*pending*)

Exhibit B: Final RHNA Methodology

Exhibit C:

- Government Code Section 65580
- Government Code Section 65584
- Government Code Section 65584.04
- Government Code Section 65584.05

Exhibit D: RHNA Subcommittee Charter

DRAFT

Sixth Cycle Regional Housing Needs Assessment (RHNA) Appeal Request Form
All appeal requests and supporting documentation must be received by SCAG June 15, 2020, 5 p.m.
Appeals and supporting documentation should be submitted to housing@scaq.ca.gov.
Late submissions will not be accepted.

Date: _____ Jurisdiction Subject to This Appeal Filing: _____
 (to file another appeal, please use another form)

Filing Party (Jurisdiction or HCD)

Filing Party Contact Name _____ Filing Party Email: _____

APPEAL AUTHORIZED BY:

Name: _____

PLEASE SELECT BELOW:

- Mayor
- Chief Administrative Office
- City Manager
- Chair of County Board of Supervisors
- Planning Director
- Other: _____

BASES FOR APPEAL

- Application of the adopted Final RHNA Methodology for the 6th Cycle RHNA (2021-2029)
- Local Planning Factors and/or Information Related to Affirmatively Furthering Fair Housing (See Government Code Section 65584.04 (b)(2) and (e))
 - Existing or projected jobs-housing balance
 - Sewer or water infrastructure constraints for additional development
 - Availability of land suitable for urban development or for conversion to residential use
 - Lands protected from urban development under existing federal or state programs
 - County policies to preserve prime agricultural land
 - Distribution of household growth assumed for purposes of comparable Regional Transportation Plans
 - County-city agreements to direct growth toward incorporated areas of County
 - Loss of units contained in assisted housing developments
 - High housing cost burdens
 - The rate of overcrowding
 - Housing needs of farmworkers
 - Housing needs generated by the presence of a university campus within a jurisdiction
 - Loss of units during a state of emergency
 - The region’s greenhouse gas emissions targets
 - Affirmatively furthering fair housing
- Changed Circumstances (Per Government Code Section 65584.05(b), appeals based on change of circumstance can only be made by the jurisdiction or jurisdictions where the change in circumstance occurred)

FOR STAFF USE ONLY:

Date _____ Hearing Date: _____ Planner: _____

Sixth Cycle Regional Housing Needs Assessment (RHNA) Appeal Request Form
All appeal requests and supporting documentation must be received by SCAG June 15, 2020, 5 p.m.
Appeals and supporting documentation should be submitted to housing@scaq.ca.gov.
Late submissions will not be accepted.

Brief statement on why this revision is necessary to further the intent of the objectives listed in Government Code Section 65584 (please refer to Exhibit C of the Appeals Guidelines):

Please include supporting documentation for evidence as needed, and attach additional pages if you need more room.

Brief Description of Appeal Request and Desired Outcome:

Number of units requested to be reduced or added to the jurisdiction's draft RHNA allocation (circle one):

Reduced _____ Added _____

List of Supporting Documentation, by Title and Number of Pages
(Numbers may be continued to accommodate additional supporting documentation):

- 1.
- 2.
- 3.

FOR STAFF USE ONLY:

Date _____

Hearing Date: _____

Planner: _____

Final RHNA ~~Allocation~~ Methodology

EXECUTIVE SUMMARY

SCAG is required to develop a final RHNA methodology to distribute existing and projected housing need for the 6th cycle RHNA for each jurisdiction, which will cover the planning period October 2021 through October 2029. Following extensive feedback from stakeholders during the proposed methodology comment period and an extensive policy discussion, SCAG’s Regional Council voted to approve the Draft RHNA Methodology on November 7, 2019, as described below, and provide it to the State Department of Housing and Community Development (HCD) for their statutory review. On January 13, 2020, HCD completed its review of the draft methodology and found that it furthers the five statutory objectives of RHNA and on March 4, 2020, SCAG’s Regional Council voted to approve the Final RHNA Methodology. The overall framework for this methodology is included in the table below and further described in the rest of this document.

Projected need	Existing need	Income categories
Household growth 2020-2030	Transit accessibility (HQTA population 2045)	150% social equity adjustment minimum
Future vacancy need	Job accessibility	0-30% additional adjustment for areas with lowest or highest resource concentration
Replacement need	Residual distribution within the county	

HOUSING CRISIS

There is no question that there is an ongoing housing crisis throughout the State of California. A variety of measures indicate the extent of the crisis including overcrowding and cost-burdened households, but the underlying cause is due to insufficient housing supply despite continuing population growth over recent decades.

As part of the RHNA process SCAG must develop a final RHNA methodology, which will determine each jurisdiction’s draft RHNA allocation as a share of the regional determination of existing and projected housing need provided by the California Department of Housing and Community Development (HCD). There are several requirements outlined by Government Code Section 65584.04, which will be covered in different sections of this packet:

- Allocation methodology, per Government Code 65584.04(a)
- How the allocation methodology furthers the objectives State housing law, per GC 65584.04(f)

- How local planning factors are incorporated into the RHNA methodology, per GC 65584.04(f)
- Furthering the objectives of affirmatively furthering fair housing (AFFH), per GC 65584.04(d)
- Public engagement, per GC 65584.04(d)

Additionally, SCAG has developed a dynamic estimator tool and data appendix that contains a full set of various underlying data and assumptions to support the methodology. Due to the size of the appendix, a limited number of printed copies are available. SCAG has posted the dynamic estimator tool and full methodology appendix, on its RHNA webpage: www.scag.ca.gov/rhna.

Per State housing law, the RHNA methodology must distribute existing and projected housing need to all jurisdictions. The following section provides the final methodology for distributing projected and existing need to jurisdictions from the RHNA regional determination provided by the California Department of Housing and Community Development (HCD) pursuant to Government Code Section 65584.01.

Guiding Principles for RHNA Methodology

In addition to furthering the five objectives pursuant to Government Code 65585(d), there are several guiding principles that SCAG staff has developed to use as the basis for developing the distribution mechanism for the RHNA methodology. These principles are based on the input and guidance provided by the RHNA Subcommittee during their discussions on RHNA methodology between February 2019 and June 2019.

1. The housing crisis is a result of housing building not keeping up with growth over the last several decades. The RHNA allocation for all jurisdictions is expected to be higher than the 5th RHNA cycle.
2. Each jurisdiction must receive a fair share of their regional housing need. This includes a fair share of planning for enough housing for all income levels, and consideration of factors that indicate areas that have high and low concentration of access to opportunity.
3. It is important to emphasize the linkage to other regional planning principles to develop more efficient land use patterns, reduce greenhouse gas emissions, and improve overall quality of life.

The jurisdictional boundaries used in the recommended RHNA methodology will be based on those as of August 31, 2016. Spheres of influence in unincorporated county areas are considered within unincorporated county boundaries for purposes of RHNA.

Proposed RHNA Allocation Methodology

The proposed RHNA methodology, which was released for public review on August 1, contained three (3) options to distribute HCD's regional determination for existing and projected need for the

SCAG region. HCD provided SCAG a final regional determination of 1,341,827 units for the 6th cycle RHNA on October 15, 2019.¹

The three options were developed based on RHNA Subcommittee feedback on various factors at their meetings between February and June 2019 and feedback from stakeholders. SCAG solicited formal public comment on the three options and any other factors, modifications, or alternative options during the public comment period, which commenced on August 1 and concluded on September 13, 2019.

Four public hearings were conducted to formally receive verbal and written comments on the proposed RHNA methodology, in addition to one public information session with a total participation of approximately 250 people. Almost 250 written comments were submitted to SCAG specifically on the proposed methodology and over 35 verbal comments were shared at four (4) public hearings held in August 2019.

Draft and Final RHNA Allocation Methodology

Based on comments received during the public comment period, staff recommended a combination of the three options in the proposed methodology further enhanced by factors specifically suggested by stakeholders.

On November 7, 2019, SCAG's Regional Council voted to approve the Draft RHNA Methodology. The approved draft methodology included modifications to the staff-recommended draft methodology for calculating existing housing need to more closely align the methodology with job and transit accessibility factors.

On January 13, 2020, HCD completed their statutory review and found that SCAG's Draft RHNA Methodology furthers the five statutory objectives of RHNA, which allows SCAG to finalize the RHNA methodology and issue draft RHNA allocations to each individual jurisdiction. HCD's comment letter, which can be found at www.scag.ca.gov/rhna, notes:

"HCD has completed its review of the methodology and finds that the draft SCAG RHNA methodology furthers the five statutory objectives of RHNA. HCD acknowledges the complex task of developing a methodology to allocate RHNA to 197 diverse jurisdictions while furthering the five statutory objectives of RHNA. This methodology generally distributes more RHNA, particularly lower income RHNA, near jobs, transit, and resources linked to long term improvements of life outcomes. In particular, HCD applauds the use of objective factors specifically linked the statutory objectives in the existing need methodology."

Following this finding, staff recommended the draft RHNA methodology as the final RHNA methodology. On March 5, 2020, SCAG's Regional Council approved Resolution No. 20-619-2

¹ On September 5, 2019, the SCAG Regional Council voted to object to HCD the regional determination of 1,344,740, per Government Code Section 65584.01, that was provided on August 15, 2019. After review of SCAG's objection letter, HCD provided a final regional determination of 1,341,827 units on October 15, 2019.

adopting the Final RHNA Methodology for the Sixth Housing Element Cycle. Following the formal distribution of draft RHNA allocations based on the Final RHNA methodology and a separate appeals phase described in Government Code 65584.05 et seq., RHNA allocations will be finalized in approximately October 2020.

The next section describes the final RHNA methodology mechanism to distribute the 1,341,827 housing units determined by HCD to all SCAG jurisdictions.

Determining Existing Need and Projected Need

SCAG's final RHNA methodology starts with the total regional determination provided by HCD and separates existing need from projected need.

Projected need is considered as household growth for jurisdictions between the RHNA projection period between July 1, 2021 and October 1, 2029, in addition to a calculated future vacancy need and replacement need. For projected household growth, SCAG's Connect SoCal growth forecast for the years 2020-2030 is used as the basis for calculating projected housing unit need for the region. The anticipated growth in households over this period is multiplied by 0.825 to approximate growth during the 8.25-year RHNA projection period of July 1, 2021 to October 1, 2029.

For several jurisdictions, SCAG's growth forecast includes projected household growth on tribal land. For these jurisdictions, SCAG's estimate of household growth on tribal land from July 1, 2021 to October 1, 2029 is subtracted from the jurisdictional projected household growth (see note in the accompanying dynamic estimator tool). A vacancy adjustment of 1.5% for owner-occupied units and 5% for renter-occupied units representing healthy-market vacancy will be applied to projected household growth to determine future vacancy need. Next a replacement need is added, which is an estimate of expected replacement need over the RHNA period. **Based on these components, the regional projected need is 504,970 units.**

Existing need is considered the remainder of the regional determination after projected need is subtracted. **Based on this consideration, the regional existing need is 836,857 units.**

Determining a Jurisdiction's RHNA Allocation (Existing and Projected Need)

In determining the existing need and projected need for the region, the methodology applies a three-step process to determine a jurisdiction's RHNA allocation by income category:

1. Determine a jurisdiction's projected housing need
 - a. Assign household growth to jurisdictions based on SCAG's Connect SoCal Regional Transportation Plan/Sustainable Communities Strategy Growth Forecast between 2020 and 2030
 - b. Calculate a jurisdiction's future vacancy need by applying a healthy market vacancy rate separately to the jurisdiction's owner and renter households
 - c. Assign a replacement need to jurisdictions based on each jurisdiction's share of regional net replacement need based on information collected from the replacement need survey submitted by local jurisdictions

2. Determine a jurisdiction’s existing housing need
 - a. Assign 50 percent of regional existing need based on a jurisdiction’s share of region’s population within the high quality transit areas (HQTAs) based on future 2045 HQTAs
 - b. Assign 50 percent of regional existing need based on a jurisdiction’s share of the region’s jobs that can be accessed within a 30-minute driving commute
 - c. For extremely disadvantaged communities (hereafter “DACs,” see definition below), identify residual existing need, which is defined herein as total housing need in excess of household growth between 2020 and 2045². DACs are jurisdictions with more than half of the population living in high segregation and poverty or low resource areas as defined by the California Tax Credit Allocation Committee (TCAC)/HCD Opportunity Index Scores further described in the document.
 - d. Reallocate residual existing need by county to non-DAC jurisdictions within the same county based on the formula in (a) and (b) above, i.e. 50% transit accessibility and 50% job accessibility.

3. Determine a jurisdiction’s total housing need
 - a. Add a jurisdiction’s projected housing need from (1) above to its existing housing need from (2) above to determine its total housing need.

4. Determine four RHNA income categories (very low, low, moderate, and above moderate)
 - a. Use a minimum 150% social equity adjustment
 - b. Add an additional percentage of social equity adjustment to jurisdictions that have a high concentration of very low or very high resource areas using the California Tax Credit Allocation Committee (TCAC)’s index scoring
 - i. Add a 10% social equity adjustment to areas that are designated as 70-80% very high or very low resource area
 - ii. Add a 20% social equity adjustment to areas that are designated as 81-90% very high or very low resource area
 - iii. Add a 30% social equity adjustment to areas that are designated as 91-100% very high or very low resource area

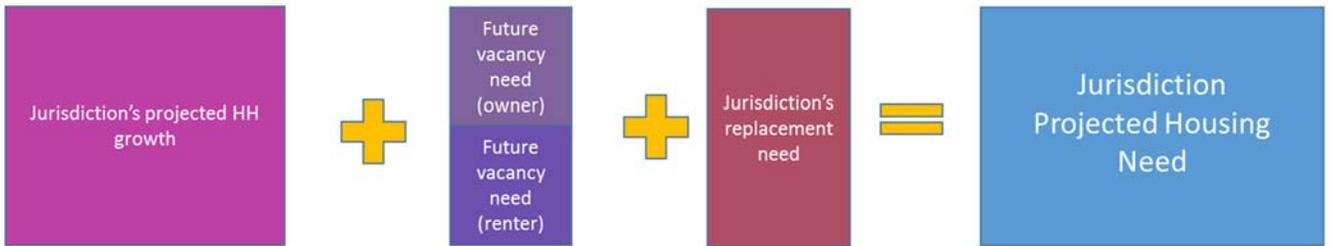
Methodology Component	Assigned units
Projected need: Household growth	466,958
Projected need: Future vacancy need	14,467
Projected need: Replacement need	23,545
Projected need subtotal	504,970

² Since HCD’s regional determination of 1,341,827 exceeds SCAG’s 2020-2045 household growth forecast of 1,297,000 by 3.46 percent, for the purposes of existing need allocation, exceeding “local input” or more accurately, Connect SoCal Growth Forecast, household growth shall mean exceeding 1.0368 times household growth.

	Percentage of Existing Need	Assigned units
Existing need: Transit accessibility	50%	418,429
Existing need: Job accessibility	50%	418,428
Existing need subtotal		836,857
Total regional need		1,341,827

Step 1: Determine Projected Housing Need

The first step of the RHNA methodology is to determine a jurisdiction’s projected need. From the regional determination, projected need is considered to be regional household growth, regional future vacancy need, and regional replacement need.



To determine a jurisdiction’s projected need, the methodology uses a three-step process:

- a. Determine the jurisdiction’s regional projected household growth based on local input
- b. Determine future vacancy need based on a jurisdiction’s existing composition of owner and renter households and apply a vacancy rate on projected household growth based on the following:
 - a. Apply a 1.5% vacancy need for owner households
 - b. Apply a 5.0% vacancy need for renter households
- c. Determine a jurisdiction’s net replacement need based on replacement need survey results

Step 1a: Projected Household Growth

SCAG’s Connect SoCal regional growth forecast reflects recent and past trends, key demographic and economic assumptions, and local, regional, state, and national policy. SCAG’s regional growth forecasting process also emphasizes the participation of local jurisdictions and other stakeholders. The growth forecast process kicked off on May 30, 2017 with a panel of experts meeting wherein fifteen academic scholars and leading practitioners in demographics and economics were invited to review key input assumptions for the growth forecast including expected job growth, labor force

participation, birth rates, immigration and household formation rates. SCAG staff then incorporated the recommendations of the panel of experts into a preliminary range of population, household, and employment growth figures for 2016, 2020, 2030, 2035, and 2045 for the region and six counties individually.

SCAG further projects jurisdiction-level and sub-jurisdiction-level employment, population, and households using several major data sources, including:

- California Department of Finance (DOF) population and household estimates;
- California Employment Development Department (EDD) jobs report by industry;
- 2015 existing land use and General Plans from local jurisdictions;
- 2010 Census and the latest ACS data (2013-2017 5-year samples);
- County assessor parcel databases;
- 2011 and 2015 Business Installment data from InfoGroup; and
- SCAG's 2016 RTP/SCS growth forecast.

On October 31, 2017, the preliminary small area (i.e. jurisdiction and sub-jurisdiction) growth forecasts were released to local jurisdictions for their comments and input. This kicked off SCAG's *Bottom-Up Local Input and Envisioning Process* which provided each local jurisdiction with their preliminary growth forecast information as well as several other data elements both produced by SCAG and other agencies which are related to the development of Connect SoCal. Data map books were generated and provided electronically and in hard copy format and included detailed parcel-level land use data, information on resource areas, farmland, transportation, geographical boundaries and the draft growth forecast. Complete information on the Data map books and the Bottom-Up Local Input and Envisioning Process can be found at <http://scagrtpscs.net/Pages/DataMapBooks.aspx>. Over the next eight months, SCAG staff conducted one-on-one meetings with all 197 local jurisdictions to explain methods and assumptions behind the jurisdiction and sub-jurisdiction growth forecast as well as to provide an opportunity to review, edit, and approve SCAG's preliminary forecast for population, employment, and households for 2016, 2020, 2030, 2035, and 2045.

Between October 2018 and February 2019, SCAG reviewed local input on the growth forecast and other data map book elements. The local input growth forecast was evaluated at the county and regional level for the base year of 2016 and the horizon year of 2045 and was found to be technically sound. Specifically, as it relates to SCAG's local input household forecast:

- The forecast generates a 2045 regional unemployment rate of 4.7 percent which is reasonable based on past trends and ensured that the forecast is balanced, i.e. there are not too many jobs for the number of anticipated workers
- The forecast generates a 2045 population-to-household ratio of 2.9 which is consistent with the preliminary forecast and reflects expert-anticipated decreases in this ratio, ensuring that there are not too many people for the anticipated number of households region-wide
- From 2020-2045, the forecast anticipates household growth of 21 percent and population growth of 15 percent, indicating an alleviation of the region's current housing shortage over this future period.

SCAG's growth forecast for the years 2020-2030 is used as the basis for calculating projected housing unit need. Because the 6th cycle RHNA projection period covers July 1, 2021 through October 15, 2029, it is necessary to adjust reported household growth between 2020 and 2030 and adjust it to an 8.25 year projection period. The anticipated growth in households over this period is multiplied by 0.825 to approximate growth during the 8.25-year RHNA projection period (July 1, 2021 to October 15, 2029).

Step 1b: Future Vacancy Need

The purpose of a future vacancy need is to ensure that there are enough vacant units to support a healthy housing market that can genuinely accommodate projected household growth. An undersupply of vacant units can prevent new households from forming or moving into a jurisdiction. Formulaically, future vacancy need is a percentage applied to the jurisdiction's household growth by tenure type (owner and renter households). While individual jurisdictions may experience different vacancy rates at different points in time, future vacancy need is independent of existing conditions and instead is a minimum need to support household growth.

To calculate a jurisdiction's future vacancy need, its proportion of owner-occupied units and renter-occupied units are determined using American Community Survey (ACS) 2013-2017 data—the most recent available at the time of the draft methodology's development. The percentages are applied to the jurisdiction's projected household growth from the previous step, which results in the number of projected households that are predicted to be owners and those that are predicted to be renters.

Next, two different vacancy rates are applied based on the regional determination provided by HCD. The recommended methodology uses 1.5 percent for owner-occupied units and a rate of 5 percent for renter-occupied units. The difference is due to the higher rates of turnover generally reported by renter units in comparison to owner-occupied units. The vacancy rates are applied to their respective tenure category to determine how many future vacant units are needed by tenure and then added together to get the total future vacancy need.

Step 1c: Replacement Need

Residential units are demolished for a variety of reasons including natural disasters, fire, or desire to construct entirely new residences. Each time a unit is demolished, a household is displaced and disrupts the jurisdiction's pattern of projected household growth. The household may choose to live in a vacant unit or leave the jurisdiction, of which both scenarios result in negative household growth through the loss of a vacant unit for a new household or subtracting from the jurisdictions number of households.

For these reasons, replacement need is a required component of the regional determination provided by HCD. The methodology's replacement need will be calculated using a jurisdiction's net replacement need based on data submitted for the replacement need survey, which was conducted between March and April 2019.

Each jurisdiction's data on historical demolitions between reporting years 2008 and 2018, which was collected from the California Department of Finance (DOF), was tabulated and provided to

jurisdictions in the replacement need survey. Jurisdictions were asked to provide data on units that replaced the reported demolished units. A net replacement need was determined based on this information for each jurisdiction.

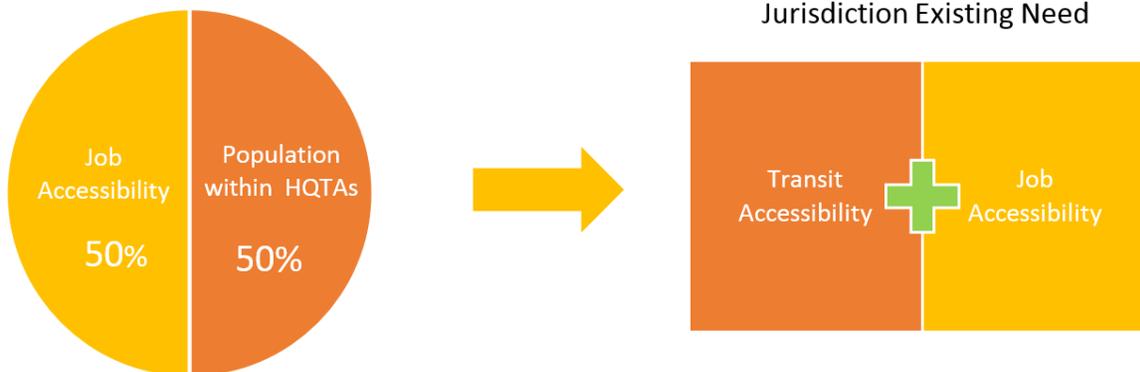
After determining each of the projected housing need components, they are combined to determine a jurisdiction’s projected housing need.

Step 2: Determine Existing Housing Need

After determining a jurisdiction’s projected need, the next step is to determine a jurisdiction’s existing need. Following the above discussion and based on HCD’s determination of total regional housing need, existing need is defined as the total need minus the projected need—approximately 62 percent of the entire regional determination. SCAG’s Regional Council determined that the regional existing need be split into two parts:

- Fifty (50) percent on population near transit (HQTAs), or 31 percent of total need
- Fifty (50) percent on job accessibility, or 31 percent of total need

Regional Existing Need



Step 2a: Share of Regional HQTAs Population

The next step involves the consideration of proximity to transit to distribute fifty (50) percent of the region’s existing housing need, in an effort to better align transportation and housing planning.

For several years, SCAG has developed a measure called High Quality Transit Areas (HQTAs) which are areas within a half-mile of transit stations and corridors with at least a fifteen (15) minute headway during peak hours for bus service. HQTAs are based on state statutory definitions of high-quality transit corridors (HQTcs) and major transit stops. For the development of Connect SoCal, freeway-running HQTcs have been excluded from HQTAs to better reflect the level of service they provide to nearby areas.

Planned HQTcs and major transit stops for future years are improvements that are expected to be implemented by transit agencies by the Connect SoCal horizon year of 2045. SCAG updates its inventory with the quadrennial adoption of each RTP/SCS; however, planning and environmental

impact studies may be completed by transit agencies more frequently. Therefore, HQTAs in future years reflect the best information currently available to SCAG regarding the location of future high-quality transit service accessibility. More detailed information on HQTAs-related definitions is available in the data appendix.

50 percent of the regional existing housing need will be distributed based on a jurisdiction's share of regional residential population within an HQTAs, based on the HQTAs boundaries used in the final Connect SoCal Plan anticipated to be adopted by SCAG in April 2020. Not all jurisdictions have an HQTAs within their jurisdictional boundaries and thus may not receive existing need based on this factor.

Step 2b: Job Accessibility

The concept behind job accessibility is to further the statewide housing objective and SCAG's Connect SoCal objective of improving the relationship between jobs and housing. While none of the three options presented in the proposed RHNA methodology included a factor directly based on job accessibility, an overwhelming number of public comments expressed support for the methodology to include this specific component.

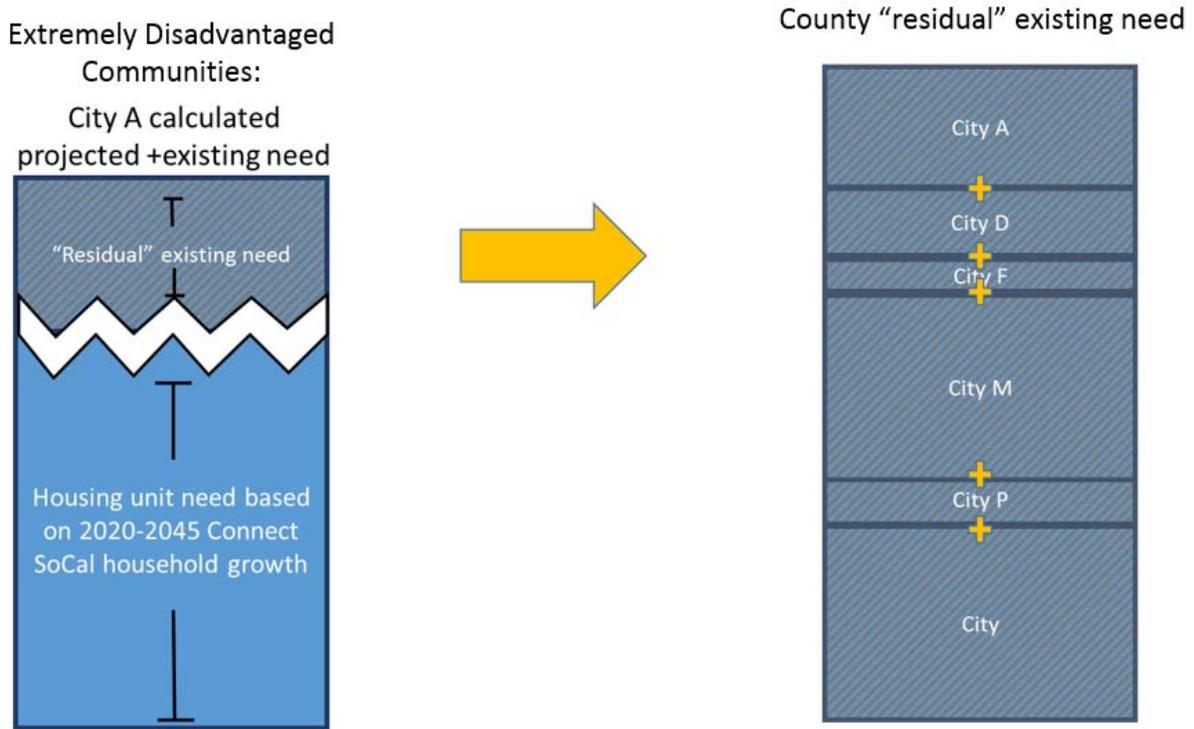
The methodology assigns fifty (50) percent of regional existing need based on job accessibility. Job accessibility is based on the share of the region's jobs accessible by a thirty (30) minute commute by car in 2045. Importantly, the RHNA methodology's job access factor is *not* based on the number of jobs within a jurisdiction from SCAG's Connect SoCal Plan or any other data source. Rather, it is a measure based on of how many jobs can be *accessed* from that jurisdiction within a 30-minute commute, which includes jobs in other jurisdictions. Since over 80 percent of SCAG region workers live and work in different jurisdictions, genuinely improving the relationship between jobs and housing necessitates an approach based on job access rather than the number of jobs in a jurisdiction.

These job accessibility data are derived at the transportation analysis zone (TAZ) level from travel demand modelling output from SCAG's final Connect SoCal Plan. SCAG realizes that in many jurisdictions, especially larger ones, job access many not be uniform in all parts of the city or county. However, since the RHNA process requires allocating housing need at the jurisdictional-level, staff reviewed several ways to measure the typical commuter's experience in each jurisdiction. Ultimately, the share of the region's jobs that could be accessed by a jurisdiction's *median TAZ* was found to be the best available measure of job accessibility for that jurisdiction. Based on this measure, in central parts of the region, residents of some jurisdictions can access as much as 23 percent of the region's jobs in a 30 minute car commute, while the average across all the region's jurisdictions was 10.5 percent.

This measure is multiplied by a jurisdiction's share of total population in order to allocate housing unit need to jurisdictions. This important step ensures that the potential beneficiaries of greater accessibility (i.e., the population in a jurisdiction with good job access) are captured in the methodology. Based on this approach, jurisdictions with limited accessibility to jobs will receive a smaller RHNA allocation based on this component.

Step 2c: "Residual" Adjustment Factor for Existing Need

In many jurisdictions defined as “disadvantaged communities (DACs)”, the calculated projected and existing need is higher than its household growth between 2020 and 2045, as determined by the SCAG Growth Forecast used in the final Connect SoCal regional plan. Those DAC jurisdictions that have a need as determined by the RHNA methodology as higher than its 2020 to 2045 household growth³ will be considered as generating “residual” existing need. Residual need will be subtracted from jurisdictional need in these cases so that the maximum a DAC jurisdiction will receive for existing need is equivalent to its 2020 to 2045 household growth. Not all DAC jurisdictions will have a residual existing need.



A county total of residual existing need will be calculated and then redistributed with the same county to non-DAC jurisdictions. The redistribution will be assigned to jurisdictions based on transit accessibility (50%) and job accessibility (50%), and will exclude DAC jurisdictions which have over 50% of their populations in very low resource areas using California Tax Credit Allocation Committee (TCAC)/HCD Opportunity Indices.

Very low resource areas are areas that have least access to opportunity as measured by indicators such as poverty levels, low wage job proximity, math and reading proficiency, and pollution levels. This mechanism will help to further AFFH objectives since residual existing RHNA need, which includes additional affordable units, will be assigned to areas that are not identified as those with the

³ Since HCD’s regional determination of 1,341,827 exceeds SCAG’s 2020-2045 household growth forecast of 1,297,000 by 3.68 percent, for the purposes of existing need allocation, exceeding “local input” or “Connect SoCal” household growth shall mean exceeding 1.0368 times household growth.

lowest resources, which will increase access to opportunity. A full discussion on the TCAC opportunity indicators is provided in the following section on social equity adjustment. Data relating to the TCAC opportunity indicator categories for each jurisdiction can be found in the RHNA methodology data appendix and in the accompanying RHNA allocation estimator tool on the RHNA webpage: www.scag.ca.gov/rhna.

Step 3: Determining Total Housing Need

After determining a jurisdiction's projected housing need from step 1 and its existing housing need from step 2, the sum of the projected and existing need becomes a jurisdiction's total housing need.



Step 4: Determining Four Income Categories through Social Equity Adjustment

After determining a jurisdiction's total RHNA allocation, the next step is to assign the total into four RHNA income categories. The four RHNA income categories are:

- Very low (50 percent or less of the county median income);
- Low (50-80 percent);
- Moderate (80 to 120 percent); and
- Above moderate (120 percent and above)

The fourth RHNA objective specifically requires that the RHNA methodology allocate a lower proportion of housing need in jurisdictions that already have a disproportionately high concentration of those households in comparison to the county distribution. Additionally, the fifth objective, affirmatively furthering fair housing (AFFH), requires that the RHNA methodology further the objectives of addressing significant disparities in housing needs and access to opportunity in order to overcome patterns of segregation.

To further these two objectives, the RHNA methodology includes a minimum 150 percent social equity adjustment and an additional 10 to 30 percent added in areas with significant populations that are defined as very low or very high resource areas, referred to as an AFFH adjustment. This determines the distribution of four income categories for each jurisdiction.

Social equity adjustment



A social equity adjustment ensures that jurisdictions accommodate their fair share of each income category. First, the percentage of each jurisdiction’s distribution of four income categories is determined using the county median income as a benchmark. For example, in Los Angeles County, a household earning less than \$30,552 annually, or 50 percent of the county median income, would be considered a very low income household. A household in Los Angeles County earning more than \$73,218 annually, or 120 percent of the county median income, would be counted in the above moderate category. The number of households in each category is summed and then a percentage of each category is then calculated.

For reference, below is the median household income by county.

- Imperial County: \$44,779
- Los Angeles County: \$61,015
- Orange County: \$81,851
- Riverside County: \$60,807
- San Bernardino County: \$57,156
- Ventura County: \$81,972
- SCAG region: \$64,114

Source: American Community Survey (ACS) 2013-2017 5-year estimates

Once a jurisdiction’s household income distribution by category is determined, the percentage is compared to the county’s percentage of existing household income distribution. For example, if a jurisdiction has an existing distribution of 30 percent of very low income households while the county is 25 percent, the jurisdiction is considered as having an overconcentration of very low income households compared to the county. A social equity adjustment ensures that the jurisdiction will be assigned a smaller percentage of very low income households for its RHNA allocation than both what it and the county currently experience.

If the jurisdiction is assigned a social equity adjustment of 150 percent, the formula to calculate its very low income percentage is:

Household Income Level	Formula to Calculate City A Social Equity Adjustment of 150%
Very Low Income	$30\% - [(30\% - 25\%) \times 1.5] = 22.5\%$

In this example, 22.5 percent of the jurisdiction’s total RHNA allocation would be assigned to the very low income category. This adjustment is lower than both its existing household income distribution (30 percent) and the existing county distribution (25 percent).

The inverse occurs in higher income categories. Assuming 20 percent of a jurisdiction’s households are above moderate income while 25 percent of the county’s households are above moderate income, the jurisdiction will be assigned a distribution of 27.5 percent for above moderate income need.

Household Income Level	Formula to Calculate City A Social Equity Adjustment of 150%
Above moderate income	$20\% - [(20\% - 25\%) \times 1.5] = 27.5\%$

If the adjustment was 100 percent a jurisdiction’s distribution would be exactly the same as the County’s distribution. Conceptually a 150 percent adjustment means that the City meets the County distribution and goes beyond that threshold by 50 percent, resulting in a higher or lower distribution than the County depending on what existing conditions are in the City. The higher the adjustment, the more noticeable the difference between the jurisdiction’s existing household income distribution and its revised distribution.

The RHNA methodology recommends a minimum of 150 percent social equity adjustment with an additional 10, 20, or 30 percent added depending on whether the jurisdiction is considered a very low or very high resource area based on its Opportunity Index score.

In 2015 the U.S. Department of Housing and Urban Development (HUD) developed a set of “Opportunity Indices” to help states and localities identify factors that contribute to fair housing issues in their region and comply with the federal Fair Housing Act. In late 2017, a Task Force convened by HCD and the California Tax Credit Allocation Committee (TCAC) released an “Opportunity mapping” tool based on these HUD indices to identify areas in California that can “offer low-income children and adults the best chance at economic advancement, high educational attainment, and good physical and mental health.”⁴

The TCAC and HCD Opportunity mapping tool includes a total of eleven (11) census-tract level indices to measure exposure to opportunity in local communities. The indices are based on measures of economic, environmental, and educational opportunities within communities. Regional patterns of segregation are also identified based on this tool. Below is a summary table of the 11 indices sorted by type:

Economic	Environment	Education
Poverty	CalEnviroScreen 3.0 indicators <ul style="list-style-type: none"> • <i>Ozone</i> • <i>PM2.5</i> • <i>Diesel PM</i> • <i>Drinking water contaminates</i> • <i>Pesticides</i> • <i>Toxic releases from facilities</i> • <i>Traffic density</i> • <i>Cleanup sites</i> • <i>Groundwater threats</i> • <i>Hazardous waste</i> • <i>Impaired water bodies</i> • <i>Solid waste sites</i> 	Math proficiency
Adult education		Reading proficiency
Employment		High school graduation rates
Low-wage job proximity		Student poverty rate
Median home value		

⁴ California Fair Housing Taskforce Revised opportunity Mapping Technology, Updated November 27, 2018: <https://www.treasurer.ca.gov/ctcac/opportunity/final-opportunity-mapping-methodology.pdf>

Based on its respective access to opportunity, each census tract is given a score that designates it under one of the following categories:

- High segregation & poverty
- Low resource
- Moderate resource
- High resource
- Highest resource

Tract-level indices were summed to the jurisdictional-level by SCAG using area-weighted interpolation. Using 2013-2017 American Community Survey population data, SCAG determined the share of each jurisdiction’s population in each of these five categories. For example:

	Lowest Resource				Very High Resource
Opportunity Indicator Category	High segregation & poverty	Low resource	Moderate resource	High resource	Highest resource
City A Percentage of population	10%	10%	30%	30%	20%
City B Percentage of population	90%	5%	5%	0%	0%
City C Percentage of population	0%	0%	10%	15%	75%

The recommended methodology determines high resource concentration using the “very high” resource area score. The recommended methodology determines “lowest” resource areas by combining the two lowest measures. In the above table, City B would be considered to have a much higher concentration of lower resource areas than City A. City C would be considered to have a much higher concentration of highest resource areas.⁵

- High segregation & Poverty + Low Resource = Lowest Resource
- Highest Resource

Jurisdictions that are identified as having between 70 and 100 percent of the population within a lowest or very high resource area are assigned an additional 10 and 30 percent social equity adjustment:

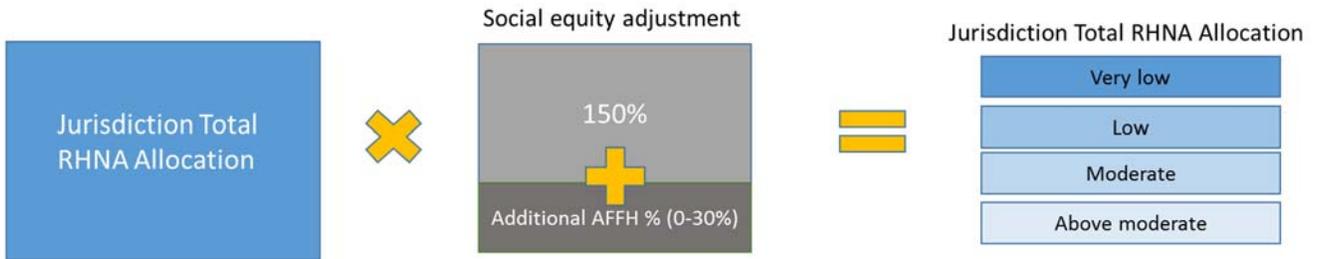
⁵ As a cross-reference, if City B has both a high job and transit accessibility it would be exempt from the redistribution of residual existing need from the RHNA methodology’s Step 2d because more than 50 percent of its population is within a very low resource area. On the other hand City A and City C, if they have a high job and transit access, would not be exempt from receiving regional residual need because they have only 20 percent and 0 percent of their respective population within a very low resource area.

Concentration of population within very low or very high resource area	Additional social equity adjustment
70-80%	+10%
80-90%	+20%
90-100%	+30%

In the example table, City B would receive an additional social equity adjustment of 30% because 95% of its population is within a lowest resource area (sum of high segregation & poverty and low resource measures). City C would receive an additional social equity adjustment of 10% because 75% of its population is within a very high resource area. City A would not receive a further adjustment because it does not have a high enough concentration of population within either the lowest or very high resource categories.

Assigning a higher social equity adjustment based on Opportunity Indices will result in a higher percentage of affordable housing units to areas that have higher resources. Concurrently, it will assign a lower percentage of affordable housing in areas where they is already an overconcentration. Because Opportunity Indices consider factors such as access to lower wage jobs, poverty rates, and school proficiency, the social equity adjustment in the RHNA methodology will result in factors beyond simply household income distribution. This additional adjustment will help to adjust the disparity in access to fair housing across the region, furthering the AFFH objective required in State housing law.

Once the social equity adjustment is determined, it is used to assign need to the four income categories.



Final Adjustments

On a regional level the final RHNA allocation plan must be the same as the regional determination, by income category, provided by HCD. The final RHNA methodology will result in slight differences, among income categories, since income categories are required to use county distributions as benchmarks and the HCD determination does not include county-level benchmarks. For this reason, after the initial income categories are determined for jurisdictions, SCAG will apply a normalization adjustment to the draft fsRHNA allocation to ensure that the regional total by income category is maintained.

Additionally, in the event that a jurisdiction receives an allocation of zero (0) units under the RHNA methodology a minimum RHNA allocation of eight (8) units would be assigned. Government Code Section 65584.04(m)(2) requires that the final RHNA allocation plan ensure that each jurisdiction receive an allocation of units for low- and very low income households. Under these circumstances, SCAG will assign those jurisdictions a minimum of four (4) units in the very low income category and four (4) units in the low income category for a draft RHNA allocation of eight (8) units.

Meeting the Objectives of RHNA

Government Code Section 65584.04(a) requires that the RHNA methodology furthers the five objectives of the Regional Housing Needs Assessment:

- (1) Increasing the housing supply and the mix of housing types, tenure, and affordability in all cities and counties within the region in an equitable manner, which shall result in each jurisdiction receiving an allocation of units for low- and very low income households.
- (2) Promoting infill development and socioeconomic equity, the protection of environmental and agricultural resources, the encouragement of efficient development patterns, and the achievement of the region's greenhouse gas reductions targets provided by the State Air Resources Board pursuant to Section 65080.
- (3) Promoting an improved intraregional relationship between jobs and housing, including an improved balance between the number of low-wage jobs and the number of housing units affordable to low-wage workers in each jurisdiction.
- (4) Allocating a lower proportion of housing need to an income category when a jurisdiction already has a disproportionately high share of households in that income category, as compared to the countywide distribution of households in that category from the most recent American Community Survey.
- (5) Affirmatively furthering fair housing.
 - (e) For purposes of this section, "affirmatively furthering fair housing" means taking meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means taking meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws.

On January 13, 2020, HCD completed its review of SCAG's draft RHNA methodology and found that it furthers the five statutory objectives of RHNA.

Local Planning Factors

As part of the development of the proposed RHNA methodology, SCAG must conduct a survey of planning factors that identify local conditions and explain how each of the listed factors are incorporated into the RHNA methodology. This survey, also known as the “Local Planning Factor” survey, is a specific requirement for the RHNA methodology process and is separate from the local review process of the Growth Forecast used as the basis for determining future growth in the Connect SoCal plan.

The survey was distributed to all SCAG jurisdictions in mid-March 2019 with a posted due date of May 30, 2019. One-hundred and nine (109) jurisdictions, or approximately 55%, submitted a response to the local planning factor survey. To facilitate the conversation about local planning factors, between October 2017 and October 2018 SCAG included these factors as part of the local input survey and surveyed a binary yes/no as to whether these factors impacted jurisdictions. The formal local planning factor survey was pre-populated with the pre-survey answers to help facilitate survey response. The full packet of local planning factor surveys can be downloaded at www.scag.ca.gov/rhna.

SCAG staff reviewed each of the submitted surveys to analyze planning factors opportunities and constraints across the region. The collected information was used to ensure that the methodology will equitably distribute housing need and that underlying challenges as a region are collectively addressed.

- (1) Each member jurisdiction’s existing and projected jobs and housing relationship. This shall include an estimate, based on readily available data, of the number of low-wage jobs within the jurisdiction and how many housing units within the jurisdiction are affordable to low-wage workers as well as an estimate, based on readily available data, of projected job growth and projected household growth by income level within each member jurisdiction during the planning period.*

The RHNA methodology directly considers job accessibility and determines a portion of housing need for each jurisdiction based on this factor. Using transportation analysis zones as a basis, the percentage of jobs accessible within a 30 minute drive for a jurisdiction’s population is determined and then weighted based on the jurisdiction’s population size to determine individual shares of regional jobs accessible. Based on a review of other potential mechanisms to factor in jobs into the RHNA methodology, SCAG staff has determined that this mechanism most closely aligns with the goals of State housing law.

A supplemental analysis of the impact of the draft RHNA methodology’s impact on jobs-housing relationships and low-wage jobs-housing relationships was provided to the Regional Council on February 5, 2020.

- (2) *The opportunities and constraints to development of additional housing in each member jurisdiction, including all of the following:*
- (A) *Lack of capacity for sewer or water service due to federal or state laws, regulations or regulatory actions, or supply and distribution decisions made by a sewer or water service provider other than the local jurisdiction that preclude the jurisdiction from providing necessary infrastructure for additional development during the planning period.*
 - (B) *The availability of land suitable for urban development or for conversion to residential use, the availability of underutilized land, and opportunities for infill development and increased residential densities. The council of governments may not limit its consideration of suitable housing sites or land suitable for urban development to existing zoning ordinances and land use restrictions of a locality, but shall consider the potential for increased residential development under alternative zoning ordinances and land use restrictions. The determination of available land suitable for urban development may exclude lands where the Federal Emergency Management Agency (FEMA) or the Department of Water Resources has determined that the flood management infrastructure designed to protect that land is not adequate to avoid the risk of flooding.*
 - (C) *Lands preserved or protected from urban development under existing federal or state programs, or both, designed to protect open space, farmland, environmental habitats, and natural resources on a long-term basis, including land zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts conversion to non-agricultural uses.*
 - (D) *County policies to preserve prime agricultural land, as defined pursuant to Section 56064, within an unincorporated area and land within an unincorporated area zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts its conversion to non-agricultural uses.*

Consideration of the above planning factors have been incorporated into the Growth Forecast process and results by way of analysis of aerial land use data, general plan, parcel level property data, open space, agricultural land and resource areas, and forecast surveys distributed to local jurisdictions. The bottom-up Local Input and Envisioning Process, which is used as the basis for both RHNA and SCAG's Connect SoCal (Regional Transportation Plan/Sustainable Communities Strategy) started with an extensive outreach effort involving all local jurisdictions regarding their land use and development constraints. All local jurisdictions were invited to provide SCAG their respective growth perspective and input. The RHNA methodology directly incorporates local input on projected household growth, which should be a direct reflection of local planning factors such as lack of water or sewer capacity, FEMA-designated flood sites, and open space and agricultural land protection.

Prior RHNA cycles did not promote direct linkage to transit proximity and the methodology encourages more efficient land use patterns by utilizing existing as well as future planned transportation infrastructure and preserves areas designated as open space and agricultural

lands. In particular the inclusion of transit proximity places an increased emphasis on infill opportunities and areas that are more likely to support higher residential densities.

- (3) The distribution of household growth assumed for purposes of a comparable period of regional transportation plans and opportunities to maximize the use of public transportation and existing transportation infrastructure.*

As indicated above, the Growth Forecast used as the basis for the Connect SoCal Plan is also used as the basis for projected household growth in the RHNA methodology. The weighting of a jurisdiction's population share within an HQTAs directly maximizes the use of public transportation and existing transportation infrastructure.

- (4) Agreements between a county and cities in a county to direct growth toward incorporated areas of the county, and land within an unincorporated area zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of the jurisdiction that prohibits or restricts conversion to nonagricultural uses.*

This planning factor has been identified through the local input process and local planning factor survey collection as affecting growth within Ventura County. The urban growth boundary, known as Save Our Agricultural Resources (SOAR), is an agreement between the County of Ventura and its incorporated cities to direct growth toward incorporated areas, and was recently extended to 2050. Based on the input collected, SCAG staff has concluded that this factor is already reflected in the RHNA methodology since it was considered and incorporated into the local input submitted by jurisdictions.

- (5) The loss of units contained in assisted housing developments, as defined in paragraph (9) of subdivision (a) of Section 65583 that changed to non-low-income use through mortgage prepayment, subsidy contract expirations, or termination of use restrictions.*

The conversion of low income units into non-low income units is not explicitly addressed through the distribution of existing and projected housing need. Staff has provided statistics in the RHNA methodology appendix on the potential loss of units in assisted housing developments. The loss of such units affects the proportion of affordable housing needed within a community and the region as a whole.

Local planning factor survey responses indicate that the impact of this factor is not regionally uniform. Many jurisdictions that replied some units are at-risk for losing their affordability status in the near future have indicated that they are currently reviewing and developing local resources to address the potential loss. Based on this, SCAG staff has determined that at-risk units are best addressed through providing data on these units as part of the RHNA methodology and giving local jurisdictions the discretion to address this factor and adequately plan for any at-risk unit loss in preparing their housing elements.

(6) The percentage of existing households at each of the income levels listed in subdivision (e) of Section 65584 that are paying more than 30 percent and more than 50 percent of their income in rent.

An evaluation of survey responses reveals that cost-burdened households, or those who pay at least 30 percent of their household income on housing costs, is a prevalent problem throughout the region. The RHNA methodology also includes in its appendix data from the ACS 2013-2017 on cost-burdened statistics for households who pay more than 30 percent of their income on housing by owner and renter, and for renter households who pay 50 percent or more of their income on housing. The general trend is seen in both high and low income communities, suggesting that in most of the SCAG region high housing costs are a problem for all income levels.

Nonetheless a large number of jurisdictions indicated in the survey that overpaying for housing costs disproportionately impacts lower income households in comparison to higher income households. This issue is exacerbated in areas where there is not enough affordable housing available, particularly in higher income areas. For this reason, the RHNA methodology incorporates not only a 150 percent social equity adjustment, but also uses the TCAC Opportunity Indices to distribute the RHNA allocation into the four income categories in areas identified as being the highest resource areas of the region. The Opportunity Indices include a proximity to jobs indicator, particularly for low-wage jobs, which identifies areas with a high geographical mismatch between low wage jobs and affordable housing. Increasing affordable housing supply in these areas can help alleviate cost-burden experienced by local lower income households because more affordable options will be available.

The reason for using social equity adjustment and opportunity indices to address cost-burdened households rather than assigning total need is because it is impossible to determine through the methodology how and why the cost-burden is occurring in a particular jurisdiction. Cost-burden is a symptom of housing need and not its cause. A jurisdiction might permit a high number of units but still experiences cost-burden because other jurisdictions restrict residential permitting. Or, a jurisdiction might have a large number of owner-occupied housing units that command premium pricing, causing cost-burden for high income households and especially on lower income households due to high rents from high land costs. An analysis of existing need indicators by jurisdiction, which is part of the RHNA methodology data appendix, does not reveal a single strong trend to base a distribution methodology for cost-burden and thus the RHNA methodology distributes this existing need indicator regionally using social equity adjustment and Opportunity Indices rather than to where the indicators exist.

(7) The rate of overcrowding.

An evaluation of survey responses indicates that there is a variety of trends in overcrowding throughout the region. Overcrowding is defined as more than 1.01 persons per room (not bedroom) in a housing unit. Some jurisdictions have responded that overcrowding is a severe issue, particularly for lower income and/or renter households, while others have

responded that overcrowding is not an issue at all. At the regional determination level HCD applied an overcrowding component, which is a new requirement for the 6th RHNA cycle. Because

Similar to cost-burden, overcrowding is caused by an accumulated housing supply deficit and is considered an indicator of existing housing need. The reason for not assigning need directly based on this indicator is because it is impossible to determine through the methodology how and why the overcrowding is occurring in a particular jurisdiction. A jurisdiction that has an overcrowding rate higher than the regional average might be issuing more residential permits than the regional average while the surrounding jurisdictions might not have overcrowding issues but issue fewer permits than the regional average. An analysis of existing need indicators by jurisdiction, which is part of the RHNA methodology data appendix, does not reveal a single strong trend to base a distribution methodology for overcrowding and thus the methodology distributes this existing need indicator regionally rather than to where the indicators exist.

While not specifically surveyed, several jurisdictions have indicated that density has affected their jurisdictions and have requested that the methodology should consider this as a factor. While density is not directly addressed as a factor, the social equity adjustment indirectly addresses density particularly for lower income jurisdictions. In housing elements, jurisdictions most demonstrate that a site is affordable for lower income households by applying a “default density”, defined in State housing law as either 20 or 30 dwelling units per acre depending on geography and population. In other words, a site that is zoned at 30 dwelling units per acre is automatically considered as meeting the zoning need for a low income household.

However there is not a corresponding default density for above moderate income zoning. Assigning a lower percentage of lower income households than existing conditions indirectly reduces future density since the jurisdiction can zone at lower densities if it so chooses. While this result does not apply to higher income jurisdictions, directing growth toward less dense areas for the explicit purpose of reducing density is in direct contradiction to the objectives of state housing law, especially for promoting infill development and socioeconomic equity, the protection of environmental and agricultural resources, the encouragement of efficient development pattern.

(8)The housing needs of farmworkers.

The RHNA methodology appendix provides data on agricultural jobs by jurisdiction as well as workers by place of residence. The survey responses indicate that most jurisdictions do not have agricultural land or only have small agricultural operations that do not necessarily require designated farmworker housing. For the geographically concentrated areas that do have farmworker housing, responses indicate that many jurisdictions already permit or are working to allow farmworker housing by-right in the same manner as other agricultural uses are allowed. Jurisdictions that are affected by the housing needs of farmworkers can be assumed to have considered this local factor when submitting feedback on SCAG’s Growth

Forecast. A number of jurisdictions reiterated their approach in the local planning factor survey response.

Similar to at-risk units, the RHNA methodology does not include a distribution mechanism to distribute farmworker housing. However, SCAG has provided data in its RHNA methodology appendix related to this factor and encourages local jurisdictions to adequately plan for this need in their housing elements.

(9)The housing needs generated by the presence of a private university or a campus of the California State University or the University of California within any member jurisdiction.

SCAG staff has prepared a map outlining the location of four-year private and public universities in the SCAG region along with enrollment numbers from the California School Campus Database (2018). Based on an evaluation of survey responses that indicated a presence of a university within their boundaries, SCAG staff concludes that most housing needs related to university enrollment are addressed and met by dormitories provided by the institution both on- and off-campus. No jurisdiction expressed concern in the surveys about student housing needs due to the presence of a university within their jurisdiction.

However, some jurisdictions have indicated outside of the survey that off-campus student housing is an important issue within their jurisdictions and are in dialogue with HCD to determine how this type of housing can be integrated into their local housing elements. Because this circumstance applies to only a handful of jurisdictions, it is recommended that housing needs generated by a public or private university be addressed in the jurisdiction's housing element if it is applicable.

(10)The loss of units during a state of emergency that was declared by the Governor pursuant to the California Emergency Services Act (Chapter 7 (commencing with Section 8550) of Division 1 of Title 2), during the planning period immediately preceding the relevant revision pursuant to Section 65588 that have yet to be rebuilt or replaced at the time of the analysis.

Replacement need, defined as units that have been demolished but not yet replaced, are included as a component of projected housing need in the RHNA methodology. To determine this number, HCD reviewed historical demolition permit data between 2008 and 2017 (reporting years 2009 and 2018) as reported by the California Department of Finance (DOF), and assigned SCAG a regional replacement need of 0.5% of projected and existing need, or 34,010 units.

There have been several states of emergency declared for fires in the SCAG region that have destroyed residential units, as indicated by several jurisdictions in their local planning factor survey responses. Survey responses indicate that a total of 1,785 units have been lost regionally from fires occurring after January 1, 2018. Units lost from fires that occurred prior to January 1, 2018, have already been counted in the replacement need for the 6th RHNA cycle.

In spring 2019, SCAG conducted a replacement need survey with jurisdictions to determine units that have been replaced on the site of demolished units reported. Region wide 23,545 of the region's demolished units still needed to be replaced based on survey results. The sum of the number of units needing to be replaced based on the replacement need survey and the number of units reported as lost due to recent states of emergency, or 25,330, is lower than HCD's regional determination of replacement need of 34,010. One can reasonably conclude that units lost based on this planning factor are already included in the regional total and distributed, and thus an extra mechanism to distribute RHNA based on this factor is not necessary to meet the loss of units.

(11)The region's greenhouse gas emissions targets provided by the State Air Resources Board pursuant to Section 65080.

An assessment of survey responses indicate that a number of jurisdictions in the SCAG region are developing efforts for more efficient land use patterns and zoning that would result in greenhouse gas emissions. These include a mix of high-density housing types, neighborhood based mixed-use zoning, climate action plans, and other local efforts to reduce greenhouse gas emissions at the regional level.

The RHNA methodology includes a distribution of 50 percent of regional existing need based on a jurisdiction's share of regional population within an HQT. The linkage between housing planning and transportation planning will allow for a better alignment between the RHNA allocation plan and the Connect SoCal RTP/SCS. It will promote more efficient development land use patterns, encourage transit use, and importantly reduce greenhouse gas emissions. This will in turn support local efforts already underway to support the reduction of regional greenhouse gas emissions.

Moreover the RHNA methodology includes the Growth Forecast reviewed with local input as a distribution component, particularly for projected housing need. Local input is a basis for SCAG's Connect SoCal Plan, which addresses greenhouse gas emissions at the regional level since it is used to reach the State Air Resources Board regional targets. An analysis of the consistency between the RHNA and Connect SoCal Plan is included as an attachment to this document.

(12)Any other factors adopted by the council of governments that further the objectives listed in subdivision (d) of Section 65584, provided that the council of governments specifies which of the objectives each additional factor is necessary to further. The council of governments may include additional factors unrelated to furthering the objectives listed in subdivision (d) of Section 65584 so long as the additional factors do not undermine the objectives listed in subdivision (d) of Section 65584 and are applied equally across all household income levels as described in subdivision (f) of Section 65584 and the council of governments makes a finding that the factor is necessary to address significant health and safety conditions.

No other planning factors were adopted by SCAG to review as a specific local planning factor.

Affirmatively Furthering Fair Housing (AFFH)

Among a number of changes due to recent RHNA legislation is the inclusion of affirmatively furthering fair housing (AFFH) as both an addition to the listed State housing objectives of Government Section 65588 and to the requirements of RHNA methodology as listed in Government Code Section 65584.04(b) and (c), which includes surveying jurisdictions on AFFH issues and strategies and developing a regional analysis of findings from the survey.

AFFH Survey

The AFFH survey accompanied the required local planning factor survey and was sent to all SCAG jurisdictions in mid-March 2019 with a posted due date of May 30, 2019. Ninety (90) of SCAG's 197 jurisdictions completed the AFFH survey, though some jurisdictions indicated that they would not be submitting the AFFH survey due to various reasons. The full packet of surveys submitted prior to the development of the proposed methodology packet can be downloaded at www.scag.ca.gov/rhna.

Jurisdictions were asked various questions regarding fair housing issues, strategies and actions. These questions included:

- Describe demographic trends and patterns in your jurisdiction over the past ten years. Do any groups experience disproportionate housing needs?
- To what extent do the following factors impact your jurisdiction by contributing to segregated housing patterns or racially or ethnically-concentrated areas of poverty?
- To what extent do the following acts as determinants for fair housing and compliance issues in your jurisdiction?
- What are your public outreach strategies to reach disadvantaged communities?
- What steps has your jurisdiction undertaken to overcome historical patterns of segregation or remove barriers to equal housing opportunity?

The survey questions were based on the U.S. Department of Housing and Urban Development (HUD) Analysis of Impediments to Fair Housing Choice survey that each jurisdiction, or their designated local Housing Authority, must submit to HUD to receive Community Development Block Grant (CDBG) funds. For the AFFH survey, jurisdictions were encouraged to review their HUD-submitted surveys to obtain data and information that would be useful for submitting the AFFH survey.

Pursuant to Government Code Section 65584.04(c), the following is an analysis of the survey results.

Themes

Several demographic themes emerged throughout the SCAG region based on submitted AFFH surveys. A high number of jurisdictions indicated that their senior populations are increasing and many indicated that the fixed income typically associated with senior populations might have an effect on housing affordability. Other jurisdictions have experienced an increase in minority populations, especially among Latino and Asian groups. There is also a trend of the loss of young adults (typically younger than 30) and a decrease in the number of families with children in more suburban locations due to the rise in housing costs.

Barriers

There was a wide variety of barriers reported in the AFFH survey, though a number of jurisdictions indicated they did not have any reportable barriers to fair access to housing. Throughout the SCAG region, communities of all types reported that community opposition to all types of housing was an impediment to housing development. Sometimes the opposition occurred in existing low income and minority areas. Some jurisdictions indicated that high opportunity resource areas currently do not have a lot of affordable housing or Section 8 voucher units while at the same time, these areas have a fundamental misunderstanding of who affordable housing serves and what affordable housing buildings actually look like. Based on these responses, it appears that community opposition to housing, especially affordable housing and the associated stigma with affordable housing, is a prevalent barrier throughout the SCAG region.

Other barriers to access to fair housing are caused by high land and development costs since they contribute to very few affordable housing projects being proposed in higher opportunity areas. The high cost of housing also limits access to fair housing and is a significant contributing factor to disparities in access to opportunity. Increasing property values were reported across the region and some jurisdictions indicated that they are occurring in existing affordable neighborhoods and can contribute to gentrification and displacement. Additionally, during the economic downturn a large number of Black and Latino homeowners were disproportionately impacted by predatory lending practices and therefore entered foreclosure in higher numbers than other populations.

Other barriers reported in the AFFH survey include the lack of funding available to develop housing after the dissolution of redevelopment agencies in 2012. Moreover, some jurisdictions indicated that the lack of regional cooperation contributes to segregation.

Strategies to Overcome Barriers

All submitted AFFH surveys indicated that their respective jurisdictions employed at least a few strategies to overcome barriers to access fair housing. These strategies ranged from local planning and zoning tools to funding assistance to innovative outreach strategies.

In regard to planning and zoning tools, a number of jurisdictions indicated they have adopted inclusionary zoning ordinances or an in-lieu fee to increase the number of affordable units within their jurisdictions. Others have adopted an accessory dwelling unit (ADU) ordinance with accommodating standards to allow for higher densities in existing single-family zone neighborhoods. A few jurisdictions indicated that they have adopted an unpermitted dwelling unit (UDU) ordinance, which legalizes unpermitted units instead of removing them provided that the units meet health and safety codes. In addition to ADU and UDU ordinances, some jurisdictions have also adopted density bonuses, which allow a project to exceed existing density standards if it meets certain affordability requirements. Some responses in the survey indicate that the establishment of some of these tools and standards have reduced community opposition to projects. In addition, some jurisdictions responded that they have reduced review times for residential permit approvals and reduced or waived fees associated with affordable housing development.

To combat gentrification and displacement, some jurisdictions have established rent-stabilization ordinances while others have established a rent registry so that the jurisdiction can monitor rents

and landlord practices. Some jurisdictions have adopted relocation plans and others are actively seeking to extend affordability covenants for those that are expiring.

In regard to funding, SCAG jurisdictions provide a wide variety of support to increase the supply of affordable housing and increase access to fair housing. A number of jurisdictions provide citywide rental assistance programs for low income households and some indicated that their programs include favorable home purchasing options. Some of these programs also encourage developers to utilize the local first-time homebuyer assistance program to specifically qualify lower income applicants.

Other jurisdictions indicate that they manage housing improvement programs to ensure that their existing affordable housing stock is well maintained. Some AFFH surveys describe local multiple rental assistance programs, including Section 8 Housing Choice vouchers and financial support of tenant/landlord arbitration or mediation services.

Some jurisdictions indicated that they have focused on mobile homes as a way to increase access to fair housing. There are programs described that assist households that live in dilapidated and unsafe mobile homes in unpermitted mobile home parks by allowing the household to trade in their mobile home in exchange for a new one in a permitted mobile park. Other programs include rental assistance specifically for households who live in mobile homes.

In regard to community outreach, a large number of jurisdictions in the SCAG region have established or are seeking to establish innovative partnerships to increase access to fair housing and reduce existing barriers. Many jurisdictions work with fair housing advocacy groups such as the Housing Rights Center, which provide community workshops, counseling, and tenant-landlord mediation services. Other jurisdictions have established landlord-tenant commissions to resolve housing disputes and provide services to individuals with limited resources. Some jurisdictions have partnered with advocacy groups, such as the League of United Latin American Citizens (LULAC), to hold community-based workshops featuring simultaneous multi-lingual translations. Other innovative partnerships created by jurisdictions include those with local schools and school districts and public health institutions to engage disadvantaged groups and provide services to areas with limited resources.

A large number of jurisdictions have also indicated that they have increased their social media presence to reach more communities. Others have also increased their multi-lingual outreach efforts to ensure that limited-English proficiency populations have the opportunity to engage in local fair housing efforts.

Based on the AFFH surveys submitted by jurisdictions, while there is a wide range of barriers to fair housing opportunities in the SCAG region there is also a wide range of strategies to help overcome these barriers at the local level.

Meeting AFFH Objectives on a Regional Basis

To work towards the objective of AFFH, several benchmarks were reviewed as potential indicators of increasing access to fair housing and removing barriers that led to historical segregation patterns.

Opportunity Indices

The objectives of affirmatively furthering fair housing are to not only overcome patterns of segregation, but to also increase access to opportunity for historically marginalized groups, particularly in racially and ethnically concentrated areas of poverty. In 2015 the U.S. Department of Housing and Urban Development (HUD) developed a set of indices, known as “Opportunity Indices” to help states and jurisdictions identify factors that contribute to fair housing issues in their region and comply with the federal Fair Housing Act.

In 2015 the U.S. Department of Housing and Urban Development (HUD) developed a set of indices, known as “Opportunity Indices” to help states and jurisdictions identify factors that contribute to fair housing issues in their region and comply with the federal Fair Housing Act. In late 2017, a Task Force convened by HCD and the California Tax Credit Allocation Committee (TCAC) released an “Opportunity mapping” tool based on these HUD indices to identify areas in California that can “offer low-income children and adults the best chance at economic advancement, high educational attainment, and good physical and mental health.”

The TCAC and HCD Opportunity mapping tool includes a total of eleven (11) census-tract level indices to measure exposure to opportunity in local communities. Regional patterns of segregation can be identified based on this tool. The indices are based on indicators such as poverty levels, low wage job proximity, pollution, math and reading proficiency. Below is a summary table of the 11 indices sorted by type:

Economic	Environment	Education
Poverty	CalEnviroScreen 3.0 indicators <ul style="list-style-type: none"> • <i>Ozone</i> • <i>PM2.5</i> • <i>Diesel PM</i> • <i>Drinking water contaminates</i> • <i>Pesticides</i> • <i>Toxic releases from facilities</i> • <i>Traffic density</i> • <i>Cleanup sites</i> • <i>Groundwater threats</i> • <i>Hazardous waste</i> • <i>Impaired water bodies</i> • <i>Solid waste sites</i> 	Math proficiency
Adult education		Reading proficiency
Employment		High school graduation rates
Low-wage job proximity		Student poverty rate
Median home value		

To further the objectives of AFFH, SCAG utilizes the Opportunity indices tool at multiple points in the RHNA methodology. Jurisdictions that have the highest concentration of population in low resource areas are exempted from receiving regional residual existing need, which will result in fewer units

assigned to areas identified as having high rates of poverty and racial segregation. Additionally, jurisdictions with the highest concentration of population within highest resource areas will receive a higher social equity adjustment, which will result in more access to opportunity for lower income households.

Public Engagement

The development of a comprehensive RHNA methodology requires comprehensive public engagement. Government Code Section 65584.04(d) requires at least one public hearing to receive oral and written comments on the proposed methodology, and also requires SCAG to distribute the proposed methodology to all jurisdictions and requesting stakeholders, along with publishing the proposed methodology on the SCAG website. The official public comment period on the proposed RHNA methodology began on August 1, 2019 after Regional Council action and concluded on September 13, 2019.

To maximize public engagement opportunities, SCAG staff hosted four public workshops to receive verbal and written comment on the proposed RHNA methodology and an additional public information session in August 2019:

- August 15, 6-8 p.m. Public Workshop, Los Angeles (View-only webcasting available)
- August 20, 1-3 p.m. Public Workshop, Los Angeles (Videoconference at SCAG regional offices and View-only webcasting available)
- August 22, 1-3 p.m., Public Workshop, Irvine
- August 27, 6-8 p.m., Public Workshop, San Bernardino (View-only webcasting available)
- August 29, 1-3pm Public Information Session, Santa Clarita

Approximately 250 people attended the workshops in-person, at videoconference locations, or via webcast. Over 35 individual verbal comments were shared over the four workshops.

To increase participation from individuals and stakeholders that are unable to participate during regular working hours, two of the public workshops were held in the evening hours. One of the workshops was held in the Inland Empire. SCAG worked with its Environmental Justice Working Group (EJWG) and local stakeholder groups to reach out to their respective contacts in order to maximize outreach to groups representing low income, minority, and other traditionally disadvantaged populations.

Almost 250 written comments were submitted by the comment deadline and included a wide range of stakeholders. Approximately 50 percent were from local jurisdictions and subregions, and the other 50 percent were submitted by advocacy organizations, industry groups, residents and resident groups, and the general public. All of the comments received, both verbal and written, were reviewed by SCAG staff, and were used as the basis for developing the RHNA methodology.

The increased involvement by the number of jurisdictions and stakeholders beyond the municipal level compared to prior RHNA cycles indicate an increased level of interest by the public in the housing crisis and its solutions, and the efforts of SCAG to meet these interests. As part of its housing

program initiatives, SCAG will continue to reach out to not only jurisdictions, but to advocacy groups and traditionally disadvantaged communities that have not historically participated in the RHNA process and regional housing planning. These efforts will be expanded beyond the RHNA program and will be encompassed into addressing the housing crisis at the regional level and ensuring that those at the local and community level can be part of solutions to the housing crisis.

Additional RHNA Methodology Supporting Materials

Please note that additional supporting materials for the RHNA Methodology have been posted on SCAG's RHNA website at www.scag.ca.gov/rhna including Data Appendix, Local Planning Factor Survey Responses and Affirmatively Furthering Fair Housing Survey Responses.

State of California

GOVERNMENT CODE

Section 65080

65080. (a) Each transportation planning agency designated under Section 29532 or 29532.1 shall prepare and adopt a regional transportation plan directed at achieving a coordinated and balanced regional transportation system, including, but not limited to, mass transportation, highway, railroad, maritime, bicycle, pedestrian, goods movement, and aviation facilities and services. The plan shall be action-oriented and pragmatic, considering both the short-term and long-term future, and shall present clear, concise policy guidance to local and state officials. The regional transportation plan shall consider factors specified in Section 134 of Title 23 of the United States Code. Each transportation planning agency shall consider and incorporate, as appropriate, the transportation plans of cities, counties, districts, private organizations, and state and federal agencies.

(b) The regional transportation plan shall be an internally consistent document and shall include all of the following:

(1) A policy element that describes the transportation issues in the region, identifies and quantifies regional needs, and describes the desired short-range and long-range transportation goals, and pragmatic objective and policy statements. The objective and policy statements shall be consistent with the funding estimates of the financial element. The policy element of transportation planning agencies with populations that exceed 200,000 persons may quantify a set of indicators including, but not limited to, all of the following:

(A) Measures of mobility and traffic congestion, including, but not limited to, daily vehicle hours of delay per capita and vehicle miles traveled per capita.

(B) Measures of road and bridge maintenance and rehabilitation needs, including, but not limited to, roadway pavement and bridge conditions.

(C) Measures of means of travel, including, but not limited to, percentage share of all trips (work and nonwork) made by all of the following:

- (i) Single occupant vehicle.
- (ii) Multiple occupant vehicle or carpool.
- (iii) Public transit including commuter rail and intercity rail.
- (iv) Walking.
- (v) Bicycling.

(D) Measures of safety and security, including, but not limited to, total injuries and fatalities assigned to each of the modes set forth in subparagraph (C).

(E) Measures of equity and accessibility, including, but not limited to, percentage of the population served by frequent and reliable public transit, with a breakdown by

income bracket, and percentage of all jobs accessible by frequent and reliable public transit service, with a breakdown by income bracket.

(F) The requirements of this section may be met using existing sources of information. No additional traffic counts, household surveys, or other sources of data shall be required.

(2) A sustainable communities strategy prepared by each metropolitan planning organization as follows:

(A) No later than September 30, 2010, the State Air Resources Board shall provide each affected region with greenhouse gas emission reduction targets for the automobile and light truck sector for 2020 and 2035, respectively.

(i) No later than January 31, 2009, the state board shall appoint a Regional Targets Advisory Committee to recommend factors to be considered and methodologies to be used for setting greenhouse gas emission reduction targets for the affected regions. The committee shall be composed of representatives of the metropolitan planning organizations, affected air districts, the League of California Cities, the California State Association of Counties, local transportation agencies, and members of the public, including homebuilders, environmental organizations, planning organizations, environmental justice organizations, affordable housing organizations, and others. The advisory committee shall transmit a report with its recommendations to the state board no later than September 30, 2009. In recommending factors to be considered and methodologies to be used, the advisory committee may consider any relevant issues, including, but not limited to, data needs, modeling techniques, growth forecasts, the impacts of regional jobs-housing balance on interregional travel and greenhouse gas emissions, economic and demographic trends, the magnitude of greenhouse gas reduction benefits from a variety of land use and transportation strategies, and appropriate methods to describe regional targets and to monitor performance in attaining those targets. The state board shall consider the report before setting the targets.

(ii) Before setting the targets for a region, the state board shall exchange technical information with the metropolitan planning organization and the affected air district. The metropolitan planning organization may recommend a target for the region. The metropolitan planning organization shall hold at least one public workshop within the region after receipt of the report from the advisory committee. The state board shall release draft targets for each region no later than June 30, 2010.

(iii) In establishing these targets, the state board shall take into account greenhouse gas emission reductions that will be achieved by improved vehicle emission standards, changes in fuel composition, and other measures it has approved that will reduce greenhouse gas emissions in the affected regions, and prospective measures the state board plans to adopt to reduce greenhouse gas emissions from other greenhouse gas emission sources as that term is defined in subdivision (i) of Section 38505 of the Health and Safety Code and consistent with the regulations promulgated pursuant to the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), including Section 38566 of the Health and Safety Code.

(iv) The state board shall update the regional greenhouse gas emission reduction targets every eight years consistent with each metropolitan planning organization's timeframe for updating its regional transportation plan under federal law until 2050. The state board may revise the targets every four years based on changes in the factors considered under clause (iii). The state board shall exchange technical information with the Department of Transportation, metropolitan planning organizations, local governments, and affected air districts and engage in a consultative process with public and private stakeholders, before updating these targets.

(v) The greenhouse gas emission reduction targets may be expressed in gross tons, tons per capita, tons per household, or in any other metric deemed appropriate by the state board.

(B) Each metropolitan planning organization shall prepare a sustainable communities strategy, subject to the requirements of Part 450 of Title 23 of, and Part 93 of Title 40 of, the Code of Federal Regulations, including the requirement to use the most recent planning assumptions considering local general plans and other factors. The sustainable communities strategy shall (i) identify the general location of uses, residential densities, and building intensities within the region, (ii) identify areas within the region sufficient to house all the population of the region, including all economic segments of the population, over the course of the planning period of the regional transportation plan taking into account net migration into the region, population growth, household formation and employment growth, (iii) identify areas within the region sufficient to house an eight-year projection of the regional housing need for the region pursuant to Section 65584, (iv) identify a transportation network to service the transportation needs of the region, (v) gather and consider the best practically available scientific information regarding resource areas and farmland in the region as defined in subdivisions (a) and (b) of Section 65080.01, (vi) consider the state housing goals specified in Sections 65580 and 65581, (vii) set forth a forecasted development pattern for the region, which, when integrated with the transportation network, and other transportation measures and policies, will reduce the greenhouse gas emissions from automobiles and light trucks to achieve, if there is a feasible way to do so, the greenhouse gas emission reduction targets approved by the state board, and (viii) allow the regional transportation plan to comply with Section 176 of the federal Clean Air Act (42 U.S.C. Sec. 7506).

(C) (i) Within the jurisdiction of the Metropolitan Transportation Commission, as defined by Section 66502, the Association of Bay Area Governments shall be responsible for clauses (i), (ii), (iii), (v), and (vi) of subparagraph (B); the Metropolitan Transportation Commission shall be responsible for clauses (iv) and (viii) of subparagraph (B); and the Association of Bay Area Governments and the Metropolitan Transportation Commission shall jointly be responsible for clause (vii) of subparagraph (B).

(ii) Within the jurisdiction of the Tahoe Regional Planning Agency, as defined in Sections 66800 and 66801, the Tahoe Metropolitan Planning Organization shall use the Regional Plan for the Lake Tahoe Region as the sustainable community strategy, provided that it complies with clauses (vii) and (viii) of subparagraph (B).

(D) In the region served by the Southern California Association of Governments, a subregional council of governments and the county transportation commission may work together to propose the sustainable communities strategy and an alternative planning strategy, if one is prepared pursuant to subparagraph (I), for that subregional area. The metropolitan planning organization may adopt a framework for a subregional sustainable communities strategy or a subregional alternative planning strategy to address the intraregional land use, transportation, economic, air quality, and climate policy relationships. The metropolitan planning organization shall include the subregional sustainable communities strategy for that subregion in the regional sustainable communities strategy to the extent consistent with this section and federal law and approve the subregional alternative planning strategy, if one is prepared pursuant to subparagraph (I), for that subregional area to the extent consistent with this section. The metropolitan planning organization shall develop overall guidelines, create public participation plans pursuant to subparagraph (F), ensure coordination, resolve conflicts, make sure that the overall plan complies with applicable legal requirements, and adopt the plan for the region.

(E) The metropolitan planning organization shall conduct at least two informational meetings in each county within the region for members of the board of supervisors and city councils on the sustainable communities strategy and alternative planning strategy, if any. The metropolitan planning organization may conduct only one informational meeting if it is attended by representatives of the county board of supervisors and city council members representing a majority of the cities representing a majority of the population in the incorporated areas of that county. Notice of the meeting or meetings shall be sent to the clerk of the board of supervisors and to each city clerk. The purpose of the meeting or meetings shall be to discuss the sustainable communities strategy and the alternative planning strategy, if any, including the key land use and planning assumptions to the members of the board of supervisors and the city council members in that county and to solicit and consider their input and recommendations.

(F) Each metropolitan planning organization shall adopt a public participation plan, for development of the sustainable communities strategy and an alternative planning strategy, if any, that includes all of the following:

(i) Outreach efforts to encourage the active participation of a broad range of stakeholder groups in the planning process, consistent with the agency's adopted Federal Public Participation Plan, including, but not limited to, affordable housing advocates, transportation advocates, neighborhood and community groups, environmental advocates, home builder representatives, broad-based business organizations, landowners, commercial property interests, and homeowner associations.

(ii) Consultation with congestion management agencies, transportation agencies, and transportation commissions.

(iii) Workshops throughout the region to provide the public with the information and tools necessary to provide a clear understanding of the issues and policy choices. At least one workshop shall be held in each county in the region. For counties with a population greater than 500,000, at least three workshops shall be held. Each

workshop, to the extent practicable, shall include urban simulation computer modeling to create visual representations of the sustainable communities strategy and the alternative planning strategy.

(iv) Preparation and circulation of a draft sustainable communities strategy and an alternative planning strategy, if one is prepared, not less than 55 days before adoption of a final regional transportation plan.

(v) At least three public hearings on the draft sustainable communities strategy in the regional transportation plan and alternative planning strategy, if one is prepared. If the metropolitan transportation organization consists of a single county, at least two public hearings shall be held. To the maximum extent feasible, the hearings shall be in different parts of the region to maximize the opportunity for participation by members of the public throughout the region.

(vi) A process for enabling members of the public to provide a single request to receive notices, information, and updates.

(G) In preparing a sustainable communities strategy, the metropolitan planning organization shall consider spheres of influence that have been adopted by the local agency formation commissions within its region.

(H) Before adopting a sustainable communities strategy, the metropolitan planning organization shall quantify the reduction in greenhouse gas emissions projected to be achieved by the sustainable communities strategy and set forth the difference, if any, between the amount of that reduction and the target for the region established by the state board.

(I) If the sustainable communities strategy, prepared in compliance with subparagraph (B) or (D), is unable to reduce greenhouse gas emissions to achieve the greenhouse gas emission reduction targets established by the state board, the metropolitan planning organization shall prepare an alternative planning strategy to the sustainable communities strategy showing how those greenhouse gas emission targets would be achieved through alternative development patterns, infrastructure, or additional transportation measures or policies. The alternative planning strategy shall be a separate document from the regional transportation plan, but it may be adopted concurrently with the regional transportation plan. In preparing the alternative planning strategy, the metropolitan planning organization:

(i) Shall identify the principal impediments to achieving the targets within the sustainable communities strategy.

(ii) May include an alternative development pattern for the region pursuant to subparagraphs (B) to (G), inclusive.

(iii) Shall describe how the greenhouse gas emission reduction targets would be achieved by the alternative planning strategy, and why the development pattern, measures, and policies in the alternative planning strategy are the most practicable choices for achievement of the greenhouse gas emission reduction targets.

(iv) An alternative development pattern set forth in the alternative planning strategy shall comply with Part 450 of Title 23 of, and Part 93 of Title 40 of, the Code of Federal Regulations, except to the extent that compliance will prevent achievement of the greenhouse gas emission reduction targets approved by the state board.

(v) For purposes of the California Environmental Quality Act (Division 13 (commencing with Section 21000) of the Public Resources Code), an alternative planning strategy shall not constitute a land use plan, policy, or regulation, and the inconsistency of a project with an alternative planning strategy shall not be a consideration in determining whether a project may have an environmental effect.

(J) (i) Before starting the public participation process adopted pursuant to subparagraph (F), the metropolitan planning organization shall submit a description to the state board of the technical methodology it intends to use to estimate the greenhouse gas emissions from its sustainable communities strategy and, if appropriate, its alternative planning strategy. The state board shall respond to the metropolitan planning organization in a timely manner with written comments about the technical methodology, including specifically describing any aspects of that methodology it concludes will not yield accurate estimates of greenhouse gas emissions, and suggested remedies. The metropolitan planning organization is encouraged to work with the state board until the state board concludes that the technical methodology operates accurately.

(ii) After adoption, a metropolitan planning organization shall submit a sustainable communities strategy or an alternative planning strategy, if one has been adopted, to the state board for review, including the quantification of the greenhouse gas emission reductions the strategy would achieve and a description of the technical methodology used to obtain that result. Review by the state board shall be limited to acceptance or rejection of the metropolitan planning organization's determination that the strategy submitted would, if implemented, achieve the greenhouse gas emission reduction targets established by the state board. The state board shall complete its review within 60 days.

(iii) If the state board determines that the strategy submitted would not, if implemented, achieve the greenhouse gas emission reduction targets, the metropolitan planning organization shall revise its strategy or adopt an alternative planning strategy, if not previously adopted, and submit the strategy for review pursuant to clause (ii). At a minimum, the metropolitan planning organization must obtain state board acceptance that an alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets established for that region by the state board.

(iv) On or before September 1, 2018, and every four years thereafter to align with target setting, notwithstanding Section 10231.5, the state board shall prepare a report that assesses progress made by each metropolitan planning organization in meeting the regional greenhouse gas emission reduction targets set by the state board. The report shall include changes to greenhouse gas emissions in each region and data-supported metrics for the strategies used to meet the targets. The report shall also include a discussion of best practices and the challenges faced by the metropolitan planning organizations in meeting the targets, including the effect of state policies and funding. The report shall be developed in consultation with the metropolitan planning organizations and affected stakeholders. The report shall be submitted to the Assembly Committee on Transportation and the Assembly Committee on Natural

Resources, and to the Senate Committee on Transportation, the Senate Committee on Housing, and the Senate Committee on Environmental Quality.

(K) Neither a sustainable communities strategy nor an alternative planning strategy regulates the use of land, nor, except as provided by subparagraph (J), shall either one be subject to any state approval. Nothing in a sustainable communities strategy shall be interpreted as superseding the exercise of the land use authority of cities and counties within the region. Nothing in this section shall be interpreted to limit the state board's authority under any other law. Nothing in this section shall be interpreted to authorize the abrogation of any vested right whether created by statute or by common law. Nothing in this section shall require a city's or county's land use policies and regulations, including its general plan, to be consistent with the regional transportation plan or an alternative planning strategy. Nothing in this section requires a metropolitan planning organization to approve a sustainable communities strategy that would be inconsistent with Part 450 of Title 23 of, or Part 93 of Title 40 of, the Code of Federal Regulations and any administrative guidance under those regulations. Nothing in this section relieves a public or private entity or any person from compliance with any other local, state, or federal law.

(L) Nothing in this section requires projects programmed for funding on or before December 31, 2011, to be subject to the provisions of this paragraph if they (i) are contained in the 2007 or 2009 Federal Statewide Transportation Improvement Program, (ii) are funded pursuant to the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 (Chapter 12.49 (commencing with Section 8879.20) of Division 1 of Title 2), or (iii) were specifically listed in a ballot measure before December 31, 2008, approving a sales tax increase for transportation projects. Nothing in this section shall require a transportation sales tax authority to change the funding allocations approved by the voters for categories of transportation projects in a sales tax measure adopted before December 31, 2010. For purposes of this subparagraph, a transportation sales tax authority is a district, as defined in Section 7252 of the Revenue and Taxation Code, that is authorized to impose a sales tax for transportation purposes.

(M) A metropolitan planning organization, or a regional transportation planning agency not within a metropolitan planning organization, that is required to adopt a regional transportation plan not less than every five years, may elect to adopt the plan not less than every four years. This election shall be made by the board of directors of the metropolitan planning organization or regional transportation planning agency no later than June 1, 2009, or thereafter 54 months before the statutory deadline for the adoption of housing elements for the local jurisdictions within the region, after a public hearing at which comments are accepted from members of the public and representatives of cities and counties within the region covered by the metropolitan planning organization or regional transportation planning agency. Notice of the public hearing shall be given to the general public and by mail to cities and counties within the region no later than 30 days before the date of the public hearing. Notice of election shall be promptly given to the Department of Housing and Community Development. The metropolitan planning organization or the regional transportation planning agency

shall complete its next regional transportation plan within three years of the notice of election.

(N) Two or more of the metropolitan planning organizations for Fresno County, Kern County, Kings County, Madera County, Merced County, San Joaquin County, Stanislaus County, and Tulare County may work together to develop and adopt multiregional goals and policies that may address interregional land use, transportation, economic, air quality, and climate relationships. The participating metropolitan planning organizations may also develop a multiregional sustainable communities strategy, to the extent consistent with federal law, or an alternative planning strategy for adoption by the metropolitan planning organizations. Each participating metropolitan planning organization shall consider any adopted multiregional goals and policies in the development of a sustainable communities strategy and, if applicable, an alternative planning strategy for its region.

(3) An action element that describes the programs and actions necessary to implement the plan and assigns implementation responsibilities. The action element may describe all transportation projects proposed for development during the 20-year or greater life of the plan. The action element shall consider congestion management programming activities carried out within the region.

(4) (A) A financial element that summarizes the cost of plan implementation constrained by a realistic projection of available revenues. The financial element shall also contain recommendations for allocation of funds. A county transportation commission created pursuant to the County Transportation Commissions Act (Division 12 (commencing with Section 130000) of the Public Utilities Code) shall be responsible for recommending projects to be funded with regional improvement funds, if the project is consistent with the regional transportation plan. The first five years of the financial element shall be based on the five-year estimate of funds developed pursuant to Section 14524. The financial element may recommend the development of specified new sources of revenue, consistent with the policy element and action element.

(B) The financial element of transportation planning agencies with populations that exceed 200,000 persons may include a project cost breakdown for all projects proposed for development during the 20-year life of the plan that includes total expenditures and related percentages of total expenditures for all of the following:

- (i) State highway expansion.
- (ii) State highway rehabilitation, maintenance, and operations.
- (iii) Local road and street expansion.
- (iv) Local road and street rehabilitation, maintenance, and operation.
- (v) Mass transit, commuter rail, and intercity rail expansion.
- (vi) Mass transit, commuter rail, and intercity rail rehabilitation, maintenance, and operations.
- (vii) Pedestrian and bicycle facilities.
- (viii) Environmental enhancements and mitigation.
- (ix) Research and planning.
- (x) Other categories.

(C) The metropolitan planning organization or county transportation agency, whichever entity is appropriate, shall consider financial incentives for cities and counties that have resource areas or farmland, as defined in Section 65080.01, for the purposes of, for example, transportation investments for the preservation and safety of the city street or county road system and farm-to-market and interconnectivity transportation needs. The metropolitan planning organization or county transportation agency, whichever entity is appropriate, shall also consider financial assistance for counties to address countywide service responsibilities in counties that contribute toward the greenhouse gas emission reduction targets by implementing policies for growth to occur within their cities.

(c) Each transportation planning agency may also include other factors of local significance as an element of the regional transportation plan, including, but not limited to, issues of mobility for specific sectors of the community, including, but not limited to, senior citizens.

(d) (1) Except as otherwise provided in this subdivision, each transportation planning agency shall adopt and submit, every four years, an updated regional transportation plan to the California Transportation Commission and the Department of Transportation. A transportation planning agency located in a federally designated air quality attainment area or that does not contain an urbanized area may at its option adopt and submit a regional transportation plan every five years. When applicable, the plan shall be consistent with federal planning and programming requirements and shall conform to the regional transportation plan guidelines adopted by the California Transportation Commission. Before adoption of the regional transportation plan, a public hearing shall be held after the giving of notice of the hearing by publication in the affected county or counties pursuant to Section 6061.

(2) (A) Notwithstanding subdivisions (b) and (c), and paragraph (1), inclusive, the regional transportation plan, sustainable communities strategy, and environmental impact report adopted by the San Diego Association of Governments on October 9, 2015, shall remain in effect for all purposes, including for purposes of consistency determinations and funding eligibility for the San Diego Association of Governments and all other agencies relying on those documents, until the San Diego Association of Governments adopts its next update to its regional transportation plan.

(B) The San Diego Association of Governments shall adopt and submit its update to the 2015 regional transportation plan on or before December 31, 2021.

(C) After the update described in subparagraph (B), the time period for San Diego Association of Governments' updates to its regional transportation plan shall be reset and shall be adopted and submitted every four years.

(D) Notwithstanding clause (iv) of subparagraph (A) of paragraph (2) of subdivision (b), the State Air Resources Board shall not update the greenhouse gas emission reduction targets for the region within the jurisdiction of the San Diego Association of Governments before the adoption of the update to the regional transportation plan pursuant to subparagraph (B).

(E) The update to the regional transportation plan adopted by the San Diego Association of Governments on October 9, 2015, which will be prepared and submitted

to federal agencies for purposes of compliance with federal laws applicable to regional transportation plans and air quality conformity and which is due in October 2019, shall not be considered a regional transportation plan pursuant to this section and shall not constitute a project for purposes of the California Environmental Quality Act (Division 13 (commencing with Section 21000) of the Public Resources Code).

(F) In addition to meeting the other requirements to nominate a project for funding through the Solutions for Congested Corridors Program (Chapter 8.5 (commencing with Section 2390) of Division 3 of the Streets and Highways Code), the San Diego Association of Governments, until December 31, 2021, shall only nominate projects for funding through the Solutions for Congested Corridors Program that are consistent with the eligibility requirements for projects under any of the following programs:

(i) The Transit and Intercity Rail Capital Program (Part 2 (commencing with Section 75220) of Division 44 of the Public Resources Code).

(ii) The Low Carbon Transit Operations Program (Part 3 (commencing with Section 75230) of Division 44 of the Public Resources Code).

(iii) The Active Transportation Program (Chapter 8 (commencing with Section 2380) of Division 3 of the Streets and Highways Code).

(G) Commencing January 1, 2020, and every two years thereafter, the San Diego Association of Governments shall begin developing an implementation report that tracks the implementation of its most recently adopted sustainable communities strategy. The report shall discuss the status of the implementation of the strategy at the regional and local level, and any successes and barriers that have occurred since the last report. The San Diego Association of Governments shall submit the implementation report to the state board by including it in its sustainable communities strategy implementation review pursuant to clause (ii) of subparagraph (J) of paragraph (2) of subdivision (b).

(Amended by Stats. 2019, Ch. 634, Sec. 2. (AB 1730) Effective January 1, 2020.)

State of California

GOVERNMENT CODE

Section 65584

65584. (a) (1) For the fourth and subsequent revisions of the housing element pursuant to Section 65588, the department shall determine the existing and projected need for housing for each region pursuant to this article. For purposes of subdivision (a) of Section 65583, the share of a city or county of the regional housing need shall include that share of the housing need of persons at all income levels within the area significantly affected by the general plan of the city or county.

(2) It is the intent of the Legislature that cities, counties, and cities and counties should undertake all necessary actions to encourage, promote, and facilitate the development of housing to accommodate the entire regional housing need, and reasonable actions should be taken by local and regional governments to ensure that future housing production meets, at a minimum, the regional housing need established for planning purposes. These actions shall include applicable reforms and incentives in Section 65582.1.

(3) The Legislature finds and declares that insufficient housing in job centers hinders the state’s environmental quality and runs counter to the state’s environmental goals. In particular, when Californians seeking affordable housing are forced to drive longer distances to work, an increased amount of greenhouse gases and other pollutants is released and puts in jeopardy the achievement of the state’s climate goals, as established pursuant to Section 38566 of the Health and Safety Code, and clean air goals.

(b) The department, in consultation with each council of governments, shall determine each region’s existing and projected housing need pursuant to Section 65584.01 at least two years prior to the scheduled revision required pursuant to Section 65588. The appropriate council of governments, or for cities and counties without a council of governments, the department, shall adopt a final regional housing need plan that allocates a share of the regional housing need to each city, county, or city and county at least one year prior to the scheduled revision for the region required by Section 65588. The allocation plan prepared by a council of governments shall be prepared pursuant to Sections 65584.04 and 65584.05.

(c) Notwithstanding any other provision of law, the due dates for the determinations of the department or for the council of governments, respectively, regarding the regional housing need may be extended by the department by not more than 60 days if the extension will enable access to more recent critical population or housing data from a pending or recent release of the United States Census Bureau or the Department of Finance. If the due date for the determination of the department or the council of governments is extended for this reason, the department shall extend the corresponding

Attachment: Exhibit C - GOV_65584. (6th Cycle RHNA Appeals Procedures)

housing element revision deadline pursuant to Section 65588 by not more than 60 days.

(d) The regional housing needs allocation plan shall further all of the following objectives:

(1) Increasing the housing supply and the mix of housing types, tenure, and affordability in all cities and counties within the region in an equitable manner, which shall result in each jurisdiction receiving an allocation of units for low- and very low income households.

(2) Promoting infill development and socioeconomic equity, the protection of environmental and agricultural resources, the encouragement of efficient development patterns, and the achievement of the region's greenhouse gas reductions targets provided by the State Air Resources Board pursuant to Section 65080.

(3) Promoting an improved intraregional relationship between jobs and housing, including an improved balance between the number of low-wage jobs and the number of housing units affordable to low-wage workers in each jurisdiction.

(4) Allocating a lower proportion of housing need to an income category when a jurisdiction already has a disproportionately high share of households in that income category, as compared to the countywide distribution of households in that category from the most recent American Community Survey.

(5) Affirmatively furthering fair housing.

(e) For purposes of this section, "affirmatively furthering fair housing" means taking meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means taking meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws.

(f) For purposes of this section, "household income levels" are as determined by the department as of the most recent American Community Survey pursuant to the following code sections:

(1) Very low incomes as defined by Section 50105 of the Health and Safety Code.

(2) Lower incomes, as defined by Section 50079.5 of the Health and Safety Code.

(3) Moderate incomes, as defined by Section 50093 of the Health and Safety Code.

(4) Above moderate incomes are those exceeding the moderate-income level of Section 50093 of the Health and Safety Code.

(g) Notwithstanding any other provision of law, determinations made by the department, a council of governments, or a city or county pursuant to this section or Section 65584.01, 65584.02, 65584.03, 65584.04, 65584.05, 65584.06, 65584.07, or

65584.08 are exempt from the California Environmental Quality Act (Division 13 (commencing with Section 21000) of the Public Resources Code).

(Amended by Stats. 2018, Ch. 989, Sec. 1.5. (AB 1771) Effective January 1, 2019.)

State of California

GOVERNMENT CODE

Section 65584.04

65584.04. (a) At least two years before a scheduled revision required by Section 65588, each council of governments, or delegate subregion as applicable, shall develop, in consultation with the department, a proposed methodology for distributing the existing and projected regional housing need to cities, counties, and cities and counties within the region or within the subregion, where applicable pursuant to this section. The methodology shall further the objectives listed in subdivision (d) of Section 65584.

(b) (1) No more than six months before the development of a proposed methodology for distributing the existing and projected housing need, each council of governments shall survey each of its member jurisdictions to request, at a minimum, information regarding the factors listed in subdivision (e) that will allow the development of a methodology based upon the factors established in subdivision (e).

(2) With respect to the objective in paragraph (5) of subdivision (d) of Section 65584, the survey shall review and compile information that will allow the development of a methodology based upon the issues, strategies, and actions that are included, as available, in an Analysis of Impediments to Fair Housing Choice or an Assessment of Fair Housing completed by any city or county or the department that covers communities within the area served by the council of governments, and in housing elements adopted pursuant to this article by cities and counties within the area served by the council of governments.

(3) The council of governments shall seek to obtain the information in a manner and format that is comparable throughout the region and utilize readily available data to the extent possible.

(4) The information provided by a local government pursuant to this section shall be used, to the extent possible, by the council of governments, or delegate subregion as applicable, as source information for the methodology developed pursuant to this section. The survey shall state that none of the information received may be used as a basis for reducing the total housing need established for the region pursuant to Section 65584.01.

(5) If the council of governments fails to conduct a survey pursuant to this subdivision, a city, county, or city and county may submit information related to the items listed in subdivision (e) before the public comment period provided for in subdivision (d).

(c) The council of governments shall electronically report the results of the survey of fair housing issues, strategies, and actions compiled pursuant to paragraph (2) of subdivision (b). The report shall describe common themes and effective strategies

employed by cities and counties within the area served by the council of governments, including common themes and effective strategies around avoiding the displacement of lower income households. The council of governments shall also identify significant barriers to affirmatively furthering fair housing at the regional level and may recommend strategies or actions to overcome those barriers. A council of governments or metropolitan planning organization, as appropriate, may use this information for any other purpose, including publication within a regional transportation plan adopted pursuant to Section 65080 or to inform the land use assumptions that are applied in the development of a regional transportation plan.

(d) Public participation and access shall be required in the development of the methodology and in the process of drafting and adoption of the allocation of the regional housing needs. Participation by organizations other than local jurisdictions and councils of governments shall be solicited in a diligent effort to achieve public participation of all economic segments of the community as well as members of protected classes under Section 12955. The proposed methodology, along with any relevant underlying data and assumptions, an explanation of how information about local government conditions gathered pursuant to subdivision (b) has been used to develop the proposed methodology, how each of the factors listed in subdivision (e) is incorporated into the methodology, and how the proposed methodology furthers the objectives listed in subdivision (e) of Section 65584, shall be distributed to all cities, counties, any subregions, and members of the public who have made a written or electronic request for the proposed methodology and published on the council of governments', or delegate subregion's, internet website. The council of governments, or delegate subregion, as applicable, shall conduct at least one public hearing to receive oral and written comments on the proposed methodology.

(e) To the extent that sufficient data is available from local governments pursuant to subdivision (b) or other sources, each council of governments, or delegate subregion as applicable, shall include the following factors to develop the methodology that allocates regional housing needs:

(1) Each member jurisdiction's existing and projected jobs and housing relationship. This shall include an estimate based on readily available data on the number of low-wage jobs within the jurisdiction and how many housing units within the jurisdiction are affordable to low-wage workers as well as an estimate based on readily available data, of projected job growth and projected household growth by income level within each member jurisdiction during the planning period.

(2) The opportunities and constraints to development of additional housing in each member jurisdiction, including all of the following:

(A) Lack of capacity for sewer or water service due to federal or state laws, regulations or regulatory actions, or supply and distribution decisions made by a sewer or water service provider other than the local jurisdiction that preclude the jurisdiction from providing necessary infrastructure for additional development during the planning period.

(B) The availability of land suitable for urban development or for conversion to residential use, the availability of underutilized land, and opportunities for infill

development and increased residential densities. The council of governments may not limit its consideration of suitable housing sites or land suitable for urban development to existing zoning ordinances and land use restrictions of a locality, but shall consider the potential for increased residential development under alternative zoning ordinances and land use restrictions. The determination of available land suitable for urban development may exclude lands where the Federal Emergency Management Agency (FEMA) or the Department of Water Resources has determined that the flood management infrastructure designed to protect that land is not adequate to avoid the risk of flooding.

(C) Lands preserved or protected from urban development under existing federal or state programs, or both, designed to protect open space, farmland, environmental habitats, and natural resources on a long-term basis, including land zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts conversion to nonagricultural uses.

(D) County policies to preserve prime agricultural land, as defined pursuant to Section 56064, within an unincorporated area and land within an unincorporated area zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of that jurisdiction that prohibits or restricts its conversion to nonagricultural uses.

(3) The distribution of household growth assumed for purposes of a comparable period of regional transportation plans and opportunities to maximize the use of public transportation and existing transportation infrastructure.

(4) Agreements between a county and cities in a county to direct growth toward incorporated areas of the county and land within an unincorporated area zoned or designated for agricultural protection or preservation that is subject to a local ballot measure that was approved by the voters of the jurisdiction that prohibits or restricts conversion to nonagricultural uses.

(5) The loss of units contained in assisted housing developments, as defined in paragraph (9) of subdivision (a) of Section 65583, that changed to non-low-income use through mortgage prepayment, subsidy contract expirations, or termination of use restrictions.

(6) The percentage of existing households at each of the income levels listed in subdivision (e) of Section 65584 that are paying more than 30 percent and more than 50 percent of their income in rent.

(7) The rate of overcrowding.

(8) The housing needs of farmworkers.

(9) The housing needs generated by the presence of a private university or a campus of the California State University or the University of California within any member jurisdiction.

(10) The housing needs of individuals and families experiencing homelessness. If a council of governments has surveyed each of its member jurisdictions pursuant to subdivision (b) on or before January 1, 2020, this paragraph shall apply only to the

development of methodologies for the seventh and subsequent revisions of the housing element.

(11) The loss of units during a state of emergency that was declared by the Governor pursuant to the California Emergency Services Act (Chapter 7 (commencing with Section 8550) of Division 1 of Title 2), during the planning period immediately preceding the relevant revision pursuant to Section 65588 that have yet to be rebuilt or replaced at the time of the analysis.

(12) The region's greenhouse gas emissions targets provided by the State Air Resources Board pursuant to Section 65080.

(13) Any other factors adopted by the council of governments, that further the objectives listed in subdivision (d) of Section 65584, provided that the council of governments specifies which of the objectives each additional factor is necessary to further. The council of governments may include additional factors unrelated to furthering the objectives listed in subdivision (d) of Section 65584 so long as the additional factors do not undermine the objectives listed in subdivision (d) of Section 65584 and are applied equally across all household income levels as described in subdivision (f) of Section 65584 and the council of governments makes a finding that the factor is necessary to address significant health and safety conditions.

(f) The council of governments, or delegate subregion, as applicable, shall explain in writing how each of the factors described in subdivision (e) was incorporated into the methodology and how the methodology furthers the objectives listed in subdivision (d) of Section 65584. The methodology may include numerical weighting. This information, and any other supporting materials used in determining the methodology, shall be posted on the council of governments', or delegate subregion's, internet website.

(g) The following criteria shall not be a justification for a determination or a reduction in a jurisdiction's share of the regional housing need:

(1) Any ordinance, policy, voter-approved measure, or standard of a city or county that directly or indirectly limits the number of residential building permits issued by a city or county.

(2) Prior underproduction of housing in a city or county from the previous regional housing need allocation, as determined by each jurisdiction's annual production report submitted pursuant to subparagraph (H) of paragraph (2) of subdivision (a) of Section 65400.

(3) Stable population numbers in a city or county from the previous regional housing needs cycle.

(h) Following the conclusion of the public comment period described in subdivision (d) on the proposed allocation methodology, and after making any revisions deemed appropriate by the council of governments, or delegate subregion, as applicable, as a result of comments received during the public comment period, and as a result of consultation with the department, each council of governments, or delegate subregion, as applicable, shall publish a draft allocation methodology on its internet website and submit the draft allocation methodology, along with the information required pursuant to subdivision (e), to the department.

(i) Within 60 days, the department shall review the draft allocation methodology and report its written findings to the council of governments, or delegate subregion, as applicable. In its written findings the department shall determine whether the methodology furthers the objectives listed in subdivision (d) of Section 65584. If the department determines that the methodology is not consistent with subdivision (d) of Section 65584, the council of governments, or delegate subregion, as applicable, shall take one of the following actions:

(1) Revise the methodology to further the objectives listed in subdivision (d) of Section 65584 and adopt a final regional, or subregional, housing need allocation methodology.

(2) Adopt the regional, or subregional, housing need allocation methodology without revisions and include within its resolution of adoption findings, supported by substantial evidence, as to why the council of governments, or delegate subregion, believes that the methodology furthers the objectives listed in subdivision (d) of Section 65584 despite the findings of the department.

(j) If the department's findings are not available within the time limits set by subdivision (i), the council of governments, or delegate subregion, may act without them.

(k) Upon either action pursuant to subdivision (i), the council of governments, or delegate subregion, shall provide notice of the adoption of the methodology to the jurisdictions within the region, or delegate subregion, as applicable, and to the department, and shall publish the adopted allocation methodology, along with its resolution and any adopted written findings, on its internet website.

(l) The department may, within 90 days, review the adopted methodology and report its findings to the council of governments, or delegate subregion.

(m) (1) It is the intent of the Legislature that housing planning be coordinated and integrated with the regional transportation plan. To achieve this goal, the allocation plan shall allocate housing units within the region consistent with the development pattern included in the sustainable communities strategy.

(2) The final allocation plan shall ensure that the total regional housing need, by income category, as determined under Section 65584, is maintained, and that each jurisdiction in the region receive an allocation of units for low- and very low income households.

(3) The resolution approving the final housing need allocation plan shall demonstrate that the plan is consistent with the sustainable communities strategy in the regional transportation plan and furthers the objectives listed in subdivision (d) of Section 65584.

(Amended (as amended by Stats. 2018, Ch. 990, Sec. 3.7) by Stats. 2019, Ch. 335, Sec. 4. (AB 139) Effective January 1, 2020.)

State of California

GOVERNMENT CODE

Section 65584.05

65584.05. (a) At least one and one-half years before the scheduled revision required by Section 65588, each council of governments and delegate subregion, as applicable, shall distribute a draft allocation of regional housing needs to each local government in the region or subregion, where applicable, and the department, based on the methodology adopted pursuant to Section 65584.04 and shall publish the draft allocation on its internet website. The draft allocation shall include the underlying data and methodology on which the allocation is based, and a statement as to how it furthers the objectives listed in subdivision (d) of Section 65584. It is the intent of the Legislature that the draft allocation should be distributed before the completion of the update of the applicable regional transportation plan. The draft allocation shall distribute to localities and subregions, if any, within the region the entire regional housing need determined pursuant to Section 65584.01 or within subregions, as applicable, the subregion's entire share of the regional housing need determined pursuant to Section 65584.03.

(b) Within 45 days following receipt of the draft allocation, a local government within the region or the delegate subregion, as applicable, or the department may appeal to the council of governments or the delegate subregion for a revision of the share of the regional housing need proposed to be allocated to one or more local governments. Appeals shall be based upon comparable data available for all affected jurisdictions and accepted planning methodology, and supported by adequate documentation, and shall include a statement as to why the revision is necessary to further the intent of the objectives listed in subdivision (d) of Section 65584. An appeal pursuant to this subdivision shall be consistent with, and not to the detriment of, the development pattern in an applicable sustainable communities strategy developed pursuant to paragraph (2) of subdivision (b) of Section 65080. Appeals shall be limited to any of the following circumstances:

(1) The council of governments or delegate subregion, as applicable, failed to adequately consider the information submitted pursuant to subdivision (b) of Section 65584.04.

(2) The council of governments or delegate subregion, as applicable, failed to determine the share of the regional housing need in accordance with the information described in, and the methodology established pursuant to, Section 65584.04, and in a manner that furthers, and does not undermine, the intent of the objectives listed in subdivision (d) of Section 65584.

(3) A significant and unforeseen change in circumstances has occurred in the local jurisdiction or jurisdictions that merits a revision of the information submitted pursuant

to subdivision (b) of Section 65584.04. Appeals on this basis shall only be made by the jurisdiction or jurisdictions where the change in circumstances has occurred.

(c) At the close of the period for filing appeals pursuant to subdivision (b), the council of governments or delegate subregion, as applicable, shall notify all other local governments within the region or delegate subregion and the department of all appeals and shall make all materials submitted in support of each appeal available on a publicly available internet website. Local governments and the department may, within 45 days, comment on one or more appeals. If no appeals are filed, the draft allocation shall be issued as the proposed final allocation plan pursuant to paragraph (2) of subdivision (e).

(d) No later than 30 days after the close of the comment period, and after providing all local governments within the region or delegate subregion, as applicable, at least 21 days prior notice, the council of governments or delegate subregion shall conduct one public hearing to consider all appeals filed pursuant to subdivision (b) and all comments received pursuant to subdivision (c).

(e) No later than 45 days after the public hearing pursuant to subdivision (d), the council of governments or delegate subregion, as applicable, shall do both of the following:

(1) Make a final determination that either accepts, rejects, or modifies each appeal for a revised share filed pursuant to subdivision (b). Final determinations shall be based upon the information and methodology described in Section 65584.04 and whether the revision is necessary to further the objectives listed in subdivision (d) of Section 65584. The final determination shall be in writing and shall include written findings as to how the determination is consistent with this article. The final determination on an appeal may require the council of governments or delegate subregion, as applicable, to adjust the share of the regional housing need allocated to one or more local governments that are not the subject of an appeal.

(2) Issue a proposed final allocation plan.

(f) In the proposed final allocation plan, the council of governments or delegate subregion, as applicable, shall adjust allocations to local governments based upon the results of the appeals process. If the adjustments total 7 percent or less of the regional housing need determined pursuant to Section 65584.01, or, as applicable, total 7 percent or less of the subregion's share of the regional housing need as determined pursuant to Section 65584.03, then the council of governments or delegate subregion, as applicable, shall distribute the adjustments proportionally to all local governments. If the adjustments total more than 7 percent of the regional housing need, then the council of governments or delegate subregion, as applicable, shall develop a methodology to distribute the amount greater than the 7 percent to local governments. The total distribution of housing need shall not equal less than the regional housing need, as determined pursuant to Section 65584.01, nor shall the subregional distribution of housing need equal less than its share of the regional housing need as determined pursuant to Section 65584.03.

(g) Within 45 days after the issuance of the proposed final allocation plan by the council of governments and each delegate subregion, as applicable, the council of

governments shall hold a public hearing to adopt a final allocation plan. To the extent that the final allocation plan fully allocates the regional share of statewide housing need, as determined pursuant to Section 65584.01 and has taken into account all appeals, the council of governments shall have final authority to determine the distribution of the region's existing and projected housing need as determined pursuant to Section 65584.01. The council of governments shall submit its final allocation plan to the department within three days of adoption. Within 30 days after the department's receipt of the final allocation plan adopted by the council of governments, the department shall determine if the final allocation plan is consistent with the existing and projected housing need for the region, as determined pursuant to Section 65584.01. The department may revise the determination of the council of governments if necessary to obtain this consistency.

(h) Any authority of the council of governments to review and revise the share of a city or county of the regional housing need under this section shall not constitute authority to revise, approve, or disapprove the manner in which the share of the city or county of the regional housing need is implemented through its housing program.

(i) Any time period in subdivision (d) or (e) may be extended by a council of governments or delegate subregion, as applicable, for up to 30 days.

(j) The San Diego Association of Governments may follow the process in this section for the draft and final allocation plan for the sixth revision of the housing element notwithstanding such actions being carried out before the adoption of an updated regional transportation plan and sustainable communities strategy.

(Amended by Stats. 2019, Ch. 634, Sec. 4. (AB 1730) Effective January 1, 2020.)

RHNA SUBCOMMITTEE CHARTER – 6th Cycle

Page 1 of 2

Purpose of the Subcommittee

The purpose of the RHNA Subcommittee is to review in-depth the various policy considerations necessary to the development of SCAG's Regional Housing Needs Assessment (RHNA), and to make critical decisions throughout the RHNA process, including but not limited to the following: the RHNA methodology, the draft and final RHNA allocations, and appeals related to draft RHNA allocations. The decisions of the RHNA Subcommittee will serve as recommendations to SCAG's Community, Economic and Human Development (CEHD) Committee and the Regional Council, except that the RHNA Subcommittee will make the final decisions regarding all appeals of draft RHNA allocations.

Authority

Authorized by the Regional Council, the RHNA Subcommittee serves as a subcommittee of the CEHD Committee, and will be reporting to the CEHD Committee. All actions by the RHNA Subcommittee, except for actions pertaining to appeals of draft RHNA allocations, are subject to the review and approval of the CEHD Committee and the Regional Council. Recognizing the significant amount of work undertaken by the RHNA Subcommittee, the CEHD Committee and the Regional Council will rely on the policy judgments of the RHNA Subcommittee. The RHNA Subcommittee shall be dissolved as of the date in which the final RHNA allocation is adopted by the Regional Council.

Composition

The RHNA Subcommittee will consist of twelve (12) members of the Regional Council or the CEHD Committee to represent the six (6) counties of the SCAG region. Each county shall have a primary member and an alternate member to serve on the RHNA Subcommittee. The SCAG President will appoint the members of the RHNA Subcommittee and will select one of the members to serve as the Chair of the RHNA Subcommittee. Membership of the RHNA Subcommittee may also include as non-voting members serving as stakeholder representatives appointed by the SCAG President.

Meetings and Voting

The meetings of the RHNA Subcommittee will occur during the applicable period when SCAG is developing the RHNA. The RHNA Subcommittee shall have the authority to convene meetings as circumstances require. A meeting quorum shall be established when there is attendance by at least one representative (either a primary member or an alternate member) from each of the six (6) counties. Stakeholder representatives serving as non-voting members of the RHNA Subcommittee are not counted for purposes of establishing a meeting quorum.

All RHNA Subcommittee members are expected to attend each meeting, to the extent feasible. RHNA Subcommittee members may attend meetings by teleconference or video-conference. All meetings of the RHNA Subcommittee are subject to the Brown Act. The Chair of the RHNA Subcommittee shall preside over all meetings and the Subcommittee may select another

RHNA SUBCOMMITTEE CHARTER – 6th Cycle

Page 2 of 2

Subcommittee member to serve as the Vice-Chair in the Chair’s absence. The RHNA Subcommittee will invite SCAG staff or others to attend meetings and provide pertinent information, as necessary. Meeting agendas will be prepared and provided in advance to RHNA Subcommittee members, along with appropriate briefing materials and reports, in accordance with the Brown Act. Minutes of each meeting will be prepared.

For purposes of voting, each county shall be entitled to one (1) vote to be cast by either the primary member or alternate member representing the respective county. In the event of a tie vote, the Chair of the Subcommittee may vote to break the tie except if the Chair of the Subcommittee has casted a vote as a Subcommittee member. In that exception, the Vice Chair of the Subcommittee may break the tie vote. In the case of an appeal submitted on behalf of a Subcommittee member’s individual local jurisdiction, the Subcommittee member may elect not to participate in the discussion and vote by the RHNA Subcommittee regarding such appeal.

Responsibilities

The RHNA Subcommittee will carry out the following responsibilities:

- Review information useful to the development of the RHNA Plan;
- Review and make policy decisions related to the RHNA process including policies for the RHNA methodology, the RHNA methodology, and the draft and final RHNA allocations, and forward such decisions to the CEHD Committee for review and approval. In making its policy decisions, the RHNA Subcommittee should consider the integration of the RHNA with the Regional Transportation Plan/Sustainable Communities Strategy;
- Review and make decisions regarding guidelines for the RHNA process including guidelines related to subregional delegation, and forward such decisions to the CEHD Committee for review and approval; and
- Review and make the final decisions regarding appeals related to the jurisdiction’s draft RHNA allocation. In this capacity, the RHNA Subcommittee shall be known as the “RHNA Appeals Board.” These final decisions by the RHNA Appeals Board shall not reviewable by the CEHD Committee or by the Regional Council.

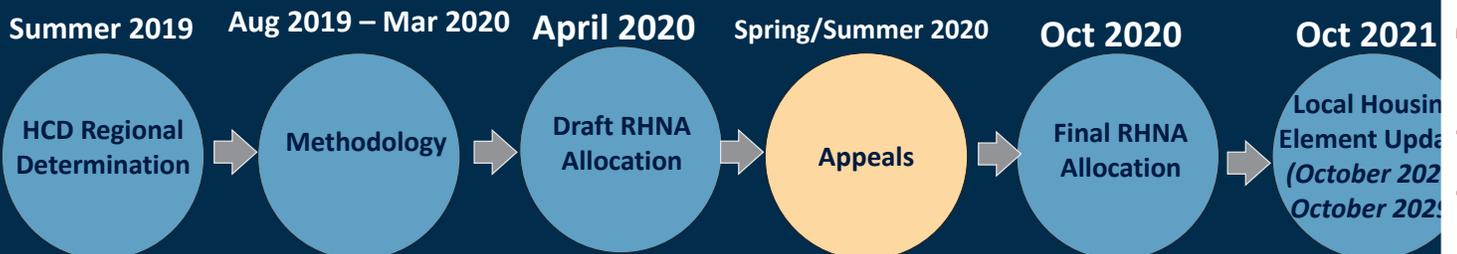
RHNA Appeals Procedures

Ma'Ayn Johnson, AICP
Compliance & Performance
Monitoring



Attachment: PowerPoint Presentation - RHNA Appeals (6th Cycle RHNA Appeals Procedures)

RHNA Process Timeline



Changes to the 6th Cycle RHNA Appeals Procedures



 New!	5 th cycle	6 th cycle
Appeals procedures	Two separate processes – revision request and appeals processes	Only one appeal process
Who can appeal	<ul style="list-style-type: none"> • Jurisdiction 	<ul style="list-style-type: none"> • Jurisdiction • Other jurisdictions • HCD
Bases for appeal	Cannot be based on: <ul style="list-style-type: none"> • Local ordinances 	Cannot be based on: <ul style="list-style-type: none"> • Local ordinances • Underproduction of housing based on last RHNA • Stable population growth

RHNA Appeals Timeline



Attachment: PowerPoint Presentation - RHNA Appeals (6th Cycle RHNA Appeals Procedures)

Who Can File an Appeal?



- Jurisdiction
-  • Other jurisdictions
-  • California Department of Housing and Community Development (HCD)

Bases for Appeal



From Government Code Section 65584.05(b):

1. Local planning factors and information on affirmatively furthering fair housing (AFFH)
2. Application of final methodology
3. Change in circumstance

Must include statement why the revision is necessary to further the objectives of RHNA law

- See Government Code Section 65584

Government Code Section 65584: Objectives of RHNA

- 1) To increase the housing supply and mix of housing types, tenure and affordability within each region in an equitable manner
- 2) Promoting infill development and socioeconomic equity, the protection of environmental and agricultural resources, and the encouragement of efficient development patterns



Government Code Section 65584: Objectives of RHNA

- 3) Promoting an improved intraregional relationship between jobs and housing
- 4) Allocating a lower proportion of housing need in income categories in jurisdictions that have a disproportionately high share in comparison to the county distribution
- 5) Affirmatively furthering fair housing



Bases for Appeal: Local Planning Factors and AFFH



1. Planning opportunities and constraints, including:
 - Existing and projected jobs and housing relationship
 - Water/sewer service based on decisions by provider other than the jurisdiction
 - Open space protected by federal or State programs
 - Rate of overcrowding
 - Presence of a four-year college or university
-  Affirmatively furthering fair housing
 - Full listing in Government Code Section 65584.04(b) and (e)

Bases for Appeal: Methodology and Change in Circumstance



2. Application of methodology
3. Change in circumstance
 - Can only be used by jurisdiction where change occurred

Bases for Appeal



- Appeals cannot be based on:
 - Any local ordinance, policy, voter-approved measure, or standard limiting residential development
-  Prior underproduction of housing from the previous RHNA
-  Stable population numbers

Appeals Comment Period



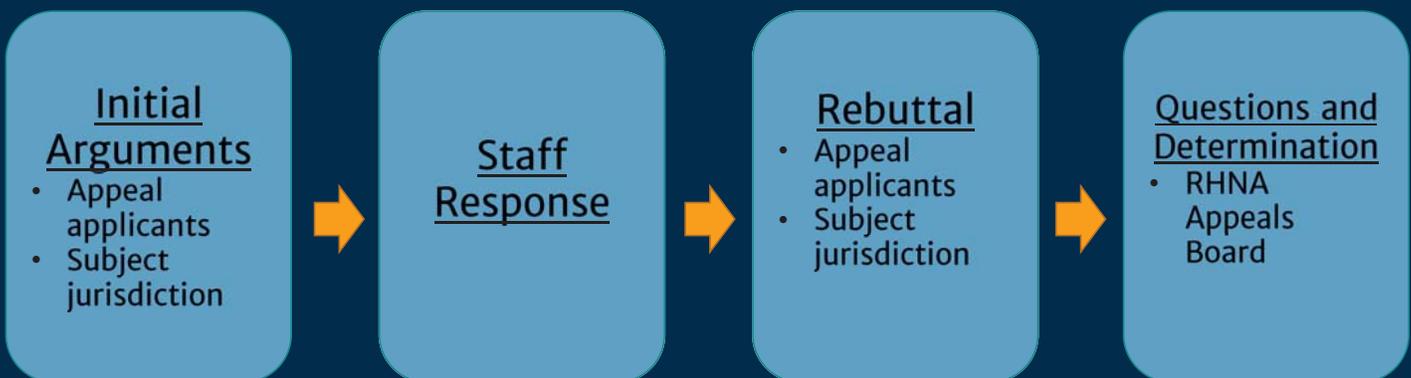
- 45-day comment period after appeals filing due date
- Late-June to mid-August 2020
- SCAG will notify all jurisdictions and HCD of all filed appeals
 - Webpage posting of filed appeals
- Local jurisdictions and HCD can comment on filed appeals

Appeals Public Hearing



- Mid-August to mid-September 2020 (30 day period)
- All filed appeals will be reviewed and determined by the RHNA Appeals Board (RHNA Subcommittee)
- Hearings will be organized by jurisdictions that are subjects of appeals

Appeals Public Hearing: Day-of Procedure



Appeals



- Successful appeals must be reallocated back to the region
- If fewer than 93,928 units are granted, they will be reallocated back proportionally to all jurisdictions
- If more than 93,928 units are granted, SCAG will apply a methodology similar to adopted final methodology existing need formula above that amount
 - Proportional to county origination
 - 50% based on transit access
 - 50% based on job access
 - Disadvantaged jurisdictions exempt from reallocation amount above ~94,000

Final RHNA Allocation



- Appeal decisions by the RHNA Appeals Board are final and not subject to review by CEHD and Regional Council
- Reallocation of successful units cannot be appealed
- All appeals will be included in the proposed final RHNA allocation
- Public Hearing to adopt final RHNA allocation
 - October 2020

Next Steps



February 24, 2020

- RHNA Subcommittee
- Final RHNA methodology
 - Appeals procedures

March 5, 2020

- CEHD
- Final RHNA methodology

- Regional Council
- Final RHNA methodology

May 7, 2020

- Regional Council
- Release of draft RHNA allocation
 - Appeals procedures

May 15, 2020

Start of RHNA appeal filing period



For more information

www.scag.ca.gov/rhna

Email: housing@scag.ca.gov





Southern California Association of Governments
Remote Participation Only
May 7, 2020

NO. 619
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
REGIONAL COUNCIL
MINUTES OF THE MEETING
THURSDAY, MARCH 5, 2020

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE REGIONAL COUNCIL. A VIDEO RECORDING OF THE ACTUAL MEETING IS AVAILABLE ON THE SCAG WEBSITE AT: <http://scag.iqm2.com/Citizens/>

The Regional Council of the Southern California Association of Governments (SCAG) held its regular meeting at the SCAG main office, 900 Wilshire Boulevard, Suite 1700, Los Angeles, CA 90017.

Members Present

Hon. Bill Jahn, President	<i>Big Bear Lake</i>	District 11
Hon. Rex Richardson, 1st Vice President	<i>Long Beach</i>	District 29
Hon. Clint Lorimore, 2nd Vice President	<i>Eastvale</i>	District 4
Hon. Alan D. Wapner, Imm. Past President		SBCTA
Supervisor Luis Plancarte		Imperial County
Supervisor Kathryn Barger		Los Angeles County
Supervisor Don Wagner		Orange County
Supervisor Karen Spiegel		Riverside County
Hon. James Predmore		ICTC
Hon. Jan Harnik		RCTC
Hon. Peggy Huang		TCA
Hon. Mike T. Judge		VCTC
Hon. Ben Benoit		Air District Representative
Hon. Andrew Masiel, Sr.	<i>Pechanga Dev. Corp.</i>	Tribal Gov't Reg'l Planning Brd.
Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
Hon. Kathleen Kelly	<i>Palm Desert</i>	District 2
Hon. Rey Santos	<i>Beaumont</i>	District 3
Hon. Zak Schwank	<i>Temecula</i>	District 5
Hon. Frank Navarro	<i>Colton</i>	District 6
Hon. Larry McCallon	<i>Highland</i>	District 7
Hon. Deborah Robertson	<i>Rialto</i>	District 8
Hon. L. Dennis Michael	<i>Rancho Cucamonga</i>	District 9

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

Members Present - continued

Hon. Ray Marquez	<i>Chino Hills</i>	District 10
Hon. Fred Minagar	<i>Laguna Niguel</i>	District 12
Hon. Wendy Bucknum	<i>Mission Viejo</i>	District 13
Hon. Michael Carroll	<i>Irvine</i>	District 14
Hon. Steve Nagel	<i>Fountain Valley</i>	District 15
Hon. Phil Bacerra	<i>Santa Ana</i>	District 16
Hon. Charles Puckett	<i>Tustin</i>	District 17
Hon. Stacy Berry	<i>Cypress</i>	District 18
Hon. Trevor O'Neil	<i>Anaheim</i>	District 19
Hon. Art Brown	<i>Buena Park</i>	District 21
Hon. Marty Simonoff	<i>Brea</i>	District 22
Hon. Frank Yokoyama	<i>Cerritos</i>	District 23
Hon. Sonny Santa Ines	<i>Bellflower</i>	District 24
Hon. Sean Ashton	<i>Downey</i>	District 25
Hon. Ali Saleh	<i>Bell</i>	District 27
Hon. Dan Medina	<i>Gardena</i>	District 28
Hon. Jeannine Pearce	<i>Long Beach</i>	District 30
Hon. Margaret Clark	<i>Rosemead</i>	District 32
Hon. Teresa Real Sebastian	<i>Monterey Park</i>	District 34
Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
Hon. Jonathan Curtis	<i>La Cañada Flintridge</i>	District 36
Hon. Steve Tye	<i>Diamond Bar</i>	District 37
Hon. Tim Sandoval	<i>Pomona</i>	District 38
Hon. James Gazeley	<i>Lomita</i>	District 39
Hon. Judy Mitchell	<i>Rolling Hills Estates</i>	District 40
Hon. Meghan Sahli-Wells	<i>Culver City</i>	District 41
Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
Hon. David Shapiro	<i>Calabasas</i>	District 44
Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
Hon. David Pollock	<i>Moorpark</i>	District 46
Hon. Tim Holmgren	<i>Fillmore</i>	District 47
Hon. Paul Krekorian	<i>Los Angeles</i>	District 49/Public Transit Rep.
Hon. Bob Blumenfield	<i>Los Angeles</i>	District 50
Hon. David Ryu	<i>Los Angeles</i>	District 51
Hon. Paul Koretz	<i>Los Angeles</i>	District 52
Hon. Nury Martinez	<i>Los Angeles</i>	District 53
Hon. Monica Rodriguez	<i>Los Angeles</i>	District 54



Members Present - continued

Hon. Mike Bonin	<i>Los Angeles</i>	District 58
Hon. John Lee	<i>Los Angeles</i>	District 59
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Steve Manos	<i>Lake Elsinore</i>	District 63
Hon. Lyn Semeta	<i>Huntington Beach</i>	District 64
Hon. Megan Beaman Jacinto	<i>Coachella</i>	District 66
Hon. Rusty Bailey	<i>Riverside</i>	District 68
Hon. Marisela Magana	<i>Perris</i>	District 69
Mr. Randall Lewis	<i>Business Representative</i>	Ex-Officio Member
Hon. Eric Garcetti	<i>Los Angeles</i>	Member-at-Large

Members Not Present

Supervisor Hilda Solis		Los Angeles County
Supervisor Curt Hagman		San Bernardino County
Supervisor Linda Parks		Ventura County
Hon. Miguel Pulido		OCTA
Hon. Tri Ta	<i>Westminster</i>	District 20
Hon. Emma Sharif	<i>Compton</i>	District 26
Hon. Steve De Ruse	<i>La Mirada</i>	District 31
Hon. Jorge Marquez	<i>Covina</i>	District 33
Hon. Jess Talamantes	<i>Burbank</i>	District 42
Hon. Gilbert Cedillo	<i>Los Angeles</i>	District 48
Hon. Marqueece Harris-Dawson	<i>Los Angeles</i>	District 55
Hon. Curren D. Price, Jr.	<i>Los Angeles</i>	District 56
Hon. Herb Wesson, Jr.	<i>Los Angeles</i>	District 57
Hon. Mitch O'Farrell	<i>Los Angeles</i>	District 60
Hon. José Huizar	<i>Los Angeles</i>	District 61
Hon. Rita Ramirez	<i>Victorville</i>	District 65
Hon. Hector Pacheco	<i>San Fernando</i>	District 67

Staff Present

- Kome Ajise, Executive Director
- Darin Chidsey, Chief Operating Officer
- Debbie Dillon, Chief Strategy Officer
- Justine Block, Acting Chief Counsel/Director of Legal Services
- Ruben Duran, Board Counsel
- Art Yoon, Director of Policy and Public Affairs

Sarah Jepson, Director of Planning
Basil Panas, Chief Financial Officer
Julie Loats, Chief Information Officer
Peter Waggoner, Office of Regional Council Support
Tess Rey-Chaput, Office of Regional Council Support

CALL TO ORDER AND PLEDGE OF ALLEGIANCE

President Bill Jahn called the meeting to order at 12:50 p.m. and asked Councilmember Larry McCallon, Highland, District 7, to lead the Pledge of Allegiance.

REVIEW AND PRIORITIZE AGENDA ITEMS

Based on substantial public comments expected on Action/Discussion Item Nos. 1 and 2, President Jahn prioritized the Consent Calendar, Item Nos. 3-16, bringing it to consideration first.

CONSENT CALENDAR

Approval Items

3. Minutes of the Meeting - February 6, 2020
4. Regional Early Action Planning (REAP) Grant Subregional Partnership Program & Funding Allocation
5. Resolution No. 20-619-1: Preserving Naturally Occurring Affordable Housing Project Regulatory Agreement
6. Approval of the Fiscal Year 2020-21 Draft Comprehensive Budget
7. Contract Amendment, Contract 20-002-C01, Board Counsel Services
8. Contract Amendment Greater than 30% of the Contract's Original Value, Purchase Order No. 007008, Electronic Signatures, Amendment 2
9. Contracts \$200,000 or Greater: 20-037-C01, Go Human Advertising & Engagement Campaign

Receive and File

10. Overview of Draft Connect SoCal Comments and Revision Approach
 11. Status Update on the Connect SoCal Final PEIR
 12. 31st Annual Demographic Workshop - Save the Date
 13. March State and Federal Legislative Update
-

14. Status Update on Final Federal Safer, Affordable, Fuel-Efficient Vehicles Rule
15. Road User Charges (RUCs) – Lessons Learned
16. CFO Monthly Report

President Jahn called members' attention to Receive and File Item No. 13, regarding the March State and Federal Legislation Update, and announced that a complete report, which includes pertinent information from the past Tuesday's statewide primary election, is available at the back of the room.

President Jahn also provided a brief update on Receive and File Item Nos. 10 and 11, regarding the Draft Connect SoCal Plan and Program Environmental Impact Report (PEIR).

A MOTION was made (Navarro) to approve the Consent Calendar, Item Nos. 3-16. Motion was SECONDED (Brown). The motion passed by the following votes:

FOR: ASHTON, BACERRA, BAILEY, BARGER, BEAMAN-JACINTO, BENOIT, BERRY, BLUMENFIELD, BROWN, BUCKNUM, BUSCAINO, CLARK, CURTIS, GARCETTI, GAZELEY, HARNIK, HOLMGREN, HUANG, JAHN, JUDGE, KELLY, KORETZ, KREKORIAN, LEE, LORIMORE, MAGANA, MANOS, R. MARQUEZ, MARTINEZ, MCCALLON, MEDINA, MICHAEL, MINAGAR, MITCHELL, NAGEL, NAVARRO, PEARCE, PLANCARTE, POLLOCK, PREDMORE, C. RAMIREZ, REAL SEBASTIAN, RICHARDSON, ROBERTSON, RYU, SAHLI-WELLS, SALEH, SANDOVAL, SANTA INES, SANTOS, SCHWANK, SHAPIRO, SIMONOFF, SPIEGEL, TYE, WAGNER, WALKER and WAPNER (58)

AGAINST: RODRIGUEZ (1)

ABSTAIN: NONE (0)

PUBLIC COMMENT PERIOD

President Jahn opened the Public Comment period. He announced that comments would be limited to one and a half minutes for each speaker who submitted a public comment card.

Megan Kirkeby, Assistant Deputy Director of Fair Housing, California Department of Housing and Community Development (HCD), stated that HCD, which has oversight over methodologies, has reviewed the draft RHNA methodology as submitted by SCAG and has found it to be compliant. She explained that this methodology is already a compromise and that other proposed plans, which place greater weight on the subjective measure of growth forecast, reduce the RHNA statutory objectives of jobs-housing fit and affirmatively furthering fair housing.

Councilmember Sandra Genis, City of Costa Mesa, spoke against the draft RHNA methodology and in favor of the Cerritos proposal. She commented that the currently proposed draft methodology will cause the most affordable existing housing units to be eliminated in place of higher-density developments and will additionally burden communities which have already successfully produced new units, now included in their baselines.

Hank Fung, a resident of the San Gabriel Valley, affirmed that the RHNA methodology as approved by HCD should be approved by the Regional Council expediently, given that it affirmatively furthers fair housing.

Shane Phillips, UCLA Lewis Center for Regional Policy Studies, asked the Regional Council to move forward with the HCD-approved proposal and reject the Cerritos proposal, as it would serve to reduce housing allocations in specific cities that have substantial access to opportunities but low household growth.

Kenneth Stahl, People for Housing Orange County, urged rejection of the Cerritos plan, saying that it unlawfully considers existing restrictive land use regulations, although recent state law such as SB 828 require consideration of alternatives to these regulations. He reiterated that household growth is already incorporated in the draft methodology and commented that some cities are seeking to increase household growth's share of the formula because these cities are expecting low household growth.

Torrey Contreras, City of Cerritos, spoke to the city's efforts and merits in housing production. He urged the Regional Council to reinstate household growth as a component of the existing housing need and asserted that the Cerritos plan is in full compliance with state law.

Kristin Aguila, City of Cerritos, stated that SB 375 and state housing law require Connect SoCal and RHNA methodology to be consistent, and Connect SoCal is required to include population growth and household formation. She mentioned that a final RHNA methodology does not have to be approved prior to the anticipated adoption of Connect SoCal.

Abel Avalos, City of Lakewood, commented on the population density of Gateway Cities Council of Governments (COG) member cities and noted that many communities within this COG are already built-out. He reiterated that revisions to draft methodologies can be made after HCD's initial review.

Dr. Holly Osborne, a resident of Redondo Beach, reported on her analysis of algorithms, explaining that some algorithms benefit high-density cities and others benefit low-density cities. She stated that the original algorithm considered by the Regional Council in 2019 and the Cerritos proposal

are preferable to high-density cities, while the current “Bailey/Riverside” algorithm is preferable to low-density cities. She proposed a hybrid model, in which high-density cities apply the Cerritos algorithm and low-density cities use the Bailey/Riverside algorithm.

Jaime Murrilo, City of Newport Beach, spoke in support of the Cerritos methodology. He explained that jurisdictions are required to adopt Housing Elements based on RHNA methodologies, and a component of Housing Elements is demonstrating that there are adequate sites to accommodate zoning for the allocated need. Per recent changes in state law, jurisdictions that rely on more than fifty (50) percent of non-vacant sites must show substantial evidence that sites will turn during the planning period or face penalties for noncompliance.

Leonora Camner, Abundant Housing LA, supported the current HCD-approved methodology and noted that positive housing change has already been seen following the Regional Council’s vote in November 2019.

Helen Higgins, Friends of Coyote Hills in Fullerton, commended SCAG for advancing natural and farm lands conservation as a part of Connect SoCal’s goals.

Arnold Sachs, a resident of Los Angeles, spoke in favor of partnering with Los Angeles County to join LA Care and Covered California, as these programs have no reimbursement ceilings and benefit low-income individuals.

President Jahn asked Acting Chief Counsel Justine Block to read and acknowledge receipt of public comment letters received for Agenda Item No. 1. Ms. Block acknowledged written comments received by SCAG from the following cities: Irvine, Anaheim, Rosemead, Fullerton, Alhambra, La Mirada, South Pasadena, South Gate, Torrance, Laguna Hills, Bradbury, Cerritos, San Dimas, La Palma, Newport Beach, Garden Grove, Norco, Rancho Palos Verdes, La Puente, Huntington Beach, Eastvale, Lake Forest, Chino Hills and Costa Mesa. A joint letter was received from the Cities of Riverside, Colton, Highland, Rialto, Oxnard, Lake Elsinore and the County of Riverside. Additionally, written comments were received from the following individuals: Vito Mancini, Henry Fung, Mehta Sunil, Jaimee Suh, Kari Geosano, Scott Pisano and Brian Johnson. Ms. Block noted that these letters are posted on SCAG’s website and a transmittal of a link to the site was provided Regional Council members. Paper copies were available at the back of the room.

Councilmember Teresa Real Sebastian, Monterey Park, District 34, stated that the City of Monterey Park has submitted a letter which was not acknowledged. Immediate Past President Alan Wapner, SBCTA, stated that the City of Ontario has submitted a letter as well. Councilmember Dan Medina, Gardena, District 28, stated that the City of Gardena and the South Bay Cities Council of Governments have also submitted letters. Ms. Block acknowledged that SCAG will ensure these letters were received and posted.

There being no other public comment speakers, President Jahn closed the Public Comment period.

ACTION/DISCUSSION ITEM

1. Recommended Final RHNA Methodology

President Jahn introduced the item and asked Executive Director Kome Ajise to provide background information.

Mr. Ajise affirmed that furthering RHNA objectives in state statute is the main intention of the recommended final RHNA methodology. He remarked that delays to further consider alternatives would not necessarily produce a methodology which advances objectives more so than the draft methodology. He reminded members that HCD has approved the draft methodology, which is a compromise itself.

Mr. Ajise introduced Kevin Kane, SCAG staff, who reviewed the statutory objectives of RHNA and demonstrated how each proposed methodology impacts these objectives. He explained that methodologies have both a projected need component, addressing growth, and an existing need component, addressing backlog. He displayed how the draft RHNA methodology allocates 1,341,827 units that HCD has allotted for distribution amongst the region's 197 jurisdictions. He explained that every data element, including job and transit access, is based on input from local jurisdictions. He explicitly showed how the local input of household growth is used in each of the proposed methodologies. The original recommendation, not approved by the Regional Council, assigned 68.8 percent of its weight to household growth; the Regional Council-approved methodology reduced the weight of household growth to 37.6 percent; and the Cerritos proposal would increase the weight of household growth to 58.4 percent. He affirmed that the Regional Council-approved methodology is consistent with Connect SoCal plan, and other proposed methodologies add long-range growth anticipated in 2030-2045, beyond the eight-year RHNA planning period. Mr. Kane concluded by illustrating SCAG staff's analysis that the Cerritos proposal backslides on RHNA objective 1, equitable geographic distribution; RHNA objective 2, infill and efficient development; and RHNA objective 3, improved intraregional relationships between low-wage jobs and affordable housing. Thus, SCAG staff recommends the adoption of the Regional Council-approved methodology as the final RHNA methodology.

Mr. Ajise then introduced Community, Economic and Human Development Committee (CEHD) Chair Peggy Huang, TCA, who stated CEHD's recommendation to continue the RHNA methodology to the next meeting, or a special CEHD/Regional Council meeting, and to direct staff to consider Dr. Holly Osborne's proposed methodology.

Regional Councilmember Margaret Finlay, Duarte, District 35, commented that the Cerritos proposal implies that SCAG has eliminated household growth from the methodology, which seems

to conflict with Kevin Kane's presentation. Mr. Kane responded and confirmed that, in the recommended final RHNA methodology, 37.6 percent of RHNA is directly based on household growth. In considering Dr. Holly Osborne's proposal, Councilmember Finlay further asked Mr. Ajise how the proposal would affect the timeline of RHNA methodology approval. Mr. Ajise responded that this would take approximately two to four months, considering HCD's 60-day review period, and further delays are possible if such methodology does not fulfill RHNA objectives.

Regional Councilmember Meghan Sahli-Wells, Culver City, District 41, supported the HCD-approved methodology and the staff-recommended resolution. She spoke about the importance of approving a plan which counters historical racism and classism in housing opportunity and prioritizes the environment, mobility and connection to jobs.

Regional Councilmember Eric Garcetti, Los Angeles, Member-at-Large, expressed support of reaffirming the HCD-approved methodology, rather than continuing consideration of a plan that may not be approved. He spoke to the diversity of Regional Council body, the strength of the region and the role of SCAG in bringing leaders together as one region. Given significant goals put on the region by the state, he harped on the need to "vote with hope" and knock down the financial and regulatory impediments to building housing. He stated that the City of Los Angeles will take on the burden of having the greatest gap between housing allocated by RHNA and jobs. He closed by sharing a story about the generational impacts of rising housing costs.

Regional Councilmember Trevor O'Neil, Anaheim, District 19, asked HCD and SCAG staff whether a future proposal to HCD would have to advance RHNA objectives or simply meet them. He provided his analysis of the difference in unit allocation for the City of Los Angeles, comparing the original staff recommendation and the recommended final RHNA methodology.

Regional Councilmember David Pollock, Moorpark, District 46, spoke in favor of the draft methodology as it signifies a shift from urban sprawl to urban density. He noted the role of the appeals process in addressing individual cities' inequities.

Regional Councilmember David Ryu, Los Angeles, District 51, stated that there is currently a housing and homeless crisis that affects every community in the state. The shortage of affordable and moderate-income housing is a direct cause of the rate of homelessness. To counter this crisis, he noted the importance of building more housing where it is needed. He expressed that he believes in local leaders and their ability to address the housing crisis while limiting displacement and allowing for public input. He asked that members avoid delays and move forward with the methodology as recommended by SCAG staff and approved by HCD

Regional Councilmember Michael Carroll, Irvine, District 14, commented on the process to develop RHNA methodology, Regional Councilmembers' attendance and the need for better collaboration.

Regional Councilmember Carmen Ramirez, Oxnard, District 45, expressed support for staff's recommendation and complimented SCAG staff on their professionalism. She also noted Oxnard's housing climate and socioeconomics.

Regional Councilmember Teresa Real Sebastian, Monterey Park, District 34, commented on the need to prioritize job creation and noted the disparity between jurisdictions with jobs and those with vacant land. She emphasized the importance of developing regional solutions over state-level decisions.

Regional Councilmember Frank Yokoyama, Cerritos, District 23, argued that the Cerritos methodology furthers all five (5) RHNA statutory objectives more than the SCAG staff-recommended plan. He also recounted support of the Cerritos methodology by CEHD members and stated that approving final RHNA methodology prior to approval of Connect SoCal is not necessary, so this matter can be continued to a subsequent meeting.

Regional Councilmember Lyn Semeta, Huntington Beach, District 64, expressed concerns over the 1.3 million regional determination number, land use constraints within coastal communities and the difficulties of these communities in achieving compliant Housing Elements, thus blocking access to funding for affordable housing. She urged regional collaboration to change HCD's determination.

Regional Councilmember Margaret Finlay, Duarte, District 35, commented regarding HCD's determination and spoke to the importance of creating housing near jobs and supporting job-creating projects.

Supervisor Don Wagner, Orange County, said that the plan is a fantasy, and that pushback on HCD's determination and state requirements are ways to create tangible housing change. He encouraged taking additional time to consider alternatives.

Regional Councilmember Margaret Clark, Rosemead, District 32, mentioned involvement of potential litigation in RHNA methodology approval and questioned the implications of basing RHNA methodology on transit access when some transit systems are not yet fully designed or developed.

Regional Councilmember Rusty Bailey, Riverside, District 68, reaffirmed that the staff's proposal furthers RHNA statutory objectives. He commented on the difference between creating moderate-income housing and low-income housing.

Regional Councilmember Mike Bonin, Los Angeles, District 58, addressed the legacy of racism in housing policy and commented that single-family zoning in California, amongst other practices, acted to continue segregation, even after the passage of the Fair Housing Act. He noted the need to

find ways to undo a history of housing discrimination.

First Vice President Rex Richardson, Long Beach, District 29, acknowledged the job done by SCAG staff in facilitating a methodology that is consistent with state law. He expressed concern over HCD's 1.3 million unit determination and advocated for approval of the draft RHNA methodology so that revenue, tools and incentives from the State can be pursued.

Regional Councilmember David Ryu, Los Angeles, District 51, affirmed the importance of jobs-housing balance and the possibility of the Cerritos plan reducing the allocation of housing in job-rich areas. He stressed the importance of demonstrating the region's unity and moving forward with the plan previously approved by the Regional Council.

Regional Councilmember Teresa Real Sebastian, Monterey Park, District 34, asked if the methodology would have to be reviewed and approved again if HCD's determination were to be reduced, or whether the reduction would be applied proportionately throughout jurisdictions. Executive Director Kome Ajise responded that the reduction would be proportionate.

A MOTION was made (Wagner) to continue and refer the matter back to CEHD for further consideration. Motion was SECONDED (Puckett).

A FIRST SUBSTITUTE MOTION was made (Manos) to approve Resolution No. 20-619-2 Adopting the Final Regional Housing Needs Assessment (RHNA) Methodology for the Sixth Housing Element Cycle (2021- 2029). Motion was SECONDED (Finlay).

A SECOND SUBSTITUTE MOTION was made (O'Neil) to adopt the Cerritos plan. Motion was SECONDED (Ashton).

Board Counsel Ruben Duran clarified the order in which these motions will be voted on, stating that, according to SCAG Bylaws, the Regional Council will proceed with the last motion first [Second Substitute Motion, O'Neil/Ashton].

Regional Councilmember Trevor O'Neil, Anaheim, District 19, made an amendment to his Second Substitute Motion, stating that residual units be distributed regionally. Regional Councilmember Ashton, Downey, District 25, accepted the friendly amendment.

The Second Substitute Motion, as amended, failed by the following votes:

FOR: ASHTON, BACERRA, BERRY, BUCKNUM, CARROLL, CLARK, CURTIS, GAZELEY, HOLMGREN, HUANG, MEDINA, MINAGAR, NAGEL, O'NEIL, REAL SEBASTIAN, SANTA INES, SEMETA, SIMONOFF, TYE, WAGNER and YOKOYAMA (21).

AGAINST: BAILEY, BARGER, BEAMAN-JACINTO, BENOIT, BLUMENFIELD, BONIN, BROWN, BUSCAINO, FINLAY, GARCETTI, HARNIK, HOFBAUER, JAHN, JUDGE, KELLY, KORETZ, KREKORIAN, LEE, LORIMORE, MANOS, R. MARQUEZ, MARTINEZ, MASIEL, MCCALLON, MICHAEL, MITCHELL, NAVARRO, PEARCE, PLANCARTE, POLLOCK, PREDMORE, C. RAMIREZ, RICHARDSON, ROBERTSON, RODRIGUEZ, RYU, SAHLI-WELLS, SANDOVAL, SANTOS, SCHWANK, SHAPIRO and WALKER (42).

ABSTAIN: NONE (0)

Board Counsel Ruben Duran stated that the Second Substitute Motion failed; therefore, the First Substitute Motion [Manos/Finlay], being the prior motion, must now be considered.

Regional Councilmember Peggy Huang proposed a friendly amendment to the First Substitute Motion to state that residual units be distributed regionally. Regional Councilmember Manos, Maker of the Motion, rejected the friendly amendment.

The First Substitute Motion passed by the following votes:

FOR: BAILEY, BARGER, BEAMAN-JACINTO, BENOIT, BLUMENFIELD, BONIN, BUSCAINO, FINLAY, GARCETTI, HARNIK, HOFBAUER, JAHN, JUDGE, KELLY, KORETZ, KREKORIAN, LEE, MANOS, R. MARQUEZ, MARTINEZ, MASIEL, MCCALLON, MICHAEL, MITCHELL, NAVARRO, O'NEIL, PEARCE*, PLANCARTE, POLLOCK, PREDMORE, C. RAMIREZ, RICHARDSON, ROBERTSON, RODRIGUEZ, RYU, SAHLI-WELLS, SALEH, SANDOVAL, SANTOS, SCHWANK, SHAPIRO and WALKER (42).

AGAINST: ASHTON, BACERRA, BERRY, BROWN, BUCKNUM, CARROLL, CLARK, CURTIS, GAZELEY, HOLMGREN, HUANG, LORIMORE, MEDINA, MINAGAR, NAGEL, REAL SEBASTIAN, SANTA INES, SEMETA, SIMONOFF, TYE, WAGNER and YOKOYAMA (22).

ABSTAIN: NONE (0)

While the voting results were being reviewed, Regional Councilmember Jeannine Peace, Long Beach, District 30, stated that she inadvertently voted "Against" and intended to vote "For" the First Substitute Motion of Agenda Item No. 1. The corrected vote for Regional Councilmember Pearce* is annotated above.

2. 6th Cycle RHNA Appeals Procedures

President Jahn suspended Agenda Item No. 2 in the essence of time.



BUSINESS REPORT

President Jahn suspended the Business Report in the essence of time.

PRESIDENT'S REPORT

President Jahn suspended the President's Report in the essence of time.

EXECUTIVE DIRECTOR'S REPORT

President Jahn suspended the Executive Director's Report in the essence of time.

FUTURE AGENDA ITEM

President Jahn announced that suspended items will be continued to the next meeting of the Regional Council.

ANNOUNCEMENTS

There were no announcements.

ADJOURNMENT

President Jahn adjourned the Regional Council meeting at 2:58 p.m.

Respectfully submitted by:
Peter Waggoner
Clerk to the Regional Council

[MINUTES ARE UNOFFICIAL UNTIL APPROVED BY THE REGIONAL COUNCIL]

**CLOSED SESSION
REGIONAL COUNCIL (RC)
THURSDAY, MARCH 5, 2020**

After the adjournment of the Open Session, President Jahn announced that the Closed Session will also be suspended in the essence of time.



1. Conference with Legal Counsel – Existing Litigation

Pursuant to CA Government Code §54956.9 (d) (1): *Liu vs CalPers and SCAG* (Case No. 19STCP0456)

2. Conference with Legal Counsel – Anticipated Litigation

Pursuant to CA Government Code §54956.9 (d) (2): *One Case*



Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-035-C01, IT Managed
Services

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 20-035-C01 in an amount not to exceed \$2,554,499 with E.K. Associates to provide IT Managed Services, subject to final review by SCAG's Internal Auditor.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 3: Be the foremost data information hub for the region.

EXECUTIVE SUMMARY:

Under this agreement, E.K. Associates will provide expert scheduled and on-demand managed information technology services. Specifically, Consultant will provide the following services: planning and design, monitoring, troubleshooting and repair, maintenance, and support services. These services will extend to SCAG's computers, servers, network equipment, peripherals, related system software, cloud services, and professional services related to remote and on-site monitoring.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
E.K. Associates (20-035-C01)	Consultant will provide expert scheduled and on-demand managed information technology services.	\$2,554,499

FISCAL IMPACT:

The total cost for this contract is \$2,554,499 over a five-year period. The cost for the first year is \$462,300, of which \$77,050 is available in the Fiscal Year (FY) 2019-20 Indirect Cost Budget in project number 811.1163.08 and \$385,250 is included in the FY 2020-21 budget, subject to budget

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



approval. The cost for subsequent years will be included in future annual budgets, subject to budget availability.

ATTACHMENT(S):

1. Contract Summary 20-035-C01
2. Contract Summary 20-035-C01 COI

CONSULTANT CONTRACT 20-035-C01

Recommended Consultant:	E.K. Associates
Background & Scope of Work:	Consultant will provide expert scheduled and on-demand managed information technology services. Specifically, Consultant will provide the following services: planning and design, monitoring, troubleshooting and repair, maintenance, and support services. These services will extend to SCAG’s computers, servers, network equipment, peripherals, related system software, cloud services, and professional services related to remote and on-site monitoring.
Project’s Benefits & Key Deliverables:	The project’s benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none">• Helpdesk phone, e-mail, and onsite support;• System Maintenance;• System design and planning of SCAG’s server infrastructure;• Manage SCAG’s network infrastructure;• Manage SCAG’s cloud infrastructure; and• Work closely with SCAG’s CIO and Operations Manager to coordinate IT Planning, budgeting, user response and deployment activities.
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 3: Be the foremost data information hub for the region.
Contract Amount:	Total not to exceed \$2,554,499 E.K. Associates
	Note: E.K. Associates originally proposed \$2,554,499 (over a 5 year contract period). Consultant is currently undergoing a pre-award rate review with SCAG’s Internal Auditor and the final contract price is subject to negotiations with the assigned CA. This contract is being brought forward to the May board to provide SCAG’s current consultant enough time to transition the work to E.K. Associates before the end of the fiscal year, subject to budget availability.
Contract Period:	Notice to Proceed through May 10, 2023 with two optional 12 month terms
Project Number(s):	811-1163.08 \$767,856 Funding source(s): Indirect Funding.
	The total cost for this contract is \$2,554,499 over a five-year period. The cost for the first year is \$462,300, of which \$77,050 is available in the Fiscal Year (FY) 2019-20 Indirect Cost Budget in project number 811.1163.08 and \$385,250 is included in the FY 2020-21 budget, subject to budget approval. The cost for subsequent years will be included in future annual budgets.
Selection Process:	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors. The PRC consisted of the following individuals:

Attachment: Contract Summary 20-035-C01 (Contracts \$200,000 or Greater: 20-035-C01, IT Managed Services)

Julie Shroyer, SCAG Chief Information Officer
 Emmanuel Figueroa, SCAG Manager of Infrastructure and Operations
 Modesto Tojin, SCAG IT Management Analyst

Request for Proposal (RFP):

SCAG staff notified 1,954 firms of the release of RFP 20-021-C01 via SCAG’s Solicitation Management System website. A total of 83 firms downloaded the RFP. SCAG received the following nine (9) proposals in response to the solicitation:

E.K. Associates	\$2,554,499
Konica Minolta	\$218,161
ADSL	\$1,914,335
vTech Solution, Inc.	\$2,018,000
Direct Apps, Inc.	\$2,203,971
EJANGAR, Inc.	\$2,291,492
Partners Information	\$2,461,200
Pivot Technology Services Corp.	\$3,533,012
SoftHQ, Inc.	\$39,507,408

Basis for Selection:

The PRC recommended E.K. Associates for the contract award because the consultant:

- Have certified technicians in ServiceNow, Amazon Web Services, Palo Alto, and Cisco technologies, all of which are needed to effectively manage our environment;
- Demonstrated a proven record of success with completing projects for other major organizations and public agencies such as, Port of Long Beach, Los Angeles World Airports, and Los Angeles Police Department;
- Demonstrated a clear understanding of the project providing detailed responses explaining how each of our requirements would be met. For example, the timeline and buildout of our ServiceNow helpdesk system was described in detail as requested in the RFP; and
- Provided the best overall value for the level of effort proposed.

Although other firms proposed a lower prices, the PRC did not recommend these firms for contract award because these firms:

- Did not comply with itemizing the cost proposal sheet as requested in the RFP and did not demonstrate an understanding of SCAG’s request for flexibility in our long-term plan, which is to reduce our scope of the contract reclaiming those costs in time as we build out our IT Infrastructure team; and
- Did not agreed to perform all tasks requested in the RFP.

**Conflict Of Interest (COI) Form - Attachment
For May 07, 2020 Regional Council Approval**

Approve Contract No. 20-035-C01 in an amount not to exceed \$2,554,499 with E.K. Associates to provide IT Managed Services, subject to final review by SCAG's Internal Auditor.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
E.K. Associates	No - form attached

Attachment: Contract Summary 20-035-C01 (Contracts \$200,000 or Greater: 20-035-C01, IT Managed Services)

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-035

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: E.K. Technologies dba E.K. Associates
Name of Preparer: Reena Vaswani
Project Title: Managed IT Services
RFP Number: RFP 20-035 **Date Submitted:** Feb 26th, 2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

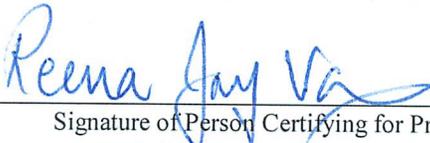
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Reena Vaswani, hereby declare that I am the (position or title) President of (firm name) E.K. Technologies dba E.K. Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Feb 25th, 2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

Feb 25th, 2020

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 8
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-021-C01, Federal Advocacy
Services

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 20-021-C01 in an amount not to exceed \$600,000 with Holland & Knight to provide Federal Advocacy Services, subject to final review by SCAG's Internal Auditor.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

Under this agreement, Holland & Knight will serve as SCAG's Federal Lobbyist and among other things support the development of SCAG's annual Legislative Program for review by the Legislative/Communications and Membership Committee and the Regional Council, subject to final review by SCAG's Internal Auditor.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Holland & Knight (20-021-C01)	The consultant will develop strategies for the successful attainment of SCAG's Legislative Program.	\$600,000

FISCAL IMPACT:

The total cost for this contract is \$600,000 over a five-year period. The cost for the first year is \$120,000 of which \$20,000 is available in the Fiscal Year (FY) 2019-20 General Fund Budget in project number 800.0160.02 and \$100,000 is included in the FY 2020-21 budget, subject to budget approval. The cost for subsequent years will be included in future annual budgets, subject to

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To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



budget availability.

ATTACHMENT(S):

1. Contract Summary 20-021-C01
2. Contract Summary 20-021-C01 COI

CONSULTANT CONTRACT 20-021-C01

Recommended Consultant:	Holland & Knight
Background & Scope of Work:	Under this agreement, Holland & Knight will serve as SCAG’s Federal Lobbyist and among other things support the development of SCAG’s annual Legislative Program for review by the Legislative/Communications and Membership Committee and the Regional Council, subject to final review by SCAG’s Internal Auditor.
Project’s Benefits & Key Deliverables:	<p>The project’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• Developing and suggesting strategies for the successful attainment of SCAG’s Legislative Program;• Providing a Weekly Legislative Report when Congress is in session. The update will analyze newly introduced bills, summarize activities in Congress, committees, the Administration, appropriate federal agencies, and coalition stakeholders as it relates to areas of interest to SCAG, and a forecast of activity likely to occur in the foreseeable future;• Assisting with the initiation of legislation sponsored or supported by SCAG; and• Providing logistical support for visits in Washington DC or district offices. This includes developing proposed agenda and scheduling meetings with Members, congressional staff, and members of the Administration to further the goals of SCAG’s Legislative Program.
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.
Contract Amount:	<p>Total not to exceed \$600,000</p> <p>Subject to the SCAG Internal Auditor finalizing his review and staff negotiating the final not to exceed amount.</p> <p>Holland & Knight (prime consultant) (\$10,000 flat monthly retainer, \$600,000 over a 5 year contract period.)</p>
Contract Period:	Notice to Proceed through May 10, 2023, with two optional 12 month Terms.
Project Number(s):	800-0160.02 \$600,000
	Funding source(s): General Fund.
	<p>The total cost for this contract is \$600,000 over a five-year period. The cost for the first year is \$120,000 of which \$20,000 is available in the Fiscal Year (FY) 2019-20 General Fund Budget in project number 800.0160.02 and \$100,000 is included in the FY 2020-21 budget, subject to budget approval. The cost for subsequent years will be included in future annual budgets, subject to budget availability.</p>
Request for Proposal (RFP):	SCAG staff notified 1,213 firms of the release of RFP 20-021-C01 via SCAG’s Solicitation Management System website. A total of 24 firms downloaded the RFP. SCAG received the following eight (8) proposals in response to the solicitation:

Attachment: Contract Summary 20-021-C01 (Contracts \$200,000 or Greater: 20-021-C01, Federal Advocacy Services)

Holland & Knight	\$600,000
Nossaman LLP (1 subconsultant).....	\$600,000
Potomac Partners DC (no subconsultants)	\$600,000
TPA Townsend Public Affairs	\$600,000
Van Ness Feldman (no subconsultants)	\$684,000
Chambers Conlon & Hartwell (no subconsultants)	\$720,000
Kadesh & Associates (The 1 subconsultant)	\$749,382
CRS Crossroads Strategies (no subconsultants)	\$750,000

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the four (4) highest ranked offerors.

The PRC consisted of the following individuals:

Kevin Gilhooley, SCAG Manager of Legislation, Policy and Public Affairs Group
 Art Yoon, SCAG Director of Policy and Public Affairs, Policy and Public Affairs
 Darin Chidsey, SCAG Chief Operating Officer

Basis for Selection: The PRC recommended Holland & Knight for the contract award because the consultant:

- Demonstrated the best understanding of the scope of work, specifically SCAG’s desire to influence surface transportation legislation and the annual appropriations process to reflect Southern California’s unique air quality and goods movement challenges and opportunities;
- Demonstrated the most impressive and broadest level of access to decision-makers whose jurisdiction would affect SCAG and Southern California, for example, relationships with the Department of Transportation, Members of the Sothern California delegation, leadership of the relevant committees, local stakeholders from Southern California, as well as Members and leaders of the relevant committees from throughout the United States;
- Recognized by the PRC for their success in securing other clients as speakers in front of relevant policy committees and sub-committees in Washington, D.C.;
- Provided the best overall value for the level of effort proposed, including two points of contact and access to the broad professional network within the firm; and
- Holland & Knight was one of four firms that proposed the overall lowest price of \$600,000 over a five-year period. In addition, their response to the scope of work indicated that SCAG would benefit from their expertise in areas that were not included or mentioned by the other candidates.

**Conflict Of Interest (COI) Form - Attachment
For May 7, 2020 Regional Council Approval**

Approve Contract No. 20-021-C01 in an amount not to exceed \$600,000 with Holland & Knight to provide Federal Advocacy Services, subject to final review by SCAG's Internal Auditor.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Holland & Knight	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-021

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Holland & Knight LLP

Name of Preparer: Leslie I. Pollner

Project Title: Federal Advocacy Services

RFP Number: 20-021 Date Submitted: December 18, 2019

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 20-021-C01 COI (Contracts \$200,000 or Greater: 20-021-C01, Federal Advocacy Services)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

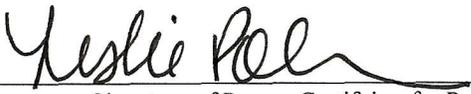
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Leslie I. Pollner, hereby declare that I am the (position or title) Senior Policy Advisor of (firm name) Holland & Knight LLP, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated December 18, 2019 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 December 18, 2019
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 9
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-034-C01, Heat Island
Reduction with Urban Greening and Cool Streets

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 20-034-C01 in an amount not to exceed \$300,212, with Alta Planning + Design, Inc. to develop plans that will address “urban heat islands” which form when natural land cover is replaced with pavement, buildings and infrastructure, in the Cities of Long Beach and Pasadena.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

The consultant shall provide services for a Sustainable Communities Planning Grant for the cities of Long Beach and Pasadena. Specifically, the consultant shall develop individual plans for three (3) project areas; two (2) in the City of Pasadena and one (1) in Long Beach. Each plan will include two (2) complementary strategies for heat island reduction: Urban Greening and Cool Pavement. These plans will address “urban heat islands” which form when natural land cover is replaced with pavement, buildings and infrastructure.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Alta Planning + Design, Inc. (20-034-C01)	The consultant shall develop plans to reduce “urban heat islands” which form when natural land cover is replaced with pavement, buildings and infrastructure for the City of Pasadena and Long Beach.	\$300,212

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OUR VISION

Southern California’s Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



FISCAL IMPACT:

Funding of \$300,212 is available in the Fiscal Year (FY) 2019-20 Overall Work Program (OWP) in Project Number 275.4823.03.

ATTACHMENT(S):

1. Contract Summary 20-034-C01
2. Contract Summary 20-034-C01 COI

CONSULTANT CONTRACT 20-034-C01

Recommended Consultant:

Alta Planning + Design, Inc.

Background & Scope of Work:

The consultant shall provide services for a Sustainable Communities Planning Grant for the Cities of Long Beach and Pasadena. Specifically, the consultant shall develop individual plans for three (3) project areas; two (2) in the City of Pasadena and one (1) in Long Beach. Each plan will include two (2) complementary strategies for heat island reduction: Urban Greening and Cool Pavement. These plans will address “urban heat islands” which form when natural land cover is replaced with pavement, buildings, and infrastructure. Paved surfaces and other non-reflective surfaces absorb heat during the day and release it at night, inflating overnight temperatures. Urban heat islands limit mobility by inhibiting human-powered modes of transportation such as walking and biking; increase energy demands; raise air pollution levels; and cause heat-related illness. These plans will help increase resiliency to warming urban air temperatures and alleviate effects of extreme heat events through urban greening, urban forestry, reduced impervious surfaces and cool pavement strategies and investments. This project seeks to promote increased walking, biking and other non-motorized transportation modes within defined contiguous areas that exhibit potential for increased high quality transit by strategizing for continuous shaded pathways to/from existing or planned transit and shaded transit stops. Moreover, the project will prioritize first/last mile investments, improve access to transit and other key destinations, and make the mode shift from Single Occupancy Vehicles (SOVs) to human-powered transportation for short trips more appealing.

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include, but are not limited to:

- Making access to transit and non-vehicular transportation more appealing by providing guidance on heat island reduction through urban greening and cool-street materials;
- Providing an overview of innovative implementation strategies, cost analysis and funding methods for each project area; and
- Conducting outreach with local constituents to ensure needs specific to each community are met.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians; Create plans that enhance the region’s strength, economy, resilience and adaptability by reducing greenhouse gas emissions and air pollution.

Contract Amount:

Total not to exceed	\$300,212
Alta Planning + Design, Inc. (prime consultant)	\$288,221
Day One (subconsultant)	\$11,991

Note: Alta Planning + Design, Inc. originally proposed \$350,114, but staff negotiated the price down to \$300,212 without reducing the scope of work.

Contract Period:

Notice to Proceed through February 28, 2021

Attachment: Contract Summary 20-034-C01 (Contracts \$200,000 or Greater: 20-034-C01, Heat Island)

Project Number(s): 275-4823.03 \$300,212
Funding source(s): FY19 SB1 Sustainable Communities Formula Grant and Transportation Development Act (TDA).

Funding of \$300,212 is available in the Fiscal Year (FY) 2019-20 Overall Work Program (OWP) in Project Number 275.4823.03.

Request for Proposal (RFP): SCAG staff notified 2,271 firms of the release of RFP 20-034 via SCAG’s Solicitation Management System website. A total of 38 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:

Alta Planning + Design (1 subconsultants)	\$350,114
KTUA (1 subconsultants)	\$199,291
MIG (2 subconsultants)	\$294,646
Design Workshop (4 subconsultants)	\$376,391
Climate Resolve (4 subconsultants)	\$480,704

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

India Brookover, Project Manager, Associate Regional Planner, SCAG
Courtney Aguirre, Program Manager, SCAG
Anita Cerna, Program Manager, City of Pasadena
Kristyn Payne, Sustainability Analyst, City of Long Beach

Basis for Selection: The PRC recommended Alta Planning for the contract award because the consultant:

- Demonstrated the best understanding of the project, especially regarding local contexts specific to Pasadena and Long Beach; local groups necessary to engage for successful outreach; and the project’s transportation focus, not just urban greening;
- Provided the best technical approach, for example the detailed walk audits, added coordination transportation agencies such as Caltrans and LA Metro; and strategies to make the most of the short lifespan and other limitations of cool pavements;
- Demonstrated successful management of similar projects at a similar scale; and
- Provided the best overall value for the level of effort proposed.

Although other firm(s) proposed a lower price(s), the PRC did not recommend these firms for contract award because these firms:

- Did not demonstrate enough relevant experience with specific technical aspects in the Scope, especially Cool Pavement strategies;
- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff hours, to satisfactorily complete the tasks in the Scope of Work; and

- Did not represent a thorough approach to meeting the goals of the project, especially related to lack of field work described in the proposal.

**Conflict Of Interest (COI) Form - Attachment
For May 7, 2020 Regional Council Approval**

Approve Contract No. 20--034-C01 in an amount not to exceed \$300,212, with Alta Planning + Design, Inc. to develop plans that will address “urban heat islands” which form when natural land cover is replaced with pavement, buildings and infrastructure, in the Cities of Long Beach and Pasadena

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Alta Planning + Design(prime consultant)	No - form attached
Day One (subconsultant)	No - form attached

Attachment: Contract Summary 20-034-C01 (Contracts \$200,000 or Greater: 20-034-C01, Heat Island)

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-034

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Alta Planning + Design, Inc.

Name of Preparer: Greg Maher, Vice President

Project Title: Heat Island Reduction with Urban Greening & Cool Streets - Long Beach and Pasadena

RFP Number: 20-034 **Date Submitted:** 01/08/2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 20-034-C01 COI (Contracts \$200,000 or Greater: 20-034-C01, Heat Island)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Greg Maher, **hereby declare that I am the (position or title)** Vice President **of (firm name)** Alta Planning + Design, Inc., **and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 1/3/2020 **is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.****


1/3/2020

Signature of Person Certifying for Proposer
 Date

(original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-034

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Day One Inc.
Name of Preparer: Christy Zamani, Executive Director
Project Title: Heat Island Reduction Island Reduction with Urban Greening & Cool Streets
RFP Number: 20-034 **Date Submitted:** January 2, 2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

Attachment: Contract Summary 20-034-C01 COI (Contracts \$200,000 or Greater: 20-034-C01, Heat Island)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Christy Zamani, hereby declare that I am the (position or title) Executive Director of (firm name) Day One Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 01/02/20 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Christy Zamani
 Signature of Person Certifying for Proposer
 (original signature required)

01/02/20
 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

Attachment: Contract Summary 20-034-C01 COI (Contracts \$200,000 or Greater: 20-034-C01, Heat Island)



AGENDA ITEM 10
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: Contract No. 17-026-C1
Amendment 5, Professional Auditing Services

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Amendment 5 to contract 17-026-C1, with Edie Bailly, LLC, to conduct audit services for an additional two-years (i.e., through June 30, 2022) in an amount not-to-exceed \$125,386, subject to final review by SCAG's Internal Auditor.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

In April 2017, SCAG awarded contract 17-026-C1 to Edie Bailly, LLC (Formerly Vavrinek, Trine, Day and Company, LLP), to conduct audit services pursuant to the United States Office of Management and Budget (OMB), Title 2 United State Code of Federal Regulations Part 200 (2 CFR 200) Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The initial contract was to end June 30, 2020, but staff requested and the Audit Committee approved, an extension of the contract term for an additional two-years (i.e., through June 30, 2022) to maintain the continuity of services. Staff now requests the Regional Council's approval of this action, subject to final review by SCAG's Internal Auditor.

This amendment exceeds \$75,000 and when combined with a previous amendment also exceeds 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/25/19) Section 9.3, it requires the Regional Council's approval.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Amendment Purpose</u>	<u>Amendment Amount</u>
Eide Bailly, LLP (17-026-C1)	Consultant shall continue providing professional auditing services.	\$125,386

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

FISCAL IMPACT:

Funding of \$69,771 is available in the fiscal year (FY) 2019-20 Indirect Cost budget in Project Number 810-0120.08. The remaining \$55,615 is included in the FY 2020-21 Indirect Cost budget in Project Number 810-0120.08, subject to budget approval.

ATTACHMENT(S):

1. Contract Summary 17-026-C1 Amendment 5
2. Contract Summary 17-026-C1 Contract Summary Amendment 5

CONSULTANT CONTRACT 17-026-C1 AMENDMENT 5

Recommended Consultant:

Edie Bailly, LLC (Formerly Vavrinek, Trine, Day and Company, LLP)

Background & Scope of Work:

In April 2017, SCAG awarded contract 17-026-C1 to Edie Bailly, LLC (formerly Vavrinek, Trine, Day and Company, LLP), to conduct audit services pursuant to the United States Office of Management and Budget (OMB), Title 2 United State Code of Federal Regulations Part 200 (2 CFR 200) Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The initial contract was to end June 30, 2020, but staff requested and the Audit Committee approved, an extension of the contract term for an additional two-years (i.e., through June 30, 2022) to maintain the continuity of services. Staff now requests the Regional Council’s approval of this action, subject to final review by SCAG’s Internal Auditor.

This Amendment will also increase the contract value from \$229,638 to \$355,024 (\$125,386).

Project’s Benefits & Key Deliverables:

- The project’s benefits and key deliverables include, but are not limited to:
- Providing Comprehensive Annual Financial Report (CAFR) and Single Audit Report auditor opinions;
 - Increasing the public’s confidence in the financial statements released by SCAG;
 - Increasing the ability to safeguard SCAG’s assets; and
 - Providing assurance that SCAG’s control environment is adequate.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective: a) Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

Amendment Amount

Amendment 5	\$125,386
Amendment 4	\$20,000
Amendment 3 (administrative - no change to contract’s value)	\$0
Amendment 2 (administrative - no change to contract’s value)	\$0
Amendment 1 (administrative - no change to contract’s value)	\$0
Original contract value	<u>\$209,638</u>
Total contract value is not to exceed	\$355,024

This amendment exceeds \$75,000 and when combined with a previous amendment also exceeds 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/25/19) Section 9.3, it requires the Regional Council’s approval.

Contract Period:

April 10, 2017 through June 30, 2022

Project Number:

810.0120.08 \$125,386

Funding of \$69,770.50 is available in the fiscal year (FY) 2019-20 Indirect Cost budget in Project Number 810-0120.08. The remaining \$55,615.50 is included in the FY 2020-21 Indirect Cost budget in Project Number 810-0120.08, subject to budget approval.

**Basis for the
Amendment:**

As previously stated, the consultant is in the third year of its contract with SCAG. The initial term of the contract is through June 30, 2020. Staff requested and received the Audit Committee's approval to extend it for another two years, and are now requesting the Regional Council's approval of this action.

The benefits of extending this contract include:

- Leveraging the consultant's familiarity with SCAG operations and Caltrans' audit findings and recommendations so as to provide better oversight regarding how SCAG is addressing said finding and recommendations;
- Avoiding the use of staff time required to familiarize a new CPA firm with SCAG operations.
- Avoiding extra use of staff time needed to procure a new firm during a busy year for procurements.

**Conflict Of Interest (COI) Form - Attachment
For May7, 2020 Regional Council Approval**

Approve Amendment 5 to contract 17-026-C1, with Edie Bailly, LLC, to conduct audit services for an additional two-years (i.e., through June 30, 2022) in an amount not-to-exceed \$125,386, subject to final review by SCAG's Internal Auditor.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Edie Bailly, LLC	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 17-026-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Eide Bailly LLP
Name of Preparer: Robert Alfaro
Project Title: PARTNER
Date Submitted: 4.20.2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) ROGER ALFANO, hereby declare that I am the (position or title) PARTNER of (firm name) EIDE BILLY, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4.20.2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 Signature of Person Certifying for Proposer
 (original signature required)

April 20, 2020
 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



**AGENDA ITEM 11
REPORT**

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-047-C01, Omnitrans Bus
Stop Safety Improvement Plan

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Approve Contract No. 20-047-C01 in an amount not to exceed \$249,395 with KTUA to develop the Omnitrans Bus Stop Safety Improvement Plan.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

The consultant will provide technical assistance to develop a plan to improve safety in areas surrounding bus stops in the Omnitrans' service area, including the cities of Fontana, Grand Terrace, Highland, Rialto, and San Bernardino, and the Bloomington unincorporated area of the County of San Bernardino. The Plan will consider pedestrian and bicycle safety/infrastructure while accessing bus stops, as well as other factors that can make transit passengers feel unsafe, such as crime, environmental factors such as land use and lighting, and loitering or homelessness at bus stops. The Plan will identify and prioritize community-supported improvements.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
KTUA (20-047-C01)	The consultant shall develop the Omnitrans Bus Stop Safety Improvement Plan.	\$249,395

FISCAL IMPACT:

Funding of \$50,000 is available in the Fiscal Year (FY) 2019-20 budget in project number 275-4823.05, and the remaining \$199,395 will be included in the FY 2020-21 OWP budget, subject to budget approval.

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



ATTACHMENT(S):

1. Contract Summary 20-047-C01
2. Contract Summary 20-047-C01 COI

CONSULTANT CONTRACT 20-047-C01

**Recommended
Consultant:**

KTUA

**Background &
Scope of Work:**

Omnitrans is a public transit agency that provides around 11 million passenger trips each year spread across 31 fixed bus routes, a bus rapid transit line, Americans with Disabilities Act (ADA) paratransit service, and other mobility services within the 480-square-mile San Bernardino Valley area. Ninety-three percent (93%) of Omnitrans' passengers walk or bike to the bus stop to start their trip, which means that pedestrian and bicycle safety on the way to the bus stop is critical.

The Omnitrans' Bus Stop Safety Improvement Plan will analyze conditions in a targeted portion of the Omnitrans' service area where passenger safety ratings are lowest, including the cities of Fontana, Grand Terrace, Highland, Rialto, San Bernardino, and the Bloomington unincorporated area of the County of San Bernardino. The Plan will consider pedestrian and bicycle safety conditions and infrastructure while accessing bus stops, as well as other factors that can make transit passengers feel unsafe, such as crime, environmental factors such as land use and lighting, and loitering or homelessness at bus stops. The resulting plan will identify and prioritize community-supported improvements, which may be applied to other areas of Omnitrans' service area and throughout the SCAG region. The plan will also consider equity in bus stop safety, including investigating the reasons why women and people of color are less satisfied overall with bus stop safety.

The Plan will help to further SCAG's regional transportation goals and strategies, including those outlined in the 2020-2045 Regional Transportation Plan/Sustainable Communities Strategy (2020 RTP/SCS or Connect SoCal) in the Transportation Safety & Security Transportation Technical Report. The Plan aligns with the Connect SoCal regional goal of improving mobility, accessibility, reliability, and travel safety for people and goods, and the recommendations that local jurisdictions develop pedestrian safety action plans and consider pedestrian needs in all roadway and transit projects.

**Project's Benefits
& Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Improving Omnitrans passengers' safety while waiting for the bus;
- Reducing the number of bus stops that do not have an ADA-accessible path of travel to the intersection by 90% by 2030 in order to improve pedestrian safety at and around bus stops; and
- Identifying strategies that can be implemented in partnership with law enforcement agencies, local jurisdictions, San Bernardino County Transportation Authority (SBCTA), and other partners in order to reduce bicycle and pedestrian fatalities by 2030.

Strategic Plan:

This item supports SCAG's Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount: **Total not to exceed** **\$249,395**

KTUA (prime consultant) \$195,380
 Katherine Padilla & Associates (subconsultant) \$54,015

Contract Period: Notice to Proceed through June 30, 2021

Project Number(s): 275-4823U7.05 \$220,790
 275-4823E.05 \$28,605
 Funding source(s): FY20 SB1 Sustainable Communities Formula Grant and Transportation Development Act (TDA).

Funding of \$50,000 is available in the Fiscal Year (FY) 2019-20 budget, and the remaining \$199,395 is expected to be available in the FY 2020-21 budget in Project Number 275-4823U7.05, subject to budget approval.

Request for Proposal (RFP): SCAG staff notified 2,585 firms of the release of RFP 20-047 via SCAG’s Solicitation Management System website. A total of 26 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

KTUA (1 subconsultant) \$249,395

Kimley-Horn & Associates (1 subconsultant) \$341,642

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

- Courtney Aguirre, SCAG Project Manager
- Anna Jaiswal, Development Planning Manager, Omnitrans
- Terry Morocco, Safety and Regulatory Compliance Specialist, Omnitrans
- Nancy Strickert, Transit Manager, San Bernardino County Transportation Authority
- Ricky Rivers, Associate Transportation Planner, Caltrans District 8

Basis for Selection: The PRC recommended KTUA for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically the need to address improving safety and security around transit stops, with sensitivity to disadvantaged communities;
- Recognized the importance of improving first/last mile access to Omnitrans’ transit stops and developing methodologies that can be utilized by jurisdictions with limited resources;
- Provided specific examples of the ways in which they will engage stakeholders in the planning process, including the utilization of an online survey; a mapping platform to identify opportunities and constraints throughout the region; and
- Proposed the lowest price.

**Conflict Of Interest (COI) Form - Attachment
For May 7, 2020 Regional Council Approval**

Approve Contract No. 20-047-C01 in an amount not to exceed \$249,395 with KTUA to develop the Omnitrans Bus Stop Safety Improvement Plan.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
KTUA (prime consultant)	No - form attached
Katherine Padilla & Associates (subconsultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-047

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: KTU&A
Name of Preparer: Joe Punsalan
Project Title: Omnitrans Bus Stop Safety Improvement Plan
RFP Number: 20-047 Date Submitted: 3/5/2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Joe Punsalan, hereby declare that I am the (position or title) Principal of (firm name) KTU&A, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 2/27/2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



2/27/2020

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-047

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Katherine Padilla & Associates (KPA)
Name of Preparer: Katherine Padilla Otanez
Project Title: Omnitrans Bus Stop Safety Improvement Plan
RFP Number: 20-047 **Date Submitted:** 3/5/2020

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) KATHERINE OTANEZ, hereby declare that I am the (position or title) OWNER of (firm name) KATHERINE PADILLA & ASSOC'S, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated MARCH 3, 2020 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Katherine OTANEZ

Signature of Person Certifying for Proposer
(original signature required)

MARCH 3, 2020

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



AGENDA ITEM 12
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Darin Chidsey, Chief Operating Officer, Executive Management, (213) 236-1836, Chidsey@scag.ca.gov

Subject: Approval for Additional Stipend Payments

RECOMMENDED ACTION:

Approve additional stipend payments, pursuant to Regional Council Policy Manual, Article VIII, Section B(4) [adopted June 2019], as requested by Regional Councilmembers Cheryl Viegas-Walker and Margaret Finlay.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

EXECUTIVE SUMMARY:

Pursuant to the Regional Council Stipend Policy, staff is seeking approval for additional stipend payments for Regional Councilmember Cheryl Viegas-Walker, El Centro, District 1, and Regional Councilmember Margaret Finlay, Duarte, District 35.

BACKGROUND:

In accordance with the Regional Council Policy Manual, Article VIII, Section B(4) [adopted June 2019], *“Representatives of Regional Council Members may receive up to six (6) Stipends per month and the SCAG President may authorize two (2) additional Stipends in a single month on a case-by-case basis. SCAG’s First Vice President, Second Vice President and Immediate Past President may receive up to nine (9) Stipends per month. SCAG’s President may receive up to twelve (12) Stipends per month. Approval by the Regional Council is required for payment of any Stipends in excess of the limits identified herein.”*

For the month of January 2020, Regional Councilmember Cheryl Viegas-Walker, El Centro, District 1, attended the following for SCAG which will count towards her 9th stipend request:

No.	Meeting Date	Meeting Name
9 th	Jan. 14	General Assembly Host Committee Meeting

For the month of February 2020, Regional Councilmember Margaret Finlay, Duarte, District 35,

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attended the following for SCAG which will count towards her 7th stipend request:

No.	Meeting Date	Meeting Name
7 th	Feb. 25	General Assembly Host Committee Meeting

FISCAL IMPACT:

Funds for stipends are included in the General Fund Budget (800-0160.01: Regional Council).



AGENDA ITEM 13
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Art Yoon, Director of Policy and Public Affairs, Legislation,
(213) 236-1840, ArtYoon@scag.ca.gov

Subject: SB 1291 (Senate Committee on Transportation) - FTIP
Submissions

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Support

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

On March 31, 2020, the Trump Administration finalized the Safer Affordable Fuel-Efficient (SAFE) Vehicles Rule, however, it affects the ability of many metropolitan planning organization (MPOs) to adopt a new Federal Transportation Improvement Program (FTIP). Senate Bill (SB) 1291 would eliminate the state requirement that MPOs submit a FTIP in 2020. MPOs would return to the regular two-year FTIP cycle by 2022.

Staff presented SB 1291 to the Legislative/Communications & Membership Committee (LCMC) at its meeting on April 21, 2020, after which the LCMC voted to recommend a “support” position to the Regional Council.

BACKGROUND:

On September 27, 2019, the National Highway Traffic Safety Agency (NHTSA) and the U.S. Environmental Protection Agency (EPA) published the SAFE Vehicles Rule Part One: One National Program. This Rule revokes California’s authority to set its own greenhouse gas emissions standards and zero-emission vehicle (ZEV) mandates. This Rule results in increased emissions that make it harder for California to attain federal air quality standards and achieve the State’s climate goals. The SAFE Vehicles Rule Part One became effective on November 26, 2019.

On March 31, 2020, the Trump Administration finalized the remaining portions of the SAFE Vehicles Rule requiring automakers to improve their fuel efficiency at a rate of 1.5 percent per year through

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model year 2026. In so doing, the Rule replaces the previous five percent efficiency gains required under an Obama Administration rule. The Obama rule was projected to increase average fuel economy to 46.7 miles per gallon (mpg) by 2025. The Trump rule instead will get to 40.4 mpg by 2026. The Rule becomes effective 60 days after publication in the federal register.

The Rule may impact transportation conformity determinations for Regional Transportation Plans (RTP), the upcoming 2021 FTIP, or transportation projects across the state. SCAG is responsible for developing the RTP, which is a long-range transportation plan, and the FTIP, which is a comprehensive listing of transportation projects that will receive federal funding or are subject to a federally required action. The adoption of a new RTP or FTIP requires use of California's emissions model, EMFAC, to accurately estimate future transportation emissions. Thus far, the California Air Resources Board (CARB) has prepared adjustments to the EMFAC that account for the SAFE Vehicles Rule Part One. This was a sixth-month process that involved certification by the EPA. It is anticipated that the EMFAC will require additional adjustments when the SAFE Vehicles Rule Part Two goes into effect in early June. The EPA will again have to certify new adjustments to EMFAC before any new RTPs and FTIPs can be approved. If the adjustments are not approved, a 12-month transportation conformity lapse grace period will be triggered followed by a full conformity lapse. In the meantime, many transportation projects may not receive federal approval, federal funding, nor be amended.

SB 1291

Federal law only requires the FTIP to be updated every four years. However, existing California law requires MPOs, like SCAG, to update the FTIP every two years. The next California-required FTIP is due on October 1, 2020. The SAFE Vehicles Rule affects the ability of many MPOs to adopt a new FTIP because it requires an EMFAC analysis to show transportation conformity. The SAFE Vehicles Rule Part Two was finalized on March 31, 2020 and it is anticipated that CARB will have to prepare adjustments to the EMFAC that account for both Part One and Part Two. It may take another six month process from rule publication to approval by the EPA. Thousands of projects may be stalled if a new FTIP is required in approximately six months. SB 1291 eliminates the state-required FTIP for 2020 only. The process would resume in 2022.

SB 1291 was introduced on February 21, 2020 and is authored by the Senate Transportation Committee, signaling that it is a top priority. Against the backdrop of the COVID-19 pandemic, the Legislature is scheduled to convene on Monday, May 4, 2020. There is no clarity about when and how the legislative process will resume. A hearing date has not been set for SB 1291, but is expected sometime in the spring.

Support

- California Association of Councils of Governments (Sponsor)

Opposition

- None registered.

-
- Transportation California

Prior Committee Action

Staff presented SB 1291 to the LCMC at its meeting on April 21, 2020, after which the LCMC voted to recommend a “support” position to the Regional Council. This is consistent with Regional Council-adopted policy and legislative priorities that support the authority of the State of California to establish its own tailpipe greenhouse gas emissions standards and ZEV requirements. The SAFE Vehicles Rule directly revokes California’s authority in this regard. SB 1291 would help to ensure that transportation projects, and the associated safety benefits and jobs, can proceed during the uncertainty created by the Rule. This bill cannot address all project delivery impacts of the Rule, but eliminating the state requirement that MPOs submit a FTIP in 2020 will enable projects consistent with the 2019 FTIP or any amendment prior to the effective date of the SAFE Vehicles Rule to proceed. MPOs would return to the regular two-year FTIP cycle by 2022.

FISCAL IMPACT:

Work associated with the staff report on SB 1291 is contained in the Indirect Cost budget, Legislation 810-0120.10.



AGENDA ITEM 14
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Art Yoon, Director of Policy and Public Affairs, Policy and
Public Affairs Division, (213) 236-1840, ArtYoon@scag.ca.gov

Subject: AB 2011 (Holden) - West San Bernardino County Rail
Construction Authority and SB 1390 (Portantino) - Montclair to
Ontario Airport Construction Authority

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Oppose

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

Assembly Bill (AB) 2011 and Senate Bill (SB) 1390, introduced by Assemblymember Chris Holden (D-Pasadena) and Senator Anthony Portantino (D-La Cañada Flintridge) respectively, would create new construction authorities with the purpose of extending the Los Angeles County Metropolitan Transportation Authority’s (LA Metro) Gold Line (now the L line) to the Ontario International Airport.

Staff presented AB 2011 and SB 1390 to the Legislative/Communications & Membership Committee (LCMC) at its meeting on April 21, 2020, after which the LCMC voted to recommend an “oppose” position to the Regional Council.

BACKGROUND:

SB 1847 (Schiff) Chapter 1021, Statutes of 1998, established the Pasadena Metro Blue Line Construction Authority as an independent transportation planning, design and construction agency responsible for the design, contracting and construction of the Los Angeles-to-Pasadena Metro Blue Line light rail project from Union Station in the City of Los Angeles to Sierra Madre Villa Boulevard in the City of Pasadena, and any mass transit guideway that may be planned east of Sierra Madre Villa Boulevard along the rail right-of-way extending to the City of Claremont.

AB 706 (Torres) Chapter 533, Statutes of 2011, reconstituted the Pasadena Metro Blue Line

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Construction Authority as the Metro Gold Line Foothill Extension Construction Authority. AB 1600, (Torres) Chapter 189, Statutes of 2012, extended the project from the City of Claremont to the City of Montclair in San Bernardino County.

As of spring 2020, the Gold Line light rail project is fully funded for the segment from the City of Glendora to the City of Pomona and anticipated to be completed in 2025. If additional funding is secured for the segment from the City of Pomona to the City of Montclair by October 2021, both segments will be built altogether and completed by 2028.

AB 2011

AB 2011, introduced by Assemblymember Chris Holden (D-Pasadena) on January 28, 2020, would create the West San Bernardino County Rail Construction Authority to award and oversee all design and construction contracts for the extension of the Metro Gold Line light rail project from the City of Montclair to the Ontario International Airport. The construction authority would be governed by a board consisting of seven (7) voting members and one (1) nonvoting member. The Cities of Montclair, Ontario, Rancho Cucamonga, and Upland would each appoint one member to the board, as well as LA Metro, the San Bernardino County Transportation Authority (SBCTA), and the Ontario International Airport Authority (OIAA). The nonvoting member would be appointed by the Governor.

AB 2011 requires the West San Bernardino County Rail Construction Authority and SBCTA to enter into an agreement for the construction authority to hold in trust all real property interests held by SBCTA that are necessary for the planning, design, and construction of the project at no cost to the construction authority.

AB 2011 was referred to the Assembly Committee on Transportation. A hearing date has not been set.

Support

- San Gabriel Valley Economic Partnership

Opposition

- City of Colton
- City of Highland
- City of Rancho Cucamonga
- City of San Bernardino
- City of Upland
- Fresno County Transportation Authority
- Inland Action
- Inland Empire Chamber Alliance
- Mobility 21
- Ontario International Airport Authority
- Riverside County Transportation Commission

- SBCTA
- Town of Yucca Valley
- Ventura County Transportation Commission

SB 1390

SB 1390, introduced by Senator Anthony Portantino (D-La Cañada Flintridge) on February 21, 2020, would create the Montclair to Ontario Airport Construction Authority to award and oversee all design and construction contracts for the extension of the Metro Gold Line light rail project from the City of Montclair to the Ontario International Airport. The construction authority would be governed by a board consisting of seven (7) voting members and one (1) nonvoting member. The Cities of Montclair, Ontario, Rancho Cucamonga, and Upland would each appoint one member to the board, as well as LA Metro, SBCTA, and OIAA. The nonvoting member would be appointed by the Governor.

SB 1390 requires the Montclair to Ontario Airport Construction Authority and SBCTA to enter into an agreement for the construction authority to hold in trust all real property interests held by SBCTA that are necessary for the planning, design, and construction of the project at no cost to the construction authority.

SB 1390 also would require SBCTA to transfer the unencumbered balance of all local funds programmed for completion of the project, the unencumbered balance of all funds identified by a local transaction and use tax measure, and an unspecified source of funding to the construction authority for completion of the project.

SB 1390 was referred to the Senate Committee on Transportation. A hearing date has not been set.

Support

- None on file

Opposition

- City of Colton
- City of Highland
- Fresno County Transportation Authority
- Inland Empire Chamber Alliance
- Ontario International Airport Authority
- Riverside County Transportation Commission
- SBCTA
- Ventura County Transportation Commission

Prior Committee Action

Staff presented AB 2011 and SB 1390 to the LCMC at its meeting on April 21, 2020, after which the LCMC voted to forward an oppose position recommendation to the Regional Council. Opposition to AB 2011 and SB 1390 is consistent with Regional Council-adopted policy and legislative priorities to

protect all existing and new sources of transportation funding from borrowing, use for any purpose other than transportation, or new conditions on the distributions of funds that reprioritize transportation projects.

Measure I, a half-cent sales tax collected in San Bernardino County for transportation improvements, was first approved by San Bernardino County voters in 1989 and approved again in 2004 for an extension through 2040. SBCTA is the administrator of Measure I revenue and ensures that funds are used in accordance with various plans and policies, including an expenditure plan. SB 1390 requires that SBCTA transfer Measure I funds to the proposed Montclair to Ontario Airport Construction Authority, a project not approved by San Bernardino County voters and not included in the expenditure plan, thus potentially reprioritizing the list of transportation projects identified in Measure I. Additionally, both AB 2011 and SB 1390 create duplicative government agencies that would compete for state and federal funding and strip local control away from San Bernardino County. Finally, it is worth mentioning that at its March 3, 2020 meeting, the SBCTA Board of Directors approved the allocation of \$3 million to conduct the Ontario International Airport Rail Access Alternatives Analysis.

FISCAL IMPACT:

Work associated with the AB 2011 and SB 1390 staff report is contained in the Indirect Cost budget, Legislation 810-0120.10.



Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Art Yoon, Director of Policy and Public Affairs, Policy and
Public Affairs Division, (213) 236-1840, ArtYoon@scag.ca.gov

Subject: May State and Federal Legislative Monthly Update

RECOMMENDED ACTION:

Receive and File

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

STATE

State Legislative Calendar

The COVID-19 pandemic has overwhelmed the political agenda in Sacramento. On March 4, 2020, Governor Newsom issued a state of emergency proclamation for the entire State of California, due to the COVID-19 outbreak. Ten days later, the Legislature passed SB 89 (Committee on Budget and Fiscal Review), to provide funding associated with the Governor's proclamation. After the bill's passage, the Legislature promptly adjourned into recess to observe social distancing directives. The recess was supposed to last until April 13, 2020, however, Assembly Speaker Anthony Rendon (D-Lakewood) and Senate President pro Tem Toni Atkins (D-San Diego) extended it to May 4, 2020. It is possible that this recess will be extended further.

The extended recess amounts to nearly seven weeks during which the Legislature has not been able to evaluate legislative bills. In addition, when the Legislature does return it will have a June 15, 2020 deadline by which to pass a balanced state budget. Recognizing this reality, pro Tem Toni Atkins' office instructed committee chairs to "put on pause" preexisting, non-coronavirus bills introduced in early 2020. That would allow the Senate to focus on the work of responding to the pandemic. In addition, the pro Tem is urging senators to carry fewer bills this year, saying she has decided to reduce her own portfolio to only two bills. On the other side of the capitol, Speaker Rendon, in keeping with his practice of deferring to members, is letting committee chairs decide how to manage legislative bill loads. Chairman Jim Frazier, of the Assembly Transportation

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Committee, for example, has issued a three-point test that serves as guidance on what types of bills will be allowed a hearing in his committee, essentially limiting bills to time-sensitive bills that pertain to COVID-19-related issues, address specific and urgent public safety implications, and do not place a new burden on a state agency that is otherwise dealing with increased workload tied to COVID-19.

State Housing Grant Awarded To SCAG

Last year, the State Legislature approved AB 101 (Chapter 159, Statutes of 2019) providing an infusion of one-time grants for local jurisdictions and regions to increase planning activities and accelerate housing production. Specifically, \$125 million is available to Councils of Governments (COGs) through the Regional Early Action Grant Program (REAP). As the COG for a six-county region, SCAG is eligible to apply for up to \$47.4 million in one-time funding under REAP to help local communities promote and increase housing supply.

The REAP program includes an option for SCAG to apply for early funding to receive up to 25 percent of the maximum total to jumpstart the program. On April 14, 2020, the California Department of Housing and Community Development announced that SCAG had been approved for \$11.86 million in advance funding. It will be used to cover the cost of the 6th cycle Regional Housing Needs Assessment (RHNA) Methodology and Allocation process, develop a comprehensive outreach and community engagement program, procure a consultant to support the development of guidelines for a direct allocation program, and conduct preliminary research and GIS analysis to identify opportunities and barriers to housing production in priority growth areas.

Agency Appointments and Leadership Transitions

California High-Speed Rail Authority

On April 10, 2020, Governor Newsom's office announced that Lenny Mendonca had resigned as Chief Economic and Business Advisor to the Governor and as Chair of the California High-Speed Rail Authority (CHSRA). According to a press release, Mr. Mendonca was stepping down to focus on his family and personal businesses. Mr. Mendonca served as the Governor's chief economic and business advisor since being appointed to lead the Governor's Office of Business and Economic Development (Go-Biz) last year. He was appointed to serve as Chair of the CHSRA in February 2019.

Chris Dombrowski, currently serving as Chief Deputy Director at Go-Biz, will lead Go-Biz in an acting role. Tom Richards, Vice Chair of the CHSRA, will temporarily lead agency meetings until a new chair is named.

California Transportation Commission

On April 6, 2020, it was publicly announced that Tanisha Taylor, Director of Sustainability at the California Association of Councils of Government (CALCOG), would assume the Chief Deputy

Director role at the California Transportation Commission (CTC). At CALCOG, Ms. Taylor was responsible for statewide coordination efforts between California's 18 metropolitan planning organizations and numerous state agencies. Her work at CALCOG included Regional Transportation Plan guidelines, Senate Bill (SB) 375 implementation efforts, and Safer Affordable Fuel-Efficient (SAFE) Vehicles Rule monitoring. Prior to CALCOG, Ms. Taylor served as Planning Manager at the San Joaquin Council of Governments for 11 years.

Department of Housing and Community Development

On April 2, 2020, Governor Newsom announced the appointment of Gustavo Velasquez as Director of the California Department of Housing and Community Development (HCD). Mr. Velasquez assumes the role following the departure of former director Ben Metcalf. Mr. Velasquez most recently served as Senior Director at the Urban Institute and previously served as the Assistant Secretary for the Office of Fair Housing and Equal Opportunity at the U.S. Department of Housing and Urban Development from 2014 to 2017. Mr. Velasquez also served as Executive Director at the Latino Economic Development Center from 2007 to 2013 and the Office of Latino Affairs from 2003 to 2006 for the District of Columbia. This position requires Senate confirmation.

Governor Newsom also announced the appointment of Zachary Olmstead as Chief Deputy Director of HCD. Mr. Olmstead has been serving as Deputy Director of Housing Policy Development at HCD since 2017 and was Assistant Deputy Director of Homelessness and Housing Policy from 2016 to 2017. He also served as Senior Assistant to Speaker Toni Atkins in the California Assembly from 2013 to 2016. This position does not require Senate confirmation.

Senate Budget and Fiscal Review Committee Meets in Sacramento

On April 16, 2020, the Senate Budget and Fiscal Review Committee met in Sacramento for an informational hearing to discuss the Budget Overview of California's COVID-19 Response. Chaired by Senator Holly Mitchell (D-Los Angeles), the hearing included Gabriel Petek (Legislative Analyst, Legislative Analyst's Office), Vivek Viswanathan (Chief Deputy Director, Department of Finance), and Chris Hoene (Executive Director, California Budget and Policy Center) as panelists. The Committee heard about the lasting damage from wide-ranging job losses and plummeting revenue, future budget shortfalls as a result of the pandemic, and what the updated spring budget proposal may look like (known as the May Revise).

Assembly Budget Subcommittee #6 Meets in Sacramento

Assembly Budget Subcommittee #6 on Budget Process Oversight and Program Evaluation convened for informational hearings in Sacramento on April 20, 2020 and April 27, 2020. The Budget Subcommittee focused on Oversight of COVID-19 Response Expenditures stemming from the passage of SB 89, urgency legislation that made available up to \$1 billion for any expenditure related to the Governor's emergency declaration on March 4, 2020. Panelists included Mr. Viswanathan and Mr. Petek, as well as Marko Mijic (Deputy Secretary of Program and Fiscal Affairs,

California Health and Human Services Agency), Teresa Calvert (Department of Finance), and Christina Curry (Office of Emergency Services). The Budget Subcommittee is chaired by Assemblymember Phil Ting (D-San Francisco), who also chairs the Assembly Budget Committee.

FEDERAL

Congressional Calendar

Against the backdrop of the COVID-19 pandemic, Congress is scheduled to convene on Monday, May 4, 2020, at the earliest. Following the passage of three emergency aid packages, Congress adjourned and tentatively planned to resume session on April 20, 2020. However, safe travel for hundreds of lawmakers is complicated as the virus continues to spread throughout the country. There is no clarity of how the shift in timing will play into the next COVID-19 relief bill. Should congressional leaders reach an agreement on a fourth package before May, the House and Senate would have to approve it by Unanimous Consent (UC), which is very difficult to do in this partisan environment.

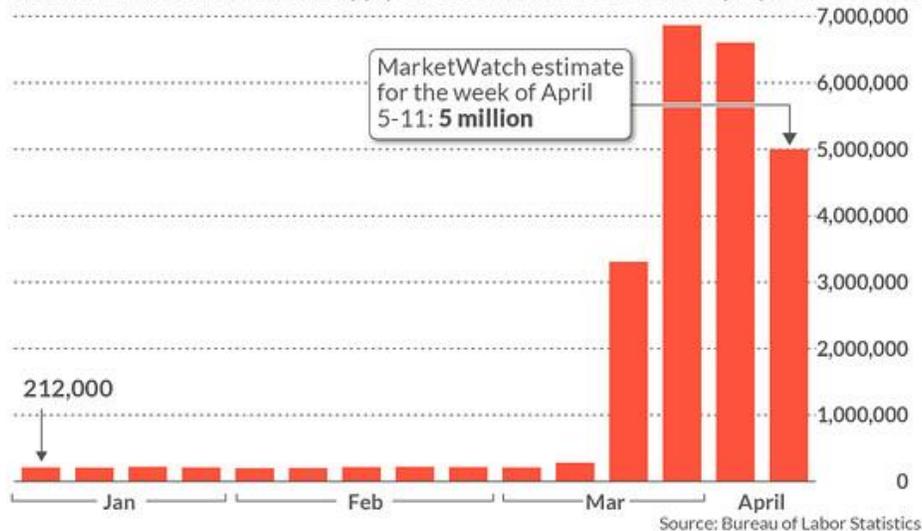
In the meantime, both the House and Senate are operating in pro forma sessions twice a week in which any single lawmaker can object to a particular action. Pro forma session is a brief meeting that only lasts a few minutes and allows Congress not to officially adjourn. In the Senate, the minority party often holds pro forma sessions specifically to prevent the President from making appointments to fill vacancies in federal offices that require the approval of the Senate. Currently, Senate Democrats are using the process to stall President Trump's judicial and executive branch nominees unless there is unanimous agreement.

COVID-19 Related Relief Legislation

The COVID-19 pandemic has had deep public health and economic impacts prompted by an abrupt shutdown of workplaces across the country to reduce exposure to the virus. This has caused unemployment, which as recently as February 2020 had been at 3.5 percent, to spin out of control. In just one month, nearly 22 million people filed new jobless claims. In March 2020, Congress approved three emergency funding packages directing aid to local governments, hospitals, workers and businesses. On March 4, 2020, the House of Representatives introduced and approved the Coronavirus Preparedness and Response Supplemental Appropriations Act (H.R. 6074) by a vote of 415 – 2. This provided \$8.3 billion in emergency funding aimed at states and federal agencies working to combat the rising number of COVID-19 cases in the United States. The following day the Senate passed H.R. 6074 by a vote of 96 – 1, and President Trump signed it into law on March 6, 2020.

Flood of new jobless claims set to top 20 million

Record number of Americans apply for coronavirus-related unemployment benefits



Soon after, the House introduced a second emergency funding package, the Families First Coronavirus Response Act (H.R. 6201), which included emergency provisions for paid sick leave, wide-spread free testing, food aid, and unemployment insurance. On March 14, 2020, the House approved H.R. 6201 by a vote of 363 – 40. On March 18, 2020, the Senate debated three amendments that were introduced by members from both parties, but none achieved the 60 votes required for approval. The Senate eventually passed H.R. 6201 by a vote of 90 – 8. President Trump immediately signed the second COVID-19 package into law.

Negotiations continued on a third emergency package, the Coronavirus Aid, Relief, and Economic Security Act (CARES Act; H.R. 748). On March 25, 2020, Senate Republicans, House Democrats and the White House reached an agreement on a \$2.3 trillion emergency aid package, and the Senate unanimously approved it by a vote of 96 – 0. On March 27, 2020, the House passed the CARES Act by voice vote, and President Trump then signed it into law. The CARES Act triggered the largest-ever injection of federal cash into the U.S. economy. It provided \$25 billion for public transportation agencies, at a time when agencies’ revenues are plummeting. In record time, the Federal Transit Administration apportioned these funds to transit agencies allowing SCAG staff to immediately start the inter-county allocations process. California will receive the second highest share of those funds, only behind New York, totaling \$3.75 billion.

At the moment, negotiations continue between congressional leaders and the White House over details of the fourth COVID-19 aid package. Republicans want a standalone bill with additional

funding for the same small business loan programs included in the original CARES Act. Republicans want to focus exclusively on small business support, in light of the unemployment numbers. Conversely, Democrats are pushing to include funding for hospitals, local governments and an increase to the maximum SNAP benefit. Congress is expected to be back in session on May 4, 2020.

SAFE Vehicles Rule Update

On March 31, 2020, the Trump Administration finalized the remaining portions of the Safer Affordable Fuel-Efficient (SAFE) Vehicles Rule, which sets corporate average fuel economy (CAFE) and CO2 emissions standards for model years 2021-2026 passenger cars and light trucks. The Rule requires automakers to improve their fuel efficiency at a rate of 1.5 percent per year through model year 2026. In so doing, the Rule replaces the previous five percent efficiency gains required under the Obama Administration. The Obama-era rule was projected to increase average fuel economy to 46.7 miles per gallon (mpg) by 2025. The Trump rule instead will achieve only 40.4 mpg by 2026. The Rule becomes effective 60 days after publication in the federal register.

The SAFE Vehicles Rule was first proposed by the National Highway Traffic Safety Agency (NHTSA) and the U.S. Environmental Protection Agency (EPA) and Part One was published on September 27, 2019. It revoked California's authority to set its own greenhouse gas emissions standards and zero-emission vehicle (ZEV) mandates. It results in increased emissions that make it harder for California to attain federal air quality standards and achieve the State's climate goals. The SAFE Vehicles Rule Part One became effective on November 26, 2019. SCAG staff has continually monitored these actions and will examine how the final SAFE Vehicles Rule impacts the Connect SoCal plan and upcoming 2021 Federal Transportation Improvement Program (FTIP).

FISCAL IMPACT:

Work associated with the State and Federal Legislative Update is contained in the Indirect Cost budget, Legislation 810-0120.10.



AGENDA ITEM 16
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Regional Council (RC)

**EXECUTIVE DIRECTOR'S
APPROVAL**

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 -
\$199,999 and Amendments \$5,000 - \$74,999

RECOMMENDED ACTION:

For Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) more than \$5,000 but less than \$200,000

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
Love 4 Life Association	Perris Community Base Organization Engagement	\$9,998
Boys & Girls Club Of Stanton	Stanton Community Base Organization Engagement	\$9,990
El Sol Neighborhood Ed. Center	Adelanto Community Base Organization Engagement	\$9,977
Helpline Youth Counseling, Inc.	Santa Fe Springs Community Base Organization Engagement	\$9,906
Eco-Counter	ATDB API Software	\$9,750
Saddler Consulting Group Inc.	Building Leadership Capacity Training	\$9,400
Rave Wireless Inc.	Emergency Alert Software	\$9,320
Ortega Consulting	Facilitation Services	\$6,225
Insight Public Sector	FY20 Zoom Software License Renewal	\$5,660

SCAG executed the following Contract more than \$25,000 but less than \$200,000

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<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
1. Estolano Advisors. (20-027-C01)	This study will identify potential strategies and tools to expedite the production of housing by investigating opportunities and barriers to producing units of all types for households of all ages, sizes and income levels.	\$197,495
2. IBI Group (19-046-C01)	Consistent with the requirements of the Caltrans Planning Grant that funds this project, the consultant shall prepare a transportation planning study to achieve two primary objectives: (1) assess the impacts of increased truck travel on a disadvantaged community in the Wilmington area of Los Angeles and (2) recommend both traffic and general land use mitigations to improve the quality of life for residents in said community on behalf of the Southern California Association of Governments in collaboration with the City of Los Angeles.	\$182,019

SCAG executed the following Contract more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
3. Estolano Advisors. (20-027-C01)	This study will identify potential strategies and tools to expedite the production of housing by investigating opportunities and barriers to producing units of all types for households of all ages, sizes and income levels.	\$197,495
4. IBI Group (19-046-C01)	Consistent with the requirements of the Caltrans Planning Grant that funds this project, the consultant shall prepare a transportation planning study to achieve two primary objectives: (1) assess the	\$182,019

impacts of increased truck travel on a disadvantaged community in the Wilmington area of Los Angeles and (2) recommend both traffic and general land use mitigations to improve the quality of life for residents in said community on behalf of the Southern California Association of Governments in collaboration with the City of Los Angeles.

- | | | |
|--|--|-----------|
| 5. Corgan Associates, Inc. (dba Corgan) (19-033-C01) | The consultant shall provide interior design and project management services for our office space at the Wilshire Grand Center. The primary goal is to enhance SCAG’s spaces with visually appealing interior elements that welcome guests, embody SCAG’s brand, vision, mission and values, while also communicating who we are and what we do as the metropolitan planning organization of our six county region we serve. | \$160,000 |
|--|--|-----------|

SCAG executed the following Contract more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract’s Purpose</u>	<u>Contract Amount</u>
6. Kounkuey Design Initiative (KDI) (20-046-C01)	Consistent with the requirements of the State of California – Office of Traffic Safety (OTS) Grant Agreement Number PS20019, as part of the Pedestrian and Bicycle Safety Program, the consultant shall provide professional services to design and produce (or procure) temporary demonstration elements (“Kit of Parts”) for the Go Human (Tactical Urbanism) campaign.	\$139,000
7. KOA Corporation (20-019-C01)	The City of San Fernando, in support of efforts to stimulate local economies and revitalize commercial districts that are	\$130,238

adjacent to existing transit infrastructure, is pursuing the San Fernando Citywide Parking Management Master Plan (PMMP). This project represents a commitment to stimulate economic development and public transit utilization in and around the San Fernando Metrolink Station. In addition, this project will serve as a model for other cities in the region seeking to revitalize their downtowns, particularly ones with rail transit stations. Parking demand management is a challenge at Transit Oriented Districts (TODs) throughout the region, and this project will provide a template for how to engage local communities in these discussions.

8. LUMI USA, Inc. (20-032-C01)	The consultant shall provide electronic voting (e-voting) equipment and services. Specifically, the consultant shall provide SCAG with a flexible and 100% accurate electronic voting system to automate vote capture and reporting for SCAG’s monthly Regional Council Board, various committee meetings, and SCAG’s annual General Assembly.	\$125,176
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SCAG executed the following Contract more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract’s Purpose</u>	<u>Contract Amount</u>
9. Cal Poly Pomona Foundation, Inc. (19-049-C01)	SCAG has made efforts to accurately forecast future land use development patterns as a base for developing Connect SoCal. Land use changes are highly connected with the urban economy, regional transportation, environment, property markets, social equity, and	\$74,828

public finance. A machine learning (ML) model can be applied to improve the accuracy of forecasting urban land use change and housing development to support updating housing elements in the region. Machine learning is an application of artificial intelligence (AI) that provides systems the ability to automatically learn and improve from experience without being explicitly programmed.

<p>10. Green Translations, LLC (20-008-C01)</p>	<p>The consultant shall provide translation and interpretation services to support the development and adoption process of agency programs and services, including Connect SoCal, SCAG’s Regional Transportation Plan/Sustainable Communities Strategy.</p>	<p>\$64,710</p>
<p>11. KTU&A (20-023-C01)</p>	<p>The consultant shall provide services for a Sustainability Planning Grant for the City of Covina (City). Specifically, the consultant shall conduct analysis of and provide community outreach for the Citrus Avenue Rehabilitation Project. The project seeks to improve conditions for walking and bicycling within the City and connecting to surrounding regions to provide residents and regional stakeholders with greater access to jobs, goods, and services without the use of an automobile. This project shall also serve as a model to other cities that seek to improve their pedestrian and bicycling options in their jurisdictions and near transit stations.</p>	<p>\$49,921</p>



SCAG executed the following Contract more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract’s Purpose</u>	<u>Contract Amount</u>
12. Utopia Worldwide, Inc. (20-026-C01)	The consultant shall provide event coordination services for SCAG’s General Assembly and Regional Conference for the next two years.	\$37,954
13. Pressrelations Inc. (20-006-C01)	The consultant will provide a media monitoring service that will allow staff to (1) monitor all news published and aired about the agency; and identify key topics and words according to the specific needs of SCAG; (2) provide a distribution platform for unlimited dissemination of releases; (3) provide a comprehensive media database and research journalists/outlets for effective engagement, and (4) develop analysis reports, build graphic reports and show ongoing trends in mass media from various perspectives on a monthly basis.	\$37,798

SCAG executed the Amendment more than \$5,000 but less than \$75,000

<u>Consultant/Contract #</u>	<u>Amendment’s Purpose</u>	<u>Amendment Amount</u>
EcolInteractive, LLC (18-011A-C01)	This increase is to comply with the new federal and state reporting requirements by adding Performance Measures Data for transportation projects to SCAG’s eFTIP Application System that will submit a related report to Caltrans.	\$55,100

ATTACHMENT(S):

1. Contract Summary 20-027-C01
2. Contract Summary 19-046-C01
3. Contract Summary 19-033-C01
4. Contract Summary 20-032-C01



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5. Contract Summary 20-046-C01
 6. Contract Summary 20-019-C01
 7. Contract Summary 19-049-C01
 8. Contract Summary 20-008-C01
 9. Contract Summary 20-023-C01
 10. Contract Summary 20-026-C01
 11. Contract Summary 20-006-C01
 12. Contract Summary 18-011A-C01 Amendment 4

CONSULTANT CONTRACT 20-027-C01

Recommended Consultant: Estolano Advisors

Background & Scope of Work: In order to assist cities in the SCAG region in meeting state transportation, Regional Housing Needs Allocation (RHNA), housing, and climate goals, as well as implementing Senate Bill 375 (SB 375) through Connect SoCal, this study will identify potential strategies and tools to expedite the production of housing by investigating opportunities and barriers to producing units of all types for households of all ages, sizes and income levels.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Research summary document;
- Prepare a housing strategy “white paper”;
- Convene a “virtual” advisory group;
- Prepare an Opportunities and Barriers Checklist; and
- Support for SCAG Regional Housing Strategy Framework.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal No. 1: Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount: **Total not to exceed** **\$197,495**
 Estolano Advisors (prime consultant)

Note: Estolano Advisors originally proposed \$216,776, but staff negotiated the price down to \$197,495 without reducing the scope of work.

Contract Period: March 2, 2020 through June 30, 2021

Project Number(s):

290-4830U3.01	\$66,398	
290-4830E.01	\$8,602	

Funding source(s): Senate Bill 1 (FY18 SB1 Formula Funds) and Transportation Development Act (TDA).

Funding of \$75,000 is available in the FY 2019-20 budget, and the remaining \$122,495 is expected to be available in the FY 2020-21 budget in Project Number 290-4830U3.01, subject to budget availability.

Request for Proposal (RFP): SCAG staff notified 3,070 firms of the release of RFP 20-027 via SCAG’s Solicitation Management System website. A total of 43 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

Estolano Advisors (no subconsultants)	\$216,776
PlaceWorks (1 subconsultants)	\$286,228
LeSar Development Consultants (3 subconsultants)	\$298,342
HDR Engineers, Inc./Calthorpe (2 subconsultants)	\$685,834

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the 2 highest scoring offerors.

The PRC consisted of the following individuals:

Grieg Asher, Program Manager, SCAG
Jason Greenspan, Manager of Sustainability, SCAG
Ma'Ayn Johnson, Regional Planner Specialist, SCAG

Basis for Selection: The PRC recommended Estolano Advisors for the contract award because the consultant:

- Provided the most realistic timeline, specifically the ability to leverage past work with State housing programs in the SCAG region;
- Demonstrated the most comprehensive approach to outreach including community based organizations role;
- Demonstrated the most (the best - pick one) experience in consensus building with highly qualified team and strong related experience;
- Provided the best approach to build broad communication between competing interests, a focus on listening not prescribing, with a focus on resilience/public safety/infill strategies; and
- Proposed the lowest price.

CONSULTANT CONTRACT 19-046-C01

Recommended Consultant: IBI Group

Background & Scope of Work: Consistent with the requirements of the Caltrans Planning Grant that funds this project, the consultant shall prepare a transportation planning study to achieve two primary objectives: (1) assess the impacts of increased truck travel on a disadvantaged community in the Wilmington area of Los Angeles and (2) recommend both traffic and general land use mitigations to improve the quality of life for residents in said community on behalf of the Southern California Association of Governments in collaboration with the City of Los Angeles.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Assessment of existing and forecast traffic conditions involving field data collection and potentially traffic modeling to understand gaps and deficiencies including current conflicts at the two rail and roadway crossings;
- Development and comparative evaluation of potential mitigation measures;
- Identification of a comprehensive and implementable set of mitigation measures to reduce train and truck conflicts in the Wilmington area and reduce truck intrusion into adjacent disadvantaged communities and neighborhoods; and
- Development of strategies to accommodate goods movement within the built environment while also providing multi-modal, complete and safe streets.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians, Objective B. Be the leading resource for best practices that lead to local implementation of sustainable and innovative projects, and Objective E. Facilitate inclusive and meaningful engagement with diverse stakeholders to produce plans that are effective and responsive to community needs.

Contract Amount:

Total not to exceed		\$182,019
	IBI Group (prime consultant)	\$96,259
	Translutions (subconsultant)	\$45,946
	Katherine Padilla and Associates (subconsultant)	\$33,836
	Hatch (subconsultant)	\$5,978

Note: IBI Group originally proposed \$191,998, but staff negotiated the price down to \$182,019 without reducing the scope of work.

Contract Period: January 23, 2020 through June 30, 2021

Project Number(s): 145.4846.01 \$182,019
 Funding source(s): Caltrans Transportation Planning Grant

Funding of \$182,019 is available in the FY 2019-20 budget and FY 2020-21 budget in Project Number 145.4846.01.

Attachment: Contract Summary 19-046-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Request for Proposal (RFP):

SCAG staff notified 3,293 firms of the release of RFP 19-046 via SCAG’s Solicitation Management System website. Note, staff advertised the RFP our standard our (4) week period. A total of 65 firms viewed the RFP and 48 firms actually downloaded it. SCAG received the following proposal in response to the solicitation:

IBI Group (3 subconsultants) \$191,998

After receiving one (1) proposal, staff surveyed the 65 firms that viewed or downloaded the RFP and 15 responded. Based on the 15 firms that responded staff concluded that the lack of offerors was not a result of a deficiency in the RFP or procurement process. Further, staff believes that reissuing the RFP will not yield additional offers and further delay awarding the contract. Staff subsequently requested and received Caltrans approval to move forward with reviewing the single offer received.

Selection Process:

The Proposal Review Committee (PRC) evaluated the one proposal received in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal, the PRC did not conduct interviews because the proposal contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

- Mike Jones, Southern California Association of Governments
- Gabriela Medina, Office of Los Angeles Council District 15 (Joe Buscaino)
- Aksel Palacios, Office of Los Angeles Council District 15 (Joe Buscaino)
- Kerry Cartwright, Port of Los Angeles
- Robert Sanchez, Los Angeles Department of Transportation
- James Shankel, Caltrans District 7

Basis for Selection:

The PRC recommended IBI Group for the contract award because the consultant:

- Provided a price that was within budget, was well-qualified and able to complete the study within the projected timeframe, and provided fair overall value for the level of effort proposed;
- Demonstrated good understanding of the context of project, specifically demonstrating relevant experience with similar projects in port and port-adjacent areas.
- Provided an excellent approach of proposed data collection strategies, and analysis. The Consultant also furnished a clear understanding of data collection protocols coupled with sensitivity to using outreach sensitive to the disadvantaged communities in the project area.

CONSULTANT CONTRACT 19-033-C01

Recommended Consultant: Corgan Associates, Inc. (dba Corgan)

Background & Scope of Work: The consultant shall provide interior design and project management services for our office space at the Wilshire Grand Center. The primary goal is to enhance SCAG’s spaces with visually appealing interior elements that welcome guests, embody SCAG’s brand, vision, mission and values, while also communicating who we are and what we do as the metropolitan planning organization of our six county region we serve. The space plan design shall include elements that represent SCAG’s 50-year legacy and a way to include a modern approach for displaying awards, notable achievements, historical records, memorabilia and similar relics.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Formulating space plan design for interior finishes using elements such as artwork, installations and/or new innovative showcase techniques that are fiscally appropriate and aesthetically pleasing; and
- Organizing a final reveal presentation for the project team as well as key members of the SCAG organization highlighting all implemented design elements.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 6: Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.

Contract Amount:

Total not to exceed	\$160,000
<u>Interior Design/Project Management work:</u>	
Corgan (prime consultant)	\$72,383
PBS Engineers (subconsultant)	\$6,054
Saiful Bouquet Structural Engineers (subconsultant)	<u>\$1,773</u>
Total Not to Exceed:	\$80,210
<u>Construction/Installation work:</u>	
Licensed Contractors (to be determined)	
Total Not to Exceed:	\$79,790

Contract Period: February 18, 2020 through January 31, 2021

Project Number: 700-4743E.03 \$160,000
 Funding source: Transportation Development Act (TDA)

Funding of \$40,105 is available in the FY 2019-20 budget, and the remaining \$119,895 is expected to be available in the FY 2020-21 budget in Project Number 700-4743E.03, subject to budget availability.

Request for Qualifications (RFQ): SCAG staff notified 2,145 firms of the release of RFP 19-033 via SCAG’s Solicitation Management System website. A total of 36 firms downloaded the RFQ. SCAG received the following two (2) proposals in response to the solicitation:

Attachment: Contract Summary 19-033-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Corgan (2 subconsultants) **\$0***

Perkins & Will (no subconsultants) \$0*

*The nature of the work involved in this project required staff to use a Request for Qualifications (RFQ) procurement process. Consistent with federal and state contracting regulations that govern RFQ's, staff did not (and could not) review cost proposals for the other consultants (cost is only reviewed for the highest ranked consultant to determine if it is fair and reasonable). The \$160,000 not-to-exceed amount listed above reflects estimated hours of work.

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFQ, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the two (2) offerors.

The PRC consisted of the following individuals:

Diana Chamberlain, Senior Graphics Designer, SCAG
Jeff Liu, Manager of Media and Public Affairs, SCAG
Tonia Reeves-Jackson, Facilities Supervisor, SCAG

Basis for Selection: The PRC recommended Corgan for the contract award because the consultant:

- Demonstrated the best qualifications as full service interior design package for the project, specifically offering project management through project implementation. Corgan detailed techniques and approaches starting in the initial discovery phase then into the presentation of design concepts and completes with implementation, including the procurement of bids for subcontractors to managing installation of designs. The other firm presented their design techniques and approaches but lacked details for the implementation of those designs; and
- Both firms included portfolio samples in their presentations but Corgan was most specific about SCAG's needs. For example, Corgan used SCAG's floorplans and included samples of design opportunities to help us visualize the use of color, lighting, wall/glass graphics and furniture arrangements in specific spaces throughout 16th and 17th floors. Corgan provided a better understanding of SCAG's interior design needs and their ability to satisfy all the project's deliverables.

CONSULTANT CONTRACT 20-032-C01

Recommended Consultant: LUMI USA, Inc.

Background & Scope of Work: The consultant shall provide electronic voting (e-voting) equipment and services. Specifically, the consultant shall provide SCAG with a flexible and 100% accurate electronic voting system to automate vote capture and reporting for SCAG’s monthly Regional Council Board, various committee meetings, and SCAG’s annual General Assembly.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Providing accurate, easy-to-use electronic voting;
- Enabling visual presentation of results for immediate voter review; documented voting roster; and results by participant name; and
- Demonstrating SCAG’s commitment to conduct voting in an open, transparent, and accountable manner.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal No. 4 to provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

Contract Amount: **Total not to exceed** **\$125,176**
Lumi USA, Inc. (prime consultant)

Contract Period: November 8, 2019 through November 7, 2024

Project Number(s): 811-1163.15 \$30,000
Funding source(s): Indirect Costs

Funding of \$30,000 is available in the FY 2019-20 budget, and approximately \$30,000 is expected to be available in each subsequent fiscal year through November 7, 2024 in Project Number 811-1163.15, subject to budget availability.

Request for Proposal (RFP): SCAG staff notified 3,388 firms of the release of RFP 20-032-C01 via SCAG’s Solicitation Management System website. A total of 21 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

Description	LUMI USA, Inc.	Audience Response System
Hardware Cost (one time cost)	\$32,676	\$10,335
Software Costs (5-years):	\$32,500	\$6,475
Professional Services (5 years)	\$60,000	\$123,000
Total:	\$125,176	\$139,810

Note, this is a Time and Materials contract in which the number of hours required for Professional Services are estimated for the 5-year period to yield the final

estimated price. The final price will depend on the actual number of hours required, but the final price shall not exceed \$125,176.

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

Julie Loats, Chief Information Officer, SCAG
Tess Rey-Chaput, Clerk of the Board, SCAG
David Milner, AV Services Supervisor, SCAG

Basis for Selection:

The PRC recommended LUMI USA, INC. for the contract award because the consultant:

- Proposed the best features of e-voting, including attendance management, upgraded software, accuracy, ease of administration and security, and remote desktop voting capability at a reasonable cost;
- Provided the best track record and references most appropriate to SCAG's needs, specifically, e-voting is geared towards large public voting bodies, in contrast to lower-cost products geared towards audience response;
- Provided the best technical approach, including a superior communication method that ensures accuracy, and smart cards pre-authorized for each voting member; and
- Provided the best overall value for the services and products proposed.

Although Audience Response Systems proposed a lower price, the PRC did not recommend this firm for contract award because this firm:

- Quoted a system is not suitable for a large voting body;
- Failed to provide specific examples of clients of comparable size and need to SCAG to demonstrate ability to meet SCAG's e-voting needs;
- The user inter-face presented was not user-friendly as compared to the LUMI USA, Inc.'s user interface; and
- Presented a remote voting capability, however, this feature had significant limitations as compared to the LUMI USA, INC. remote voting feature.

CONSULTANT CONTRACT 20-046-C01

Recommended Consultant:	Kounkuey Design Initiative (KDI)	
Background & Scope of Work:	Consistent with the requirements of the State of California – Office of Traffic Safety (OTS) Grant Agreement Number PS20019, as part of the Pedestrian and Bicycle Safety Program, the consultant shall provide professional services to design and produce (or procure) temporary demonstration elements (“Kit of Parts”) for the Go Human (Tactical Urbanism) campaign.	
Project’s Benefits & Key Deliverables:	The project’s benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none">• Designing and producing plans for improvements for two (2) durable sets of temporary demonstration elements, or Kit of Parts;• Procuring and/or fabricating the Kit of Parts; and• Training for the partners on storage and installation.	
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.	
Contract Amount:	Total not to exceed KDI (prime consultant)	\$139,000
Contract Period:	April 6, 2020 through September 30, 2020	
Project Number(s):	225-3564J4.15 \$119,000 266.0715E.01 \$ 20,000	
	Funding source(s): State of California – Office of Traffic Safety (OTS), Pedestrian and Bicycle Safety Program, Grant Agreement Number PS20019, and TDA	
Request for Proposal (RFP):	Not Applicable – Sole Source Procurement In accordance with the SCAG Procurement Manual 6.2 Noncompetitive Procurement (Sole Source), SCAG procured these services through a non-profit exception to the competitive bid process (Non-Profit Sole Source). KDI, through their previous successful consulting efforts on the Go Human Campaign, have demonstrated their niche expertise in designing and fabricating elements for safety treatments. KDI has specific expertise integral to ensuring capability. They have numerous years of experience with developing Kit of Parts as demonstrated by previous work with SCAG’s Go Human Campaign, as well as their recent development and fabrication of their Box of Play, which closely mirrors the assembly and goals of the Kit of Parts.	
Selection Process:	Not Applicable – Sole Source Procurement SCAG identified KDI as a Consultant uniquely positioned and experienced to execute both the fabrication of these safety treatments. Given KDI’s unique niche expertise, SCAG elected to forgo the competitive bid process and select KDI through a non-profit sole source.	

Attachment: Contract Summary 20-046-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Basis for Selection:

The previously designed modular components of the Kit of Parts are proprietary to KDI and they have not licensed any other vendors to resell these components. If SCAG does not procure these components through KDI, SCAG would take on a significant risk by working with another consultant, with little to no experience in this niche area, to produce these modular components. Also, it would not be cost effective to use a new vendor to design, fabricate, or produce a new set of the Kit of Parts.

The subject contract award is in accordance with the Regional Council Policy Manual, Article VIII, Section 1.2 (updated September 2009, pg. 26), and the SCAG Procurement Manual (sections 3.3. and 3.4) which authorizes the Executive Director or his designee (the Chief Financial Officer) to approve a consultant contract without competition.

CONSULTANT CONTRACT 20-019-C01

Recommended Consultant:

KOA Corporation

Background & Scope of Work:

The City of San Fernando, in support of efforts to stimulate local economies and revitalize commercial districts that are adjacent to existing transit infrastructure, is pursuing the San Fernando Citywide Parking Management Master Plan (PMMP). This project represents a commitment to stimulate economic development and public transit utilization in and around the San Fernando Metrolink Station. In addition, this project will serve as a model for other cities in the region seeking to revitalize their downtowns, particularly ones with rail transit stations. Parking demand management is a challenge at Transit Oriented Districts (TODs) throughout the region, and this project will provide a template for how to engage local communities in these discussions.

Project's Benefits & Key Deliverables:

The project's benefits and key deliverables include, but are not limited to:

- Outreach and Marketing Strategy;
- Parking Facilities Utilization Study and Parking Standards Recommendation Report;
- Parking Meter Pricing and Residential On-Street Permit Parking Program Recommendation Report; and
- Citywide Parking Management Master Plan.

Strategic Plan:

This item supports SCAG's Strategic Plan Goal No. 1: Produce innovative solutions that improve the quality of life for Southern Californians.

Contract Amount:

Total not to exceed \$130,238

KOA Corporation (prime consultant)	\$68,017
Katherine Padilla & Associates (subconsultant)	\$44,101
JR Parking Consultants (subconsultant)	\$18,120

Note: KOA originally proposed \$145,110, but staff negotiated the price down to \$130,238 without reducing the scope of work.

Contract Period:

March 10, 2020 through February 28, 2021

Project Number(s):

275-4823U5.03	\$48,691
275-4823E.03	\$6,309

Funding source(s): Senate Bill 1 (FY19 SB1 Formula Funds) and Transportation Development Act (TDA).

Funding of \$55,000 is available in the FY 2019-20 budget, and the remaining \$75,238 is expected to be available in the FY 2020-21 budget in Project Number 275-4823.03, subject to budget availability.

Request for Proposal (RFP):

SCAG staff notified 2,871 firms of the release of RFP 20-019 via SCAG’s Solicitation Management System website. A total of 41 firms downloaded the RFP. SCAG received the following eight (8) proposals in response to the solicitation:

KOA Corporation (2 subconsultants)	\$145,110
TJKM Transportation (no subconsultants)	\$65,919
Quantum Consulting (no subconsultants)	\$72,550
Walker Consultants (1 subconsultant)	\$124,425
General Technologies and Solutions (2 subconsultants)	\$163,406
Chen Ryan Associates (1 subconsultant)	\$164,802
Transpo Group (2 subconsultants)	\$228,067
ARUP North America Ltd. (3 subconsultants)	\$240,735

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the four (4) highest ranked offerors.

The PRC consisted of the following individuals:

- Lyle Janicek, Associate Regional Planner, SCAG
- Tim Hou, Deputy City Manager/Director of Community Development, City of San Fernando
- Emilio Murga, City Engineer, City of San Fernando
- Anthony Higgins, Transportation Planner, Caltrans District 7

Basis for Selection:

The PRC recommended KOA for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically the intent to complete a city-wide parking management plan;
- Provided the best technical approach, for example, their proposal included the budget and methodology to assess every street and intersection, including residential neighborhoods within the City of San Fernando; and
- Proposed the lowest most realistic price to perform all the scope of work.

Although other firms proposed a lower price, the PRC did not recommend these firms for contract award because these firms:

- Did not clearly demonstrate a sufficient level of effort to complete all of the tasks requested in the scope of work (i.e. the two lowest proposals did not indicate enough resources to adequately complete a city-wide analysis and inventory, and another indicated the city-wide analysis as a challenge in their proposal, but did not specify staff hours to address this key component of the work;
- Did not demonstrate the same depth of analysis within their proposed technical approach. Other proposers mainly focused on retail/commercial parking management opportunities and did not reflect a residential component of the project, which the city indicated was important to the scope of work. The winning consultant was the only proposer to incorporate a residential analysis in their approach; and

- Did not propose a technical approach that fully met the RFP scope, specifically the ability to conduct an entire city-wide parking management plan, and did not demonstrate the familiarity and breadth of experience as did the selected consultant.

CONSULTANT CONTRACT 19-049-C01

Recommended Consultant:	Cal Poly Pomona Foundation, Inc.
Background & Scope of Work:	<p>SCAG has made efforts to accurately forecast future land use development patterns as a base for developing Connect SoCal. Land use changes are highly connected with the urban economy, regional transportation, environment, property markets, social equity, and public finance. A machine learning (ML) model can be applied to improve the accuracy of forecasting urban land use change and housing development to support updating housing elements in the region. Machine learning is an application of artificial intelligence (AI) that provides systems the ability to automatically learn and improve from experience without being explicitly programmed.</p> <p>The Consultant shall conduct a research project to develop a machine learning land use forecast model (ML-LU model) that focuses on identifying urban lands that have high potential for housing development. Due to the amount of time it would take to prepare input data, the model will be developed for Los Angeles County. After successful development of a model, a region-wide application can be developed based on the study.</p>
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• A land-use model that predicts housing development at small geographic areas; and• Training session to transfer technical knowledge.
Strategic Plan:	This item supports SCAG's Strategic Plan Goal: Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians- Partner with the broader research community to ensure plans are informed by the most recent research and technology.
Contract Amount:	Total not to exceed \$74,827 Cal Poly Pomona Foundation, Inc. (prime consultant)
Contract Period:	January 28, 2020 to June 30, 2020
Project Number(s):	055-0133B.06 \$74,827
	Funding source(s): Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA).
Request for Proposal	Not Applicable

Attachment: Contract Summary 19-049-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

- Selection Process:** SCAG staff selected the Cal Poly Pomona Foundation, Inc. for the contract award because the consultant:
- Is one of the leading transportation policy research centers in the nation, supporting cutting-edge research on the most pressing transportation issues facing the SCAG cities and region;
 - Demonstrated an excellent understanding of the technical requirements needed to pursue the research objectives of the project; and
 - Proposed a comprehensive research design.

Basis for Selection: Given the established expertise of Cal Poly Pomona Foundation, Inc. and their excellent research proposal, staff awarded the contract pursuant to Section 3.06 of the State of California Contracts Manual, Contract with Other Governmental Entities and Public Universities, which allows sole sourcing a contract to a Public University or Institute of Higher Learning.

CONSULTANT CONTRACT 20-008-C01

Recommended Consultant:	Green Translations, LLC																		
Background & Scope of Work:	The consultant shall provide translation and interpretation services to support the development and adoption process of agency programs and services, including Connect SoCal, SCAG’s Regional Transportation Plan/Sustainable Communities Strategy.																		
Project’s Benefits & Key Deliverables:	<p>The project’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"> • Provide written translation for various key documents in Spanish, Chinese, Korean and Vietnamese; and • Provide interpretation services for various public events. 																		
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 6: Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.																		
Contract Amount:	<p>Total not to exceed \$64,710 Green Translations, LLC (prime consultant)</p>																		
Contract Period:	February 26, 2020 through January 31, 2021, with two (2) optional 12 month Terms																		
Project Number(s):	<p>090-0148B.01 \$64,710 Funding source(s): Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA 5303).</p> <p>Funding of \$18,000 is available in the FY 2019-20 budget, \$18,355 is expected to be available in the FY 2020-21 budget, \$18,355 is expected to be available in the FY 2021-22 budget; \$10,000 is expected to be available in the FY 2022-23 budget, subject to budget availability in Project Number 090-0148B.01.</p>																		
Request for Proposal (RFP):	<p>SCAG staff notified 84 firms of the release of RFP 20-008 via SCAG’s Solicitation Management System website. A total of 26 firms downloaded the RFP. SCAG received the following nine (9) proposals in response to the solicitation. Note: the amounts below were derived using the same assumptions (estimated number and types of documents to be translated):</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 80%;">Green Translations, LLC (no subconsultants)</td> <td align="right">\$64,710</td> </tr> <tr> <td>Interpreters Unlimited, Inc. (1 subconsultant)</td> <td align="right">\$60,445</td> </tr> <tr> <td>Cal Interpreting and Translations (no subconsultants)</td> <td align="right">\$61,420</td> </tr> <tr> <td>AllWorld Language Consultants, Inc. (1 subconsultant)</td> <td align="right">\$69,186</td> </tr> <tr> <td>Avantpage, Inc. (no subconsultants)</td> <td align="right">\$76,225</td> </tr> <tr> <td>Translating Services, Inc. (dba Lazer Translating & Interpreting)</td> <td align="right">\$81,475</td> </tr> <tr> <td>Hanna Interpreting (no subconsultants)</td> <td align="right">\$92,500</td> </tr> <tr> <td>California Certified Interpreters (disqualified, failed to meet DBE goal)</td> <td align="right">N/A</td> </tr> <tr> <td>Datagain, Inc. (disqualified, failed to meet DBE goal)</td> <td align="right">N/A</td> </tr> </table>	Green Translations, LLC (no subconsultants)	\$64,710	Interpreters Unlimited, Inc. (1 subconsultant)	\$60,445	Cal Interpreting and Translations (no subconsultants)	\$61,420	AllWorld Language Consultants, Inc. (1 subconsultant)	\$69,186	Avantpage, Inc. (no subconsultants)	\$76,225	Translating Services, Inc. (dba Lazer Translating & Interpreting)	\$81,475	Hanna Interpreting (no subconsultants)	\$92,500	California Certified Interpreters (disqualified, failed to meet DBE goal)	N/A	Datagain, Inc. (disqualified, failed to meet DBE goal)	N/A
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Datagain, Inc. (disqualified, failed to meet DBE goal)	N/A																		

Attachment: Contract Summary 20-008-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

Margaret de Larios, Public Affairs Specialist, SCAG
Mariana Pulido, Senior Regional Planner, SCAG
Houston Laney, Public Affairs Specialist, SCAG
Marcia Graves, Administrative Government Program Analyst, Caltrans District 7

Basis for Selection:

The PRC recommended Green Translations, LLC for the contract award because the consultant:

- Provided the best technical approach, outlining a very clear and client-friendly project workflow as well as rigorous quality assurance policies;
- Demonstrated copious relevant experience including agency projects in the transportation and public health fields, and specializations in the four primary languages (Chinese, Korean, Spanish, Vietnamese) required for the project; and
- Proposed extremely competitive pricing compared to the other offerors.

Although two other firms proposed lower prices in some categories, the PRC did not recommend these firms for contract award because these firms:

- Did not clearly outline certain processes, such as for cancellations or changes to a translation project; and
- Did not demonstrate as strong a roster of credentials and certifications for translation staff.

CONSULTANT CONTRACT 20-023

Recommended Consultant:	KTU&A						
Background & Scope of Work:	The consultant shall provide services for a Sustainability Planning Grant for the City of Covina (City). Specifically, the consultant shall conduct analysis of and provide community outreach for the Citrus Avenue Rehabilitation Project. The project seeks to improve conditions for walking and bicycling within the City and connecting to surrounding regions to provide residents and regional stakeholders with greater access to jobs, goods, and services without the use of an automobile. This project shall also serve as a model to other cities that seek to improve their pedestrian and bicycling options in their jurisdictions and near transit stations.						
Project's Benefits & Key Deliverables:	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none">• A Community Engagement Plan;• Outreach materials, boards, surveys and summary of results;• Project contact database;• Final First/Last Mile Transit Station Final Report.						
Strategic Plan:	This item supports SCAG's Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.						
Contract Amount:	<table border="0" style="width: 100%;"><tr><td style="width: 80%;">Total not to exceed</td><td style="text-align: right;">\$49,921</td></tr><tr><td>KTU&A (prime consultant)</td><td></td></tr></table> <p>KTU&A originally proposed \$121,388 but staff negotiated the price down to \$49,921 by reducing then number of hours proposed by the consultant that were above and beyond what staff estimated it will take to successfully complete the scope of work; and by reducing the un substantiated portions of consultant's overhead and fringe rates.</p>	Total not to exceed	\$49,921	KTU&A (prime consultant)			
Total not to exceed	\$49,921						
KTU&A (prime consultant)							
Contract Period:	March 12, 2020 through June 30, 2020						
Project Number(s):	275-4823U5.02 \$44,265 275-4823E.02 \$5,735 Funding source(s): Senate Bill (SB1) Formula and Transportation Development Act (TDA).						
Request for Proposal (RFP):	SCAG staff notified 2,070 firms of the release of RFP 20-023 via SCAG's Solicitation Management System and the American Planning Association's website. A total of 54 firms downloaded the RFP. SCAG received the following three (3) proposals in response to the solicitation: <table border="0" style="width: 100%; margin-top: 10px;"><tr><td style="width: 80%;">KTU&A (1 subconsultant)</td><td style="text-align: right;">\$121,388</td></tr><tr><td>MBI Media (1 subconsultants)</td><td style="text-align: right;">\$126,458</td></tr><tr><td>Estolano Advisors (2 subconsultants)</td><td style="text-align: right;">\$175,899</td></tr></table>	KTU&A (1 subconsultant)	\$121,388	MBI Media (1 subconsultants)	\$126,458	Estolano Advisors (2 subconsultants)	\$175,899
KTU&A (1 subconsultant)	\$121,388						
MBI Media (1 subconsultants)	\$126,458						
Estolano Advisors (2 subconsultants)	\$175,899						

Attachment: Contract Summary 20-023-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

Javier Aguilar, Sr. Regional Planner, SCAG
Brian Lee, Director of Community Development, City of Covina
Chris Marcarello, Director of Public Works, City of Covina

Basis for Selection: The PRC recommended KTU&A for the contract award because the consultant:

- Demonstrated the best understanding of the project. They demonstrated the most relevant experience with similar projects in many SCAG cities, like Grand Terrance, Chino, Hemet, Costa Mesa, Jurupa Valley, Pico Rivera, Placentia, Santa Ana, Yucaipa, and El Centro. Based on these projects the firm was able to lay out a clear understanding of the work being asked by the PRC;
- Provided the best technical approach; since, the firm showed many vivid examples of mapping, simulations, and other visualizations that are needed for the project. These graphics were superior to their competitors; and
- Proposed the lowest price.

CONSULTANT CONTRACT 20-026-C01

Recommended Consultant:

Utopia Worldwide, Inc.

Background & Scope of Work:

The consultant shall provide services of an event coordinator, to provide logistical and stage management support to ensure that the event runs smoothly and achieves its goals and assist with overall program execution. The consultant’s services shall include, but not limited to the following:

- Developing a Master Event Schedule detailing staging, audio-visual and room setups, accounting for staff roles and other meeting details;
- Utilizing past experience to anticipate and plan for potential issues and difficulties;
- Working with SCAG project manager and staff to develop protocols and staff responsibilities to ensure a smooth running program, such as where and when to meet and greet speakers, etc.;
- Working with SCAG staff to actively monitor the various meeting spaces within the hotel venue to foresee, troubleshoot and alert appropriate staff of any potential issues, including, but not limited to: A/V difficulties, signage changes, temperature problems, and schedule changes; and
- Coordinating with SCAG staff to pre-position and assemble program speakers and session timekeepers.

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include, but are not limited to:

- Providing logistical and stage management support to SCAG staff and to develop protocols and staff responsibilities to ensure that the GA runs smoothly and achieves its goals;
- Developing a Master Event Projection schedule; and
- Providing a post Event Report evaluating the conference and offering recommendations for improvement.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal 4: Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration

Contract Amount:

Total not to exceed **\$37,954**
Utopia Worldwide, Inc. (prime consultant)

Note: Utopia Worldwide Inc. originally proposed \$59,482, but staff negotiated the price down to \$37,954 without reducing the scope of work.

Contract Period:

March 9, 2020 through June 30, 2021

Project Number(s):

800-0160.06 \$37,954

Funding source(s): General.

Funding of \$18,977 is available in the FY 2019-20 budget, and the remaining \$18,977 is expected to be available in the FY 2020-21 budget in Project Number 800-0160.06, subject to budget availability.

Request for Proposal (RFP):

SCAG staff notified 1,149 firms of the release of RFP 20-026 via SCAG’s Solicitation Management System website. A total of 25 firms downloaded the RFP. SCAG received the following one (1) proposal in response to the solicitation:

Utopia Worldwide, Inc. (No subconsultants)

\$59,482

After receiving only one proposal, staff surveyed 24 firms that downloaded the RFP to determine why each did not submit a proposal. Two (2) firms responded to staff’s inquiry, which disclosed the main reason these firms did not respond was time constraints. Note: Staff advertised the RFP the normal four (4) period.

Selection Process:

The Proposal Review Committee (PRC) evaluated the one proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the sole proposer for further evaluation the firm’s ability to the Scope of Work. At the conclusion of the interview process, PRC members were fully convinced and confident of Utopia Worldwide Inc.’s ability to meet SCAG’s Scope of Work.

The PRC consisted of the following individuals:

- Margaret de Larios, Public Affairs Specialist II, SCAG
- Jeff Liu, Manager of Media and Public Affairs, SCAG
- Linda Jones, Public Affairs Specialist, SCAG

Basis for Selection:

The PRC recommended Utopia Worldwide, Inc. for the contract award because the consultant:

- Provided an excellent technical approach, demonstrating a clear understanding of our project needs and taking us through a step by step process for documentation and execution;
- Demonstrated a strong track record of similar work, including relevant experience with government and private sector businesses managing events with attendance in excess of 10,000 attendees;
- Demonstrated experience and ability to handle all aspects of events; and
- Has strong resources and relationships to support efficient, effective service delivery.

CONSULTANT CONTRACT 20-006-C01

Recommended Consultant:	Pressrelations, Inc.
Background & Scope of Work:	<p>As part of its overall effort to inform the general public and various interested parties about SCAG’s role in the regional planning process, SCAG requires a comprehensive and up-to-the-minute knowledge of the news and media landscape, as well as a strong and sustained relationship with members of the media.</p> <p>The consultant will provide a web-based media monitoring software system to SCAG that will provide staff a robust and up-to-date database of journalists and content creators for strategic engagement. The software has the capacity to track all relevant news coverage; and a platform to distribute press releases to journalists and provide research and analysis on the effectiveness of engagement.</p> <p>The consultant will provide a media monitoring service that will allow staff to (1) monitor all news published and aired about the agency; and identify key topics and words according to the specific needs of SCAG; (2) provide a distribution platform for unlimited dissemination of releases; (3) provide a comprehensive media database and research journalists/outlets for effective engagement, and (4) develop analysis reports, build graphic reports and show ongoing trends in mass media from various perspectives on a monthly basis.</p>
Project’s Benefits & Key Deliverables:	<p>The service’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• News monitoring from U.S.-based print, web and broadcast outlets that tracks all items published and aired about SCAG as well as key topics and individuals;• A searchable and regularly updated database of journalists and media outlets in the United States and the SCAG region in particular;• The ability to distribute press releases to a comprehensive list of media contacts;• An analytical reporting feature that can track engagements, such as who inquires, who airs/writes stories, who opened SCAG news releases, and links to coverage to individual media campaigns to help determine the effectiveness of a news release; and• Media engagement yields promotional and educational value to the agency and its member jurisdictions.
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 6: Deploy strategic communications to further agency priorities and foster public understanding of long-range regional planning.
Contract Amount:	Total not to exceed \$37,798 Pressrelations, Inc. (prime consultant)
Contract Period:	February 1, 2020 through January 31, 2021, with two (2) optional 12 month Terms
Project Number(s):	090-0148B.02 \$12,599.40 Funding source(s): Federal Transit Administration (FTA)

Attachment: Contract Summary 20-006-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 -

Funding of \$12,599.40 is available in the FY 2020-21 budget, and the remaining \$12,599.40 is expected to be available in the FY 2021-22 budget in Project Number 090-0148B.02, subject to budget availability. Further \$12,599.40 is expected to be available in FY 2022-23 budget in Project Number 090-0148B.02

Request for Quote (RFQ):

SCAG staff notified 1,803 firms of the release of RFQ 20-006 via SCAG’s Solicitation Management System. A total of 26 firms downloaded the RFQ. SCAG received the following five (5) bids in response to the solicitation:

Pressrelations Inc. (no subconsultants)	\$37,798
Agility PR Solutions (no subconsultants)	\$38,160
Cision, Inc. (no subconsultants)	\$59,700
Meltwater, Inc. (no subconsultants)	\$75,000
Consensus, Inc. (no subconsultants)	\$585,000

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFQ, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the quotes, the PRC selected the lowest responsive and responsible quote.

The PRC consisted of the following individuals:

Margaret de Larios, Public Affairs Specialist II, SCAG
Jeff Liu, Manager of Media and Public Affairs, SCAG
Houston Laney, Public Affairs Specialist III, SCAG

Basis for Selection:

The PRC recommended Pressrelations, Inc. for the contract award because the consultant:

- Offered a comprehensive service with a modern interface and high ease-of-use that features all the key components of media monitoring that we are looking for, as designated in the scope of work;
- Demonstrated a track record of support for major institutions including AAA, a leading transportation organization; and
- Proposed the lowest price and offered the best overall value for the level of effort proposed.

CONTRACT 18-011A-C01 AMENDMENT 04

Consultant: EcoInteractive,LLC

Background & Scope of Work: On September 11, 2018, SCAG awarded Contract 18-011A to EcoInteractive, LLC to provide a software system intended to replace SCAG’s current system for developing the agency’s Federal Transportation Improvement Program (FTIP), to reduce or eliminate custom coded systems, to replace them with hosted, cloud-based solutions provided by vendors with the proper expertise and resources to create and maintain a complex, dynamic system as is required for development of the FTIP.

This amendment increases the contract value from 1,324,104 to 1,379,204 (\$55,100).

This increase is to comply with the new federal and state reporting requirements by adding Performance Measures Data for transportation projects to SCAG’s eFTIP Application System that will submit a related report to Caltrans.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Cloud Based Solutions (applications, services or resources made available to users on demand via the Internet from a network of server providers) and Cross Browser compatible (compatible with multiple software applications) Solution;
- Increased ease of use of database for SCAG’s FTIP Staff and County Transportation Commissions (CTC’s);
- The ability to directly upload approved projects to Caltrans database; and
- Better performance and maintainability.

Strategic Plan: This item supports SCAG’s Strategic Plan Goal 4 and 7: Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration and Secure funding to support agency priorities to effectively and efficiently deliver work products.

Amendment	Amendment 4	\$55,100
Amount:	Amendment 3 Added funding for FY20	\$0
	Amendment 2 Exercised Term Year Option 1	\$0
	Amendment 1 Added funding for FY19	\$0
	Original contract value	<u>\$1,324,104</u>
	Total contract value is not to exceed	\$1,379,204

This amendment does not exceed \$75,000 or 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/25/19) Section 9.3, it does not require the Regional Council’s approval.

Contract Period: September 11, 2018 through September 11, 2023
Note: This is a five (5) year option agreement.

Project Number: 045-0142B.25 \$55,100
Funding sources: Consolidated Planning Grant – Federal Transit Administration (FTA)

Basis for the Amendment:

To meet the Caltrans compliance reporting requirement, SCAG's FTIP team is required to capture Performance Measures data on transportation projects and submit a related report to Caltrans. The Performance Measures functionality was not included as an original deliverable on the project because the new federal and state requirements were unknown at the time of original contract execution.

Federal law and regulations governing metropolitan transportation planning and programming require that Metropolitan Planning Organizations (MPOs), in coordination with the State and public transportation providers, must establish performance measures and targets and report on progress towards meeting those targets in its long range planning and programming documents. SCAG's forthcoming FY21 FTIP must include, to the maximum extent practicable, a description of the anticipated effect of the FTIP toward achieving the targets identified in Connect SoCal investment priorities to those performance targets (23 CFR 450.326(d)). To fulfill its reporting requirements, SCAG must enhance its FTIP development process by requesting that the County Transportation Commission's submit additional information for projects that support achieving the regional performance targets.



AGENDA ITEM 17
REPORT

Southern California Association of Governments
Remote Participation Only
May 7, 2020

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: CFO Monthly Report

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

For Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

AUDITS:

Caltrans

SCAG is awaiting an audit resolution letter from Caltrans regarding the Incurred Cost audit.

External Financial Audit

Eide Bailly LLP, SCAG's outside independent auditor, presented their audit plan to the Audit Committee on April 29, 2020.

MEMBERSHIP DUES:

99.9% of the FY20 dues assessment was collected as of April 13, 2020. One city has yet to pay its dues and two are being recruited for membership.

BUDGET & GRANTS (B&G):

On March 5, 2020, the EAC and RC approved the Fiscal Year (FY) 2020-21 Draft Comprehensive Budget and Overall Work Program (OWP). The OWP was released for a 30-day public comment period and the OWP was submitted to our federal and state funding partners for review. The Final Budget will be presented to the RC for approval on May 7, 2020. Additionally, the FY 2020-21 General Fund Budget and Membership Assessment Schedule will be presented at the General Assembly for approval on June 4, 2020.

CONTRACTS:

In March 2020, the Contracts Department issued five (5) Request for Proposals, awarded ten (10) contracts; issued five (5) contract amendments; and processed 36 Purchase Orders to support

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



ongoing business and enterprise operations. Staff also administered 139 consultant contracts. Contracts staff continued to negotiate better pricing and reduced costs for services. This month staff negotiated \$49,077 in budget savings, bringing the Fiscal Year 2020 savings to \$168,653 cumulatively.

ATTACHMENT(S):

1. Monthly Charts



Office of the Chief Financial Officer

Monthly Status Report

MARCH 2020

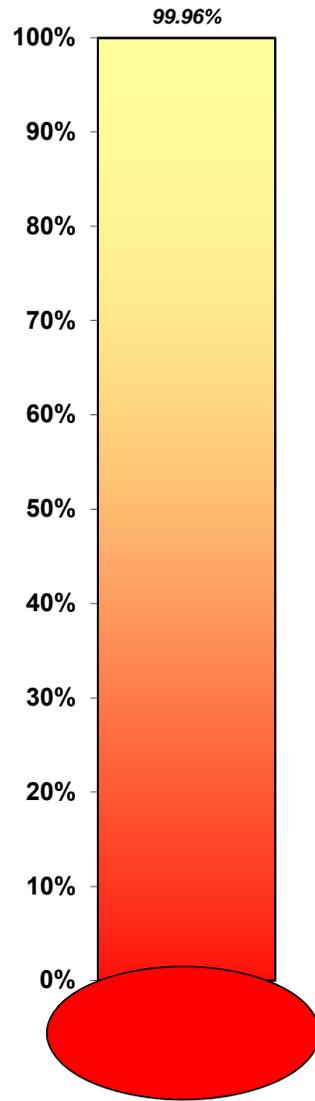
OVERVIEW

As of April 13, 2020, 188 cities and 6 counties had paid their FY20 dues. This represents 99.96% of the dues assessment. One city had yet to pay its dues. Two cities are being recruited for membership.

SUMMARY

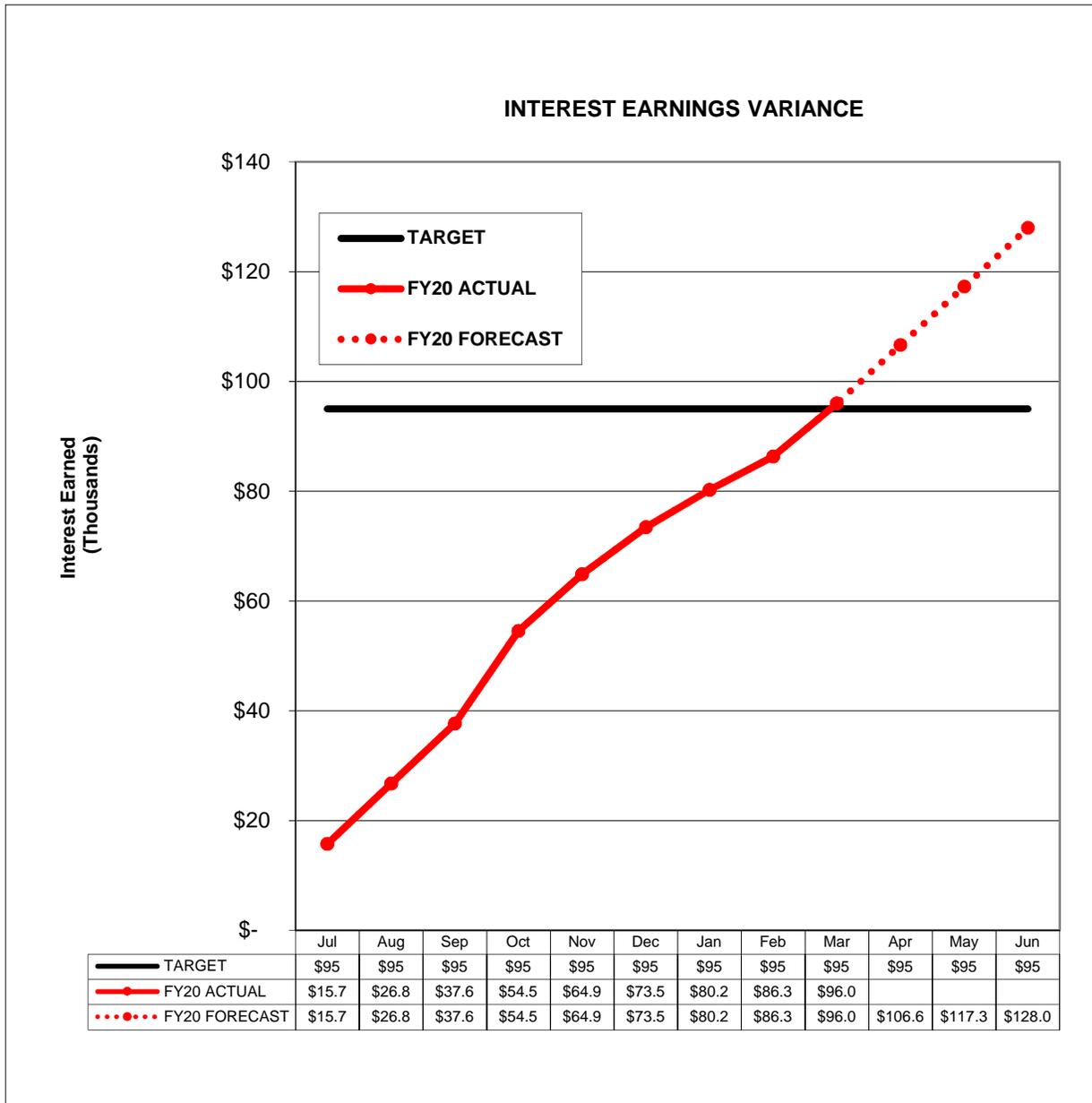
FY20 Membership Dues	\$ 2,113,909
Total Collected	\$ 2,112,970
Percentage Collected	99.96%

FY20 Membership Dues Collected





Office of the CFO
Interest Earnings Variance



Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)

OVERVIEW

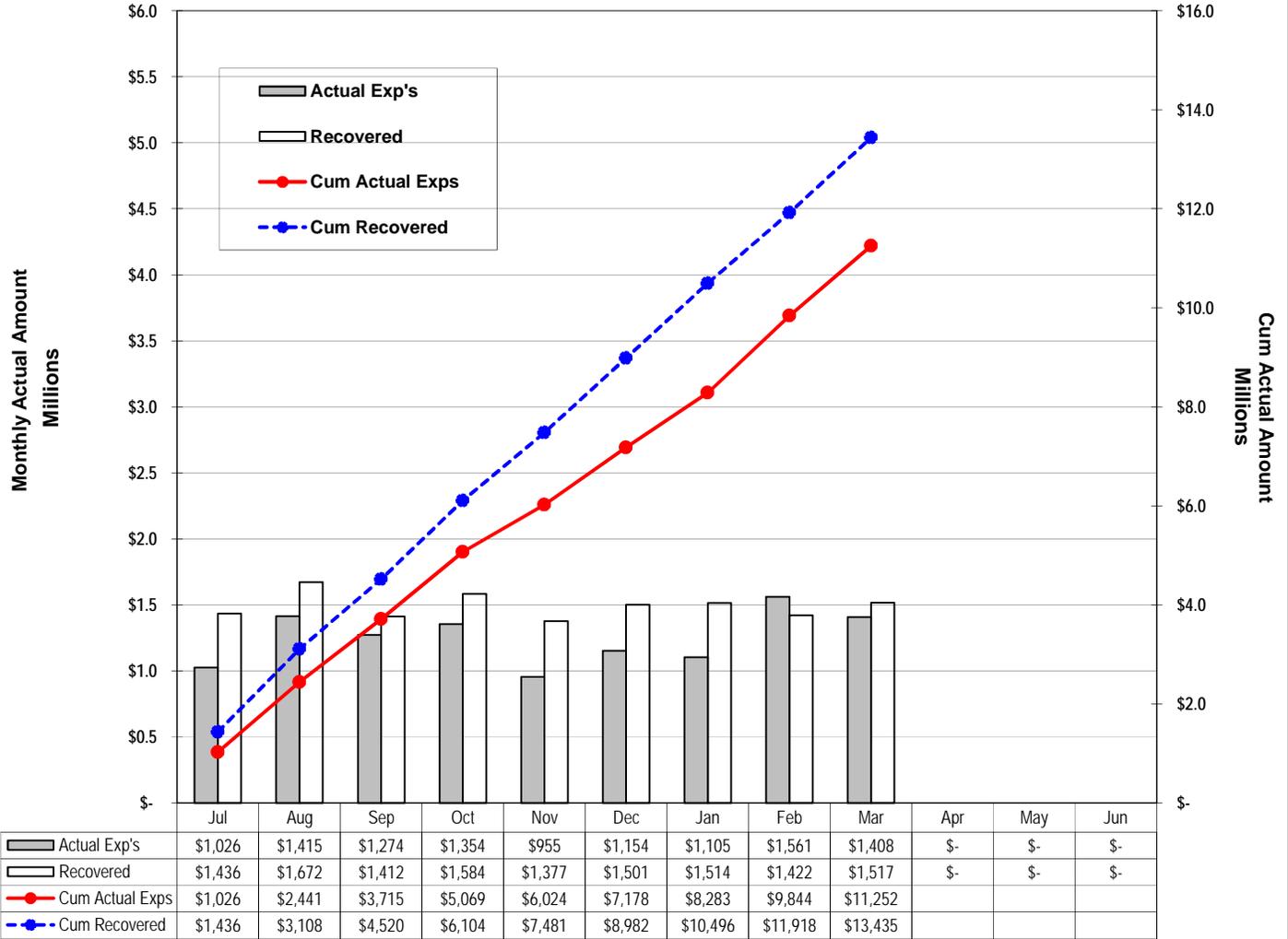
Actual interest income is plotted against the target amount. The amount credited to SCAG's account through March was \$95,974. The LA County Pool earned 1.89% in February.

SUMMARY

The amount projected for FY20 is \$95,000.



FY20 INDIRECT COST & RECOVERY



Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)

OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

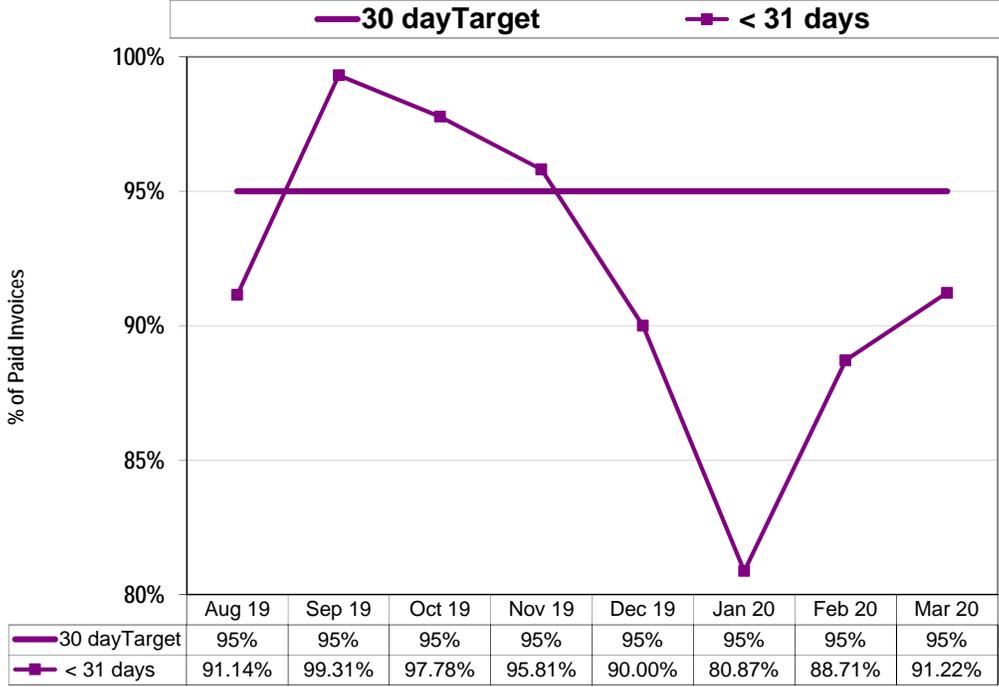
SUMMARY

Through March 2020, SCAG was over-recovered by \$2,182,977.85 due to unspent Indirect Cost budget. This is in line with the over-recovery built in to the FY20 IC rate.

Office of the CFO
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was not met.

SUMMARY

91.22% of March 2020's payments were made within 30 days of invoice receipt.

At month-end, 33 invoices remained unpaid less than 30 days.

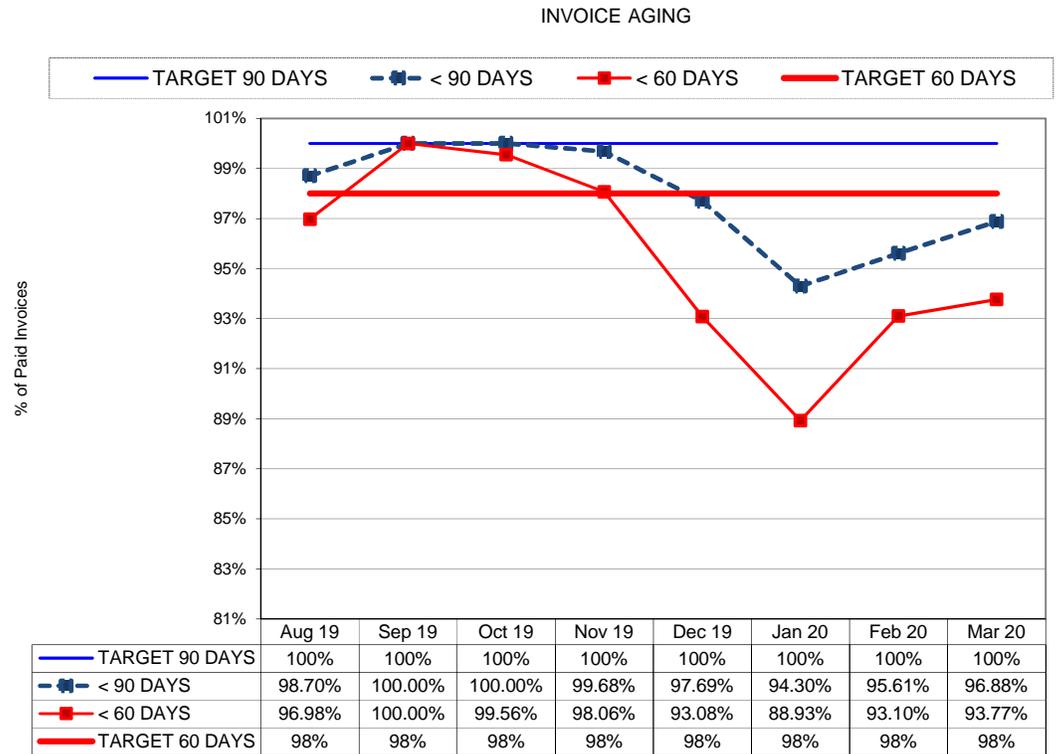
OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

SUMMARY

These goals were not met during this period.

93.77% of March 2020's payments were within 60 days of invoice receipt and 96.88% within 90 days. Invoices unpaid 30-60 days totaled 25; 60-90 days: 7; >90 days: 11.



Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)



Office of the CFO
Consolidated Balance Sheet

	2/29/2020	3/31/2020	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 2,504,116	\$ 1,905,645		
LA County Investment Pool	\$ 7,465,832	\$ 4,615,751		
Cash & Investments	\$ 9,969,948	\$ 6,521,396	\$ (3,448,552)	Expenses of \$6.3M with Revenues of \$2.88M. Balance from cash.
Accounts Receivable	\$ 10,853,317	\$ 14,094,130	\$ 3,240,813	Billings of \$889K to FTA 5303, \$1.4M to FHWA, \$232K to FTA 5304, \$491K to SB1, \$88K to MSRC, \$55K from FHWA - ATP & \$54K to ATPDCP.
Other Current Assets	\$ 2,764,672	\$ 2,554,697	\$ (209,975)	Net amort of \$100K in prepaids expensed plus IC fund over-recovery of \$109K.
Fixed Assets - Net Book Value	\$ 5,957,615	\$ 5,957,615	\$ -	No change.
Total Assets	\$ 29,545,551	\$ 29,127,837	\$ (417,714)	
	\$ (539,963)			
	\$ (4,401,565)			
Accounts Payable	\$ (4,941,528)	\$ (4,634,521)	\$ 307,007	Uptrend in processing of invoices ready for payment.
Employee-related Liabilities	\$ (630,223)	\$ (797,580)	\$ (167,357)	February had 10 unpaid working days while March had 12.
Deferred Revenue	\$ (675,968)	\$ (675,968)	\$ -	No Change
Total Liabilities and Deferred Revenue	\$ (6,247,719)	\$ (6,108,068)	\$ 139,651	
Fund Balance	\$ 23,297,833	\$ 23,019,769	\$ (278,064)	
WORKING CAPITAL				
	2/29/2020	3/31/2020	Incr (decr) to working capital	
Cash	\$ 9,969,948	\$ 6,521,396	\$ (3,448,552)	
Accounts Receivable	\$ 10,853,317	\$ 14,094,130	\$ 3,240,813	
Accounts Payable	\$ (4,941,528)	\$ (4,634,521)	\$ 307,007	
Employee-related Liabilities	\$ (630,223)	\$ (797,580)	\$ (167,357)	
Working Capital	\$ 15,251,514	\$ 15,183,425	\$ (68,089)	

Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)



Office of the CFO
Fiscal Year-To-Date Expenditure Report Through March 31, 2020

COMPREHENSIVE BUDGET

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1		Staff & Allocated Fringe Benefits	540,920	540,920	333,149	-	207,771	61.6%
2	51001	10001-51001-P Allocated Indirect Costs	674,563	674,563	415,437	-	259,126	61.6%
3	54300	10001-54300-P SCAG Consultants	291,400	300,087	109,932	74,152	116,003	36.6%
4	54340	10001-54340-P Legal costs	120,000	128,200	29,939	72,287	25,975	23.4%
5	55210	10001-55210-P Software		86,153	73,851	12,302	0	85.7%
6	55441	10001-55441-P Payroll, bank fees	12,500	12,500	4,099	8,401	(0)	32.8%
7	55600	10001-55600-P SCAG Memberships	116,000	116,000	95,309	-	20,691	82.2%
8	55610	10001-55610-P Professional Membership	11,500	11,500	7,195	556	3,749	62.6%
9	55620	10001-55620-P Res mat/sub	2,000	5,960	5,960	-	1	100.0%
10	55830	10001-55830-P Conference - Registration	1,000	1,000	300	-	701	30.0%
11	55860	10001-55860-P Scholarships	32,000	36,000	36,000	-	0	100.0%
12	55910	10001-55910-P RC/Committee Mtgs	25,000	15,000	-	-	15,000	0.0%
13	55912	10001-55912-P RC Retreat	10,000	13,000	12,616	-	384	97.0%
14	55914	10001-55914-P RC General Assembly	672,000	672,000	51,566	19,398	601,036	7.7%
15	55915	10001-55915-P Demographic Workshop	28,000	28,000	-	8	27,992	0.0%
16	55916	10001-55916-P Economic Summit	100,000	100,000	84,742	1	15,256	84.7%
17	55918	10001-55918-P Housing Summit	20,000	20,000	-	-	20,000	0.0%
19	55920	10001-55920-P Other Meeting Expense	75,000	83,500	51,372	32,129	(0)	61.5%
20	55925	10001-55925-P RHNA Subgrl Delegation	500,000	433,800	-	-	433,800	0.0%
21	55xxx	Miscellaneous other	101,966	55,188	40,007	(0)	15,181	72.5%
22	55940	10001-55940-P Stipend - RC Meetings	210,485	210,485	132,520	-	77,965	63.0%
23	56100	10001-56100-P Printing	30,000	30,000	262	437	29,301	0.9%
24	58100	10001-58100-P Travel - outside SCAG region	92,500	93,500	34,972	-	58,528	37.4%
25	58101	10001-58101-P Travel - local	36,500	36,500	30,648	-	5,852	84.0%
26	58110	10001-58110-P Mileage - local	28,500	29,000	20,473	-	8,527	70.6%
27	58150	10001-58150-P Travel Lodging	13,500	13,500	9,700	-	3,800	71.9%
28	58800	10001-58800-P RC Sponsorships	200,000	200,000	80,885	34,570	84,545	40.4%
29		Total General Fund	3,945,334	3,946,356	1,660,934	254,240	2,031,182	42.1%
30								
31		Staff & Allocated Fringe Benefits	15,383,005	15,559,069	10,440,689	-	5,118,380	67.1%
32	51001	Allocated Indirect Costs	19,182,124	19,401,667	13,019,539	-	6,382,128	67.1%
33	54300	SCAG Consultants	29,075,454	32,713,081	3,098,164	16,079,870	13,535,047	9.5%
34	54302	Non-Profits/IHL	485,000	549,000	40,493	387,500	121,008	7.4%
35	54303	Consultants TC - FTA 5303	6,265,889	6,265,889	1,580,453	1,611,367	3,074,069	25.2%
36	54340	Legal Services - FTA 5303	200,000	200,000	80,426	98,536	21,038	40.2%
38	54360	Pass-through Payments	4,480,619	5,276,178	88,792	5,187,386	0	1.7%
39	55210	Software Support	250,000	250,000	153,792	61,779	34,429	61.5%
40	55250	Cloud Services	489,330	489,330	176,850	39,626	272,854	36.1%
41	5528x	Third Party Contributions	5,739,013	5,671,011	2,755,315	-	2,915,696	48.6%
42	55284	Toll Credits	718,703	-	-	-	0	#DIV/0!
43	55310	F&F Principal	239,928	239,928	178,851	61,077	0	74.5%
44	55315	F&F Interest	27,635	27,635	21,498	6,137	0	77.8%
45	55320	AV Principal	133,703	133,703	99,593	34,110	0	74.5%
46	55325	AV Interest	6,390	6,390	4,960	1,430	0	77.6%
47	55xxx	Office Expenses	2,000	2,000	162	-	1,838	8.1%
48	55520	Hardware Supp	5,000	5,000	1,612	-	3,388	32.2%
49	55580	Outreach/Advertisement	50,000	50,000	9,305	40,695	(0)	18.6%
50	55610	Professional Memberships	2,500	2,500	-	-	2,500	0.0%
51	55620	Resource Materials - subscrib	934,455	934,455	169,020	121,344	644,091	18.1%
52	55730	Capital Outlay	300,000	300,000	-	37,530	262,470	0.0%
53	55810	Public Notices	57,000	57,012	57,013	(0)	(0)	100.0%
54	55830	Conf. Registration	3,500	3,500	3,103	-	397	88.7%
55	55840	Training Registration		1,200	1,200	-	0	100.0%
56	55920	Other Meeting Expense	54,000	54,000	2,453	-	51,547	4.5%
57	55930	Miscellaneous	294,228	2,385,957	-	223,278	2,162,679	0.0%
58	56100	Printing	15,000	15,000	-	-	15,000	0.0%
59	58xxx	Travel	293,750	299,750	68,010	-	231,740	22.7%
60	58800	RC Sponsorships		-	10,000	-	(10,000)	#DIV/0!
61	59090	Exp - Local Other	6,268,529	6,468,397	6,122	-	6,462,275	0.1%
62		Total OWP & TDA Capital	90,956,755	97,361,652	32,067,416	23,991,666	41,302,571	32.9%
63								
64		Comprehensive Budget	94,902,089	101,308,008	33,728,349	24,245,906	43,333,753	33.3%

Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)



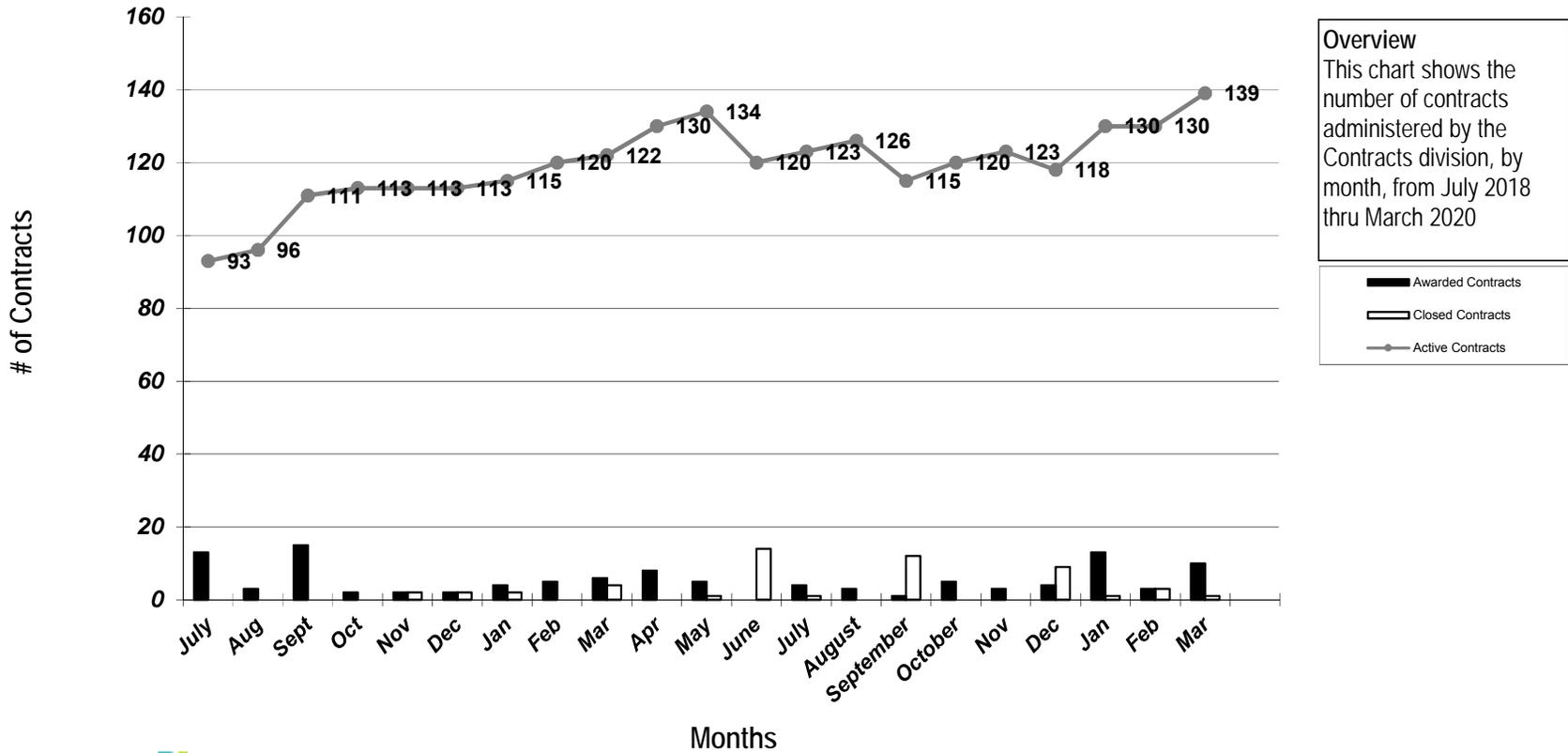
Office of the CFO
Fiscal Year-To-Date Expenditure Report Through March 31, 2020

INDIRECT COST EXPENDITURES

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	5,649,706	5,647,349	4,489,647		1,157,702	79.5%
2	50013	Regular OT	1,000	1,000	1,547		(547)	154.7%
3	50014	Interns, Temps, Annuity	75,000	75,000	93,641		(18,641)	124.9%
4	50030	Severance	80,000	48,500	27,498		21,002	56.7%
5	51xxx	Allocated Fringe Benefits	4,507,099	4,505,225	3,245,536	-	1,259,689	72.0%
6	54300	SCAG Consultants	292,150	139,631	18,598	12,770	108,263	13.3%
7	54301	Consultants - Other	1,041,600	1,041,600	357,162	258,236	426,202	34.3%
8	54340	Legal	40,000	40,000	(1,500)	1,500	40,000	-3.8%
9	55210	Software Support	519,400	517,303	382,411	35,659	99,233	73.9%
10	55220	Hardware Supp	415,000	573,916	573,916	0	0	100.0%
11	55230	Computer Maintenance	250,000	250,000	-	-	250,000	0.0%
12	55240	Repair & Maint Non-IT	26,500	50,103	50,102	0	0	100.0%
13	55270	Software Purchases		3,597	3,597	-	(0)	100.0%
14	55315	F&F Interest	11,604	11,604	9,026	-	2,578	77.8%
15	55325	AV Interest	19,745	19,745	15,325	-	4,420	77.6%
16	55400	Office Rent DTLA	1,538,000	1,538,000	949,169	588,830	0	61.7%
17	55410	Office Rent Satellite	260,000	260,000	154,934	105,066	(0)	59.6%
18	55415	Offsite Storage	5,000	7,500	2,757	845	3,898	36.8%
19	55420	Equip Leases	100,000	100,000	44,169	50,510	5,321	44.2%
20	55430	Equip Repairs & Maint	1,000	1,690	1,690	-	1	100.0%
21	55435	Security Services	100,000	100,000	39,520	24,249	36,231	39.5%
22	55440	Insurance	238,385	238,385	229,272	-	9,113	96.2%
23	55441	Payroll / Bank Fees	15,000	15,000	8,206	6,794	0	54.7%
24	55445	Taxes	5,000	5,000	624	-	4,376	12.5%
25	55460	Mater & Equip < \$5,000 *	64,000	63,310	2,574	841	59,895	4.1%
26	55510	Office Supplies	73,800	73,800	34,221	39,578	0	46.4%
27	55520	Graphic Supplies	2,500	2,500	-	-	2,500	0.0%
28	55530	Telephone	195,000	195,000	104,213	18,926	71,861	53.4%
29	55540	Postage	10,000	10,000	218	9,781	0	2.2%
30	55550	Delivery Svc	5,000	5,000	2,460	2,540	0	49.2%
31	55580	Outreach/Advertisement		-	-	-	0	#DIV/0!
32	55600	SCAG Memberships	76,200	76,200	57,232	163	18,806	75.1%
33	55610	Prof Memberships	1,500	1,500	240	-	1,260	16.0%
34	55611	Prof Dues	1,350	1,350	759	-	591	56.2%
35	55620	Res Mats/Subscrip	70,800	70,800	38,769	3,998	28,033	54.8%
36	55700	Deprec - Furn & Fixt	185,000	185,000	127,637	-	57,363	69.0%
37	55710	Deprec - Computer Equipment		-	-	-	0	#DIV/0!
38	55715	Amortiz - Software	1,684	1,684	-	-	1,684	0.0%
39	55720	Amortiz - Leasehold Improvements	62,500	62,500	55,501	-	6,999	88.8%
40	55800	Recruitment Notices	25,000	25,000	8,423	-	16,577	33.7%
41	55801	Recruitment - other	45,000	45,000	14,329	30,396	275	31.8%
42	55810	Public Notices	2,500	2,500	-	-	2,500	0.0%
43	55820	In House Training	30,000	30,000	11,989	-	18,011	40.0%
44	55830	Networking Meetings/Special Events	22,500	22,500	5,513	-	16,987	24.5%
45	55840	Training Registration	65,000	65,000	32,774	-	32,226	50.4%
46	55920	Other Mtg Exp	2,500	2,500	25	-	2,475	1.0%
47	55950	Temp Help	105,000	105,000	19,408	-	85,592	18.5%
49	55xxx	Miscellaneous - other	6,500	8,231	460	-	7,771	5.6%
50	56100	Printing	23,000	23,000	9,149	-	13,851	39.8%
51	58100	Travel - Outside	82,800	81,800	22,330	-	59,470	27.3%
52	58101	Travel - Local	19,500	20,000	3,107	-	16,893	15.5%
53	58110	Mileage - Local	23,500	24,000	3,067	-	20,933	12.8%
54	58120	Travel Agent Fees	3,000	3,000	753	-	2,247	25.1%
55		Total Indirect Cost	16,396,323	16,396,323	11,251,998	1,190,683	3,953,642	68.6%

Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)

SCAG Contracts (Year to Date)



Overview
 This chart shows the number of contracts administered by the Contracts division, by month, from July 2018 thru March 2020

Awarded Contracts
 Closed Contracts
 Active Contracts



Summary

As illustrated on the chart, the Contracts Department is currently managing a total of 139 contracts. Sixty-two (62) are Cost Plus Fee contracts; thirty-eight (38) are Lump Sum (formerly Fixed Price) contracts, and the remaining thirty-nine (39) are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 60 contracts for FY 2019-20. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

Office of the CFO
Staffing Report as of April 1, 2020



GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive Office	13	12	0
Legal Services	2	1	1
Finance	23	23	0
Information Technology	21	19	2
Policy & Public Affairs	18	18	0
Planning & Programs	70	66	4
Total	147	139	7

OTHER POSITIONS

GROUPS	Limited Term Positions	Interns or Volunteers	Temp Positions	Agency Temps
Executive Office	0	1	0	0
Finance	0	0	1	0
Policy & Public Affairs	2	1	2	0
Information Technology	1	0	0	0
Planning & Programs	3	10	2	0
Total	6	12	5	0

Attachment: Monthly Charts [Revision 2] (CFO Monthly Report)